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Identifying WT1, DNMT3A and DDIT4L as dependency genes in PDX models of acute leukemia in vivo

**Dissertation** zum Erwerb des Doctor of Philosophy (Ph.D.) an der Medizinischen Fakultät der Ludwig-Maximilians-Universität München

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Mit Genehmigung der Medizinischen Fakultät der Ludwig-Maximilians-Universität München

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Dekan: Prof. Dr. med. Thomas Gudermann

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## **List of Abbreviations**





## **List of Publications**

- 1. Ghalandary M\*, **Gao Y\***, Amend D, Kutkaite G, Vick B, Spiekermann K, Rothenberg-Thurley M, Metzeler KH, Marcinek A, Subklewe M, Menden MP, Jurinovic V, Bahrami E, Jeremias I. *WT1* and *DNMT3A* play essential roles in the growth of certain patient AML cells in mice. Blood. 2023 Feb 23;141(8):955-960.
- 2. Carlet M, Völse K, Vergalli J, Becker M, Herold T, Arner A, Senft D, Jurinovic V, Liu WH, **Gao Y**, Dill V, Fehse B, Baldus CD, Bastian L, Lenk L, Schewe DM, Bagnoli JW, Vick B, Schmid JP, Wilhelm A, Marschalek R, Jost PJ, Miething C, Riecken K, Schmidt-Supprian M, Binder V, Jeremias I. In vivo inducible reverse genetics in patients' tumors to identify individual therapeutic targets. Nature Communications. 2021 Sep 27;12(1):5655.

## **1. Contribution to the publications**

## **1.1 Contribution to paper I:** *WT1* **and** *DNMT3A* **play essential roles in the growth of certain patient AML cells in mice**

In this project, we studied patient-derived xenograft (PDX) models and performed serial CRISPR/ CRISPRassociated protein 9 (Cas9) knockout (KO) studies in mice. We found that *WT1* and *DNMT3A* were essential in certain PDX models of acute myeloid leukemia (AML) in mice *in vivo* and were dispensable for AML PDX cells or AML cell lines *in vitro*. By further studying the *WT1* and *DNMT3A* dependency for stem cell function, we found that knocking out either *WT1* or *DNMT3A* reduced leukemia-initiating cell number, impeded the re-engraftment of PDX cells into secondary recipient mice, and decreased the cell homing capacity into murine bone morrow. Finally, we observed that knocking out *WT1* contributed to a certain enhancement of the anti-tumor effect of Cytarabine. In summary, our findings revealed that *WT1* and *DNMT3A* are crucial dependency genes in certain AML PDX models *in vivo*, suggesting their potential as novel therapeutic targets to treat patients suffering from AML.

This paper has been published with shared first authorship because the other first author and the author of this dissertation generated most of the data in close collaboration and contributed equally to this project. Of note, following the maternity leave of the other first author starting before first submission of the article, the author of this dissertation took over all the tasks of a single first author during the 6-month revision phase of the manuscript.

Our lab had previously established an *in vivo* CRISPR/Cas9 dropout screen in acute lymphoblastic leukemia (ALL) PDX models. To transfer the technique to AML PDX models, the author of this dissertation performed an *in vivo* CRISPR/Cas9 dropout screen in the Cas9-negative AML-356 PDX model as one important quality control which showed favorable Gini index and sgRNA read counts correlation score (supplementary Figure 2A). Next, the author of this dissertation performed an *in vivo* CRISPR/Cas9 dropout screen in the Cas9-positive AML-346 PDX model (Figure 1B, supplemental Figure 2B, supplemental Table 6-8). About half of the genes that were included in the library dropped out, including common essential genes and known hematopoietic system essential genes, which were significantly depleted. Based on these findings and the results from the CRISPR/Cas9 dropout screens performed by the other first author in four additional AML PDX models (supplemental Table 1), *WT1* and *DNMT3A*, whose roles in oncogenesis are poorly understood, were chosen for further investigation.

To validate these candidate genes selected from the dropout screens, the other first author decided to perform single KO experiments using competitive assays where all cell populations can be studied under identical conditions within the same mouse. To allow for this competitive approach, each cell population needs to be labelled with a different fluorochrome. Firstly, the author of this dissertation generated non-targeting (NT) sgRNA subsets each carrying one of four different fluorochromes and then performed quality control competitive assays *in vivo* in AML-356 and *in vitro* in OCI-AML3. In more detail, cells were lentivirally transduced with the respective fluorochrome-coupled NT-sgRNAs and after puromycin enriching the cells, cells carrying the different fluorochromes were mixed in equal parts. For the *in vivo* experiment, the mixtures of transduced AML-356 cells were injected into donor mice and for the *in vitro* part mixtures of transduced OCI-AML3 cells were kept in culture (supplementary Figure 5). The author of this dissertation harvested the AML-356 bone marrow cells from the mice upon development of advanced leukemia and the OCI-AML3 cells on day 31 after mixing. The distribution of NT-sgRNA subsets was measured using flow cytometry. The results showed no significant difference between input and output in NT-sgRNA subsets with different fluorochromes, indicating that different fluorochromes do not affect cell growth *in vivo* and *in vitro*. From these important controls we conclude that the growth of KO cells in the *in vivo* competitive assays is not influenced by the different fluorochromes. Subsequently, the author of this dissertation performed an *in vivo* competitive validation assay for *WT1* and *DNMT3A* in AML-388 (three out of six mice; the other three mice were included in the experiment performed by the other first author) and AML-393 (Figure 2A). *WT1* and *DNMT3A* showed an *in vivo* dependency in AML-388 but not in AML-393. Together with the results from the other validation assays performed by the other first author in three additional PDX samples, our results showed that *WT1* and *DNMT3A* were essential in certain PDX models *in vivo* (Figure 2B). Contrasting this finding of essentiality *in vivo*, analysis of data published on DepMap by the author of this dissertation revealed that *DNMT3A* was not essential *in vitro* in most of the AML cell lines available (supplementary Figure 6). Meanwhile, the author of this dissertation generated seven different Cas9-positive AML cell lines (two additional Cas9-positive AML cell lines were generated by the other first author and one of the co-authors) and performed *in vitro* competitive assays for *WT1* and *DNMT3A* in all nine cell lines (supplementary Figure 8-9). Reproducing the data published on DepMap, cells with *WT1* or *DNMT3A* KO had no significant growth disadvantage in these nine AML cell lines and *WT1* and *DNMT3A* showed no dependency in all AML cell lines studied, whether with or without mutation. Using THP-1 cells with single KO of the genes of interest, the author of this dissertation checked the gene editing efficiency by Western blot or TIDE analysis (supplementary Figure 4B and 7A-B). The Western blot showed significant loss of NPM1, KRAS, or WT1 protein in THP-1 *NPM1*, *KRAS* or *WT1* KOs. TIDE analysis showed a gene editing efficiency of more than 80% in *DNMT3A* KOs, which is generally considered as acceptable. Additionally, the author of this dissertation generated *WT1* and *DNMT3A* single KOs in AML-346 and AML-388 cells for immunophenotyping (supplementary Figure 10). The co-author performed immunophenotyping and analyzed the results, which showed that the respective KOs do not significantly impact the expression of the analyzed marker proteins. Furthermore, the author of this dissertation performed an *in vivo* and *in vitro* parallel competitive assay for *WT1* and *DNMT3A* in AML-346 (Figure 2C). To our surprise, the growth disadvantages of *WT1* KO or *DNMT3A* KO were restricted to *in vivo* environments but not observed *in vitro* in AML-346, suggesting that *in vivo* approaches were required to reveal certain dependencies in AML. Then, the other first author and the author of this dissertation prepared the sample for transcriptomic analyses together (Figure 2D, supplementary Figure 11). The data, which were analyzed by co-authors, showed that cell apoptosis and oxidative phosphorylation regulation accompanied the KO of *WT1* or *DNMT3A*.

To further study *WT1* and *DNMT3A* gene essentiality in leukemia stem cells, the author of this dissertation performed several *in vivo* experiments. First, the author of this dissertation performed a homing assay via intravenous injection and interfemoral injection of *WT1* KO cells in AML-346 and AML-388 PDX models (supplementary Figure 13). *WT1* KO cells displayed early *in vivo* growth disadvantages, suggesting that loss of *WT1* reduced the capacity of AML-346 and AML-388 cells to home to the bone marrow environment upon intrafemoral or intravenous cell injection. Second, the author of this dissertation performed a kinetic *in vivo* competitive validation assay for *WT1* and *DNMT3A* in the AML-346 PDX model (supplementary Figure 14). *WT1* KO cells already exhibited growth disadvantages at an early stage of leukemia. Together with the results in AML-388 (performed by the other first author), we demonstrated that knocking out *WT1* impaired tumor-niche interaction. Third, the author of this dissertation performed an *in vivo* competitive re-transplantation assay with *WT1* and *DNMT3A* KO cells in AML-346 (supplementary Figure 15C-D). Therefore, *WT1* and *DNMT3A* KO cells isolated from recipient mice at the end point of the first competitive assay were re-injected into secondary recipient mice and the bone marrow cells were harvested at advanced leukemia stage and analyzed by flow cytometry. The results showed reduced engraftment capacity of AML-346 cells with *WT1* or *DNMT3A* KO. Based on this finding, the author of this dissertation further performed an *in vivo* competitive limiting dilution transplantation assay for *WT1* and *DNMT3A* in the AML-346 PDX model (Figure 2E). This experiment showed that KO of either *WT1* or *DNMT3A* reduced the number of stem cell surrogates, indicating an essential role of *WT1* and *DNMT3A* in leukemia stem cell survival.

To sum up, our technique allows studying gene dependencies in PDX models *in vivo*. *WT1* and *DNMT3A* have been identified as new dependencies in certain AML PDX cells in mice.

## **1.2 Contribution to paper II:** *In vivo* **inducible reverse genetics in patients' tumors to identify individual therapeutic targets**

In this study, we established the first inducible system for gene knockdown *in vivo* in PDX acute leukemia (AL) models worldwide using a Cre-ER<sup>T2</sup>-loxP-based RNAi-mediated gene silencing system. This system could induce a partial inhibition of a target gene, which closely mimics the clinical situation, as the treatment of individual patients with drugs or compounds induces a partial inhibition of their target proteins. First, we used *MCL1* as an exemplary target and proved that our newly established inducible knockdown system enabled studying sample-specific vulnerabilities. Next, we successfully identified a leukemia-maintaining ability of the *MLL-AF4* breakpoint product in established PDX ALL models bearing the *MLL-AF4* translocation and of *DUX4* in PDX ALL models bearing a *DUX4* translocation. Ultimately, we identified the *DUX4* downstream mediator *DDIT4L* as a therapeutic target in *DUX4* rearranged ALL. We established an *in vivo* inducible knockdown system as a valuable tool that allows individualized functional genomics studies in PDX AL models *in vivo*.

In this study, the author of this dissertation was one of the two PhD students additionally supporting the revision process of the paper and listed as a co-author in a middle position. The author of this dissertation contributed to several experiments.

To examine the effect of *MCL1* knockdown on apoptotic cell death, the author of this dissertation performed apoptosis staining three days post Tamoxifen (TAM) administration with sh*MCL1* cells and shCTRL cells in the AML-388, ALL-199, and ALL-265 PDX models (Figure S2e). The results showed a higher apoptosis rate (Annexin V + percentage) in sh*MCL1* cells compared to the shCTRL cells after TAM administration for three days in the AML-388 PDX model suggesting that silencing *MCL1* in AML-388 induces rapid cell death. However, this effect was not observed in ALL-199 and ALL-265.

Based on the apoptotic cell death only observed in sh*MCL1* AML-388 but neither in ALL-199 nor ALL-265, we asked whether this correlates with the response to *MCL1* inhibition. We then performed *MCL1* inhibition treatment with S63845 in PDX AML-388 and ALL-199. The author of this dissertation harvested the spleen from mice 31 days after injection, helped co-first author to take images (Figure 2f), weighed the spleen (Figure S3h), harvested the spleen cells by Ficoll density gradient centrifugation and measured the percentage of PDX cells in the spleen by flow cytometry (Figure S2i). The author of this dissertation and co-first author also harvested bone morrow cells and measured the percentage of PDX cells in the bone marrow. The author of this dissertation observed that AML-388 mice treated with *MCL1* inhibitor S63845 had smaller size and weight of spleens than the PBS-treated control group and similar spleen sizes and weights compared to the healthy donor. However, the spleen size and weight showed no significant difference between the S63845 treated and control groups in ALL-199. Additionally, inhibiting *MCL1* using S63845 reduced the percentage of PDX cells in the spleen and bone marrow in AML-388 but not in ALL-199. Taken together, S63845 reduced the leukemic burden in the AML-388 PDX model but had no effect in the ALL-199 PDX model. Of note, this finding recapitulated the effect observed in the inducible knockdown system.

From the gene set enrichment analysis in sh*DUX4* ALL-811 cells and two published datasets, we identified a set of genes that was downregulated in *DUX4* knockdown PDX cells. To further verify the relevance of the detected genes for tumor maintenance in *DUX4*-rearranged samples, we tested the role of one gene, *DDIT4L*. The author of this dissertation extracted mRNA from cells of the *DUX4* rearranged PDX sample transduced with either shCTRL or sh*DUX4,* and performed qPCR to determine *DDIT4L* relative mRNA expression level (Figure 3g). The results showed that *DUX4*-rearranged samples transduced with sh*DUX4* had lower relative *DDIT4L* mRNA expression compared to controls indicating *DDIT4L* is downregulated in *DUX4* knockdown *DUX4*-rearranged cells. Furthermore, the author of this dissertation performed *DDIT4L* inducible knockdown in Nalm6 cells, harvested cells seven days post TAM, extracted RNA, performed qPCR, and analyzed relative *DDIT4L* mRNA expression level (Figure 3h). The result showed that inducible knockdown of *DDIT4L* in Nalm6 cells significantly reduces relative *DDIT4L* mRNA expression. Ultimately, to examine whether *DDIT4L* is a vulnerability in *DUX4-IGH* rearranged ALL, the author of this dissertation generated shCTRL and sh*DDIT4L* Nalm6 cells (Figure 3i) for a competitive in vivo assay. The co-first author prepared the mixture of these two populations for injection into recipient mice. The author of this dissertation harvested the bone marrow cells 15 days after TAM administration, performed MACS® Cell Separation to purify mouse cells, and measured samples by flow cytometry. The co-first author analyzed the data. We observed that inducible knockdown of *DDIT4L* significantly diminished leukemic growth within two weeks *in vivo*. This indicates that the downregulation of *DDIT4L* mediated the growth inhibitory effects observed in the sh*DUX4* population. To sum up, we identified *DDIT4L* as a therapeutic vulnerability in the *DUX-IGH* subtype of B-ALL.

### **2. Introductory summary**

#### **2.1 Acute leukemia**

Acute leukemia is a heterogeneous hematologic malignancy first described by Rudolf Virchow, a German pathologist in the 19th century<sup>1</sup>. It is characterized by abnormal stem cell differentiation and proliferation, mainly caused by chromosomal abnormalities and genetic alterations. These immature malignant cells, called "blasts", accumulate primarily in bone marrow (BM), peripheral blood, and extramedullary sites<sup>2</sup>. This pathologic process leads to severe effects and typical clinical symptoms like bleeding, recurrent infections, and anemias in patients. The acute leukemia blasts proliferate rapidly without treatment, resulting in high mortality rates and poor prognosis<sup>3</sup>. Acute leukemia can be categorized into two main types: acute myeloid leukemia (AML) and acute lymphoblastic leukemia (ALL). This classification is based on the clonal proliferation derived from different progenitor lineages<sup>4</sup>.

AML is a myeloid clonal disorder originating from progenitor cells of the myeloid line. Its diagnosis typically involves the accumulation of more than 20% myeloid blasts in both the peripheral blood (PB) and BM. It is present mainly in adults, especially in people older than 55 years, and constitutes over 80% of adult leukemia cases.<sup>5</sup> The prognosis of AML patients is influenced by factors such as age, genetic and molecular abnormalities, initial treatment response, and minimal residual disease. The annual incidence rate of pediatric AML is relatively low and is approximated to be around 1-2 cases per million children<sup>6</sup>. Furthermore, the outcome is worse upon age increase. Patients under 50 years old exhibit a 60% long-term survival rate, while those aged between 50 to 64 years have a 37% survival rate. For patients older than 65 years, the survival rate drops to less than 10%.<sup>7,8</sup>

ALL is caused by lymphoblasts which proliferate and invade the BM, and tumorigenesis is usually triggered by driver gene mutations and exposure to physical or chemical radiation. It stands as the most common childhood leukemia, exhibiting a peak incidence between one year and four years of age and around a 75% incidence rate in individuals under 20 years old. Moreover, the prognosis for these patients has shown remarkable improvement over the past 50 years, with a long-term survival rate exceeding 90%<sup>9</sup>. In contrast, in adults, only about 20% of patients with acute leukemia are diagnosed with ALL. These patients are typically older than 50, and they often face a dramatically low 5-year survival rate, averaging around 25%. Moreover, the outcomes are more severe according to age, blood account at diagnosis, cytogenetic and or molecular abnormalities, and treatment response.<sup>10,11</sup>

As acute leukemias are heterogeneous diseases, long-term survival rates in older patients above 50 years are worse than in younger patients, and precision assessment and treatment strategies for each patient are crucial and urgently needed to improve clinical outcomes.

### **2.2 Current treatment of acute leukemia**

Treatment for acute leukemia commonly entails a combination of chemotherapy, radiation therapy, and stem cell transplantation, depending on the subtype of leukemia, the age of the patient, overall health, and individual factors.

Therapeutic approaches have been expanded upon the rapid development in molecular biology and the dramatic improvement in the understanding of pathophysiology. Targeted therapies, immunotherapies, and novel agents bring personalized treatment options for patients and considerable improvement in patients' outcomes.

#### **2.2.1 Current treatments in acute myeloid leukemia**

Treatment decisions are generally based on risk stratification, patient tolerance, and treatment response status. According to the National Comprehensive Cancer Network Guidelines or European Leukemia Net, there are three risk categories for AML: favorable, intermediate, and adverse. This classification is determined based on validated cytogenetics and molecular abnormalities<sup>12</sup>. The AML treatment generally contains initial induction chemotherapy followed by consolidation therapy<sup>13</sup>. In addition, targeted therapy and immunotherapy could also be the choice for specific patients to gain better outcomes.<sup>14</sup>

Initial induction chemotherapy for AML is referred to as the "7+3" chemotherapy strategy. Patients receive cytarabine for seven days and consecutively taking anthracycline for three days. This regimen has been in use and remained unchanged for more than 40 years<sup>15</sup>. It results in a complete remission (CR) rate ranging from 60 to 85% and a five-year overall survival rate of around 40% for patients under 60 years<sup>16</sup>. For patients above 60 years old, around 50% of patients achieve CR, and only around 10% of patients are cured after this standard intensive treatment<sup>16</sup>. Currently, several studies attempt to optimize the dose of cytarabine and anthracycline, as seen in drugs like CPX-351, which contains five parts cytarabine for every one part daunorubicin<sup>17,18</sup>, or incorporating a third drug, such as Gemtuzumab ozogamicin, to enhance treatment response<sup>19,20</sup>.

Consolidation therapy is essentially recommended after patients achieve CR with induction chemotherapy to prevent relapse either by intensive chemotherapy (high dose or intermediate dose of cytarabine) or hematopoietic stem cell transplantation (HSCT). In patients under 60 years old, the most frequently used regimen is high dose cytarabine and could achieve around 50% long-term survival. For patients above 60 years old, only a small proportion of favorable group patients can benefit from intensive therapy<sup>21</sup>. HSCT is still an effective therapeutic approach to gain long-term survival in 20% poor-risk or intermediate-risk AML patients<sup>22</sup>. For high-risk patients, HSCT reduced the relapse frequency compared to chemotherapy alone. Moreover, HSCT provides the best chance for patients who failed the primary induction chemotherapy or developed a relapse stage to cure<sup>23</sup>.

As approaches have advanced dramatically over the last years, several novel therapeutic options exist for acute leukemia patients. Due to the growing recognition of genomic heterogeneity in AML, molecular targeted therapy has been developed rapidly and achieved significant efficacy<sup>24</sup>. Targeted therapy still has significant potential, and there is a need to identify new treatment targets to promote the current therapeutic strategies. Hypomethylating agents such as azacytidine and decitabine provide better treatment options for older, "unfit" patients who cannot tolerate standard chemotherapy<sup>25</sup>. Venetoclax, an oral B cell lymphoma-2 (*BCL-2*) inhibitor, was initially applied in chronic lymphocytic leukemia (CLL) and provides an effective response to pre-treated CLL patients; it also shows a better efficacy in older AML patients in the VIALE-A trial26-28. The combination therapy containing the BCL-2 inhibitor and hypomethylating agents (such as low dose cytarabine) has even become the first-line therapy for older patients who cannot tolerant chemotherapy and gives a better efficacy and fewer side effects<sup>28</sup>. Midostaurin and Gilteritinib are Fms-like tyrosine kinase 3 (*FLT3*) inhibitors. They are also recommended in the clinic for *FLT3-ITD* AML patients 29- 31 . Ivosidenib and Enasidenib are isocitrate dehydrogenase (*IDH*) inhibitors. They target *IDH1/2* mutations. Relapsed/refractory (R/R) AML patients tolerate them well and gain higher CR rates when using them as single agents $32,33$ .

Immunotherapy approaches, such as chimeric antigen receptor (CAR) T-cell therapy (including anti-CD123, anti-CD33, and anti-CD70), natural killer cell therapy, bi-specific T-cell engagers (BiTEs), and checkpoint inhibitors, are currently undervalued and may find success in the minimal residual diseasepositive remission stage or during early salvage<sup> $34-37$ </sup>. The development of immunotherapy for AML continues to pose challenges due to the heterogeneity feature, the absence of specific target antigen, or concerns about anticipated toxicity.

#### **2.2.2 Current treatments in acute lymphoblastic leukemia**

The treatment strategy for ALL is complex and precisely targeted according to age, leukemia subtype, cytological features, genetic and molecular abnormalities, and further prognostic factors.

Typically, there are four phases for ALL treatments<sup>10</sup>. The first phase is the induction therapy based on a combination regimen, which typically consists of glucocorticoid, vincristine, L-asparaginase, and an anthracycline, aiming to eliminate leukemia blasts in the BM and to bring the patients into the remission stage<sup>38</sup>. The second phase is consolidation therapy. Patients receive cytarabine, high-dose methotrexate, vincristine, asparaginase, mercaptopurine, and glucocorticoids every two weeks for over three months to completely eradicate the leukemia burden and prevent relapse<sup>39</sup>. The following phase is intensification therapy, which encompasses a regimen analogous to that used during induction therapy<sup>40</sup>. The last phase is the long-term maintenance therapy, which lasts for 2-3 years, including mercaptopurine, methotrexate and glucocorticoids, with or without vincristine<sup>41,42</sup>.

Moreover, central nervous system (CNS) prophylaxis is recommended to avert CNS relapse in patients with high leukocyte count at diagnosis, poor induction treatment response, or T-ALL<sup>43-45</sup>. HSCT is suitable for patients presenting high-risk factors and minimal residual disease and could help patients restore a normal hematopoietic system<sup>46-48</sup>.

Targeted therapy for ALL has achieved considerable progress over the last decades<sup>49,50</sup>. The application of first-generation tyrosine kinase inhibitor (TKI), Imatinib, combined with chemotherapy showed promisingly more than 90% CR rate and up to 50% 5-year overall survival in Philadelphia chromosome–positive  $(Ph+)$  ALL patients<sup>51</sup>. However, a large proportion of patients suffered from relapse<sup>52,53</sup>. Therefore, the second and third generation TKIs, such as Dasatinib and Ponatinib, are substituted to overcome the resistance to Imatinib<sup>54</sup>. The utilization of a *BCL2* inhibitor, Venetoclax, combined with chemotherapy resulted in a 60% CR rate among 13 R/R T-ALL patients<sup>55</sup>. A phase I study applied the combination therapy using 600mg Venetoclax and achieved a 90% (9/10) CR rate without detectable MRD in newly diagnosed older patients<sup>56</sup>. Gamma secretase inhibitors (GSI), Crenigacestat and BMS-906024, can target the NOTCH1 pathway and synergistically induce T-ALL cell death combined with steroids; however, they showed severe diarrhea<sup>57</sup>. Selumetinib, a MEK1/2 inhibitor, was applied together with dexamethasone in a phase I/II trial in R/R ALL patients. The result showed that this combination therapy may bridge patients to CAR T-cell therapy<sup>58</sup>. Monoclonal antibodies, such as Rituximab (an anti-CD20 monoclonal antibody), Blinatumomab (an anti-CD19 monoclonal antibody and a bispecific anti-T-cell receptor), Inotuzumab ozogamicin (an anti-CD22 monoclonal antibody), showed remarkable results and were approved for clinical use by the US Food and Drug Administration (FDA) for patients expressing corresponding biomarkers<sup>59</sup> <sup>65</sup>. More monoclonal antibodies are under investigation.

CAR T-cell therapy targeting CD19 antigen is a promising strategy endorsed by the FDA and could achieve more than 80% CR rate with MRD negativity in R/R B-cell ALL patients<sup>66</sup>. However, immune escape exists because tumor cells downregulate expression of the target antigen. Therefore, novel CAR T-cell therapy, CD19 and CD22 dual targeting therapy, has been established to overcome tumor cell escape<sup>67</sup>. For T-cell ALL, the development of CAR T-cell therapy was much slower because of the challenge of omitting long term eradication of normal T-cells as an adverse effect. Nevertheless, CD5 and CD7 are currently under exploration as viable targets in CAR T-cell therapy, mainly before stem cell transplantation<sup>68-70</sup>.

## **2.3 Descriptive and molecular functional approaches to identify therapeutic targets**

In recent years, deciphering human genomic and molecular pathogenesis has rapidly progressed, improving clinical diagnostic accuracy and patient outcomes<sup>14,71</sup>. However, the therapeutic landscape of many cancers, including AML, remains challenging<sup>14,72</sup>. For example, although AML patients gain benefits from updated risk stratification, chemotherapy, and bone marrow transplantation, the CR rates are around 30% in all AML patients and only 15% in older AML patients, who also have a worse overall survival<sup>14,73</sup>. As the mainstream treatments have not changed for decades, identifying new therapeutic strategies, such as novel targeted therapies, is imperative for enhancing subsets of patients' clinical outcomes.

The development and maintenance of cancer hinge on genes and proteins essential for cancer cell growth and survival. Therefore, genes that are essential for cancer could be putative cancer therapeutic targets to develop additional therapeutic strategies for increasing long-term survival.

The landscape of essential genes for cancer has been gradually completed through the development of descriptive high-throughput sequencing technologies and related bioinformatics approaches in the past 20 years<sup>74-76</sup>. A better understanding of the gene dependency map boosted the identification of cancer targets and facilitated the progression of cancer therapies to the next level, precision medicine<sup>77</sup>. Recently, studies integrated big data such as pan-cancer genome and transcriptome to decipher single lesions, vulnerabilities, or complex aberration patterns using cell lines, primary cells, and patient-derived cells. Based on this, these studies identified robust targetable biomarkers and achieved the translation from basic science into clinical practice<sup>77</sup>.

On the other side, functional genomics has complemented descriptive efforts, and one example of systematically analyzing essential genes in cancer is the establishment of a Cancer Dependency Map<sup>78</sup>. The group developed an analytical framework, called DEMETER, to integrate 501 genome wide dropout screens performed in a wide diversity of cancer types and identified 769 genes differentially required within certain lineage subtypes<sup>78</sup>. These results can be used for cancer dependency prediction and be subsequently investigated as potential cancer therapeutic targets<sup>79</sup>.

One of the best examples of precision medicine is the detection of the chromosomal translocation, BCR-ABL, determined by genetic and karyotypic analysis in chronic myeloid leukemia (CML) and the subsequent discovery of the tyrosine kinase inhibitor (TKI), Imatinib, emerging from thousands of compounds80,81. The identification of BCR-ABL and the application of Imatinib has brought remarkable clinical benefits, significantly increasing the long-term survival rate from 6% to nearly 90% in CML patients, and remains a paradigm for gene dependency investigation and targeted therapy application<sup>82,83</sup>.

Moreover, BCL-2 has been demonstrated as an essential gene in hematopoietic malignancies over the last decades<sup>84</sup>. Venetoclax (ABT-199), a promising selective *BCL-2* inhibitor, has received approval from the FDA for CLL and AML patient treatment<sup>28</sup>. In many instances, combination therapy, Venetoclax plus anti-CD20 antibody, is now established as a standard regimen for R/R CLL patients<sup>85</sup>. More clinical trials with combination therapy in AML are underway or about to begin.

### **2.4 Reverse genomic techniques used in our studies**

The lab where I performed my PhD performs several reverse genetic approaches with the aim to identify and characterize genes with essential function for leukemia growth, mainly gene editing for gene knockout (KO) and RNA interference for gene knockdown.

Genome editing approaches have evolved from Zinc-finger nucleases (ZFNs) and transcription activatorlike effector nucleases (TALENs) to clustered regularly interspaced short palindromic repeats (CRISPR)/CRISPR-associated (Cas) protein 9 system<sup>86</sup>. By targeting specific DNA sequences with a small guide RNA (gRNA), the CRISPR/Cas9 system is a highly effective, relatively straightforward, and lowcost technique for gene modification<sup>87</sup>. RNA interference (RNAi) functions as a gene regulatory mechanism that could downregulate gene expression on RNA expression level by small molecules of interfering RNA<sup>88</sup>. Today, CRISPR/Cas9 and RNAi have emerged as powerful genetic approaches to identify individual or whole genome cancer dependencies<sup>89</sup>.

#### **2.4.1 CRISPR/Cas9 mediated knockout**

CRISPR was first observed in bacterial and archaeal genomes in 1987 and given its name in  $2002^{90,91}$ . It protects against plasmid transfer and pathogenic phage infection by excising nucleic acids by gRNA-localized Cas enzymes<sup>92</sup>. The CRISPR/Cas system comprises three main types (type I, II, III), differentiated by variant nucleic acid recognition mechanisms<sup>93</sup>. The Type II system is the most commonly used genome editing tool relying on a single RNA-directed protein for specific DNA recognition and cleavage<sup>94</sup>. CRISPR/Cas9, originating from the Streptococcus pyogenes strain, belongs to the Type II system and comprises two main components: the Cas9 protein and gRNA<sup>87,95</sup>. The Cas9 is characterized by two ribonuclease structural domains, a RuvC-like nuclease and an HNH nuclease domain. These domains facilitate the cleavage of the DNA strand, introducing targeted double-strand breaks (DSBs) in genomic  $DNA<sup>96,97</sup>$ . The Cas9 protein is guided to a precise genomic locus by a 20nt chimeric single-guide RNA (sgRNA) paired with a 5'-NGG protospacer adjacent motif (PAM)<sup>95</sup>. After DSB formation, DSBs are repaired through either non-homologous end-joining (NHEJ) or homology-directed repair (HDR), leading to genomic modifications such as knockout, knock-in, and point mutation of target genes<sup>98,99</sup>.



*Figure1. Schematic of CRISPR/Cas9 gene editing. The Cas9 complex, directed by a single-guide RNA (sgRNA), discriminates a*  specified sequence denoted as the protospacer, contingent upon the existence of a Protospacer Adjacent Motif (PAM). The binding of *Cas9 elicits the induction of a double-stranded DNA break (DSB), thereby instigating downstream processes of non-homologous endjoining (NHEJ) or homology-directed repair (HDR). These processes can result in mutations or precise gene modifications, respectively.*

In 2013, Zhang et al. achieved the first induction of accurate cleavage at endogenous genomic loci in both human and murine cells via CRISPR/Cas9 directed by short RNAs<sup>100</sup>. In the same year, Church et al. optimized the CRISPR gene editing system by expressing CRISPR RNA (crRNA) - transactivating CRISPR RNA (tracrRNA) fusion transcripts – referred to as sgRNA and verified the modification function of the CRISPR/Cas9 system in human cells<sup>101</sup>. Since then, CRISPR/Cas9 has been widely used in individual gene functionality studies. Moreover, CRISPR/Cas9 has been applied in broader fields such as genome-wide screening and clinical applications.

In 2014, the first whole genome wide CRISPR/Cas9 dropout (GeCKO) screens were successfully performed in human cancer cell lines and identified essential genes, including genes consistent with the previously validated studies and novel hits<sup>102</sup>. Since then, GeCKO screening has been widely utilized for identifying gene dependencies in cancer. Our group recently implemented CRISPR/Cas9 screening in patientderived xenograft (PDX) mouse model *in vivo*<sup>103</sup>. This involved establishing a Cas9-positive PDX mouse model, utilizing the CLUE pipeline for CRISPR/Cas9 library design, and applying the MAGeCK algorithm for the analysis of next-generation sequencing (NGS) data<sup>104,105</sup>. Through *in vivo* competitive assays targeting each identified candidate from the CRISPR/Cas9 screening, we pinpointed *WT1*, *DNMT3A*, and *ADAM10* as essential genes for acute leukemias.



*Figure2. Schematic of customized CRISPR/Cas9 library screen using PDX models. Establishing a PDX model stably expressing Cas9 involves utilizing primary leukemic cells, followed by the introduction of the split Cas9 construct together with GFP marker using lentiviruses and subsequent enrichment of Cas9-positive cells by gating GFP via flow cytometry. In a second step, a specialized sgRNA library, designed through the CLUE platform, is lentivirally transduced into Cas9-positive PDX cells and further enriched via puromycin selection. Next-generation sequencing (NGS) PCR is conducted comparing cells from pre-injection with those at an advanced leukemia stage, with the results being analyzed using the MAGeCK platform.*

CRISPR has been used to improve the safety and effectiveness of engineered T cells in T-cell receptor (TCR) therapy, which has been approved by the FDA and shown to be effective and well tolerated in clinical trials106. CRISPR is used to knock out the genes encoding TCR chains and PD-1, significantly strengthening tumor growth inhibition capacity<sup>107</sup>.

Although CRISPR has been widely used in molecular biology and has made promising progress, the offtarget effects from the mismatches between sgRNA and nontarget consistently occur and influence the specificity of target activity<sup>108</sup>. More accurately modified Cas9 subtypes and using better targeted sgRNA are under investigation to overcome this issue and will broaden the application of CRISPR in medicine and biotechnology.

#### **2.4.2 Inducible RNAi using the Cre-ERT2 -loxP system**

RNA interference (RNAi) is an additional functional genomic tool which reduces expression of a defined gene´s mRNA and uses a cognate double-stranded RNA to trigger precise cleavage of the mRNA transcript<sup>88</sup>.

RNAi is shown to be naturally induced by micro-RNA (mi-RNA), precursor mi-RNA (pre-miRNA), or primary mi-RNA (pri-miRNA) in a variety of eukaryotic cells, encompassing animals, plants, fungi, and some protozoa<sup>109</sup>. The miRNA is first transcribed by polymerase II as pri-miRNA. Then, Drosha, an RNase III enzyme, acts upon the pri-miRNA, leading to the generation of a pre-miRNA hairpin structure<sup>110</sup>. Afterwards, Exportin5, the nuclear export factor, conveys the pre-miRNA from the nucleus into the cytoplasm<sup>111</sup>. Following nuclear export, the pre-miRNA is processed in the cytoplasm by Dicer, an RNase III enzyme. Dicer cleaves the pre-miRNA hairpin loop, resulting in the formation of a double-stranded  $\text{miRNA}^{112}$ . Subsequently, this miRNA engages with the RNA induced silencing complex (RISC) and serves as a single-stranded RNA to interact with target mRNAs<sup>113</sup>. Achieving artificial gene silencing is feasible through the delivery of small interfering RNA (siRNA), short hairpin RNA (shRNA), or shRNA-mir into cells using transfection or electroporation<sup>113</sup>. siRNA mimicking the natural miRNA could directly interact with RISC, achieving gene silencing. shRNA resembling the pre-miRNA could be transcribed by RNase III enzyme and generate double-stranded miRNA. shRNA-mir is comparable to pri-miRNA and could be expressed from polymerase II.



*Figure3. Schematics of RNAi mediated gene silencing. First, miRNA is first transcribed by polymerase II (Pol II) as pri-miRNA. Then, Drosha acts upon the pri-miRNA, leading to pre-miRNA generation. Subsequently, Exportin-5 transports the pre-miRNA into the cytoplasm. Afterwards, Dicer cleaves the pre-miRNA hairpin loop, yielding a double-stranded miRNA. This miRNA engages with the RNA-induced silencing complex (RISC), incorporating as a single-strand RNA to target complementary mRNA. Entry sites for artificial gene silencing utilizing shRNA-mir, shRNAs, and siRNAs are highlighted in purple.*

In general, RNAi is a cheap and fast process to induce gene silencing. However, challenges exist in gaining a stable perturbation of gene expression using siRNAs or avoiding cytotoxicity using shRNA<sup>114</sup> . The newly developed lentiviral vector allows for the incorporation of shRNA sequences within the miR-30 sequence, forming the shRNA-miR-30 cassette. This cassette enables to achieve long-term and effective integration into the genome.<sup>115</sup>. To increase shRNA-miR-30 knockdown efficiency, the EcoRI restriction site was optimized and re-located into the shRNA non-conserved region to increase the shRNA expression, and the miR-30 hairpin was extended to 118 nucleotides with additional restriction sites, which allow for the incorporation of multiple hairpins to achieve shRNA concatemerization<sup>115</sup>.

In order to inhibit the gene expression at specific time points, especially for *in vivo* studies, inducible RNAi systems were generated, including Cre-loxP<sup>116</sup>. Cre, a 38KDa recombinase protein, interacts with specific chromosomal sites at the locus of X-over of bacteriophage P1 (loxP) sites (loxP-2272 and 5171). LoxP sites consist of 34 bp and serve as Cre recognition and recombination loci<sup>117</sup>. When loxP sites are oriented in parallel, Cre-mediated recombination leads to the irreversible deletion of the intervening DNA sequence, resulting in the permanent deletion of a specific genomic segment. In contrast, when loxP sites are positioned in an opposite direction, Cre triggers a reversible rearrangement of the DNA sequence between them, enabling the redirection of the DNA sequence in the opposite orientation following subsequent recombination events $118$ .

Furthermore, a mutated estrogen receptor (ER) responding to Tamoxifen (TAM) is introduced into the CreloxP system to generate a TAM-dependent Cre recombinase (Cre-ER<sup>T)119</sup>. Without TAM exposure, Cre- $ER<sup>T</sup>$  remains inert and associates with heat shock protein 90 (Hsp90) within the cytoplasm. In the presence of TAM, TAM binds to  $Cre-ER<sup>T</sup>$ , prompting the translocation of Cre-ER<sup>T</sup> into the nucleus instead of forming a complex with Hsp90. To this extent, TAM-induced activation of  $\text{Cre-ER}^T$  allows for the precise regulation of target gene expression directed by  $\text{loxP}$  sites at specific time points<sup>120</sup>. Later, the Cre ligandbinding domain was modified with a triple mutation to increase TAM sensitivity, resulting in Cre-ER<sup>T2121</sup>. For *in vivo* use of the system, mice can be treated with TAM for gene induction.



*Figure4. Schematics of Cre-ERT2 meditated inducible knockdown system. The construct depicted consists of a puromycin resistance gene (Puro-2A) and the surface marker Thy1.1 in the sense orientation, with GFP and a miR-30 cassette in the antisense orientation. Two mutated loxP sites (loxP-2272 and 5171) enable stable, irreversible recombination through a two-step mechanism. Initially, Cre-*

*ERT2 induces a reversible inversion, generating two distinct intermediate constructs. Subsequently, the puromycin resistance gene and Thy1.1 surface marker are excised via the flanked loxP sites, resulting in the expression of GFP and the miR-30 cassette upon Cre-ERT2 activation. Adapted from Stern et al.<sup>122</sup>*

For inducible knockdown, we used the system established by Stern et al. and adapted it for use in PDX cells *in vivo*<sup>122</sup> . Before, the system had been mainly used to study genes essential for cells *in vitro*. To visualize and enrich transgenic cells, Stern et al. cloned the reporter genes under the same promoter as the shRNA so that reporter genes are expressed exclusively after induction and indicate expression of the shRNA<sup>122</sup>. The construct from Stern et al. harbors a puromycin resistance gene and the surface marker Thy1.1, both positioned adjacent to distinct loxP sites in the forward direction. Additionally, green fluorescent protein (GFP) and the miR-30 RNAi sequence are situated in the reverse orientation, with two loxP sites located next to them and oriented oppositely to the initial pair. The irreversible recombination initiating expression of the shRNA is achieved through a two-step process: firstly,  $\text{Cre-ER}^{\text{T2}}$  initiates a reversible inversion, resulting in one of two possible intermediate constructs. Following this, the subsequent recombination removes the puromycin resistance region, Thy1.1 and a loxP site. This prevents the original construct from reverting and initiates the expression of GFP and the shRNA (Fig. 4). Stern et al. coined this process 'flipping'<sup>122</sup>.

#### **2.5 Patient-derived xenograft mouse models of acute leukemias**

Pre-clinical cancer studies often rely on tumor models. Tumor cell lines, primary cells, animal models, and patient-derived xenograft (PDX) models are commonly used tools<sup>123</sup>. Tumor cell lines, one of the most accessible tumor models to handle and culture, are widely used for basic and preclinical cancer research. However, as technical drawback especially in acute leukemias, establishing cell lines comes at the price of genetic and transcriptional changes and lack of tumor-microenvironment interaction<sup>124</sup>. Primary tumor cells are derived directly from patient tissues and could better reflect the heterogeneity and tumor-microenvironment interaction than cell lines, although with a limited lifespan in *in vitro* culture, which is particularly short in primary acute leukemia cells<sup>125</sup>. Genetically engineered mouse models are the other commonly employed animal models primarily utilized for molecular and functional *in vivo* characterization of genetic lesions in murine tumors. However, mouse models might not correctly mimic human conditions<sup>126</sup>. As a result, it is still a major challenge to determine essential genetic lesions in a patient's tumor cell *in vivo*.

The orthotopic PDX mouse model represents a highly relevant preclinical surrogate and can closely mimic the clinical situation, allowing the study of individual patient's tumor cells in the *in vivo* environment<sup>127</sup>. The PDX model is established by transplanting primary tumor cells from patients into immunocompromised NOD.Cg-Prkdc (scid) Il2rg (tm1Wjl) /SzJ (NSG) mice<sup>127</sup>. The NSG mouse is an excellent xenograft recipient, especially for human hematopoietic stem cell engraftment<sup>128</sup>. The patient's leukemia cells collected from human BM aspiration or PB are injected into NSG mice where they establish an orthotopic tumor as acute leukemia PDX models. The acute leukemia PDX cells can then be harvested from mouse BM or spleen in PDX models for further serial re-transplantation<sup>129</sup>. The engraftment capacity of a primary leukemia sample and the chance of generating a PDX model thereof depends on the prognosis of the corresponding patient, with poor clinical outcome associated with a better engraftment ability and higher chances to generate a PDX model<sup>130</sup>. As acute leukemias are heterogeneous diseases, the PDX model is the best available model, which provides a more clinically relevant individual context and enables the investigation of reproducible gene functionality studies *in vivo*131-138 . To better monitor the tumor progression *in vivo*, our lab has pioneered bioluminescence *in vivo* imaging (BLI) by integrating a luciferase reporter gene into the PDX cells, enabling the reliable and repetitive quantification of leukemic burden in living mice<sup>139,140</sup>. Imaging advanced the sensitivity and feasibility of following up leukemia progression, monitoring minimal residual disease, and quantifying treatment response. Thus, the PDX model, combined with *in vivo* bioluminescence imaging represents a valuable tool in studying leukemia biology and can be applied in preclinical treatment trials.

### **2.6 Genes studied in my projects and their dependency in AML**

Exploration of gene dependencies has witnessed significant strides in recent decades, advancing through clinical and preclinical studies. Identification of genes deemed essential to cancer, facilitated by clinical and genome-wide screening, culminates in their validation as putative therapeutic targets. Subsequently, these genes may be intricately linked to potential drugs, paving the way for novel cancer therapies.

#### **2.6.1** *KRAS*

The *KRAS* gene (Ki-ras2; Kirsten rat sarcoma viral oncogene homolog) is situated on chromosome 12p12 with 7 exons and encodes one of the small *RAS* superfamily proteins, which belongs to a subset of small GTP-binding proteins<sup>141,142</sup>. KRAS protein participates in intracellular signal transduction and converts the nucleotide guanosine diphosphate (GDP) into guanosine triphosphate (GTP)<sup>143</sup>. While KRAS protein binds to GTP in its active state, it transmits signals from activated growth factor receptors, thereby affecting downstream signaling pathways<sup>144</sup>.

*KRAS* is a commonly mutated oncogene. Mutations in *KRAS* are located near the GTP binding site, impeding GTP hydrolysis, and consequently leading to RAS molecules' permanent activation<sup>145</sup>. It profoundly affects cancer cell invasion, adhesion, and carcinoembryonic antigen (CEA) expression. *KRAS* mutations have been detected in more than 80% of pancreatic carcinomas, about 40% of colon carcinomas, and around 40% in *MLL-*positive B-precursor ALL146-148 . The mutant subtypes of *KRAS* are predominantly categorized into seven distinct types<sup>149</sup>. From a clinical perspective, *KRAS* mutants present compelling potential therapeutic targets. Specific *KRAS* (G12C) inhibitors showed benefits in many patients with *KRAS* mutations<sup>150</sup>. Sotorasib, a selective *KRAS*(G12C) inhibitor, exhibited around 10% to 30% overall response rates in advanced colorectal cancer harboring the *KRAS* G12C mutation in a phase 1 study<sup>151</sup>. In AML, a study with 56 patients observed that a high frequency of *KRAS* mutations co-occur with *MLL* fusions. In addition, individuals with *MLL*-AML carrying a *KRAS* mutation had a significantly poorer prognosis<sup>152</sup>.. Unfortunately, there are no clinical or pre-clinical studies demonstrating the effectiveness of *KRAS* inhibitors in hematologic malignancies<sup>152</sup>.

#### **2.6.2** *NPM1*

Nucleophosmin 1 (*NPM1*) gene is located on chromosome 5q35, comprises 12 exons, and encodes a ubiquitous nucleus-cytoplasmic shuttling protein primarily found in the nucleolus<sup>153,154</sup>. NPM1 protein is involved in multiple cellular processes, including genomic stability maintenance, and DNA damage response $155$ .

NPM1 consists of three domains: a central region that enables histone binding, an N-terminus, and a Cterminus. The amino-terminal core region is located at the N-terminus and is crucial for partner interactions to build up the nucleolus structure. The N-terminus has two nuclear exporter signals (NES)<sup>156</sup>. *XPO1*, a primary karyopherin protein, facilitates nuclear export. Together with *XPO1*, NES is essential in localizing *NPM1* from the nucleoplasm to the cytoplasm<sup>157</sup>. In collaboration with *XPO1*, known *NPM1* mutations result in enhancing nuclear exportation and inactivating the function of mutant NPM1<sup>158</sup>. The C-terminus is stabilized by Phe268, Phe276, Trp288, and Trp290. Mutants of Trp288 and/or Trp290 cause the *NPM1c* variant which shows an aberrant delocalization of NPM1 to the cytoplasm, e.g., in AML, severely altering protein function<sup>159</sup>. The mutated *NPM1* C-terminus protein could be targeted and degraded by a small molecule, Avrainvillamide, which shows vigorous activity in decreasing the proliferation of *NPM1*-mutated cell in an AML PDX model<sup>160</sup>.

*NPM1* mutations are the most prevalent genetic aberrations in AML, occurring in approximately 30% of adult AML and much less frequently in childhood AML with approximately  $5\%$ <sup>161</sup>. Recent research shows that the overexpression of *HOX* genes associated with stem cell signature is facilitated by *NPM1* mutations, leading to an arrest of differentiation of AML cells through nuclear re-localization or targeted degradation<sup>157,161,162</sup>. The *XPO1* inhibitor, Selinexor, could correct the delocalization of mutant *NPM1* and benefit AML patients with *NPM1* mutations<sup>163</sup>. Newly diagnosed AML patients harboring *NPM1* mutations are now considered as a distinct subgroup<sup>164</sup>. Patients with isolated *NPM1* mutations belong to the favorable risk group and show high response rates. However, this prognostic impact is significantly affected and converted into poor prognosis if *NPM1* mutations co-occur with *DNMT3A* mutations or *FLT3* mutations in AML<sup>161,165</sup>. The prognostic relevance of *NPM1* mutations regarding response to different therapies in R/R AML patients is still under investigation<sup>166</sup>.

#### **2.6.3** *DNMT3A*

The de novo methyl transferase 3A (*DNMT3A*) is located on chromosome 2p23.3 and is coded by 23 exons expressed in two isoforms, *DNMT3A1* and *DNMT3A2*<sup>167,168</sup>. *DNMT3A* consists of three domains<sup>167</sup>. The Pro-Trp-Trp-Pro (PWWP) domain is involved in specific DNA recognition and binding. The ATRX-DNMT3-DNMT3L (ADD) domain mediates protein-protein interactions. The methyltransferase (MTase) domain is also called the S-adenosyl methionine (SAM)-dependent methyltransferase C5-type domain and catalyzes cytosine methylation in DNA. There are 219 extra amino acids in the long isoform *DNMT3A1*, which has increased DNA binding affinity and methylation activity<sup>167</sup>.

*DNMT3A* mutations exist in more than 30% of karyotypically normal AML patients and could be detected in early leukemogenesis<sup>169</sup>. The main types of *DNMT3A* mutations are nonsense, frameshift, and missense alterations located within the MTase domain and thus induce the loss of *DNMT3A* function<sup>170</sup>. Codon R882 is a hot spot mutation site with around 60% prevalence. The most prevalent mutation in R882 is R882H, which has been demonstrated to exert a dominant-negative effect on unmutated *DNMT3A*, resulting in reduced MTase activity<sup>171</sup>. The hypomethylation of hematopoietic stem cell (HSC)-related genes is thought to enhance self-renewal capacity in stem cell, reduce differentiation, and is involved in leukemogenesis $172$ . Comparing to wild-type *DNMT3A* AML patients, patients harboring the *DNMT3A* R882H mutation have worse outcomes<sup>173</sup>. Furthermore, *DNMT3A* mutations frequently coexist with *NPM1* mutations, *FLT3-ITD*, and *IDH1/2*, associated with shorter overall survival in AML patients<sup>174</sup>.

*DNMT3A* mutant AML patients benefit from approved therapies, including dose-intensified anthracyclines during induction and low-dose cladribine with hypomethylating agents in older age groups such as *HDAC* inhibitors combined with azacytidine in a phase III trial<sup>175,176</sup>. Moreover, treatments targeting co-occurring genetic alterations like BTK inhibitors, *FLT3* inhibitors, *BRD4* inhibitors, and BET inhibitors have become new choices for AML patients in the last five years<sup>24</sup>. Furthermore, novel agents harnessing structural alternations in mutant *DNMT3A* protein as selective agents are under investigation<sup>177</sup>.

#### **2.6.4** *WT1*

Wilms' tumor 1 (*WT1*) gene was initially recognized as a predisposition gene for familial Wilms tumor in 1990<sup>178</sup>. It is located on chromosome 11p13 and contains ten exons<sup>179</sup> . The *WT1* gene encodes a transcription factor involved in RNA and protein interactions. It has four zinc-fingers motifs at the C-terminus and a DNA binding domain at the N-terminus<sup>180</sup>. The *WT1* protein has four predominant isoforms derived from two splicing events: exon 5 splicing gives rise to two isoforms with or without a 17 amino acid insertion, exon 9 splicing at 3' end causes two isoforms with or without three amino acids including Lysine, Serine, and Threonine (KTS)<sup>181</sup>. The isoform without KTS insertion has more vital DNA binding activity and transcriptional activity, while the isoform containing KTS insertion has additional functions involving posttranscriptional processes<sup>182</sup>. Studies have shown that the differential expression of various *WT1* isoforms might be associated with different prognosis in AML patients<sup>183,184</sup>.

*WT1* is a crucial regulator involved in cell survival, growth and differentiation processes<sup>185</sup>. The expression of *WT1* could be detected in CD34+ cells in normal hematopoiesis<sup>186</sup>. Overexpression of *WT1* has been demonstrated in primary AML patients and AML cell lines. It is related to treatment resistance, higher incidence of relapse, and poor prognostic outcomes<sup>187</sup>. Further studies characterized the contribution of *WT1* in leukemogenesis and found that *WT1* overexpression led to rapid leukemia development but was not required for leukemia propagation<sup>187</sup>. However, the role of *WT1* overexpression in established leukemias remains unprecise.

Around 10% of AML patients harbor *WT1* mutations, which is correlated with younger age, and co-occurring with *CEBPA* mutation and *FLT3-ITD*<sup>188</sup>. The major type of *WT1* mutation is a nonsense mutation, leading to the production of a truncated protein either expressed or degraded<sup>189</sup>. The clinical significance of *WT1* mutations in AML patients is contradictory. One cohort containing more than 400 AML individuals (without acute promyelocytic leukemia) showed that patients with *WT1* mutations had a worse overall survival, lower relapse-free survival rates, and higher chemotherapy resistance<sup>190</sup>. However, another study showed that *WT1* mutations were unrelated to overall survival and relapse-free survival in patients treated with high-dose cytarabine<sup>191</sup>. More studies are needed to comprehend the effect of *WT1* mutational background on patient outcomes.

The assessment of therapies for individuals with AML overexpressing wildtype or mutated *WT1* is underway as the WT1 protein is also used as surface protein for immunotherapies. Peptide vaccines exhibit noteworthy responses, eliciting heightened frequencies of *WT1*-specific T-cells without eliciting autoimmune reactions<sup>192</sup>. Moreover, the monoclonal antibody, RMF-peptide-MHC-specific T-cell bispecific antibody, was studied in AML cell lines and primary cells in both *in vivo* and *in vitro* settings and showed effective killing capicity<sup>193</sup>. Furthermore, this antibody is currently undergoing a phase I trial for R/R AML<sup>193</sup>. These novel treatment strategies indicate the major potential of *WT1* as attractive surface molecule for immunotherapies.

#### **2.6.5** *DUX4*

The double homeobox 4 (*DUX4*) gene is located at chromosome 4q within a D4Z4 repeat array and comprises 3 exons<sup>194</sup>. Within each D4Z4 repeat lies an open reading frame (ORF) of *DUX4* and thus a highly variable copy number exists between individuals, ranging from 11 to 150, discernible on chromosome 4 and  $10^{195}$ . The *DUX4* gene encodes double homeoboxes and acts as a transcriptional programmer, regulating the cleavage-stage transcriptional platform and the zygotic genome, and is silenced in most somatic tissues<sup>196</sup>. *DUX4* might become activated in pathogenic conditions due to changes in chromatin packing<sup>197</sup>.

The misexpression of *DUX4* has been proven to be associated with facioscapulohumeral dystrophy (FSHD), while *DUX4* rearrangements are detected in around 7% of B-cell ALL patients and result in a truncated DUX4 protein overexpression<sup>198,199</sup>. The truncated DUX4 protein binds to the ETS transcription factor (ERG) or immunoglobulin heavy locus (IGH) intragenic region and deregulates their transactivation<sup>200</sup>. In patients with *DUX4* rearrangements, the predominant cases were found to harbor a *DUX4-IGH* fusion. The *DUX4-IGH* fusion has been found to impair mouse pro-B cell differentiation and elicit leukemic transformation<sup>200</sup>. Nalm6 harbors the *IGH-DUX4* translocation and knocking down *DUX4-IGH* in Nalm6 cells induced a growth disadvantage<sup>201</sup>. *DUX4* rearranged ALL is identified as a new oncogenic subtype of Bcell precursor (BCP)-ALL and shows lower early treatment response rate, but favorable long-term outcome, even in patients with *IKZF1* deletions<sup>202</sup>. Children with *DUX4*-rearranged B-ALL exhibit high overall survival rates and low relapse rates<sup>203</sup>. The typical clinical genetic alternation diagnosis is based on karyotyping, FISH, and RT-PCR. However, *DUX4* fusions are not detectable by fusion transcript assay<sup>204</sup>, while targeted RNA sequencing might improve its diagnosis in ALL.

#### **2.6.6** *DDIT4L*

DNA-damage-inducible transcript 4-like (*DDIT4L*), also known as *REDD2* and *RTP801L,* is a proteincoding gene in human cells located in chromosome 4,  $^{205}$ . *DDIT4L* is involved in the mTOR signaling pathway and is implicated in cell death under hypoxic conditions<sup>205</sup>. In mouse bone marrow cells, *DDIT4L* could interact with the critical hematopoietic transcription factor, IRF-1, and regulate cell growth and apoptosis <sup>206</sup> . *DDIT4L* overexpression was shown to promote autophagy in cardiomyocytes under pathological stress<sup>207</sup>. In melanoma, *DDIT4L* promoter methylation was detected and analyzed by genome-wide methylation-sensitive representation difference analysis, and the hypermethylation of *DDIT4L* was mostly detected in advanced-stage tumors<sup>208</sup>, while studies on *DDIT4L* in leukemia are entirely lacking.

### **2.7 Summary of the studies**

Acute leukemia is a heterogeneous hematologic malignancy which is characterized by abnormal stem cell differentiation and proliferation driven by chromosomal abnormalities and genetic alterations. Unfortunately, patients with acute leukemia in certain subgroups still have a very poor prognosis and novel treatment options are urgently needed.

Dependency genes are genes which play an essential role for cell growth and survival. Molecular targeting of these dependencies, for example via CRISPR/Cas9 KO reduces tumor cell viability and tumor burden. T respective proteins transcribed from a dependency gene represent an attractive therapeutic target as its inhibition by a drug might also kill cancer cells. As higher problem connecting the publications, this thesis work aimed to identify new dependency genes in acute leukemia in order to allow developing new therapeutic drugs to treat acute leukemia patients.

In the present work, the author searched for new gene dependencies which might allow novel treatment options for acute leukemias. The author used the orthotopic PDX mouse model, which is a clinically relevant surrogate for human AML, enabling the study of individual patient tumor cells *in vivo*. The author applied reverse genomic techniques, such as CRISPR/Cas9-mediated knockout (Publication I) and RNAimediated knockdown (Publication II), to determine genes indispensable for leukemia growth and survival.

### **2.7.1 Publication I:** *WT1* **and** *DNMT3A* **play essential roles in the growth of certain patient AML cells in mice**

Several genes recurrently mutated in AML were shown to be responsible for both leukemogenesis as well as for keeping established tumors alive and growing. In this study, we aimed to identify additional yet unknown dependency genes within the genes recurrently mutated in AML, which represent putative therapeutic vulnerabilities.

The author of this dissertation successfully performed CRISPR/Cas9 library screens in AML PDX models *in vivo*, which allowed us to investigate dependency genes in a more patient-related background and in an *in vivo* environment. By generating single KOs and performing *in vivo* competitive validation assays in AML PDX cells and AML cell lines either *in vivo* or *in vitro*, the author of this dissertation, together with the other first author, has identified *WT1*and *DNMT3A* as previously unknown dependency genes in AML, with a dependency restricted to a subset of AML samples and to the *in vivo* setting and thus overlooked by previous *in vitro* studies. Additionally, in homing assays, *WT1* and *DNMT3A* KO leukemia stem cells presented engraftment disadvantages in early stage of leukemia *in vivo*, which indicated an impaired leukemia stem cell homing capacity. This suggested that *WT1* and *DNMT3A* were essential for stem cell homing to the bone morrow niche. In re-transplantation assays, *WT1* and *DNMT3A* KO cells harvested from first recipient mice showed decreased engrafted cell numbers in secondary recipient mice. In LDTA assay, *WT1* KOs had a lower number of leukemia stem cells which allowed engraftment of PDX cells *in vivo;* these results demonstrated the dependency AML PDX stem cells on *WT1* and *DNMT3A in vivo*. In summary, *WT1* and *DNMT3A* may represent future therapeutic targets for selected AML patients.

### **2.7.2 Publication II:** *In vivo* **inducible reverse genetics in patients' tumors to identify individual therapeutic targets**

In this study, we aimed to prove whether genes characterized as dependency genes in cell lines *in vitro* would also display a dependency function in PDX models *in vivo*. We established the first worldwide inducible system for gene knockdown *in vivo* in PDX acute leukemia models using a Cre-ERT2 -loxP-based RNAi-mediated gene silencing system. It could induce a partial inhibition of a target gene, which closely mimics the clinical situation, as the treatment of individual patients with drugs or compounds induces a partial inhibition of their target proteins.

Using *in vivo* inducible RNA interference, the author of this dissertation observed higher apoptosis rate in sh*MCL1* AML-388 cells, but not in sh*MCL1* ALL-199 and ALL-265. The author of this dissertation observed smaller and lighter spleens, and less number of human cells in the spleen after *MCL1* inhibitor treatment in AML-388 mice but not in ALL-199 mice. Together with the bone marrow data generated by co-first author, we found that *MCL1* dependency was observed in AML-388, but not ALL-199 and ALL-265, such that the dependency on the *MCL1*gene as shown by knockdown experiments was associated with sensitivity to *in vivo* treatment with the *MCL1* inhibitor. We verified *MCL1* dependency using the inducible system we established. Furthermore, the author of this dissertation applied *DUX4* and *DDIT4L* inducible knockdown in *DUX4-IGH* rearranged PDX ALL-811 and NALM6 cells and found lower relative *DDIT4L* mRNA levels in sh*DUX4* cells and sh*DDIT4L* cells compared to controls. Taken together, we identified *DDIT4L* as a novel dependency gene in *DUX4-IGH* rearranged ALL.

To sum up, the author of this dissertation used reverse genetic approaches such as gene knockout and gene knockdown to identify gene dependencies in PDX models of acute leukemias *in vivo* and thereby novel therapeutic targets which broadened the treatment possibility of acute leukemia patients.

## **Paper I**

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#### TO THE EDITOR:

## WT1 and DNMT3A play essential roles in the growth of certain patient AML cells in mice

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Patients with acute myeloid leukemia (AML) experience poor prognosis, and precision oncology represents an attractive therapeutic option, applying targeted therapies against so-called dependencies.1-4 Dependencies are essential components required for cell growth and survival; they represent attractive therapeutic targets as their inhibition reduces tumor burden. $1-4$ 

Many genes recurrently mutated in AML contribute to oncogenesis, $5,6$  which may imply a role as dependency and allow precision therapy, based on genetic profiling. Examples already in routine clinical practice include AML with mutated FMS related receptor tyrosine kinase 3 treated with midostaurin and AML with mutated isocitrate dehydrogenase responding to ivosidenib.<sup>2</sup> Herein, we asked whether additional recurrently mutated genes might represent dependencies in established AML.

Previous efforts to identify dependencies used established cell lines, including large-scale functional genomic screens; WT1 and DNMT3A were shown to be dispensable in AML cell lines.<sup>7</sup> As a limitation, cell lines might acquire nonphysiologic alterations, and discrepant results have been described (eg, between cell lines and organoids).  $8.9$  To approximate the clinical situation, we studied patient-derived xenograft (PDX) models<sup>10,11</sup> and mimicked the complex in vivo situation by performing CRISPR/ CRISPR associated protein 9 (Cas9) knockout (KO) studies in mice. Using this highly patient-related in vivo approach, we identified WT1 and DNMT3A as yet unknown dependencies in a subset of patients' AML tumor cells.

From our toolbox of serially transplantable AML xenografts, $12$ models derived from 7 patients were selected for the study (supplemental Tables 1-3, available on the Blood website). Genetically engineered PDX (GEPDX) models were generated that stably expressed recombinant Cas9 (supplemental Figure 1A).

We had recently established in vivo CRISPR/Cas9 dropout screens in GEPDX models of acute lymphoblastic leukemia<sup>13</sup>; herein, we transferred the technique to AML, which resulted in favorable quality controls (Figure 1A; supplemental Figure 2A). The 34 most frequently mutated genes in AML were studied, restricted to gain-of-function or change-of-function mutations.<sup>5</sup> A library was designed containing 5 single-guide RNAs per target gene, together with positive and negative controls (supplemental Tables 4 and 5); the library was cloned into a lentiviral vector that coexpressed recombinant markers to enrich successfully transduced cells, using our custom library multiplexed cloning (CLUE) technique (supplemental Figures 1 and 2A; supplemental Tables 3 and 4).<sup>14</sup>

A CRISPR/Cas9 dropout screen was performed with 5 GEPDX models. KO resulted in dropout in about half of all genes from the screen, albeit to varying degrees, and most KO induced similar effects across the PDX samples (Figure 1B; supplemental Figure 2B; supplemental Tables 6-8). Confirming the robustness of our technical approach, genes with known common essential function or genes required for the hematopoietic system were strongly depleted in the KO screen. Among them, NPM1 was a dropout hit and served as a positive control, as it is known to have a broad essential function in malignant cells (Figure 1B).<sup>7</sup> Another expected hit was KRAS, which is one of the genes most frequently mutated across all cancers and known to represent a dependency in numerous tumor types, including AML.15,16

Hits from dropout screens require validation, and single-KO experiments were performed as competitive in vivo assays where all cell populations are studied under identical conditions within the same mouse, giving robust results at low resources.<sup>18</sup> Recombinant fluorochromes enabled an unbiased differentiation of cell populations by flow cytometry (Figure 1C-D; supplemental Figure 3). For each gene of interest as well as for nontargeting controls, 3 different, highly efficient single-guide RNAs were tested in 3 independent mixtures (supplemental Figures 4 and 5). From the 7 PDX models studied, up to 5 PDX models gave reliable results for each gene.

NPM1 was included as a positive control, and KO of NPM1 completely eliminated AML GEPDX cells in all GEPDX models tested in vivo (Figure 1E). KRAS was studied in PDX models carrying mutant KRAS at variant allele frequencies of either 0 or close to 0.5, avoiding intrasample heterogeneity. KRAS KO revealed a strong dropout in all GEPDX models studied, which was significantly more pronounced in KRAS<sup>mutant</sup> PDX models than KRAS<sup>wildtype</sup> PDX models (Figure 1F; supplemental Figure 6). Thus, our PDX models strengthen previously published data showing that KRAS represents a dependency and attractive therapeutic target in AML, especially in tumors carrying a KRAS mutation.<sup>16</sup>

Next, we examined 2 genes with poorly defined roles in oncogenes and for which we had suitable PDX models with appropriate variant allele frequencies at hand (supplemental Table 1). Although data on WT1 as an oncogene are controversial,19,20 DNMT3A mainly represents a tumor suppressor, required for hematopoietic differentiation.<sup>21-24</sup>

Reproducing published data with our own tools, $<sup>7</sup>$  we found no</sup> evidence that either WT1 or DNMT3A might play a role as dependencies in AML cell lines, with trends toward slightly increased proliferation rates on gene KO (supplemental Figures 7-9; supplemental Table 1). In contrast and surprisingly, in in vivo GEPDX models, we discovered a pronounced dropout of either of both genes on KO in certain PDX models (Figure 2A). Thus, WT1 and DNMT3A represent dependencies in a subset of PDX AML models in vivo, indicating an obvious discrepancy with their function in cell lines in vitro (Figure 2B), without any meaningful impact on the immunophenotype (supplemental Figure 10). PDX models showed dropout of WT1 or DNMT3A exclusively in the in vivo environment on which PDX cells depend as opposed to cell lines, suggesting that in vivo approaches are required to unmask certain dependencies in AML (Figure 2C). There was no correlation between dependency on DNMT3A and presence of a somatic hot spot mutation in DNMT3A in the GEPDX models (supplemental Figure 6D). In the transcriptome, KO of WT1 or DNMT3A was accompanied by regulation of biological processes, such as apoptosis and oxidative phosphorylation (Figure 2D; supplemental Figure 11).

When characterizing in vivo essentiality in more detail, we found that KO of WT1 induced a certain increase in the antitumor effect of cytarabine, an important drug in routine treatment of AML (supplemental Figure 12). WT1 KO reduced the capacity of AML-346 cells to home to the bone marrow environment on either intrafemural or intravenous cell injection followed by early in vivo growth disadvantage, suggesting an impaired tumor-niche interaction (supplemental Figures 13 and 14). KO of either WT1 or DNMT3A reduced the numbers of leukemia-initiating cells in competitive limiting dilution transplantation assays and prevented reengraftment of AML-346 cells into secondary recipient mice, with and without prior cell enrichment, indicating that stem cell surrogates were depleted on WT1 or DNMT3A KO (Figure 2E: supplemental Figure 15). Taken together, our data reveal that WT1 and DNMT3A represent dependencies in a subset of AML GEPDX models in vivo, suggesting that they might represent therapeutic targets.

Our study identified WT1 and DNMT3A as dependencies in a subset of patient AML PDX samples growing in vivo, although less pronounced and less frequent compared with KRAS. KO of WT1 and DNMT3A impaired PDX AML growth in vivo, attenuated the tumor-niche interaction, eradicated AML stem cells, and increased treatment response.

Although cell lines did not reveal the phenotype, PDX models proved valuable tools to identify dependency on WT1 and DNMT3 and might more closely resemble patient's tumors.<sup>10,11</sup> Our technique now allows studying gene dependencies in patient PDX models in vivo (eg, to personalize pharmacologic precision therapy). Our data encourage testing additional genes recurrently mutated in AML for their essentiality in PDX models in vivo (eg, additional dropout candidates from our screens).

The essential function of WT1 identified herein fits with its previously described oncogenic function, $19$  whereas different



Figure 1. PDX models depend on KRAS and NPM1 for in vivo growth. (A) Experimental procedure for CRISPR/Cas9 in vivo screens performed with PDX models. Serially transplantable AML PDX models were established from primary patient AML cells and lentivirally transduced to express a split version of Cas9 together with a single-guide RNA (sgRNA) library (see supplemental Figure 1 for constructs). Transgenic cells were enriched by flow cytometry (Cas9-green fluorescent protein [GFP]) and puromycin selection (sgRNA library). Except for the input control aliquot, cells were injected into groups of mice and recovered from the mice at advanced leukemia stage (output). Next- generation sequencing (NGS) was performed and analyzed using the DepMap\_CHRONOS, Lin et al,<sup>17</sup> MAGeCK algorithm to compare sgRNA distribution between input and output. (B) CRISPR/Cas9 in vivo dropout screens were performed in 5 PDX AML models using the library of 34 genes recurrently mutated in AML; gene essentiality scores were calculated using the DepMap\_CHRONOS algorithm (see supplemental Figure 2 for quality controls). (C) Experimental procedure for competitive in vivo assays for single-hit validation. sgRNAs targeting either KRAS or NPM1 or nontargeting (NT) sgRNAs (n = 3 per gene) were cloned into the sgRNA construct together with the appropriate fluorochromes and transduced into Cas9-GFP–expressing PDX cells. After puromycin selection, 3 subpopulations (KRAS KO, NPM1 KO, and NT sgRNA) were mixed at a 1:1:1 ratio as an input. Three replicate mixtures, each containing different sgRNAs, were transplanted into one mouse each (9 different sgRNAs per experiment in 3 replicate mice) and recovered at advanced disease stage (output). The distribution of the subpopulations was analyzed by flow cytometry (see supplemental Figure 3 for the step-by-step analysis and supplemental Figures 4 and 5 for quality controls). Blue fluorescent protein (BFP). (D) Representative flow cytometry plots for KRAS KO1 and NT-1 in AML-661, using Boolean gating. (E and F) Quantitative summaries of the knockout effects for NPM1 (E) and KRAS (F) in all PDX models studied. Each dot represents the percentage of gene of interest KO population from a single mouse, with related sgRNAs linked by a dotted line. Bar plots indicate mean, minimum, and maximum. The results of a 2-tailed paired t-test are shown if they were significant: \*P < .05, \*\*P < .01, and \*\*\*P < .001.



Figure 2. Certain PDX models depend on WT1 and DNMT3A for in vivo growth. (A) Competitive in vivo assays were performed, analyzed, and depicted as in Figure 1C,D, except that WT1 and DNMT3A were studied (see supplemental Figure 6 for quality controls). (B) Comparing gene dependency in PDX models vs cell lines. Raw data from Figure 2A and supplemental Figures 8 and 9 are summarized using a single dot for each single KO of each PDX model or cell line. For each PDX model or cell line, 3 singleguide RNAs (sgRNAs) per gene were studied. Results of an unpaired t-test are shown if they were significant (\*P < .05, \*\*P < .01, and \*\*\*P < .001). (C) Comparing behavior of PDX cells with KO in vitro vs in vivo. Experiment with AML-346 cells was performed, analyzed, and depicted as in Figure 2A, except that the incubation time was 26 days and an aliquot of cells was kept in vitro (\*P < .05, \*\*P < .01, and \*\*\*P < .001). (D) Transcriptomes of AML-356, AML-388, AML-661, and AML-346 cells with DNMT3A knockout were compared with nontargeting (NT) control (raw and complementary data in supplemental Figure 10). Gene enrichment map shows gene overlap (lines) in gene sets of hallmarks (orange nodes) and Kyoto Encyclopedia of Genes and Genomes (KEGG) (blue nodes) pathways. Node size is proportional to the number of genes in each set; the proportion of shared genes between gene sets is depicted by the thickness of the line between nodes. Enrichment plot shows the genes differentially regulated in the hallmark oxidative phosphorylation on KO of DNMT3A (normalized enrichment score [NES] = 2.1537; P < .001; adjusted P [false discovery rate q-value] < 0.001). (E) Limiting dilution transplantation assay. PDX AML-346 cells were transduced with sgRNAs against WT1 or DNMT3A or control (CTRL), enriched, mixed in a 1:1 ratio for WT1:CTRL or DNMT3A:CTRL, and injected into 4 mice each at 400 000, 128 000, or 32 000 cells per mouse (WT1, n = 12; and DNMT3A, n = 11 mice). After 14 weeks, bone marrow was analyzed by flow cytometry, and data were analyzed using the ELDA software. Mean (solid lines) and 95% confidence interval (CI; dashed line) are depicted.

phenotypes between different PDX models might mirror conflicting data on WT1 obtained during leukemogenesis.<sup>19,20</sup>

For DNMT3A, a prevailing tumor suppressor function was described,21-23 making a dependency function unlikely. Amid complexity, a tumor-supportive function of mutant DNMT3A was reported in specific AML subsets (eg, AML driven by a partial tandem duplication in KMT2A).<sup>25</sup> AML-388 harbors a KMT2A-AFDN translocation (supplemental Table 2), indicating that KMT2A-driven AML might preferably depend on DNMT3A.

Taken together, our molecular PDX AML in vivo studies allowed identifying WT1 and DNMT3A as dependencies and putative therapeutic targets in defined subsets of AML, warranting further evaluation.

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### Authorship

Contribution: M.G. and Y.G. designed and performed experiments and designed figures; D.A. performed CLUE cloning; G.K. and M.P.M. analyzed DepMap data; B.V. established patient-derived xenograft models and in vivo chemotherapy protocols; K.S. provided primary acute myeloid leukemia samples; A.M. and M.S. performed immunophenotype assay; M.R.-T. and K.H.M. performed panel sequencing; E.B. and V.J. analyzed the single-cell RNA barcoding and sequencing data; and I.J. designed the study, guided the experiments, and wrote the manuscript, with the help of all authors.

Conflict-of-interest disclosure: M.P.M. is a former employee at Astra-Zeneca, academically collaborates with AstraZeneca, GSK, and Roche, and receives funding from GSK and Roche. The remaining authors declare no competing financial interests.

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#### Footnotes

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Transcriptome data generated in this study are publicly available in Gene Expression Omnibus at (GSE215836). Whole exome sequencing raw data generated in this study are not publicly available because of information that could compromise patient privacy or consent but are available on reasonable request from the corresponding author.

The online version of this article contains a data supplement.

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# *WT1* **and** *DNMT3A* **play essential roles in the growth of certain patient AML cells in mice**

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This file contains: Supplemental Methods Supplemental Tables (8) Supplemental Figures (15)
### **Supplemental Methods**

### **Ethical Statement**

Patient samples of adult AML patients were obtained from the Department of Internal Medicine III, Ludwig-Maximilians-Universität, Munich, Germany. Specimens were collected for diagnostic purposes before the start of treatment. Written informed consent was obtained from all patients. The study was performed in accordance with the ethical standards of the responsible committee on human experimentation (written approval by Ethikkommission des Klinikums der Ludwig-Maximilians-Universität Munich, number 068–08 and 222–10) and with the Helsinki Declaration of 1975, as revised in 2000. Pediatric samples AML-346 and AML-356 were established at University Children´s Hospital Tuebingen<sup>1</sup>.

Animal trials were performed following the current ethical standards of the official committee on animal experimentation (written approval by Regierung von Oberbayern, tierversuche@reg-ob.bayern.de; ROB-55.2Vet2532.Vet\_02–16-7, ROB-55.2Vet-2532.Vet\_03–16-56, ROB-55.2-2532.Vet\_02-20-159, ROB-55.2-2532.Vet\_03-21-9 and ROB-55.2-2532.Vet\_02-20-221). Work on genetic engineering was approved by Regierung von Oberbayern (written approvals 55-8791-8.549.1460, 55-8791-8.549.1562, 55.1-8791-8.549.2261, 2721, 2722, 2723, 2864).

### **Patient derived xenograft mouse model of AML**

Female and male immunocompromised NOD.Cg-*Prkdcscid Il2rgtm1Wjl*/SzJ (The Jackson Laboratory, Bar Harbour, ME, USA) age 6-20 weeks were used in all *in vivo* studies. Animals were kept under specified pathogen-free (SPF) conditions with a 12/12 hour light cycle, a temperature of 20-24°C and 45-65% humidity according to Annex A of the European Convention 2007/526 EC. Hygiene monitoring was carried out at least quarterly in accordance with the current FELASA recommendation. The cages were constantly filled with structural enrichment and the animals had unlimited access to food and water.

Generation of serially transplantable PDX AML samples and genetic modification by lentiviral transduction was performed as described previously <sup>2-4</sup>. In brief, PDX cells were transplanted into mice by injection of  $1x10^5 - 1x10^7$  cells into the tail vein. Tumor outgrowth was monitored by in vivo bioluminescence imaging (BLI) for cells expressing enhanced firefly luciferase and flow cytometric blood measurements as previously described <sup>2</sup>. PDX cells were reisolated from murine bone marrow and spleen in cases of splenomegaly and either used for transduction or re-transplantation as whole bone marrow cells or were viably frozen. Accuracy of sample identity was regularly verified by repetitive fingerprinting using PCR of mitochondrial DNA<sup>5</sup>.

### **Cell culture**

AML PDX models were cultivated in StemPro-34 medium (Thermo Fisher Scientific Waltham, MA, USA) supplemented with 1% L-Glutamin, 1% Penicillin/Streptomycin (both Gibco), 10 ng/ml rhFLT3L (R&D Systems, Minneapolis, MN, USA), 10 ng/ml rhSCF, 10 ng/ml rhTPO, and 10 ng/ml rhIL3 (all Peprotech, Rocky Hill, NJ, USA) medium<sup>6</sup>.

AML cell lines: HL60, THP-1, MV4-11 and MOLM-13 were cultivated in RPMI-1640 medium supplemented with 1% L-Glutamin and 10% FBS (all Gibco, USA). KMOE-2, SKM-1 and PL-21 were cultivated in RPMI-1640 medium supplemented with 1% L-Glutamin and 20% FBS. OCI-AML3 was cultivated in alpha-MEM medium (Gibco, USA) supplemented with 1% L-Glutamin and 20% FBS. SIG-M5 was cultivated in IMEM medium (Gibco, USA) supplemented with 1% L-Glutamin and 10% FBS. All cell lines were obtained from DSMZ (Braunschweig, Germany), and were repetitively tested negative for mycoplasma contamination (myco kit).

Cells were cultivated at 37°C in the presence of 5% CO2.

### **Lentivirus production and transduction**

Lentiviruses were produced using third-generation packaging plasmids pMDLg/pRRE, pRSV-Rev and pMD2-G as described<sup>7</sup>. Virus titration was estimated by transducing cell

lines followed by flow cytometric analysis of transgenic marker. For transduction, cells were mixed with virus particles in the presence of 8 ug/ml polybrene (Sigma-Aldrich).

### **Generation of Cas9-expressing PDX AML samples and cell lines**

Cas9-expressing PDX AML models or cell lines were generated by transduction with lentiviral particles expressing a split version of Cas9 protein. Transductions were performed at low multiplicity of infection (MOI) to ensure that transduced cells were mainly single integrants. Cas9 is reconstituted by the fusion of each half via intein moieties and can be traced by the expression of the GFP marker using flow cytometry. Cas9-GFPpositive cells were enriched 72 hours after transduction by sorting on a FACSAria (BD) and were either re-transplanted into new recipient mice for expansion of transgenic PDX cells and generating latter passages, or expanded *in vitro* for AML transgenic cell lines.

### **Targeted-sequencing of Cas9-expressing PDX AML samples**

Cas9-expressing PDX AML cells were sorted on a FACSAria (BD) and enriched for GFPpositive population. Sequencing of 68 genes recurrently mutated in myeloid malignancies was performed using a targeted amplicon-based enrichment assay (Haloplex, Agilent, Boeblingen, Germany) as previously described 8.

### **sgRNA library design and cloning**

The customized sgRNA library targeting 34 genes recurrently mutated in AML was designed using the CLUE (www.crispr-clue.de) platform and cloned into a lentiviral vector with five different sgRNAs per target gene, plus positive and negative controls as previously described <sup>7</sup>. The lists of genes and sequences of sgRNAs are provided in supplementary Table S2-3. The vector contained a mTagBFP fluorochome for flow cytometric analysis of transducrion efficiency and a puromycin resistance marker for selection of transduced cells.

#### **CRISPR/Cas9 screening and bioinformatic analysis**

PDX cells *in vivo*: A total of 10x10<sup>6</sup> Cas9-expressing PDX AML cells, freshly isolated from donor mice bone marrow, were transduced with the sgRNA library lentiviral particles at a low MOI. Cells were cultured in a StemPro-34 medium supplemented as described above. 72 hours after transduction, transduction efficiency was measured by mTagBFP expression level to quality control for a maximum transduction efficiency of around 30% to achieve mainly integration of a single sgRNA per cell. Cells were enriched 72h after transduction by puromycin selection at a 1.5 - 3 µg/mL concentration for 2-6 days. A fraction of transduced cells and puromycin-enriched cells were collected as input controls. Enriched PDX cells were injected into the tail vein of NSG mice and the animals sacrificed at advanced leukemic disease. PDX cells were re-isolated from bones and spleen in cases of splenomegaly. Screens were performed in triplicates.

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Cell lines *in vitro*: A total of 3x10<sup>6</sup> Cas9-expressing AML cells were transduced with the sgRNA library lentiviral particles at a low MOI. 72 hours post transduction, transduction efficiency was measured by mTagBFP expression level, and transduced cells were enriched using puromycin selection at 1.5 - 2 µg/ml for 2-4 days. A fraction of puromycinenriched cells were collected as input controls. The rest of the enriched cells were kept in culture and harvested 25 days post-transduction. Screens were performed in triplicates.

Genomic DNA was obtained from  $10^6$  cells using the Qiagen DNA mini kit (51306, Qiagen, Netherlands) according to the manufacturer's instructions. sgRNA barcodes were PCR amplified and submitted for standard sequencing as described before <sup>7</sup>. The sgRNAs distribution and relative abundance between input and output samples were determined and analyzed using the DepMap\_CHRONOS, Lin et al.  $9$  and MAGeCK algorithm<sup>10</sup>.

For all calculations negative control 7 was filtered out. For Lin et al. method and DepMap\_CHRONOS, we used the following genes as common essentials, *HNRNPK*, *SF3B1*, *SMC1A*, *SMC3*, *SRSF2*, *U2AF1*, *HSPE1*, *POLR2L*, *PSMB3*, *RAN* and *RPL12*.

To calculate simplistic gene depletion scores we have used method described in publication by Lin et al. Briefly, we have normalized sgRNA counts to the total reads per million of all negative control guide RNAs. Following the normalization, we have averaged the values between replicates (n=3 for each AML model). The fold-change of each sgRNA was calculated in relation to the input and log2 transformed. Final depletion score was calculated as follows, -1 \* (sgRNA\_LFC - median(negative\_controls\_LFC)) / (median(common\_essentials\_LFC)- median(negative\_controls\_LFC)). The median sgRNA score was selected to represent final gene depletion score.

For CHRONOS derived gene effect scores, we employed the algorithm as described in Dempster et al. <sup>11</sup>. We have used all default parameters except of cell\_efficacy\_guide\_quantile which we have adjusted to 0.3 to account for a small screening library. The derived scores were normalised for common essential genes to have a median score of -1 and other nonessential genes to have a median of 0.

To control proper library presentation *in vivo*, Cas9 negative AML-661 and AML-356 were screened with the same sgRNA library as quality controls. Here, each sgRNA was analyzed as a barcode to exploit whether the entire library was restorable in tested PDX models. The experimental setting was the same as described above.

### **Immunoblotting**

 $1X10^6$  cells were lysed in lysis buffer (#9803, Cell Signaling Technology, Boston, USA) supplemented with 1:200 Phenylmethylsulfonyl fluoride (PMSF, 8553, Cell Signaling Technologies, USA) on ice for 30 min. The lysates were cleared by centrifugation at 13,000 g at 4°C for 3 min. Protein concentrations were normalized by Bradford quantification and an equal amount of cell lysates were subjected to SDS-PAGE under reducing conditions. Proteins were transferred onto polyvinylidene difluoride (PVDF) membranes using the Trans-Blot Turbo Transfer System (Bio-Rad). Membranes were blocked in 5% skimmed milk. The primary antibodies used were anti-KRAS (H00003845- M02, Novus Biologicals, 1:1000), anti-NPM1 (47354, Novus Biologicals, 1:1000), anti-

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WT1 (ab89901, Abcam, 1:500), and anti-beta-actin (sc-47778, Santa Cruz Biotechnology, 1:3000). The secondary antibodies were as follows, Anti-mouse IgG, HRP-linked Antibody (7076, Cell Signaling Technology, 1:1000) and Anti-rabbit IgG, HRP-linked Antibody (7074, Cell Signaling Technology, 1:1000). Chemiluminescence signal was detected using chemiluminescent substrate (Thermo Fisher Scientific) with the Fusion Fx chemiluminescent imaging system.

### **Assessment of genome editing efficiency**

*DNMT3A* gene knockout efficiency was evaluated using Tracking of Indels by Decomposition (TIDE) analysis. A totoal of 1  $\times$  10<sup>6</sup> cells were harvested 2 weeks after transduction. Genomic DNA was obtained using the Qiagen DNA mini kit (51306, Qiagen, Netherlands) according to the manufacturer's instructions. Specific primers were designed according to the requirements of TIDE analysis (http://shinyapps.datacurators.nl/tide/) and ordered from Sigma-Aldrich company (St. Louis, MO). *DNMT3A* sgRNA1 forward primer: 5'-CTCCCTGGCCTTGTTCTCAG-3', reverse primer: 5'-CCACACACTCCACGCAAAAG-3'; *DNMT3A* sgRNA2 forward primer: 5'-CTCCTCTCCCTTCCCCACAG-3', reverse primer: 5'-CCCTCACCTGTAGCGATTCC-3'; *DNMT3A* sgRNA3 forward primer: 5'-TTTCAAGGGGTCAAGCCCAG-3', reverse primer: 5'-AGCAGACCTTTAGCCACGAC-3'.

50 ng gDNA was used for amplifying sgRNA targeting locus by polymerase chain reaction (PCR). gDNA was initially denatured at 95°C for 30 seconds and followed with 35 cycles' denaturation at 95°C for 10 sec, annealing at 62°C for 30 sec and extension at 72°C for 30 sec; and finally extended at 72°C for 10 min.

DNA fragments were separated by agarose gel electrophoresis, purified by gel clean-up kit (740986.20, Macherey-Nagel, Germany), and sent for standard Sanger sequencing. The sequence traces were analyzed by the TIDE algorithm (available at http://shinyapps.datacurators.nl/tide/).

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### **fluorochrome markers**

A set of 3 sgRNAs each targeting either *WT1*, *NPM1*, *DNMT3A*, or *KRAS* and nontargeting sgRNAs were selected from the screening library. The oligos were ordered from Sigma-Aldrich (St. Louis, MO) company with the following overhangs:

Forward oligo: 5'-TCCCGN20(Target)-3'

Reverse oligo: 5'-AAACN20(Target)-3'

Golden gate cloning strategy was used for cloning of sgRNAs into expression vectors encoding different fluorochrome markers. Annealing of oligos was performed by mixing 2  $\mu$ l of forward (100  $\mu$ M) and 2  $\mu$ l of reverse (100  $\mu$ M) oligos with 2  $\mu$ l T4 DNA ligase buffer (El0012, Thermo Fischer Scientific, USA) and 14 µl H2O and incubation at 95 °C for 5 minutes ramping down to 25 °C at 0.1 °C/sec in a thermocycler. The expression vectors were linearized using FastDigest BpiI (isoschizomer of BbsI, Thermo Scientific) by digesting 500 ng of each plasmid with 0.5 µl FD Bpil enzyme at 37°C for 10 min. Golden gate cloning was performed with 2 µl of each annealed oligoes diluted 1/500 and with 100 ng predigested expression vector together with 2 µl of T4 ligase buffer (El0012, Thermo Scientific, USA), 1 µl FastDigest Bpil (FD1014, Thermo Scientific, USA), 1 µl T4 Ligase (El0012, Thermo Scientific, USA), and brought to a total volume of 20 μl with water. The reaction condition in a thermocycler was as follows: 3 min at 37 °C followed by 10 min at 16°C for 20 cycles and a final step of 5 min at 55°C and 5 min 80°C. 2.5 µl of the reaction was transformed into chemically competent DH5α and plated on LB agar containing 100 μg/ml ampicillin. The next day, the colonies were harvested in LB medium and incubated overnight at 37 °C and 220 rpm shaking. DNA was isolated using the NucleoBond® Xtra Midi kit (740410-100, Macherey-Nagel, Germany) according to the manufacturer's instructions.

#### *In vivo* **competitive assay**

*In vivo* competitive assays were performed as previously described<sup>12</sup>. Cas9-expressing PDX cells were freshly harvested from donor mice bone marrow or spleen and then transduced with lentiviral vectors expressing sgRNAs targeting either *WT1*, *NPM1*, *DNMT3A*, or *KRAS* or non-targeting (NT) sgRNAs as control. The sgRNA vectors encoding gene-targeting or NT sgRNAs expressed different fluorochrome markers as depicted in printed Figure 1C, enabling monitoring cell proliferation for more than one gene of interest and distinction of each population. *KRAS* KOs (iRFP) and *NPM1* KOs (mTagBFP) were mixed with NT subsets (T-Sapphire) as one group and *WT1* KOs(mTagBFP), *DNMT3A* KOs (iRFP), and NT subsets (T-Sapphire) as the second group. For PDX AML-640 for the first group, since the percentage of *KRAS* KO cells was below 10%, *KRAS* KO competitive assay was repeated with the second group by cloning *KRAS* targeting sgRNAs into a construct expressing mCherry. Cells were enriched 72 h after transduction by puromycin selection at a 1.5 - 3 µg/mL concentration for 2-6 days. Enriched transgenic cells expressing each gene-targeting sgRNAs were mixed in a 1:1:1 ratio with NT subsets and injected into NSG mice. Mice were sacrified at an advanced leukemic stage. The relative proportion of each population was analyzed in input mix and ouput cells from leukemic animals by flow cytometry from whole bone marrow cells. Analysis of each KO population together with the control group was performed using FlowJo's Boolean gating option. Output mix was analyzed in PDX cells reisolated from bone marrow; for AML-346, PDX cells were reisolated from spleen in 2 of 3 mice.

The experiments were considered conclusive when either depletion or enrichment was observed in the KO population for all 3 tested sgRNAs; in case of conflicting results, the PDX sample was excluded from further analysis.

### *In vitro* **competitive assay**

Cas9-expressing AML cell lines were transduced with lentiviral vectors expressing either *WT1*, *DNMT3A* sgRNAs, or NT sgRNAs. The constructs encoding gene-targeting or NT sgRNAs expressed different fluorochrome markers, as mentioned above. Cells were

enriched 72 h after transduction by puromycin selection at a 1.5 - 3 µg/mL concentration for 2-6 days, mixed in a 1:1 ratio with NT controls after enrichment and kept in culture. The experiment was performed in triplicates, with each replicate containing different sgRNAs. The distribution of each population was measured by flow cytometry every four days from mixing day (day 0) to 28 days after mixing. The relative proportion of each population was analyzed by FlowJo.

### **Non-targeting control assay** *in vivo* **and** *in vitro*

One NT sgRNA used in the *in vivo* and *in vitro* competitive assay was cloned into four kinds of lentiviral constructs expressing 4 corresponding fluorochromes used in the *in vivo* and *in vitro* competitive assay. Cas9-transgenic cells (either Cas9-expressing bone marrow PDX cells or Cas9-expressing AML cell lines) were transduced with these NT lentiviral particles. NT \_1 (mTagBFP), NT\_2 (iRFP), NT\_3 (mCherry) and NT\_4 (T-Sapphire) and enriched 72 h after transduction by puromycin selection at a 1.5 - 3 µg/mL concentration for 2-6 days. 4 populations were mixed in a 1:1:1:1 ratio and either kept in culture (AML cell lines) or injected into NSG mice (PDX cells). The relative proportion of each population was analyzed in input mix and ouput cells from leukemic animals by fluorochrome-depended flow cytometry. For AML cells lines, the mixture was cultured *in vitro* for 24 days and measured by flow cytometry as an output.

### **Flow cytometry analysis**

According to the corresponding fluorochrome in every cell population, cells were analyzed on BD LSRFortessa™ X-20 Cell Analyzer (BD Biosciences) or sorted on a BD FACS ARIA™ II SORP Flow Cytometer Cell Sorter (BD Biosciences). The flow cytometry data was analyzed with FlowJo software (v10.6.2, Tree Star, Ashland, OR, USA).

To quantify percentage of single KO populations, NT control cells had to account for a minimum of 10% of all PDX cells retrieved from the mouse. A direct comparison between every single knockout population (e.g., either *NPM1* or *KRAS*) versus control cells was obtained by restricting analysis to 2 cell populations using "Boolean" gating (see Figures S4).

### **Comparing intrafemoral with intravenous cell injection**

Cas9-expressing PDX donor cells were isolated from BM and spleen of advanced leukemia stage donor mice and transduced with lentiviral vectors expressing either *WT1* or NT sgRNAs marked by different fluorochromes. A single of 2 different sgRNAs (sgRNA-2) was used. After puromycin enrichment of transgenic cells, *WT1* KO and CTRL populations were mixed in a 1:1 ratio. For intrafemoral injection,  $8 \times 10^5$  cells were injected per mouse (n=3) and human cells analysed from the injected femuar; for intravenous injection, 10 x 10<sup>6</sup> cells were injected per mouse ( $n=3$ ) and the entire bone marrow was analysed. Mice were sacrificed three days after injection and human cells enriched by MACS using a mouse cell depletion kit (Miltenyi). The distribution of *WT1* KO and CTRL population were analyzed by flow cytometry. Experiments were performed once with AML-346 and twice with AML-388.

### **Analysis of leukemic cells engraftment and cell proliferation kinetics**

Cas9-expressing PDX cells were transduced with lentiviral vectors expressing either *WT1*, *DNMT3A*, or NT sgRNAs marked by different fluorochromes. A single of 3 different sgRNAs (sgRNA-2) was used. After puromycin enrichment of transgenic cells, mixing was performed in a 1:1:1 ratio between all three populations and  $9x10^6$  cells injected into NSG mice. The experiment was performed in triplicates. 1, 3, 5, and 8 days post-injection in AML388, and 3, 5, and 8 days post-injection in AML346, the animals were sacrificed and human cells were enriched from murine bone marrow by negative selection using mouse cell depletion kit (Miltenyi) with 400-600ul beads per mouse. The relative proportion of each population was analyzed in input mix and ouput cells from leukemic animals by flow cytometry.

#### *In vivo* **chemotherapy trial**

Cas9 and enhanced firefly luciferase-expressing AML-388 PDX cells were transduced with lentiviral vectors expressing sgRNA targeting *WT1*, *DNMT3A*, or NT sgRNAs marked with different fluorochromes. A single of 3 different sgRNAs (sgRNA-2) was used. After puromycin enrichment of transgenic cells, mixing was performed at a 1:1:1 ratio between all three populations and cells injected into mice (n=8). Tumor burden was regularly examined using BLI. When tumor burden reached total flux of around ~1e9 Photons/second, mice were treated with cytarabine (200 mg/kg dissolved in PBS, i.p.; Cell Pharma GmbH, Bad Vilbel, Germany) or PBS. The drug was administered two times a week, and therapy continued for 2.5 weeks. Two days after the last drug administration, animals were sacrificed, and the relative proportion of each population of the leukemic cells within the bone marrow was examined by flow cytometry as described above.

### **Investigating** *WT1* **and** *DNMT3A* **knockouts re-engraftment capacity**

From the output samples of AML-346 *in vivo* competitive assays, whole bone marrow cells were re-isolated at advanced stage leukemia, cell populations were quantified using flow cytometry and  $2 \times 10^6$  cells re-injected into the secondary recipient mice (n=3). In a variant of this assay, cells were re-isolated after 26 days *in vivo*, re-enriched to a 1:1 ratio for KO:CTRL cells by flow cytometry and re-inject into the secondary recipient mice (*WT1*  KO n=3, cell number injected= 13400 (sgRNA2); *DNMT3A* KO n=7, cell number injected= 13400 (sgRNA3), 20000 (sgRNA2), 26700 (sgRNA1) ). The secondary recipient mice were sacrified at advanced leukemic stage. BM cells were harvested and the distribution of each population was determined using flow cytometry.

### **LDTA assay**

Freshly isolated Cas9 and T-sapphire expressing PDX cells were transduced with lentiviral vectors expressing sgRNA targeting *WT1* (mTag-BFP), *DNMT3A* (iRFP), or NT (mTag-BFP or iRFP) sgRNAs marked with different fluorochromes. After flow cytometry enrichment of transgenic cells, *WT1* / *DNMT3A* KO and CTRL cells were mixed in a 1:1 ratio and injected into groups of NSG mice at different cell numbers. Engraftment and tumor growth were monitored via blood measurement. As soon as engraftment was observed in the group injected with the highest cell number, all mice were sacrificed, PDX cells were isolated from the BM and the distribution of the cell populations analyzed via flow cytometry followed by analysis on FlowJo Software (FlowJo™ Software, version 10.7, Ashland, USA). For positive engraftment, a threshold of 0.2% of the population of interest in the BM was defined. LIC frequency was calculated using the ELDA software (http://bioinf.wehi.edu.au/software/elda/index.html)

### **Immunophenotype staining**

PDX cells were phenotypically analyzed by multiparameter flow cytometry (CytoFLEX flow cytometer, Beckman Coulter). Staining antibodies against CD33 (PerCP-Cy5.5, Clone WM53, 303414 Biolegend), GPR56 (PE, Clone 4C3, 391903 Biolegend), CD44 (PE/Dazzle™ 594, Clone BJ18, 338821 Biolegend), CD14 (PE/Cy7, Clone 63D3, 367112 Biolegend), CD11b (APC, Clone ICRF44, 301310 Biolegend), CD45 (APC/Cy7, Clone HI30, 304014 Biolegend), CD45 (APC, Clone HI30, 304011 Biolegend), CD90 (BV650, Clone 5E10, 328143 Biolegend), CD123 (PerCp-Cy5.5, Clone 6H6, 306015 Biolegend), CD45RA (PE, Clone HI100, 304107 Biolegend), CD38 (PE/ Dazzle™ 594, Clone HB-7, 356630 Biolegend), MICA/MICB (PE/Cy7, Clone 6D4, 320917 Biolegend) and CD34 (APC/Cy7, Clone 581, 343513 Biolegend) were used. Dead and living cells were discriminated by Zombie UV™ Fixable Viability Kit according to the manufacturer's recommendations (423107, Biolegend). Gating strategy to assess the percentage of positive cells can be depicted in S10. Analysis was performed on *WT1* KO, *DNMT3A* KO and CTRL on two PDX (AML-346 and AML-388) samples with three different gRNAs, each.

#### **Transcriptional profiling and data analysis**

AML-356, AML-388, and AML-661 single *WT1* and *DNMT3A* KOs and control subsets from the output samples of in vivo competitive assay were enriched by sorting on a FACSAria (BD Biosciences) and lysed in RLT Plus buffer (Qiagen). In AML-346, since the KO populations were completely depleted in the in vivo competitive assay, freshly isolated Cas9-expressing PDX cells were transduced with lentiviral vectors expressing *WT1*, *DNMT3A*, or NT sgRNAs and samples were taken for further analysis after puromycin enrichment.

Lysates containing 20,000 cells in RLT Plus buffer (Qiagen) were subjected to the primeseq method<sup>13 14</sup> prime-seq is a three prime counting method that introduces a sample specific barcode sequence and unique molecular identifiers (UMI) for accurate quantification of gene expression. In addition direct lysis and isolation of RNA using SPRI beads was used here. Sequencing library preparation was performed using a modified NEBNext Ultra II Fs protocol. A full step-by-step protocol can be found on protocols.io (https://www.protocols.io/view/prime-seq-s9veh66).

Illumina paired end sequencing was performed on a NextSeq1000 instrument, where the first read was 28 bases long and covered the sample barcode (12 bases) and UMI (16 bases), and the second read was 93 bases long and was used to identify the gene. Raw data was demultiplexed based on unique i5 and i7 indices using deML<sup>15</sup> and further processed using the zUMIs pipeline  $(2.9.6,16)$  with STAR  $(2.6, 17)$ . Reads were mapped to a concatenated human and mouse genome (hg38,mm10) with Gencode gene annotations (v35, vM25). Mouse mapping reads were treated as contamination from the mouse model and discarded for further analysis.

The raw gene expression counts were preprocessed with the R package edgeR (3.30.3). Genes with  $\leq$  1 count per million in more than 2/3 of samples were excluded from the analysis. The differential gene expression analysis was done with the R package limma (3.44.3). Genes with a *p*-value of ≤0.01 and |log fold change|>1 are displayed in the heatmaps. The heatmaps were generated with the R-package ComplexHeatmap (2.6.2).

A preranked gene set enrichment analysis (GSEA) was done with the software GSEA (http://www.broad.mit.edu/gsea/)<sup>18</sup>. The ranking metric was calculated from the output of the differential gene expression analysis as -log<sub>10</sub> (*p*-value)∙sign(log fold change). The tested pathway databases were hallmark gene sets, KEGG pathways, gene ontology (GO) gene sets and oncogenic signature gene sets. Pathways with an adjusted p-value of 0.05 were considered significant.

Enriched gene-sets of KEGG and Hallmarks were graphically organized into a map network, where each gene set is a node and edges represent gene similarity between sets. The Cytoscape network software  $v.3.9.0^{19}$  and the plugin "Enrichment Map" were used to visualize the network. Node color refers to the set types ( KEGG in blue and Hallmarks in orange). Node size is proportional to the total number of genes belonging to the corresponding gene-set. Edge thickness is proportional to the overlap score.

### **DepMap data analysis**

DepMap data release 21Q3 was used for generating gene essentiality score scatter plots.

### **Statistics**

Statistical analyses were performed using GraphPad Prism 7 software (Graphpad Prism, La Jolla, CA, USA). Statistical tests and the number of replicates were mentioned in the associated figure legends. MAGeCK count (Galaxy Version 0.5.9.2.4) and MAGeCKs test (Galaxy Version 0.5.9.2.1) was used for calculating the sgRNAs distribution and calculating the *p* values.

### **Data presentation**

Graphics were created using BioRender.com.

### **Data Availability Statement**

Transcriptome data generated in this study are publicly available in Gene Expression Omnibus (GEO) (GSE215836). Whole Exome Sequencing raw data generated in this study are not publicly available due to information that could compromise patient privacy or consent but are available upon reasonable request from the corresponding author.

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## **Table S1 Characteristics of PDX models**



ID=initial diagnosis;  $R1$ = first relapse,  $R2$ = second relapse;m = male;  $f = female$ ;

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## **Table S2 AML-specific mutations in PDX models and AML**

### **cell lines**



\* Variants of PDX were determined by panel sequencing.

\*\*Variant allele frequency (VAF) were determined by panel sequencing.

\*\*\* variants of cell lines were got from https://web.expasy.org/cellosaurus/.

## **Table S3 Mutations present in PDX models and AML cell lines**



\* Mutations of PDX were determined by panel sequencing.

\*\* Mutations of cell lines were from https://web.expasy.org/cellosaurus/

## **Table S4 Genes studied together with their chromosomal locations and genetic alterations in PDX AML models**



## **Table S5 Sequences of sgRNAs used and results of the CRISPR/Cas9 dropout screen**



First lines of the supplement Excel file listing all sgRNAs sequences; sgRNAs used in experiments beyond the screen are marked with grey background.

## **Table S6 Gene essentiality score of CRISPR/Cas9 dropout screens as analysed by DepMap\_CHRONOS.**



## **Table S7 Gene essentiality score of CRISPR/Cas9 dropout**

**screens as analysed by Lin et al.**



## **Table S8 Gene depletion score of CRISPR/Cas9 dropout screen**



## **as analysed by MAGeCK**

## **Figure S1 Lentiviral constructs**



### **A Scheme of the split-Cas9 / split-GFP constructs**

**B Scheme of the sgRNA expression construct**



### **Figure S1. Lentiviral constructs**

- **A Scheme of the split-Cas9 / split-GFP constructs.** SFFV, spleen focus-forming virus promoter; L-Zip, leucine zipper; GFP, green fluorescent protein; P2A and T2A, 2A peptides derived from porcine teschovirus-1 and thosea asigna virus; NLS, nuclear localization signal; Cas9, CRISPR associated protein 9; N-GFP/C-GFP or N-Intein/C-Intein or N-Cas9/C-Cas9, N/C-terminal part of the GFP or /Intein or Cas9 coding sequence, respectively.
- **B Scheme of the sgRNA expression construct.** sgRNA, single guide RNA; LTR, long terminal repeat; cPPT, central polypurine tract; EF-1α, elongation factor-1α short promoter; mTagBFP, monomeric tag blue fluorescent protein; iRFP, near-infrared fluorescent protein; T-Sapphire, T-Sapphire fluorescent protein; mCherry, mCherry fluorescent protein; PuroR, puromycin resistance gene; WPRE, woodchuck hepatitis virus posttranscriptional regulatory element.

## **Figure S2 CRISPR/Cas9 screen in PDX models** *in vivo*

### **A Quality controls in Cas9 negative PDX models**



### **Figure S2. CRISPR/Cas9 dropout screen in PDX models** *in vivo***.**

- **A Quality controls**. The experiment was performed as described in Figure 1A,B, except that Cas9 negative PDX cells were used so that no DNA editing took place; input 1 was harvested 1 day post-transduction, input 2 was harvested after puromycin selection and outputs 1-3 were harvested from 3 replicate mice. Gini indices were analyzed with custom Python scripts. The correlation of sgRNA read counts were analyzed by Pearson R.
- **B** Experiment from Figure 1C, with data analysed according to Lin et al.<sup>[1]</sup> or the MAGeCK algorithm<sup>[2]</sup>.

[2] Li W, Xu H, Xiao T, et al. MAGeCK enables robust identification of essential genes from genome-scale CRISPR/Cas9 knockout screens. Genome Biol. 2014.

<sup>[1]</sup> Lin S, Larrue C, Scheidegger NK, et al. An In Vivo CRISPR Screening Platform for Prioritizing Therapeutic Targets in AML. Cancer Discov. 2022.

# **Figure S3 Step-by-step analysis of flow cytometric data from** *in vivo* **competitive knockout experiments**

**A Raw data of all 3 populations**

#### **PDX AML-356,** *in vivo*



45.7

54.1

1.2

-3 0 3 4 5

Input Output

Output

Input

iRFP (Log10)

3 4 5

0 -3

ag s

T-Sapphire (Log<sub>10</sub>)









### **C Quantification and statistics**



**Figure S3. Step-by-step analysis of flow cytometric data from** *in vivo* **competitive knockout experiments** Additional data from AML-356 as in Figure 1C,D for AML-661.

- **A** Flow cytometry schematic view and raw data of the entire population of PDX cells; co-expression of recombinant fluorochromes (Figure S1B) allowed separating the 3 subpopulations, namely *NPM1* KO, *KRAS* KO and NT (non-targeting).
- **B** From data in A, Boolean gates were set in FlowJo to analyze each knockout population (either *NPM1* KO or *KRAS* KO) separately and in direct comparison to the NT population.
- **C** From data in B, statistical analyses were performed. Input and output data are linked by a dotted line containing the same sgRNA. Each output dot represents a single mouse. Bar plots indicate mean, minimum and maximum. *P*-values were calculated by paired two-tailed t-test (\*\*\**p*<0.001).

## **Figure S4** *In vivo* **competitive assay using 3 replicate**

### **sgRNAs per gene in 3 replicate mixtures**

#### Puromycin selection Mix 1:1:1 Input Advanced leukemia **Output MIX1:** *NPM1* sgRNA1 *KRAS* sgRNA1 NT sgRNA1 **MIX2:** *NPM1* sgRNA2 *KRAS* sgRNA2 NT sgRNA2 **MIX3:** *NPM1* sgRNA3 *KRAS* sgRNA3 NT sgRNA3 Cas9-GFP-PDX cells **A Scheme of competitive assay with 3 sgRNAs per gene** *NPM1* KO1  $N$ T $_1$ *KRAS* KO1 *NPM1* KO2  $N$ T $_2$ *KRAS* KO2 *NPM1* KO3 NT\_3 *KRAS* KO3 Flow cytometry

**B Quality controls for knockout of** *NPM1* **and** *KRAS* **using 3 different sgRNAs**



### **Figure S4***. In vivo* **competitive assay using 3 replicate sgRNAs per gene in 3 replicate mixtures**

- **A Complementary scheme to Figure 1C.** Experiments depicted in Figure 1C were performed with 3 replicate mice, each mouse receiving a different sgRNA each for knockout of each *KRAS, NPM1* and NT subsets. Thus, a total of 9 different sgRNAs was injected into a total of 3 mice for each experiment and PDX model.
- **B Quality control of sgRNAs targeting** *NPM1* **and** *KRAS***.** Western Blot on THP-1 cells and AML-388 PDX cells transduced with either a nontargeting (NT) sgRNA or one of the 3 different sgRNAs targeting either NPM1 or KRAS. 1x10<sup>6</sup> cells from each knockout population were harvested 14 days after transduction. β-Actin served as loading control.

## **Figure S5 Quality controls using nontargeting sgRNAs**



**Output** 

### **B** *In vitro* **competitive assay with nontargeting sgRNA subsets in a cell line**



### **C** *In vivo* **competitive assay with nontargeting sgRNAs subsets in a PDX model**



## **Figure S5 ff**

### **Figure S5. Quality control using nontargeting sgRNAs**

Complement to Figure 1C,D to exclude putative bias by the fluorochromes.

- **A Scheme of experiments** *in vivo* **and** *in vitro***.** Four different fluorochromes were cloned into the construct containing the same nontargeting (NT) sgRNA, namely NT-BFP (NT\_1), NT-iRFP (NT\_2), NTmCherry (NT\_3) and NT-T-sapphire (NT\_4). AML PDX cells and cell lines were lentivirally transduced. After puromycin selection, all four populations were mixed at a 1:1:1:1 ratio. PDX or cell line mixture was injected into mouse or kept in vitro culture. The distribution of non-targeting subsets was measured when mice were in advanced leukemia or on day 31 posttransduction.
- **B, C** NT sgRNA subsets *in vitro* (B) *and in vivo* (C) competitive assay was performed on OCI-AML3 cells and AML-356. Data were step-by-step analyzed as described in Figure S4. Left flow cytometry plots show data gating NT\_4 subset with one of the other three NT sgRNA subsets by using Boolean gate strategy in FlowJo. Right show a quantitative summary for all NT populations. Each dot represents a single NT population, with input and output data linked by a dotted line.

## **Figure S6 Presence of a hot spot mutation increases gene dependency in** *KRAS,* **but not in** *DNMT3A*



### **Figure S6. Presence of a hot spot mutation increases gene dependency in**  *KRAS,* **but not in** *DNMT3A*

- **A,B** Gene essentiality score of *KRAS* and *DNMT3A* in wild type and mutated AML cell lines were taken from DepMap Public 21Q3. Data from a total of 24 AML cell lines were available; each dot represents the score of one AML cell line.
- **C,D** Summary data of *KRAS* or *DNMT3A* knockouts from Figure 1D and 2A, separated according to the presence or absence of a mutation in either *KRAS* or *DNMT3A***.** Each dot represents an output of single knockout.

Results from statistical analyses by two-tailed paired t-test are shown if they were significant. \**p*<0.05 and \*\**p*<0.01, \*\*\**p*<0.001.

### **Figure S7 Quality control for** *DNMT3A* **and** *WT1* **knockout**



### **Figure S7. Quality control for** *DNMT3A* **and** *WT1* **knockout**

- **A Gene editing efficiency of** *DNMT3A* **analyzed by TIDE analysis.** THP-1 cells were transduced with 3 different sgRNAs targeting *DNMT3A* and knockout efficiency measured 14 days after transduction by tracking of indels using decomposition (TIDE) analysis. The left panel shows the DNA modification percentage by each *DNMT3A* sgRNA. In the right panel, *DNMT3A* knockout reads getting from Sanger sequencing are shown in green, non-targeting cell reads in black and the blue dashed line indicates the break site.
- **B Efficient depletion of** *WT1* **by distinct sgRNAs.** Western Blot was performed identically as Figure S3B to quality control of WT1 KO. 1x10<sup>6</sup> cells from each WT1 knockout population in THP-1 were harvested 14 days after transduction. β-Actin served as loading control.



### **Figure S8 Both** *WT1* **and** *DNMT3A* **lack an essential**

### **function in AML cell lines**







## **Figure S8 ff**

### **C** *DNMT3A* **lacks essential function in AML cell lines** *in vitro*



**Figure S8. Both** *WT1* **and** *DNMT3A* **lack an essential function in AML cell lines**

- **A Scheme of competitive assays in cell lines** *in vitro***.** Cas9-transgenic cell lines were transduced with a sgRNA targeting *WT1* or *DNMT3A* or a NT sgRNA, expressing different fluorescent markers to discriminate the populations by flow cytometry, related to Figure 1C and Figure S1B. After cells were enriched by puromycin selection, *WT1* or *DNMT3A* knockout cells were mixed with control cells at a 1:1 ratio and cultured *in vitro* for 28 days. The distribution of the mixture was measured by flow cytometry at the beginning and every four days. The experiment was performed in triplicates using three different sgRNAs per gene. GOI, gene of interest.
- **B,C** *WT1* **(B) and** *DNMT3A* **(C) lack essential function in AML cell lines** *in vitro***.** Experiments on 9 AML cell lines were performed as described in A; data were analyzed and depicted as described in Figure S4. Each dot represents a single output, with related sgRNAs linked by a dotted line. Bar plots indicate mean, minimum and maximum percentage of knockout populations. Results from statistical analyses by two-tailed paired ttest are shown if they were significant. \**p*<0.05, \*\**p*<0.01, \*\*\**p*<0.001.
### **essential function in AML cell lines** *WT1 WT1*  $\mathsf{A}$   $\mathsf{A}$   $\mathsf{B}$  $100 -$ 100 \* \*\* \*\* **\* \* \*** Input Input **Output Output** KO cells (%) KO cells (%) 50 50 0 0  $\frac{1}{\sqrt{2}}$ ሳ, **HAIC** wild type mutant  $(n=8)$   $(n=1)$ *WT1* mut *DNMT3A DNMT3A* **C DNMT3A DNMT3A D** 100 100 \* **\*\*** Input  $\bullet$  Input **Output Output** KO cells (%) KO cells (%) 50 50 0  $\frac{1}{\sqrt{2}}$ 0 wild type mutant (n=7) (n=2) *DNMT3A* mut - - - - - - - + +

**Figure S9 Summary: Both** *WT1* **and** *DNMT3A* **lack an**

## **Figure S9. Summary: Both** *WT1* **and** *DNMT3A* **lack an essential function in AML cell lines**

- **A,C** Summary data from Figure S7, depicted as in Figure 1D; n=3.
- **B,D** Summary of data from Figure S7A or S7C, respectively, separated according to the presence or absence of a mutation in either *WT1* or *DNMT3A*, respectively.

Bar plots indicate mean, minimum and maximum percentage of input or output knockout populations. Results from statistical analyses by two-tailed paired t-test are shown if they were significant. \**p*<0.05 and \*\**p*<0.01, \*\*\**p*<0.001.. Grey dashed lines linked the dots representing the same knockout.

# **Fig S10. Immunophenotype of PDX AML cells after KO of** *WT1* **or**  *DNMT3A*



### **Fig S10. Immunophenotype of PDX AML cells after KO of** *WT1* **or** *DNMT3A*

**A** Gating strategy to quantify antigen expression on single, living, GFP+mTag-BFP/ iRFP/ T-Saphire+ and CD45dimSSClow cells.

**B** Antigen expression of CD34, CD90, GPR56, NKG2DL (MICA/MICB), CD45RA, CD44, CD33, CD123, CD38, CD11b and CD14 on control as well as *DNMT3A* and *WT1* knock outs for AML-346 (grey) and AML-388 (black) (n=3-6).

# **Figure S11 Knockout of** *WT1* **or** *DNMT3A* **alter gene**

# **expression in biological processes like cell death**



### **Figure S11. Knockout of** *WT1* **or** *DNMT3A* **alter gene expression in biological processes like cell death**

- **A** Heatmaps show different gene expression with a *p*-value ≤ 0.01 and log fold change > 1, comparing *WT1* or *DNMT3A* knockouts and NT controls, in PDX models where *WT1* or *DNMT3A* knockout induced *in vivo* disadvantage (*DNMT3A*: 104 genes; *WT1*: 165 genes). For display purposes, all genes were standardized to a mean value of 0 and variance of 1.
- **B** From data in A, gene enrichment plots are shown for the hallmark gene sets oxidative phosphorylation and apoptosis (*WT1* KO: Oxidative phosphorylation: NES = 2.52, *p*-value < 0.001, q-value < 0.001. Apoptosis: NES = 1.28, *p*-value = 0.02, q-value = 0.10; *DNMT3A* KO: Apoptosis: NES = 1.28, *p*-value = 0.02,  $q$ -value = 0.10).
- **C** Identical data as in printed Figure 2C, here with complete annotation.

# **Figure S12 Knockout of** *WT1* **sensitizes PDX AML cells**

**towards** *in vivo* **treatment with Cytarabine**



### **Figure S12. Knockout of** *WT1* **sensitizes PDX AML cells towards** *in vivo* **treatment with Cytarabine**

- **A** Syergism between *WT1* KO and chemotherapy. AML-388 cells were manipulated as in Figure 2A to gain a mixture of cells with control, *WT1* or *DNMT3A* knockout and transplanted into 8 mice. Twenty days after transplantation(AraC, 200mg/kg; 2 times per week) for 2.5 weeks. Then, cells were re-isolated and percentage of knockout cells was measured by flow cytometry. The p value was determined by unpaired two tailed ttest.(\**p*<0.05, \*\**p*<0.01 and \*\*\**p*<0.001) .
- **B** In vivo bioluminescence images of mice treated with PBS or Cytarabine (AraC) at the end of the experiment from the experiment described in A .
- **C** Quantification of the pictures shown in B; results from statistical analyses by two tailed unpaired T-test are shown if they were significant. (\**p*<0.05, \*\**p*<0.01 and \*\*\**p*<0.001) .
- **D** Flow cytometry raw data determining the proportion of cell with *WT1* KO or *DNMT3A* KO cells versus NT control using Boolean gate strategy; raw data to A. 77

# **Figure S13 Knockout of** *WT1* **impairs PDX cell homing to the bone marrow niche**



**Figure S13. Knockout of** *WT1* **impairs PDX cell homing to the bone marrow niche**

AML-346 or AML-388 cells with KO of either *WT1* or *DNMT3A* were mixed at a 1:1 ratio with CTRL cells and injected into mice, either by intravenous injection (i.v.) or interfemoral injection (i.f.); bone marrow was analyzed after 3 days. Each dot represents a single mouse; statistical analysis between input and i.v. / i.f. was performed using paired two-tailed t-test (\**p*<0.05; \*\**p*<0.01; \*\*\**p*<0.001).

### **Figure S14 Knockout of** *WT1* **induces rapid disadvantage** *in*



### **Figure S14. Knockout of** *WT1* **impairs the leukemia-niche interaction and induces rapid disadvantage**

Competitive *in vivo* assay of AML-388 and AML-346 were performed as described in Figure 2A, except that cells were analyzed already few days after transplantation. Each dot represents a single mouse carrying one of 3 subpopulations (KO2). Day 0 represents the input samples injected into the animals that were sacrificed on day 1. Statistical analysis between day 0 and day 1 or 3, respectively was performed using paired two-tailed t-test and between day 1 and day 8 by unpaired two-tailed t-test (\**p*<0.05; \*\**p*<0.01; \*\*\**p*<0.001). 79

# **Figure S15 Knockout of either** *WT1* **or** *DNMT3A* **reduces leukemia stem cells**



# **Figure S15 ff**



**Figure S13. Knockout of either** *WT1* **or** *DNMT3A* **eliminates leukemia stem cells**

- **A** Scheme of re-transplantation assay. AML-346 cells were mixed at a 1:1:1 ratio of control, *WT1* and *DNMT3A* knockout cells, mixed and injected as Figure 2A. After an average of 2 months and upon advanced leukemic disease, output cells were re-transplanted into secondary recipient mice and grown for another 2 months, until the secondary outputs were measured.
- **B** Flow cytometry results of outputs from both primary and secondary recipient mice are shown.
- **C** Identical experiment as shown in A and B, except that (i) primary recipient mice were kept for a reduced period of time of 26 days to allow reisolating sufficient numbers of KO cells and that (ii) KO cells were enriched and re-injected at a 1:1 ratio into secondary recipient mice.
- **D** Flow cytometry results of outputs from both primary and secondary recipient mice are shown, more data of secondary recipient outputs are showed in Source data.

# Source data\_1

• Raw western blot figures for QC of KO for all genes.



# Source data\_2

### **Knockout of either** *WT1* **or** *DNMT3A* **eliminates leukemia stem cells**

• The rest of the flow cytometry results of outputs from secondary recipient mice are showing here. Complementary data of Figure S15.



**2 nd recipient,** *DNMT3A*

# **Paper II**



## ARTICLE

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# In vivo inducible reverse genetics in patients' tumors to identify individual therapeutic targets

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High-throughput sequencing describes multiple alterations in individual tumors, but their functional relevance is often unclear. Clinic-close, individualized molecular model systems are required for functional validation and to identify therapeutic targets of high significance for each patient. Here, we establish a  $Cre-ER^{T2}$ -loxP (causes recombination, estrogen receptor mutant T2, locus of X-over P1) based inducible RNAi- (ribonucleic acid interference) mediated gene silencing system in patient-derived xenograft (PDX) models of acute leukemias in vivo. Mimicking anti-cancer therapy in patients, gene inhibition is initiated in mice harboring orthotopic tumors. In fluorochrome guided, competitive in vivo trials, silencing of the apoptosis regulator MCL1 (myeloid cell leukemia sequence 1) correlates to pharmacological MCL1 inhibition in patients´ tumors, demonstrating the ability of the method to detect therapeutic vulnerabilities. The technique identifies a major tumor-maintaining potency of the MLL-AF4 (mixed lineage leukemia, ALL1-fused gene from chromosome 4) fusion, restricted to samples carrying the translocation. DUX4 (double homeobox 4) plays an essential role in patients' leukemias carrying the recently described DUX4-IGH (immunoglobulin heavy chain) translocation, while the downstream mediator DDIT4L (DNA-damage-inducible transcript 4 like) is identified as therapeutic vulnerability. By individualizing functional genomics in established tumors in vivo, our technique decisively complements the value chain of precision oncology. Being broadly applicable to tumors of all kinds, it will considerably reinforce personalizing anti-cancer treatment in the future.

#### A full list of author affiliations appears at the end of the paper.

ranslating comprehensive cancer sequencing results into targeted therapies has been limited by shortcomings of model systems and techniques for preclinical target validation<sup>1,2</sup>. The methodological gap contributes to the fact that only below 10% of drugs, successful in preclinical studies, pass early clinical evaluation and receive approval $3,4$ .

Functional genomic tools including RNA interference (RNAi) proved of utmost importance to annotate the numerous alterations detected by multi-omics profiling and significantly deepened our understanding of the merit of individual genes as drug targets<sup>5,6</sup>. As limitation, functional studies have largely been restricted to cancer cell lines, which often fall short in predicting the role of alterations in individual human tumors<sup>7</sup>. To approximate the situation of the patient, the predictive power of primary tumor cell cultures<sup>8</sup> and organoids<sup>9</sup> is currently under intense investigation $10$ .

For mirroring the clinical situation even closer, patient-derived xenograft (PDX) mouse models have been demonstrated to faithfully recapitulate the complexity of tumors in humans. PDX models are available for the vast majority of human cancers, and their preclinical value for biomarker identification and drug testing is well established $11-15$ . It is increasingly recognized that the drug development process might profit from studying PDX models with molecular techniques, routinely used in cell line models and genetically engineered mouse models  $(GEMM)^{16,17}$ . Still, RNAi techniques were only rarely applied for in vivo mechanistic studies in PDX, mainly due to technical challenges such as low transduction efficiencies and the need for continuous in vivo growth and associated high demand on resources<sup>16</sup>. As an advantage over constitutive systems, inducible gene silencing prevents overestimating in vivo gene function by avoiding influences from, e.g., transplantation and engraftment, and allows mimicking the treatment situation in patients with established tumors. The use of  $Cre-ER^{T2}$ -loxP combines the properties of high ligand sensitivity while maintaining tight control of shRNA expression in the un-induced state, thus minimizing leakiness, an advantage over tet-regulated systems<sup>16,18-20</sup>.

Here, we report a Cre-ER<sup>T2</sup> inducible RNAi in PDX models in vivo, using acute leukemia (AL) as prototype disease where ex vivo investigation on primary cells is challenging, but orthotopic PDX models are promising $2^{1,22}$ . In proof of principle studies, we demonstrated that MCL1 silencing in acute lymphoblastic leukemia (ALL) and acute myeloid leukemia (AML) PDX models correlates to response to pharmacological MCL1 inhibition. We confirmed a tumor-maintaining potency of the MLL-AF4 fusion protein in PDX models in vivo and used the technique to identify DDIT4L as therapeutic targets in PDX ALL carrying the recently described DUX4-IGH translocation.

### Results

Development of a  $Cre-ER^{T2}$  inducible shRNA knockdown approach in vivo. To test the suitability of the inducible knockdown system across a broad range of leukemia subtypes, primary tumor cells from 5 patients with AL (3 pediatric ALL, 1 adult ALL, 1 adult AML; clinical patient data in Table S1) were transplanted into NOD scid gamma (NSG) mice (Fig. 1a). Resulting PDX cells were genetically engineered first with a construct encoding a Tamoxifen (TAM)-inducible variant of Crerecombinase, Cre-ERT2, together with a red fluorochrome for enriching transgenic cells and Gaussia luciferase (Luc) for bioluminescence in vivo imaging<sup>23</sup> (Fig. 1a). Transduction efficiencies were typically well below 30% (Table S2), putatively indicating a single viral integration per genome according to literature<sup>24</sup>, leading to homogenous expression levels of Cre-ER<sup>T2</sup> (Fig. S1a), minimal toxicity and neglectable leakiness in all

samples, thus overcoming one of the challenges of TRE-based inducible expression systems $^{16}$ .

In a second step, PDX cells were transduced with the small hairpin (sh) RNA expression vectors (Figs. 1a and S1b). The miR30-based knockdown cassette was directly coupled to a fluorochrome and both were cloned in antisense orientation, flanked by two pairs of loxP sites. In the absence of TAM, neither the inducible fluorochrome nor the shRNA were expressed. TAM administration induced a two-step Cre-ER<sup>T2</sup>-mediated recombination process which flipped the fluorochrome-shRNA insert into sense orientation, initiating its expression (Fig.  $$1b-c$ )<sup>25,26</sup>. A set of 4 recombinant fluorochromes was used to monitor shRNA transduction and recombination and to enable competitive in vivo assays (Fig. S1c). Transduction efficiency was tracked by iRFP the control vector encoding an shRNA targeting Renilla luciferase (shCTRL), or by mTagBFP in the vector encoding a gene of interest (GOI)-specific shRNA (shGOI) (Table S2). Upon TAM administration, Cre-ER<sup>T2</sup>-mediated recombination deleted the constitutively expressed fluorochromes iRFP and mTagBFP and induced expression of the second set of fluorochromes<sup>27</sup> (Figs. 1a and S1b–c). T-Sapphire and eGFP were chosen as inducible fluorochromes due to their high similarities in sequence and expression kinetics<sup>28</sup> and replaced iRFP and mTagBFP expression upon TAM treatment. The two knockdown vectors enabled pairwise competitive in vivo experiments in the same animal to increase reliability and sensitivity, while saving resources.

Mice were transplanted with a 1:1 mixture of PDX cells from the same patient expressing either of the two RNAi vectors, shCTRL or shGOI (Fig. 1a). For exemplary purposes and to describe distinct aspects of the method, the apoptosis regulator MCL1 was chosen as GOI (Figs. 1 and S1). As quality control, expression of constitutive markers revealed equal engraftment of both populations at the time of TAM administration (Fig. 1b).

To induce gene silencing, TAM was administered to mice with pre-established leukemias when homing and initial engraftment to the murine bone marrow was achieved and PDX cells were in the exponential growth phase, mimicking treatment of patients with pre-existing tumors. Systemic TAM administration induced expression of the inducible fluorochromes T-Sapphire or eGFP, in similar amounts for both constructs, starting as early as 24 h, with highest expression levels obtained at 72 h after TAM (Fig. 1b). The functional consequences of control and GOI knockdown were monitored by quantifying each population according to their fluorochromes, using flow cytometry (Fig. 1b–c). TAM was dosed to obtain substantial Cre-ERT2 induced recombination in the absence of toxicity and with recombination efficiencies independent of tumor load (Fig. S1d).

Several quality controls were performed to exclude unspecific toxicities; the distribution of both populations remained stable over time after TAM treatment, if both populations expressed shCTRL (shCTRL/shCTRL mixture in Fig. 1c, upper lane) in all PDX samples analyzed (Fig. S1d). Similarly, the distribution of the shCTRL/shGOI mixture remained unchanged, if mice received the carrier solution alone (Fig. S1f–g). These results are in line with our previous studies<sup>29</sup>, where we found that transduction and enrichment of PDX cells was not associated with clonal selection, and that PDX samples largely maintained their sample-specific mutational pattern.

In contrast and upon treatment with TAM, the population expressing a shRNA targeting an essential GOI (shMCL1) decreased over time and was overgrown by control cells (Fig. 1c, lower lane and Fig. S1h). Loss of cells with GOI knockdown in vivo proved a functional importance of the GOI on the molecular level, mimicking elimination of tumor cells in patients upon treatment with a targeted drug.



Inducible silencing of MCL1 correlates response to small molecule MCL1 inhibitors in vivo. To test whether inducible knockdown of the GOI correlates to targeted inhibitors, we first analyzed the response of PDX samples to shRNA-mediated inhibition of MCL1. We selected MCL1 as proof of principle target gene from literature as certain, but not all leukemias seem responsive to  $MCL1$  inhibition<sup>30,31</sup>. The anti-apoptotic gene MCL1 was chosen as it is dysregulated in numerous tumor entities<sup>32</sup> and MCL1 inhibitors are currently investigated in clinical trials yielding mixed results<sup>33</sup> (NCT03218683). Predicting treatment response for selecting patients who will profit from

MCL1 directed therapy remains a major challenge and functional in vivo assays might provide helpful insights $34$ .

We studied PDX models from three different patients with acute leukemia (AML-388, ALL-199, ALL-265). In the AML-388 PDX model, we found a clear decrease of cells with MCL1 knockdown compared to control cells in vivo, accompanied by efficient knockdown on protein level (Fig. 2a–b), validating MCL1 as important vulnerability. Importantly, these effects were independent of tumor load at the time of TAM administration, supporting the use of the inducible knockdown system at any disease stage (Fig. S2a). In contrast, knockdown of MCL1 in two Fig. 1 Establishing an inducible knockdown system in PDX acute leukemia cells in vivo. a Overview of the experimental setup: Primary acute leukemia (AL) cells were amplified in NSG mice and serially passaged PDX cells lentivirally transduced twice in a row; first to constitutively express Cre-ER<sup>T2</sup> together with mCherry and a luciferase (Luc); second to express inducible knockdown vectors containing (i) a constitutively expressed fluorochrome marker (either iRFP or mTagBFP) and (ii), placed in antisense orientation, a miR30-based knockdown cassette coupled to a second inducible fluorochrome (either T-Sapphire or eGFP). After amplification in mice, purified transgenic PDX cells were mixed 1:1 and transplanted into next recipient mice for competitive in vivo experiments. In mice with established leukemias, TAM was administered to induce Cre-ERT2-mediated recombination. Recombination inverted the knockdown cassette and induced (i) expression of the shRNA; (ii) deletion of the constitutive fluorochrome (either iRFP or mTagBFP) and (iii) expression of the inducible fluorochrome (either T-Sapphire or eGFP; see Fig. S1b for detailed description). As result, T-Sapphire positivity indicated cells expressing the shRNA targeting a control (shCTRL), while eGFP positivity indicated cells expressing the shRNA targeting the gene-of-interest (shGOI). If the GOI harbors an essential function, the eGFP-positive population gets lost over time in vivo, indicating that the patient might profit from drugs targeting the GOI. **b** Switch in fluorochrome expression upon Cre-ER<sup>T2</sup>-recombination: Double transgenic PDX AML-388 cells expressing Cre-ER<sup>T2</sup> together with either iRFP/shCTRL or mTagBFP/shGOI (shMCL1) were mixed 1:1 and injected into the tail vein of NSG mice  $(3\times10^5 \text{ cells/mouse}; n = 14)$ . 7 days after injection, 2 mice were sacrificed and PDX cells analyzed by flow cytometry for all 4 fluorochromes. In the remaining mice, 50 mg/kg TAM was administered by oral gavage to induce Cre-ER<sup>T2</sup>-mediated recombination. Resulting increase in T-Sapphire or eGFP expression, indicating expression of shCTRL and shGOI, respectively, was measured in PDX cells isolated from mice at the indicated time points (24, 36, 52 and 72 h after TAM;  $n = 3$  per time point). Representative histograms and plots are shown. c Typical results for a GOI with essential function: Upper scheme depicts the experimental procedure: For pairwise competitive assays, mice were injected with either of two mixtures: a control mixture of iRFP/shCTRL and mTagBFP/shCTRL (short shCTRL/shCTRL) or the experimental mixture iRFP/shCTRL and mTagBFP/shGOI (short shCTRL/shGOI); as GOI, the apoptosis regulator MCL1 was chosen (shGOI = shMCL1) (3×10<sup>5</sup> cells/mouse, data from 4 exemplary mice are shown). TAM was administered 7 days after injection (day 0). Mice were sacrificed 3 and 26 days after TAM and PDX cells analyzed for expression of the inducible fluorochromes T-Sapphire and eGFP. Density plots show representative results for both mixtures on day 3 (left) and day 26 (right). Right panels show quantification as percentage of [eGFP/shGOI positive cells divided by (the sum of T-Sapphire/shCTRL and eGFP/shGOI positive cells)]; the shCTRL/shCTRL mixture is analyzed and depicted, respectively.

ALL samples showed minor to no effects on growth, proving patient-individual sensitivities (Figs. 2c and S2b). Silencing MCL1 in AML-388 induced rapid cell death, which was already detectable within the first 72 h after TAM administration (Fig. S2c–e). Gene set enrichment analysis from RNA sequencing data comparing shCTRL and shMCL1 PDX cells indicated that MCL1 knockdown was associated with activation of the apoptosis pathway, verified using Annexin-V staining (Fig. S2d–e). To visualize selective loss of individual GFP-positive cells upon MCL1 silencing, re-transplantation experiments into wildtype zebrafish (danio rerio) were performed, which confirmed significant and rapid depletion of PDX cells upon MCL1 knockdown between 48 and 72 h after TAM in an independent in vivo model (Fig. S2f).

Taken together, using the inducible knockdown approach, MCL1 could be identified as a therapeutic vulnerability in one of 3 PDX samples, for which functional relevance could not be predicted by expression levels of anti-apoptotic BCL-2 family members, highlighting the need for functional assays (Fig. S2g).

As silencing of MCL1 induced cell death in PDX AML-388, but not in ALL-199 nor ALL-265, we next asked whether this correlates with response towards pharmacological inhibition of MCL1. We studied the small molecule antagonist S63845 (Fig. 2d), which has previously been shown to be effective in AML cell lines and PDX samples $31,35,36$  and is currently under clinical investigation as single agent (NCT02979366) or in combination regimens (NCT03672695). Treatment of mice bearing AML-388 significantly diminished tumor burden as monitored by in vivo bioluminescence imaging (Fig. 2e), reduced splenomegaly (Figs. 2f and S2h) and number of PDX cells (Fig. S2i) re-isolated from the murine spleens or bone marrow. In contrast, the MCL1 inhibitor had no effect on ALL-199, recapitulating effects observed in the inducible knockdown system. Thus, the inducible knockdown system correlated to response of PDX samples to the pharmacological inhibition, confirming the use of this technique as surrogate to study sample-specific vulnerabilities on a molecular level in a highly clinically relevant setting.

Because MCL1 has been shown to confer resistance to several anticancer drugs $37$ , we examined in a next step whether knockdown of MCL1 strengthens the response of AML PDX models towards drug treatment in vivo. Groups of mice were treated either with the BCL-2 inhibitor ABT-199 (Venetoclax) (Fig. 2g), or the conventional chemotherapeutic drug Cytarabine (Fig. S2j–k) at doses that do not significantly reduce tumor burden in mice. Both treatments further decreased the MCL1 knockdown population in a synergistic way, indicating that sensitivity towards ABT-199 or Cytarabine might be increased by MCL1 directed treatment in patients (Fig. 2g, Fig. S2j–k). Thus, using MCL1 as exemplary target, we provide evidence that our approach enables distinguishing between subgroups of tumors in order to select patients, which might profit from therapies targeting a certain GOI, and to evaluate treatment combinations.

Specific targeting of the fusion oncogene MLL-AF4. To further validate the specificity of our approach, we next studied a bona fide positive control with high likelihood of harboring an essential function in established PDX tumors in vivo. The translocation t(4;11) and corresponding expression of the MLL-AF4 fusion (KMT2A-AFF1) is present in 80% of infant B-precursor ALL patients, and is associated with poor prognosis<sup>38</sup>. Several studies elucidated its role in ALL cell lines and mouse models<sup>39</sup>, but up to date no molecular investigations on its function have been carried out in patient cells or established tumors growing in vivo. We designed a shRNA targeting a mRNA breakpoint shared by several patients, which significantly reduced expression of the fusion transcript (Fig. 3a). Because the shRNA sequence targeted neither of the individual wildtype genes, MLL or AF4 (Figs. 3a and S3a–b), no major adverse effects on normal tissue are expected when applied in vivo, e.g., by systemic gene therapeutic approaches. Inducible knockdown of MLL-AF4 significantly reduced ALL cells in the t(4;11)-positive PDX model tested, but not in a translocationnegative sample, proving a tumor maintaining role of MLL-AF4 in established patient tumors in vivo (Figs. 3b and S3a). Variations between the different animals were neglectable reflecting the high reliability of our approach (Fig. 3b). Reduced tumor growth of the shMLL-AF4 mixture was visible using in vivo imaging, even though 50% of injected tumor cells expressed shCTRL (Fig. 3c). Gene expression analysis demonstrated that shCTRL cells expressed a set



of genes characteristic for samples with the MLL-AF4 translocation<sup>40</sup>, which was no longer present upon shMLL-AF4 knockdown, where an expression signature similar to non-MLL rearranged samples prevailed (Fig. S3c–d).

These results prove the selectivity and operability of our technique and showed that MLL-AF4 harbors an essential function in established patient-derived leukemias growing in vivo. We provide strong molecular evidence in a clinically relevant model that the translocation transcript represents an attractive therapeutic target for future therapies.

DDIT4L is a therapeutic vulnerability in DUX4-IGH rearranged acute lymphoplastic leukemia. In a last step, we examined a less well studied tumor alteration, the recently discovered rearrangement t(4;14) which occurs in 7% of ALL patients and results in the DUX4-IGH gene fusion<sup>41</sup>. Because cells with  $t(4;14)$  display high levels of otherwise absent DUX4, we asked whether DUX4 represents a vulnerability in this subgroup of ALL in vivo. Using our technique, we demonstrated an essential function for DUX4 in t(4;14) rearranged ALL-811 (Fig. 3d). Expression of the DUX4-IGH translocation was reported to be associated with a defined gene expression Fig. 2 Inducible knockdown of MCL1 in vivo predicts response of AL PDX to pharmacological MCL1 inhibition. a-c Inducible knockdown of MCL1 in AL PDX. a Scheme depicting the experimental setup. Groups of mice were injected with the shCTRL/shMCL1 mixture for competitive in vivo assays ( $3\times10^5$ cells/mouse). TAM was administered when tumors were established; differences between eGFP-positive shMCL1 cells among all recombined cells were determined 3 days after TAM and at end stage leukemia to assess essentiality of MCL1. b-c Competitive experiments were set up as described in a; TAM (50 mg/kg) was applied once (day 0); mice bearing (b) AML-388 PDX cells were sacrificed 3 ( $n = 3$ ), 7 ( $n = 3$ ), 26 ( $n = 3$ ) and 36 ( $n = 4$ ) days after TAM; mice bearing ALL-199 PDX cells were sacrificed 3 ( $n = 3$ ) and 32 ( $n = 3$ ) days after TAM. MCL1 protein expression was analyzed in sorted shCTRL and shMCL1 populations by protein immunoassay (Simple Western) 7 days after TAM (AML-388) or at the experimental endpoint (ALL-199). Mean ± SEM of the proportion of eGFP-positive cells isolated out of all recombined cells is displayed; each dot represents one mouse; x marks mice shown in Fig. 1c. To determine significance of depletion of shGOI-expressing cells, the percentage of eGFP/shGOI cells at the experimental endpoint is compared to the percentage of eGFP/shGOI cells at 3d post TAM, as this time point is used to define the sample-specific recombination efficiency. \*p = 0.0136, \*\*\*\*p < 0.0001, ns not significant by unpaired t-test. **d-f** Pharmacological inhibition of MCL1 in AL PDX. **d** Scheme depicting the experimental setup. Groups of mice were injected with AML-388 (left;  $3\times10^5$  cells/mouse,  $n = 10$ ) or ALL-199 (right;  $1\times10^6$  cells/mouse,  $n = 10$ ) PDX cells expressing firefly luciferase. 14 days after injection, mice were treated with the small molecule MCL1 antagonist S63845 (mice received 25 mg/kg three times in the first week,  $12.5$  mg/kg twice in the second week, and once in the third week,  $n = 6$ ) or solvent as control ( $n = 4$ ) and tumor growth was monitored by biolumine scence in vivo imaging until mice were sacrificed 31 days after injection. e Representative bioluminescence images are depicted and graph shows mean ± SEM; \*\*\*\*p < 0.0001, ns not significant by unpaired t-test. f Images of spleens of control- or S63845-treated mice are displayed. One spleen of a healthy mouse without leukemia (healthy control) is shown for comparison. **g** The combinatorial effect of MCL1 knockdown plus ABT-199 (Venetoclax) was studied by injecting mice with a 1:1 mixture of shCTRL/shMCL1 AML-388 cells (3×10<sup>5</sup> cells/mouse) and treating them with 50 mg/kg TAM, 7 days after injection (day 0). 3d after TAM administration, control mice were sacrificed ( $n = 3$ ) and the remaining mice treated either with 100 mg/kg ABT-199  $(n=3)$  or solvent  $(n=3)$  for 5 consecutive days per week, in 2 cycles. At the end of the experiment (17 days after TAM), mice were sacrificed and analyzed as in Fig. 1c. Mean  $\pm$  SEM is shown; \*p = 0.0194 by unpaired t-test. Reduction of eGFP-positive cells in the shCTRL/shMCL1 mix relative to shCTRL/shCTRL ( $+/-$  ABT-199) is displayed. Each dot represents one mouse. Mean  $\pm$  SEM is shown; \*p = 0.0194 by unpaired t-test.

signature, previously referred to as the "ERG subtype"42-46. We performed gene expression analysis of shDUX4 and shCTRL ALL-811 cells (Fig. 3e) and performed gene set enrichment analysis (GSEA) with two published datasets  $43,45$ . We found genes overexpressed in DUX4 knockdown NALM6 cells<sup>43</sup> also enriched in our shDUX4 PDX sample (Figs. 3f and S3e Set 1). Accordingly, genes downregulated in  $DUX4$  knockdown NALM-6 cells<sup>43</sup> (Fig. S3e, Set 2) and genes highly expressed in the cluster of patients characterized by DUX4 translocation and ERG deletion<sup>45</sup> (Fig. S3f, Set 3) were enriched in the shCTRL sample (Fig. S3g). These data confirm the presence of the typical DUX4 signature in shCTRL PDX cells and demonstrate reversal of this signature upon DUX4 knockdown in a PDX model in vivo (Figs. 3e–f and S3e–g). Our technique could thus identify DUX4 as attractive therapeutic target to treat the recently detected subgroup of DUX4-IGH rearranged ALL.

To further confirm the relevance of the detected genes for tumor maintenance of DUX4-rearranged samples we tested the role of one gene that was downregulated upon DUX4 silencing in PDX ALL-811 and in NALM-6 cells (Fig. 3g), the DNA-damage-inducible transcript 4-like (DDIT4L; also known as Redd2 or Rtp801L), which has been shown to regulate mTOR signaling and autophagy in mammalian cells. DDIT4L expression is induced in the presence of different types of pathological stress, suggesting a possible involvement of DDIT4L in stress response<sup>47-49</sup>. Interestingly, we found DDIT4L highly expressed in DUX4 rearranged ALL (Fig. S3h). Inducible knockdown of DDIT4L significantly diminished leukemic growth within 2 weeks of in vivo tumor growth (Fig. 3h–i), suggesting that downregulation of DDIT4L might have mediated, at least in part, the growth inhibitory effects observed in the shDUX4 population. Taken together, we identify DDIT4L as a therapeutic vulnerability in the DUX4-IGH subtype of B-ALL.

### **Discussion**

We have established a method which combines an in vivo approach with patient-derived tumor cells and pre-established tumors for inducible knockdown and allows validating vulnerabilities on an individual patient level. We established the technique, as preclinical molecular approaches are lacking which faithfully mimic the situation of treatment in patients, characterized by existence of an established tumor in vivo. Our method is capable to evaluate the functional relevance of tumor alterations (i) in the background of individual patient tumors and their specific characteristics; (ii) in the complex in vivo environment of living beings and; (iii) in the situation of a pre-existing tumor, avoiding influences irrelevant for patients. Our molecular approach closely mimics the clinical situation and complements an important step in the evaluation chain of precision oncology. The molecular technique allows target validation, for single agent use or in combination therapies, independently from confounders such as pharmacodynamics and pharmacokinetics, toxicity and lack of specificity, inherent to drugs and compounds $50$ . Inducible genetically engineered mouse models (GEMM) allow studying gene function independently from, e.g., gestation-specific processes; in analogy, our approach allows studying vulnerabilities devoid of model-inherent processes like in vitro culture, transplantation, homing and engraftment. Our inducible approach closely controls for putative clonal bias as identical cells are studied, before and after induction of knockdown. Our knockdown approach might complement CRISPR/Cas9-mediated knockout approaches<sup>16</sup>, while putatively more coherently mimicking the partial, but incomplete target inhibition induced by drugs or compounds. In addition to alterations detected by sequencing efforts, our technique allows functional evaluation of targets detected by sequencing-agnostic approaches, e.g., in cell death pathways, and studying un-druggable targets, including non-coding  $RNAs<sup>51</sup>$ .

While we studied acute leukemias as model diseases, the CREloxP-system has been successfully used in numerous different tumor entities and our technique can easily be transferred to other cancers. We envision a major potential of our method on a proof-of-concept level, where deeper knowledge on tumor dependencies will improve drug design and the ability to interpret patient sequencing data. It might also serve as a highly clinic-related, functional biomarker to improve clinical decision making to individualize treatment. Due to its major potential to tailor drug development, improve patient care and increase the success rate of clinical trials, our technique will foster personalized oncology in the future.

#### Methods

Ethical statement. Written informed consent was obtained from all patients and from parents/carers in the cases where patients were minors. The study was performed in accordance with the ethical standards of the responsible committee on human experimentation (written approval by Ethikkommission des Klinikums der Ludwig-Maximilians-Universität München, Ethikkommission@med.unimuenchen.de, April 15/ 2008, number 068-08; September 24/2010, number 222-10; January 18/2019, number



222-10) and with the Helsinki Declaration of 1975, as revised in 2000. All animal trials were performed in compliance with the ARRIVE guidelines (https://

arriveguidelines.org) and in accordance with the current ethical standards of the official committee on animal experimentation (written approval by Regierung von Oberbayern, tierversuche@reg-ob.bayern.de, January 15/2016, Az. ROB-55.2Vet-2532.Vet\_02-16-7; Az. ROB-55.2Vet-2532.Vet\_02-15-193; ROB-55.2Vet-2532.Vet\_03-16-56).

Animal model. Six to 16 weeks old male and female NOD.Cg-Prkdcscid IL2rgtm1Wjl/ SzJ (NSG) mice (The Jackson Laboratory, Bar Harbour, ME, USA) were included. Mice were kept under specified pathogen-free (SPF) conditions with a 12/12 h light cycle, temperature of 20–24 °C and 45–65% humidity according to Annex A of the European Convention 2007/526 EC. The maximum stocking density of the cages corresponds to Annex III of the 2010/63 EU. The cages were constantly filled with structural enrichment and the animals had unlimited access to food and water. During the experiment, mice were kept in individually ventilated cages (IVCs). Hygiene monitoring was carried out at least quarterly in accordance with the current FELASA recommendation.

Donor mice used for PDX cell amplification were sacrificed at advanced leukemic disease (more than 60% leukemic cells within peripheral blood) or when first clinical signs of illness appeared (rough fur, hunchback, reduced motility, paralysis). Experimental mice were sacrificed at specified time points.

Generating transgenic patient derived xenograft (PDX) models. Establishing serially passaged AML and ALL PDX models in NSG mice, re-isolating PDX cells from mice, PDX cell culture, lentiviral transduction, enrichment of transgenic cells and in vivo imaging were described previously<sup>29,52,53</sup>.

Generation of AML and ALL-PDX models. Fresh primary AML or ALL cells were isolated by Ficoll gradient centrifugation from peripheral blood or bone marrow aspirates that had been obtained from leftovers of clinical routine sampling before onset of therapy and injected into 6–12 weeks old NSG mice via the tail vein. Engraftment was monitored by 2-weekly flow cytometry measurement of human cells in peripheral blood starting at week 4. Mice were sacrificed at first clinical signs of disease, as measured by quantification of human cells in peripheral blood. From engrafted mice

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Fig. 3 Essential function of MLL-AF4 and DUX4-IGH fusion proteins in rearranged ALL. a-c MLL-AF4 plays an essential role in vivo in MLL-AF4 rearranged ALL. a A shRNA targeting the MLL-AF4 fusion mRNA was designed, according to the patient's specific breakpoint of PDX ALL-707 (Table S3). mRNA expression of MLL-AF4, MLL and AF4 in PDX ALL-707 was analyzed by qPCR in CTRL and MLL-AF4 knockdown cells ( $n=3$  each). Mean  $\pm$  SEM of cells isolated from mice 28 days after TAM are shown. \*p = 0.0178 by Welch's t-test; ns not significant. **b** Competitive experiments were performed and analyzed as in Fig. 1c, using PDX ALL-707 cells and the shGOI targeting MLL-AF4. TAM was applied on two consecutive days (100 mg/kg, day −1 + 0). Mice were sacrificed 3 (n = 4), 13 (n = 3), 21 (n = 3) and 28 (n = 3) days after TAM; each dot represents one mouse; mean ± SEM; \*\*\*\*p < 0.0001, by unpaired t-test. c Representative in vivo bioluminescence images of mice bearing a shCTRL/shCTRL or shCTRL/shMLL-AF4 mixture from the experiment described in Fig. 3b, at the indicated time points after TAM administration.  $d-g$  DUX4 plays an essential role in DUX4-IGH rearranged ALL. d Competitive experiments were performed and analyzed as in Fig. 2b, using ALL-811 and the shRNA targeting DUX4 (1.4×10<sup>6</sup> cells/mouse). 21 days after injection, TAM (50 mg/kg) was applied once (day 0). Mice were sacrificed 3 ( $n=3$ ) and 61 ( $n=6$ ) days after TAM. Shown is mean ± SEM. \*\*\*\*p < 0.0001 by unpaired t-test. Protein immunoassay of DUX4 in NALM-6 cells, after lentiviral transduction with the indicated shRNAs. β-actin was used as loading control. **e** Transcriptome analysis was performed from eGFP/shCTRL and eGFP/shDUX4 cells from the experiment described in panel **d** 82 days after TAM  $(n=3$  for each condition). Heatmap of 47 genes differentially expressed between the two groups is shown. All gene expressions have been scaled to a mean value of 0 and a variance of 1. f Enrichment plot of genes deregulated in shDUX4 PDX cells compared to genes upregulated two-fold (Set 1) in a published transcriptomic signature (Tanaka et al.<sup>43</sup>) generated from NALM-6 cells expressing shDUX4. NES = 2.19 (FDR q-value < 0.002). **g** Mean  $\pm$  SEM  $(n=3$  independent animals for shCTRL or shDUX4) of three differentially expressed genes are depicted; \*\*p = 0.0040 for CD34, \*\*p = 0.0011 for CLEC12A and \*p = 0.0145 for DDIT4L by unpaired t-test. **h**, i DDIT4L inhibition partially phenocopies DUX4 silencing. **h** mRNA expression of DDIT4L in NALM-6 was analyzed by qPCR in CTRL and DDIT4L knockdown cells ( $n = 3$  each). Mean  $\pm$  SEM of cells isolated 7 days after TAM are shown. \*\*\*p < 0.001 by unpaired t-test. i Competitive experiments were performed and analyzed as in Fig. 2b, using the NALM-6 cell line and the shRNA targeting DDIT4L (5×10<sup>6</sup> cells/mouse (for day 3) and 1×10<sup>5</sup> cells/mouse (for day 15)). 5 days after injection, TAM (50 mg/kg) was applied once (day 0). Mice were sacrificed 3 ( $n = 3$ ) and 15 ( $n = 3$ ) days after TAM. Shown is mean  $\pm$  SEM. \*\*\*p = 0.0003 by unpaired t-test.

(first generation), PDX AML or ALL cells were reisolated out of femurs, tibiae and spleen by mincing the tissues and filtration through a cell strainer, followed by Ficoll gradient centrifugation in case of splenic cells<sup>29</sup>. PDX AML cells were identified by staining for human CD45, CD33, CD3 and CD19 (CD38 for PDX ALL) and flow cytometry analysis. Without further enrichment or manipulation,  $1\times10^6$ -5×10<sup>6</sup> total BM cells were reinjected into next recipient NSG mice for reexpansion (secondary transplantation).

Lentiviral transduction and cell enrichment. Lentiviral transduction was performed as previously described<sup>52</sup>. Briefly, PDX cells freshly isolated from mouse spleen or BM were re-suspended in RPMI-Medium (Life Technologies) supplemented with 20% fetal calf serum (Biochrom AG, Berlin, Germany), 5% L-Glutamin, 1% Gentamycin, 1% Penicillin/Streptomycin, 0.6% mixture of rh insulin/ human transferrin/sodium selenite (Life Technologies), 1 mM sodium pyruvate, and 50  $\mu$ M 1-thioglycerole (Sigma-Aldrich, Hannover, Germany).  $1 \times 10^6$  cells in 1 ml medium were transferred to a cell culture plate and were transduced overnight with lentiviral constructs in the presence of 8 µg/ml polybrene (Sigma-Aldrich). To save one round of passaging through mice, PDX cells freshly transduced with lentiviruses were kept in culture for 4 days to allow marker expression and enrichment of transgenic cells using a FACSAria III (BD Bioscience) and the FACSDiva software 8.0.2 (BD Bioscience).Sorted cells were then re-injected into next generation recipient mice.

Bioluminescence in vivo imaging. In vivo bioluminescence imaging (BLI) BLI was performed as previously described<sup>52</sup>. The IVIS Lumina II Imaging System was used (Caliper Life Sciences, Mainz, Germany). Mice were anesthetized using isoflurane, placed into the imaging chamber in a supine position and fixed at the lower limbs and by the inhalation tube. Coelenterazine (Synchem OHG, Felsberg/Altenburg, Germany) was dissolved in acidified methanol (HPLC grade) at concentration 10 mg/ml and diluted shortly before injection in sterile HBG buffer (HEPES-buffered Glucose containing 20 mM HEPES at pH 7.1, 5% glucose w/v). Immediately after intravenous tail vein injection of 100 µg of native Coelenterazine, mice were imaged for 15 s using a field of view of 12.5 cm with binning 8, f/stop 1 and open filter setting. To monitor tumor growth, mice were imaged once weekly; after therapy, mice were imaged every other day.

Quantification of BLI pictures. Quantification of BLI signal was performed as previously described<sup>52</sup>. The Living Image software 4.4 (Caliper Life Sciences, Mainz, Germany) was used for data acquisition and quantification of light emission using a scale with a minimum of  $1.8\times10^{4}$  photons per second per cm2 per solid angle of one steradian (sr). Different regions of interest (ROI) were defined and signals were considered positive, when light emission exceeded background in each ROI. Background was measured in mice harboring GLuc negative leukemias. A ROI covering the entire animal was used (background  $4\times10^6$  photons per second). As an exception to determine early engraftment, a small ROI covering the femurs was used (background  $6\times10^4$  photons per second), as light emission became visible there first. Overt leukemia was considered above 10<sup>10</sup> photons per second using the ROI covering the entire animal.

**Cloning**. For constitutive expression of the Cre-ER<sup>T2</sup> recombinase, the coding sequence of the enzyme was PCR amplified from the CreERT2FrtNeoFrt cassette (gift from MSS) using a 5' primer carrying NsiI and a 3' primer carrying P2A-NsiI and ligated into the NsiI digested pCDH-SFFV-GLuc-T2A-mCherry vector downstream of the T2A peptide (Fig. S2a) (pCDH-vector, System Bioscience). For inducible knockdown of target genes, the lentiviral FLIP vector system25,26 was optimized to link shRNA expression to fluorochrome expression. We used the lentiviral pCDH backbone, digested the vector with SpeI and SalI and introduced the following elements as a pre-synthetized stretch of DNA (GenScript®, Piscataway, NJ, USA): SpeI - SFFV - lox2272 - mTagBFP (iRFP720) - lox5171 - mir30 cassette-eGFP (T-Sapphire) -lox2272 - lox5171 – SalI (Fig. S2b). The shRNA sequences targeting the different genes (MCL1, DUX4, DDIT4L; see Table S2) were designed using the SplashRNA algorithm<sup>54</sup>, with the exception of MLL-AF4 where sequences were designed to directly cover the patient-specific translocation breakpoint (Table S2). As control, a shRNA targeting the Renilla luciferase was used in all experiments (shCTRL). The shRNA-sequences were introduced into the miR30 cassette of the KD vector as part of pre-synthetized and annealed, complementary single strand DNA oligos (110 bps, see Table S2; Integrated DNA Technologies, USA), having XhoI and EcoRI as 5' and 3' restriction sites, respectively. For knockdown of MLL-AF4, the miR-E KD cassette was used<sup>55</sup> and concatemerized to enhance the knockdown efficiency<sup>56</sup>.

In vivo assays and Tamoxifen administration. For pairwise competitive in vivo experiments, PDX transduced with either the control shRNA expressing iRFP (iRFP720) or the shRNA against the GOI expressing mTagBFP were freshly isolated from a donor mouse, were mixed at a 1:1 ratio (shCTRL/shGOI mix) and cells were injected into the tail vein of recipient NSG mice. Of note, to achieve reliable and reproducible results, the use of PDX cells freshly isolated from donor mice (not frozen/ thawn cells) is recommended. At best, the initial mixture should not substantially differ from a 1:1 mix. As a control, several groups of mice were injected with the shCTRL/ shCTRL mix, consisting of PDX cells transduced with either the control shRNA expressing iRFP or the control shRNA expressing mTagBFP. To promote Cre-ERT2 translocation to the nucleus and induction of RNA interference, Tamoxifen (TAM, Cat#T5648-5G, Sigma) was resuspended in a sterile mixture of 90% corn oil (Cat#C8267-500ML, Sigma) and 10% ethanol at final concentration of 20 mg/ml; aliquots were stored for a maximum of 3 months at −20 °C. Before administration to mice, the solution was heated to 37 °C and applied via oral gavage. TAM concentrations were titrated to induce substantial shRNA expression and was given once at 50 mg/kg for AML-388, ALL-199, ALL-265 and ALL-811, while animals with ALL-707 received 100 mg/kg TAM on two consecutive days. TAM was given by earliest 7 days after cell transplantation and after engraftment was completed.

Flow cytometric analysis of competitive in vivo experiments. Freshly isolated PDX cells were analyzed using LSRII (BD Bioscience) to determine fluorochrome distributions. Forward/Side scatter analysis was used to gate on living cells, followed by gating on mCherry (Cre-ERT2) positive PDX cells. At the beginning, the two cell populations of the mixture were distinguished by expression of either iRFP or mTagBFP. Upon Cre-ER<sup>T2</sup> recombination, cells expressing shCTRL started expressing  $\pi$ T-Sapphire (instead of iRFP), while cells expressing shGOI expressed eGFP (instead of mTagBFP) (Fig. S1b); the color switch was monitored in two separate histograms for either T-Sapphire or eGFP (Fig. 1b). The final analysis combined and compared all cells expressing either of the two shRNAs, either T-Sapphire/shCTRL or eGFP/shGOI (Fig. 1b and c).

To determine the sensitivity of different PDX samples to inhibition of selected GOI, the percentage of cells with knockdown of the GOI (eGFP-expressing cells) were compared between starting conditions (3 days after TAM) to later time points, using at least  $n = 3$  data points per time point and condition. A significant depletion in the amount of eGFP/shGOI positive cells over time characterized PDX samples sensitive to the knockdown of the GOI. For target genes inducing rapid cell death upon knockdown, day 1 after TAM administration can be used for comparison. To separate shCTRL and shGOI populations for further investigations, cells were sorted using FACSAria III (BD Bioscience).

Statistical analysis. Statistical significance of pairwise competitive in vivo experiments was analyzed by comparing the percentage of eGFP-positive cells out of all recombined cells (sum of T-Sapphire positive plus eGFP positive cells) between the shCTRL/shGOI mix at 72 h after TAM administration with the shCTRL/shGOI mix at the end of each experiment. Statistical analyses were performed using GraphPad Prism 8. Student's t-test was used, if not differently stated in the legends. A p-value of ≤0.05 was considered significant.

In vivo drug treatment. For in vivo treatment with ABT-199 (Venetoclax, SelleckChem, USA) or Cytarabine (Cell Pharma GmbH, Bad Vilbel, Germany), mice were injected with a 1:1 mixture of shCTRL/shMCL1 AML-388 PDX cells (3×105 cells/ mouse) and TAM was administered one week thereafter to all animals. 72 h after TAM, three mice were sacrificed to determine recombination efficiency. The remaining animals were divided into three groups and treated either with solvent ( $n = 3$ ) or ABT-199 (100 mg/kg in Carboxymethyl cellulose (1% w/v) + DMSO (2% v/v) by oral gavage for 5 consecutive days and 2 weeks;  $n = 3$ ) or Cytarabine (100 mg/kg in PBS by intraperitoneal injection for 4 consecutive days and 1 week;  $n = 3$ ). At the end of the experiment, mice were sacrificed, BM processed and PDX cells analyzed by flow cytometry for subpopulations' distribution.

Synergistic effect was calculated using the fractional product method<sup>57</sup> Measured survival rates were 0.39 upon MCL1 KD and 1.0 upon Venetoclax; expected apoptosis induction of independent application of MCL1 knockdown and Venetoclax was calculated as [(1 minus (survival after simulation with MCL1 knockdown) times (survival after stimulation with VCR)) times 100] which resulted to be 0.61; measured apoptosis by the combination of MCL1 and Venetoclax was 0.94 and thus much higher than the expected apoptosis of 0.61, proving that the combination acted in a synergistic way.

For in vivo treatment with S63845 (Hölzel Diagnostika, HY-100741-50mg), mice were injected with luciferase expressing ALL-199 (1×10<sup>6</sup> cells/mouse) or AML-388 PDX cells (3×10<sup>5</sup> cells/mouse). Tumor growth was monitored twice per week by bioluminescence imaging. Two weeks after cell injection, mice were treated with S63845 (12.5 mg/kg in 25 mM HCl + 20% 2-hydroxy propyl β-cyclo dextrin by i.v. injection; week 1: 3 doses; weeks 2 and 3: two doses). At sign of overt leukemia, mice were sacrificed, spleens weighted and the proportion of PDX cells in BM and spleen analyzed by flow cytometry.

Engraftment of PDX cells in zebrafish. For PDX cell preparation, AML-388 PDX cells expressing (i) mCherry-Cre-ERT2 and (ii) the knockdown construct mTagBFP/shMCL1, were amplified in a donor mouse. Mice were sacrificed, human cells isolated and treated in vitro with 50 nM TAM (Sigma-Aldrich, H7904-25G) to induce recombination and shRNA expression. To allow competitive experiments comparing cells with and without recombination, mCherry positive cells were sorted 48 h after TAM to gain a 1:1 mixture of eGFP:mTagBFP positive cells and<br>thus 50% of cells with Cre-ER<sup>T2</sup>-induced recombination.

48 h after fertilization, dechorionated, 1-phenyl 2-thiourea (PTU) treated (75 µM) (Sigma-Aldrich, P7629) wild type zebrafish embryos (Danio rerio, AB line) were anesthetized with Tricain (Sigma-Aldrich, A5040). Embryos were injected through the Duct of Cuvier, using a Femtojet microinjector (Eppendorf, Hamburg, Germany), with 200 to 500 AML-388 PDX cells per embryo of the mTagBFP/shMCL1 mixture. Embryos were raised at 36 °C. At 4 and 28 h post transplantation (hpt), embryos were anesthetized with 750 µM Tricain and embedded in 1.5% low melting-temperature Agarose (Lonza, MetaPhor Agarose 50185) containing 75 µM PTU and 750 µM Tricaine.

Each larva was imaged using a spinning disc microscope (20x magnification) and images were applied to maximal intensity projection. Using the spot detection<br>function (LoG detector) of the Image-J plugin TrackMate<sup>58</sup> PDX cells were identified by mCherry-Cre-ERT2 expression. To quantify the subfraction of cells expressing the shRNA, the median eGFP signal was determined at 4 hpt. For each fish the percentage of eGFP positive, shRNA expressing cells was calculated at 4 hpt and 28hpt using the determined median as threshold.

Zebrafish embroys/larvae were studied exclusively within the first 5 days after fertilization, handled compliant to local animal welfare regulations and maintained according to standard protocols (www.ZFIN.org) which does not require a special permit according to German Laboratory Animal Protection Law.

Flow cytometric analysis of BH3 proteins' level and Annexin V staining. To determine intracellular expression levels of BH3 proteins, cells were fixated in 2% paraformaldehyde, permeabilized using perm/wash buffer (BD Bioscience, Franklin Lakes, NJ, USA) and subsequently stained with fluorescently labeled antibodies against

BCL-2 (clone Bcl-2/100, BD Bioscience), BCL-XL (clone 54H11, Cell Signaling, Cambridge, UK), MCL-1 (Clone D2W9E, Cell signaling) or respective isotype controls (Cat.: 556357, BD Bioscience; clone DA1E, Cell Signaling). Dead cells were excluded by Fixable Viability Dye staining. If not otherwise stated, reagents and antibodies were purchased from eBioscience. Flow cytometric analysis was performed on a BD FACS Canto II (BD Bioscience) and data were analyzed using FlowJo software (TreeStar Inc., Ashland, OR, USA).

Annexin V staining was performed on PDX AML-388, ALL-199 and ALL-265 cells isolated from the mouse BM 72 h after TAM treatment or thawed and treated in vitro, using PE/Cy7 Annexin V (BioLegend, 640949) according to the manufacturer's instruction and analyzed by flow cytometry (LSRII, BD Bioscience).

Targeted genome sequencing. The MLL-AF4 breakpoint was sequenced at the certified laboratory for Leukemia Diagnostics, Department of Medicine III, University Hospital, LMU Munich, Munich, Germany.

Real-time quantitative PCR. Total RNA from flow cytometry enriched populations was extracted using RNeasy Mini Kit (Qiagen, Venlo, Netherlands) and reverse transcribed using the QuantiTect Reverse Transcription kit (Qiagen, Venlo, Netherlands) according to manufacturer's instruction. Quantitative PCR was performed in a LightCycler 480 (Roche, Mannheim, Germany) using the corresponding LightCycler 480 Probes Master and the pre-designed Probes of the Universal ProbeLibrary (Roche, Mannheim, Germany). The primer and probes used for qPCR are: HPRT1\_fw: TGATAGATCCATTCCTATGACTGTAGA, HPRT1\_rv: CAAGACATTCTTTCCAGTTAAAGTTG, UPL #22; MLL/AF4\_fw: AAGTTCCCAAAACCACTCCTAGT, MLL/AF4 rv: GCCATGAATGGGTCAT TTCC, UPL #22; MLL\_fw: AAGTTCCCAAAACCACTCCTAGT, MLL\_rv: GATCCTGTGGACTCCATCTGC, UPL #22: AF4\_fw: CTCCCCTCAAAAAG TGTTGC, AF4\_rv: TAGGTCTGCTCAACTGACTGAG, UPL #84; DDIT4L\_fw: CCCAGAGAGCCTGCTAAGTG, DDIT4L\_rev: TTGCTTTGATTTGGACAGA CA, UPL #67. Relative gene expression levels were normalized to HPRT1 using the  $2-\Delta\Delta$ Ct method.

Gene expression profiling. Gene expression analysis was performed by applying a bulk adjusted SCRB-Seq protocol on sorted subpopulations from PDX samples as described previously59,60. Briefly, for library preparation 2,000 cells of each individual sample were sorted and lysed in RLT Plus (Qiagen) supplemented with 1% 2-Mercaptoethanol (Sigma–Aldrich) and stored at −80 °C until processing. A modified SCRB-seq protocol (6, 7) was used for library preparation. Briefly, proteins in the lysate were digested by Proteinase K (Ambion), RNA was cleaned up using SPRI beads (GE, 22% PEG). In order to remove isolated DNA, samples were treated with DNase I for 15 min at RT. cDNA was generated by oligo-dT primers containing well specific (sample specific) barcodes and unique molecular identifiers (UMIs). Unincorporated barcode primers were digested using Exonuclease I (Thermo Fisher). cDNA was preamplified using KAPA HiFi HotStart polymerase (Roche) and pooled before Nextera libraries were constructed from 0.8 ng of pre-amplified cleaned up cDNA using Nextera XT Kit (Illumina). 3' ends were enriched with a custom P5 primer (P5NEXTPT5, IDT) and libraries were size selected using 2% E- 6 Gel Agarose EX Gels (Life Technologies), cut out in the range of 300–800 bp, and extracted using the Monarch DNA Gel Extraction Kit (New England Biolabs) according to manufacturer's recommendations.

All raw fastq data was processed with  $zUMIs<sup>61</sup>$  (2.4.5b). Mapping was performed using STAR 2.6.0a<sup>62</sup> against the concatenated human (hg38) and mouse genome (mm10). Gene annotations were obtained from Ensembl (GRCh38.84/ GRCm38.75). Analysis of RNA sequencing data followed standard recommendations $63$ . Statistical analysis was performed using the R 3.6.1 software package (R Core Team, 2019). In case of multiple testing, p-values were adjusted using the Benjamini-Hochberg procedure (FDR-cutoff <0.05). Gene Set Enrichment Analysis (GSEA) using default settings (version 4.0.2) was used for the association of defined gene sets with different subgroups<sup>64</sup>.

For PDX-707 Massice Analysis of cDNA Ends (MACE) was performed at GenXPro (Frankfurt am Main, Germany). Therefore, 28 days after TAM 50,000 cells eGFP/ shCTRL ( $n = 3$ ) and eGFP/shMLL-AF4 PDX ( $n = 3$ ) cells were sorted and sent to GenXPro for total RNA isolation, MACE library preparation and strand-specific sequencing using the HiSeq2500 (Illumina, USA), as previously described<sup>65</sup>. The bioinformatic analysis was conducted in accordance to the analysis pipeline for MACE libraries by GenXPro GmbH. Distinct Oligo IDs and UMIs on each transcript enabled initial demultiplexing and subsequent removal of PCR-duplicates for alignment of adapter-free sequences with Bowtie 2 to the human reference genome (Genome Reference Consortium Human Build 38 patch release 13, GRCh38.p13). Considering sequencing depth and RNA composition, the sequencing data was normalized with the median of ratios method by DESeq2. GSEA was carried out to compare the effect of the MLL-AF4 KD in the t(4;11) PDX ALL-707 with published transcriptomic data from t(4;11) leukemia patients (expression data from Stam et al.<sup>40</sup>; GEO database: GSE19475; significant genes were selected according to Lin et al. (2016):  $p \le 0.05$ , FDR  $\le 0.1$ , fold change ≥2). The GSEA software of UC San Diego and the Broad Institute was used for analysis. Permutation testing was conducted with a gene set specific permutation test, set to 1000 permutations.

To study DUX-4 expression in B-ALL patients we downloaded log2-FPKM values of 1988 patients with B-progenitor ALL from the publicly available St. Jude

Cloud (https://viz.stjude.cloud/stjude/visualization/pax5-driven-subtypes-of-bprogenitor-acute-lymphoblastic-leukemia-t-sne~15), as previously described<sup>66</sup>.

Protein immunoassay. To quantify protein of low PDX cell numbers, the Simple Western capillary protein immunoassay (WES, ProteinSimple, San Jose, USA) was performed according to manufacturer's instructions as previously described<sup>67</sup>. Flow cytometry enriched cell populations were incubated in lysis buffer (#9803, Cell Signaling Technology, Boston, USA) on ice for 30 min and protein concentration measured by BCA assay (#7780, New England Biolabs, Beverly, USA). Results were analyzed using the Compass software (ProteinSimple). Antibodies used were MCL1 (D3CA5, Cell Signaling Technologies), DUX4 (MAB9535, R&D system) and β-actin (NB600-501SS, Novus Biologicals). Western blot analysis of PDX ALL-265 was performed as previously described<sup>68</sup>, using the following antibodies: MCL1 (S-19, Santa Cruz Biotechnology) and GAPDH (6C5, Merck Millipore).

Reporting summary. Further information on research design is available in the Nature Research Reporting Summary linked to this article.

### Data availability

The RNA-seq data generated in this study have been deposited at the Gene Expression Omnibus under the following accession codes: GSE182760 (MCL1), GSE181973 (MLL-AF4), GSE182780 (DUX4-IGH). Source data are provided with this paper.

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#### Author contributions

M.C. designed and performed experiments, analyzed data and wrote the manuscript, K.V. designed, performed experiments and analyzed data with contributions from J.V. (establishing the technique), M.B. (DUX4-IGH), D.S. (data analysis and writing the manuscript), Y.G. (PCR, MCL-1 inhibitor treatment), W.H.L. (cloning), B.V. (establishment of treatment regimens), J.P.S. (quality control experiments); J.W.B. performed and T.H. and V.J. analyzed DUX4 SCRB-seq data; A.W. and R.M. analyzed MLL-AF4 RNAseq data; A.A. and V.B. performed zebrafish experiments; V.D. and P.J.J. quantified BH3 protein expression; B.F. and K.R. designed fluorochrome use; C.B., L.B., L.L. and D.M.S. provided PDX models; C.M., M.S.S. and M.B. developed cloning strategies; I.J. supervised the study, and contributed to experimental design, data analysis and writing the manuscript.

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# **Supplementary information**

# **In vivo inducible reverse genetics in patients' tumors to identify individual therapeutic targets**

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This file contains:

Supplementary Tables

Supplementary Figures and Legends

# **Supplementary Tables**



### **Supplementary Table 1. Clinical characteristics of AML and ALL patients**

\*when the primary sample was obtained; ∞ mutations determined by panel sequencing; § time of passaging through mice refers to the time from injection of the sample until mice had to be sacrificed due to end stage leukemia; ID = initial diagnosis; R1 = 1<sup>st</sup> relapse; R2 = 2<sup>nd</sup> relapse; f = female; m = male; N.D. not determined.

<sup>1</sup> Vick et al., PLoS One 2015

<sup>2</sup> Ebinger et al., Hematologica 2020

<sup>3</sup> Ebinger et al., Cancer Cell 2016

<sup>4</sup> Heckl et al., Leuk Lymphoma 2019



# **Supplementary Table 2. Generation of transgenic PDX**

 $^{\S}$ time of passaging through mice refers to the time from injection of the sample until mice had to be sacrificed due to end stage leukemia; \*from a blank sample to a double-transgenic (Cre and shmiR) sample

# **Supplementary Table 3. shRNA sequences**



\* For each target an additional shRNA sequence was tested.

# **Appendix to Supplementary Table 3.**

Sequence of the 110bps oligo to be cloned into the pCDH-plasmid digested with XhoI and EcoRI enzymes:







# **Supplementary Figures**



**Suppl. Figure 1** 





### **Supplementary Figure 1: Inducible knockdown system in PDX acute leukemia models in vivo and quality controls**

**a)** Details of the Cre-ERT2 expression construct (left). Expression of a Gaussia luciferase (Luc) for in vivo imaging, Cre-ER<sup>T2</sup> and mCherry are under the control the SFFV promoter and connected via 2A-peptides. Histogram (right) displays expression levels of mCherry in different AML-388 PDX derivatives, co-transduced with different knockdown constructs; similar data were obtained in all 5 PDX models studied.

**b)** The 2-steps process of Cre-ER<sup>T2</sup> mediated recombination. The shRNA cassette is flanked by two different pairs of loxP sites; upon treatment of mice with TAM, Cre-ER<sup>T2</sup> translocates to the nucleus and first induces a reversible inversion between either of the two pairs of loxP sites (one example is shown); this converts the out-of-frame cassette into frame so that both, the inducible fluorochrome (T-Sapphire or eGFP) and the coupled shRNA, get under control of the SFFV promoter. In a second step, Cre-ER<sup>T2</sup> mediates an irreversible deletion between the second pair of loxP sites, resulting in deletion of the original fluorochrome (iRFP or mTagBFP). As end product, the constitutively expressed fluorochrome is lost, while the inducible fluorochrome is expressed in equimolar amounts together with the shRNA.

**c**) Gating strategy for the analysis of the competitive in vivo assays. All in vivo experiments have been analyzed following the depicted gating strategy. Debris exclusion, living cells gating (SSC-A/FSC-A), mCherry gating to analyze exclusively PDX cells expressing the CreERT2 enzyme. As last step, cells have been analyzed for the expression of mTagBFP or iRFP in the absence of TAM; or for the expression of eGFP or T-Sapphire after TAM administration.

**d)** Recombination efficiency is independent from tumor burden. Mice were injected with a mix of shCTRL/sh*MCL1* cells. Tumor growth was monitored by in vivo imaging; at the indicated time points, TAM was administered at 50 mg/kg per mouse to induce Cre-ER<sup>T2</sup>-mediated inversion/deletion and consequent shRNA expression. Recombination efficiency was analyzed 48h after TAM by quantifying expression of the inducible fluorochrome markers by flow cytometry. Data from representative mice are displayed; 2 mice per time point were analyzed.

**e-g)** Quality control experiments:

e) Competitive in vivo experiments were set up as described in Figure 1c. The shCTRL/shCTRL mixture of AML-388 (n=6, 3\*10<sup>5</sup> cells/mouse), ALL-199 (n=6, 3\*10<sup>5</sup> cells/mouse) and ALL-265 (n=6, 3\*10<sup>5</sup> cells/mouse) was injected and eGFP-positive cells among all recombined cells were quantified at the indicated time points. To determine significance of depletion of eGFP-expressing cells, the percentage of eGFP cells at the experimental endpoint is compared to the percentage of eGFP cells at 3d post TAM. Mean ± SEM, \* p=0.0185, ns not significant by unpaired t-test.

f) Competitive in vivo experiments were set up as described in Figure 1**c**, except that mice were injected with the solvent corn oil alone without TAM (n=4). One week after injection (day 0) two mice were sacrificed; flow cytometry plots show results from one representative mouse per time point; percentage of iRFP/shCTRL positive versus mTagBFP/shGOI (sh*MCL1*) positive cells was determined from all mCherry-Cre-ERT2-positive cells. Corn oil was administered to the remaining two mice and cells analyzed 26 days after by flow cytometry. g) Percentage of mTagBFP positive cells was quantified from all isolated cells from the experiment described in Figure S1e, expressing either mTagBFP or iRFP, for the two different mixtures shCTRL/shCTRL or shCTRL/sh*MCL1*.

h) Data complementing Figure 1c; from the shCTRL/sh*MCL1* mixture, shCTRL cells and sh*MCL1* cells were analyzed separately and not as pairwise competitive analysis as in Figure 1c. Upper row shows cells harboring the iRFP/shCTRL construct without shCTRL expression converting upon TAM treatment into T-Sapphire/shCTRL with shCTRL expression; lower row shows cells harboring the mTagBFP/shMCL1 construct without shMCL1 expression converting into eGFP/sh*MCL1* with sh*MCL1* expression. Right panels show quantification as [eGFP/shGOI positive cells divided by (the sum of mTagBFP/shGOI positive plus eGFP/shGOI positive cells)], respectively. The reliability of this type of analysis is restricted to settings with low cell death within the first 3 days.



```
eGFP/shMCL1
```










**Suppl. Figure 2** 

### **Supplementary Figure 2:** *MCL1* **is essential in AML-388 but dispensable in two ALL-PDX**

**a)** *MCL1* essentiality is independent from tumor burden. Experiments were set up as described in Figure 2b, except that 2\*10<sup>6</sup> cells of the AML-388 shCTRL/shMCL1 mixture were injected and TAM was administered at a higher tumor burden. Mice were sacrificed 3 (n=3) and 10 (n=4) days after TAM and at end stage leukemia (n=4). Representative bioluminescence imaging pictures at the day of TAM administrations following injection of 3\*10<sup>5</sup> (early stage; Figure 2b) and 2\*10<sup>6</sup> (late stage) cells are shown. Graph displays mean ± SEM of the proportion of eGFP-positive cells isolated out of all recombined cells; grey line indicates results displayed in Figure 2b for comparison. Each dot represents one mouse. \*\* p=0.0067 by unpaired t-test.

**b)** Experiment described in Figure 2b was identically performed and depicted in ALL-265 (injection of 3\*10<sup>5</sup> cells/mouse, n=7. Mean ± SEM of results is shown. At the end of the experiment, MCL1 protein expression was analyzed in sorted shCTRL and sh*MCL1* populations by Western blot (ALL-256). ns not significant by unpaired t-test.

**c)** *MCL1* knockdown cells are depleted early after TAM induction. For a kinetic of eGFP-expression at early time points after TAM, competitive experiments were performed and each subpopulation analyzed separately as described in Figure S1g; mice were analyzed at 24, 36, 52 and 72 hours after TAM administration (n=3 each). The analysis shows quantification as [eGFP/sh*MCL1* positive cells divided by (the sum of mTagBFP/sh*MCL1*-positive plus eGFP/sh*MCL1*-positive cells)]. The same analysis was performed for the shCTRL/shCTRL mixture. Mean ± SEM per group per time point is displayed. \*\* p=0.0016 by unpaired t-test.

**d)** Gene set enrichment analysis (GSEA) of transcriptome data from cells of experiment in Figure S2e, isolated 24 and 72 hours after TAM and sorted for eGFP/sh*MCL1* (n=3 per time point). NES= -1.52, P=0.0.

**e)** Knockdown of *MCL1* induces apoptosis; Annexin V staining in PDX AML-388, ALL-199 and ALL-265 3d after TAM. Representative histograms of 3 experiments are shown. Quantification of Annexin V positive eGFP/shCTRL or eGFP/sh*MCL1* cells (%) in PDX samples. Mean ± SEM of 3 independent experiments, \*\*\*\* p≤0.0001 by unpaired t-test.

**f)** Zebrafish experiment. Experimental layout: mCherry-Cre-ERT2 positive PDX cells from donor mice injected with AML-388 mTagBFP/sh*MCL1* cells were isolated from the BM of mice 20 days after injection. Cells were treated *ex vivo* with 50 nM TAM to induce eGFP/shRNA expression. After 48 hours, PDX cells were sorted to adjust cells with (eGFP positive) and without recombination (mTagBFP positive) to a 1:1 ratio. Cells were retransplanted into groups of zebrafish embryos at 48 hours after fertilization (200 to 500 PDX cells per embryo). 4 (n=19) and 28 (n=18) hours after transplantation (hpt) (52 h and 76 h after TAM, respectively), larvae were anesthetized, and a field of view of the caudal hematopoietic tissue of each larvae was imaged to quantify mCherry and eGFP-positive cells. Graph displays mean ± SEM of the percentage of eGFP/sh*MCL1* positive cells among all transplanted, mCherry positive cells. \*\*\*\* p≤0.0001 by unpaired t-test with Welch's correction. Representative images of injected larvae with eGFP/sh*MCL1* expressing cells are displayed. Upper panel depicts merged images of the brightfield shot for anatomic orientation; mCherry positive cells are shown in red in the middle panel and eGFP/shRNA positive cells are shown in green in lower panel. Scale bar 100µm.

 ${\bf g}$ ) Intracellular expression levels of MCL1, BLC-2 and BCL-X<sub>L</sub>, as measured by flow cytometry in the indicated PDX samples. Protein expression was calculated as the ratio of stained antibody mean fluorescent intensity (MFI) divided by isotype control MFI.

**h-i)** Pharmacological inhibition of MCL1. Mice from experiments in Figure 2d-f (n=4 for CTRL and n=6 for MCL1 inhibitor) were analyzed for **(h)** spleen weight and **(i)** the percentage of PDX cells among all cells isolated from spleen and bone marrow. Mean  $\pm$  SEM, \*\*\*\*  $p \le 0.0001$ , ns not significant by unpaired t-test.

**j-k)** Combination treatment. Experiments were set up and analyzed as described in Figure 2g except that Cytarabine (Ara-C, 100 mg/kg/per day i.p. for 4 consecutive days, n=3) or solvent (n=3) was used. At the end of the experiment (10 days after TAM), mice were analyzed as in Figure 1c.

**j)** Mean ± SEM of 3 replicates per group and condition are shown. \*p ≤ 0.0221 by unpaired t-test.

**k)** Reduction of eGFP-positive cells in the shCTRL/shMCL1 mix relative to shCTRL/shCTRL (+/- Ara-C) is displayed. Each dot represents one mouse. Mean  $\pm$  SEM, \*p  $\leq$  0.0221 by unpaired t-test.




















## **Supplementary Figure 3: In vivo functional validation of essential fusion genes**

### **a-d)** Selective effects of sh*MLL-AF4*.

**a)** Experiments described in Figures 3a-b were performed using the non *MLL-AF4* rearranged ALL-265 PDX as control; TAM was applied once (50 mg/kg) 7 days post injections (day 0). Mice were sacrificed 3, 19 and 35 days after TAM (n=3 each). Percentage of eGFP-positive cells among all recombined cells was analyzed. Each dot represents one mouse. Mean ± SEM, \*\* p=0.0048 unpaired t-test.

**b)** mRNA expression of MLL and AF4 was analyzed by qPCR in ALL-265 cells expressing shCTRL or sh*MLL-AF4*. Mean ± SEM of cells isolated from n=3 mice, 35 days after TAM are shown. ns not significant by Welch's t-test.

**c)** Gene set enrichment analysis (GSEA) comparing an established *MLL-AF4* signature <sup>1</sup> with transcriptome data from cells of experiment in Figure 3b, isolated 28 days after TAM and sorted for eGFP/sh*MLL-AF4* and eGFP/shCTRL (n=3 per time point). NES= -1,37, P =0,031.

**d)** Differential expressed genes obtained from transcriptome data from experiment in Figure 3b are depicted as volcano blot (n=3). Genes with a high fold change are highly expressed shCTRL cells and low expressed in sh*MLL-AF4* cells.

**e-h)** Identification of therapeutic targets in DUX4-rearranged ALL. GSEA with two published datasets, Tanaka et al. 2018<sup>2</sup> and Harvey et al 2010<sup>3</sup>. Of 65 significant targets with a fold change of 2 in the Tanaka et al. dataset, 45 were present in our transcriptome dataset. To apply GSEA, targets were divided into upregulated (set 1) and downregulated (set 2) gene sets in *DUX4* knockdown cells. Of the Harvey et al dataset, which identified a transcriptome signature of pediatric B-precursor ALL patient samples with intragenic ERG deletions, 14 genes were present in our dataset (set 3).

**e)** Heatmap displaying expression of set 1 and set 2 between shCTRL and sh*DUX4*. All genes have been scaled to have the mean value of 0 and variance of 1.

**f)** Heatmap displaying expression of set 3 between shCTRL and sh*DUX4*. All genes have been scaled as described in Supplementary Figure 2e.

**g)** Enrichment plots for set 2 and 3. NES = -2.72 and -1.65, FDR q-value < 0.001 and q = 0.030, respectively. **h)** *DDIT4L* expression values of 86 patients with an *IGH-DUX4* fusion were compared to patients without the fusion. \*\*\* p < 0.001 two-sided t-test.

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