# Aus der Klinik und Poliklinik für Kinder-, und Jugendpsychiatrie, Psychosomatik und Psychotherapie



Klinikum der Ludwig-Maximilians-Universität München

# Post-Traumatic Stress Disorder and Mild Traumatic Brain Injury among Military Veterans: A Diffusion Imaging Analysis of White Matter Microstructure and Sleep Quality

Dissertation

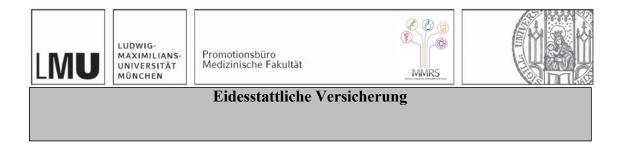
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Unpublished data from this dissertation is currently being prepared for submission to *Translational Psychiatry* (impact factor 7.989) as a manuscript entitled "*Trauma-related Sleep Disturbances are Associated with White Matter Alterations in Military Veterans*". All contributing authors and affiliations are listed below.

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## Abbreviations

ACTH	Adreno-corticotropin hormone	
AD	Axial diffusivity	
ANCOVA	Analysis of covariance	
APOE	Apolipoprotein E	
BAT-L	Boston Assessment of TBI-Lifetime	
BDNF	Brain-derived neurotrophic factor	
CAPS-IV	Clinician-Administered PTSD Scale for DSM-IV	
СТ	Computed tomography	
CRH	Corticotropin-releasing hormone	
CSF	Cerebrospinal fluid	
DMRI	Diffusion magnetic resonance imaging	
DoD	Department of Defense	
DRRI-II	Deployment Risk & Resilience Inventory-II	
DSM	Diagnostic Statistical Manual for Mental Disorders	
EEG	Electroencephalography	
FA <sub>T</sub>	Fractional anisotropy Tissue	
FW	Free-water	
HPA	Hypothalamic-pituitary-adrenal	
IL	Interleukin	
MD	Mean diffusivity	
MEG	Magnetencephalography	
MRI	Magnetic resonance imaging	
MTBI	Mild traumatic brain injury	
PET	Positron emission tomography	
PSQI	Pittsburgh Sleep Quality Index	
PTSD	Post-traumatic stress disorder	
PVN	Paraventricular neurons	
RD	Radial diffusivity	
REM	Rapid eye movement	
SCID-I/NP	Non-patient research version of the Structured Clinical Interview for DSM-	
	IV Axis I Disorders	
TNF	Tumor necrosis factor	
TRACTS	Translational Research Center for TBI and Stress Disorders	

UKF	Unscented Kalman Filter
US	United States
VA	Veterans Affairs
WMA	White Matter Analysis

#### Abstract

**Background:** Post-traumatic stress disorder (PTSD) and mild traumatic brain injury (mTBI) are considered the "signature wounds" of military veterans. As one of the most prevalent and debilitating symptoms, poor sleep quality belongs to the hallmarks of PTSD and mTBI. PTSD and mTBI share several pathophysiological features and are impacted by environmental, economic, social, neurophysiological, and genetic factors. Specifically, PTSD and mTBI have been associated with microstructural abnormalities in the brain's white matter. Similarly, poor sleep quality has been linked to white matter microstructural alterations. However, the association between PTSD, mTBI, poor sleep quality, and white matter microstructure is largely unknown. The present work aims at examining the relationship between sleep quality and white matter microstructure in veterans with PTSD and mTBI.

**Methods:** Diffusion Magnetic Resonance Imaging (dMRI) and clinical data were acquired from 180 male veterans participating in the Translational Research Center for TBI and Stress Disorders (TRACTS) study. Participants were categorized into four groups: 1) PTSD (n = 38), 2) mTBI (n = 25), 3) Comorbid PTSD+mTBI (n = 94), and 4) No history of PTSD or mTBI (n = 23). Sleep quality (Pittsburgh Sleep Quality Index; PSQI) was compared between groups using analyses of covariance (ANCOVAs). Linear regression models were calculated to assess associations between sleep quality and white matter microstructural integrity (whole-brain free-water corrected fractional anisotropy tissue; FA<sub>T</sub>). Subsequently, interactions between PTSD, mTBI, sleep quality, and whole-brain FA<sub>T</sub> were assessed by applying mediation and moderated mediation models while considering common confounders (i.e., warzone-related stress, neuropsychiatric comorbidities, body mass index (BMI), psychiatric medication use, race, and education).

**Results:** Veterans with PTSD and comorbid PTSD+mTBI reported poorer sleep quality compared to those with mTBI only or no history of PTSD or mTBI. Poor sleep quality was associated with decreased whole-brain  $FA_T$  in veterans with comorbid PTSD+mTBI. Moreover, sleep quality mediated the association between PTSD symptom severity and impaired white matter microstructure, independently of warzone-related stress, neuropsychiatric comorbidities, BMI, psychiatric medication use, race, and education.

**Conclusion:** Findings from this study suggest that sleep quality plays a vital role in mental and brain health of veterans. Importantly, sleep quality appears to explain the relationship between PTSD symptom severity and alterations in white matter microstructure. Future research is needed to investigate whether sleep-targeted interventions may benefit overall brain health in the veteran population.

#### Zusammenfassung

Hintergrund: Die posttraumatische Belastungsstörung (PTSD) und das leichte Schädel-Hirn-Trauma (mTBI) sind unter Kriegsveteranen weit verbreitet. Eine gestörte Schlafqualität gehört zu den am häufigsten auftretenden und belastendsten Symptomen von PTSD und mTBI. PTSD und mTBI weisen mehrere gemeinsame pathophysiologische auf werden durch umweltbedingte, Merkmale und wirtschaftliche, soziale. neurophysiologische und genetische Faktoren beeinflusst. Insbesondere wurden PTSD und mTBI mit Veränderungen in der Mikrostruktur der weißen Substanz in Zusammenhang gebracht. Ebenso konnte eine Assoziation zwischen schlechter Schlafqualität und Veränderungen der Mikrostruktur der weißen Substanz gezeigt werden. Die Auswirkungen von PTSD und mTBI auf den Zusammenhang zwischen Schlafqualität und weißer Substanz sind jedoch weitestgehend unbekannt. Die vorliegende Arbeit untersucht die Beziehung zwischen Schlafqualität und Mikrostruktur der weißen Substanz bei Veteranen mit PTSD und mTBI.

Methoden: Diffusions-Magnetresonanztomographie (dMRI) und klinische Daten wurden bei 180 männlichen Veteranen der Translational Research Center for TBI and Stress Disorders (TRACTS) Studie ausgewertet. Die Teilnehmer wurden in vier Gruppen eingeteilt: 1) PTSD (n = 38), 2) mTBI (n = 25), 3) Komorbide PTSD+mTBI (n = 94), und 4) Keine Vorgeschichte von PTSD oder mTBI (n = 23). Schlafqualität (Pittsburgh Sleep Quality Index; PSQI) wurde zwischen den Gruppen mit Hilfe von ANCOVAs verglichen. Lineare Regressionsmodelle wurden berechnet, um die Assoziationen zwischen der Schlafqualität und einem Maß für die Mikrostruktur der weißen Substanz (whole-brain free-water corrected fractional anisotropy tissue, FA<sub>T</sub>) zu erfassen. Anschließend wurde die Interaktion zwischen PTSD, mTBI, Schlafqualität, und whole-brain-FAT mittels Mediationsund Moderationsmodellen unter Berücksichtigung klinischer Störfaktoren (kriegsbedingter Stress, neuropsychiatrische Komorbiditäten, Body mass index (BMI), Einnahme psychiatrischer Medikation, ethnische Herkunft, und Bildungsstatus) untersucht.

**Ergebnisse:** Veteranen mit PTSD und komorbider PTSD+mTBI berichteten eine schlechtere Schlafqualität im Vergleich zu Veteranen mit mTBI, und Veteranen ohne PTSD oder mTBI. Schlechtere Schlafqualität wurde mit verminderter FA<sub>T</sub> der weißen Substanz bei Veteranen mit komorbider PTSD+mTBI in Zusammenhang gebracht. Darüber hinaus erklärte die Schlafqualität die Assoziation zwischen PTSD-Symptomen und der Beeinträchtigung der Mikrostruktur der weißen Substanz, unabhängig von erlebtem kriegsbedingtem Stress, neuropsychiatrischen Komorbiditäten, BMI, Einnahme psychiatrischer Medikation, ethnischer Herkunft, und Bildungsstatus.

Schlussfolgerung: Die Ergebnisse dieser Arbeit deuten darauf hin, dass Schlaf eine wichtige Rolle für die physische und psychische Gesundheit von Veteranen spielt. Als besonders prägnant zeigte sich, dass die Schlafqualität die Beziehung zwischen PTSD-Symptomen und Veränderungen der Mikrostruktur der weißen Substanz zu erklären scheint. Zukünftige Forschungsprojekte sind erforderlich, um zu untersuchen, ob schlafbezogene Interventionen die Gehirngesundheit von Kriegsveteranen verbessern können.

#### 1. Introduction

Since 2001, 2.7 million Unites States (US) service members have been deployed to war zones in Iraq and Afghanistan following the 9/11 terrorist attacks<sup>1</sup>. Operation Enduring Freedom (OEF, Afghanistan, 2001-2014) and Operation Iraqi Freedom (OIF, Iraq, 2003-2011) have been the longest military operations since the Vietnam war and consisted of an allvolunteer force with predominantly male (89%) personnel<sup>2</sup>. The wars cost a total of 6,784 US lives <sup>3</sup>, while many more service members were seriously wounded – both physically and mentally. The majority of OEF and OIF military personnel reported being attacked and had personally witnessed or known a fellow combatant who was severely or even fatally injured. Moreover, most were exposed to the traumatic aftermath of war, facing dead bodies or human remains, and injured women or children<sup>4</sup>. Combat stress and other war-related traumatic incidences experienced by military personnel increase the likelihood for developing neuropsychiatric disorders <sup>5–7</sup>. In fact, up to 30% of veterans returning from OEF and OIF are diagnosed with a neuropsychiatric condition <sup>4,6</sup>. Post-traumatic stress disorder (PTSD) is particularly prevalent and comorbidity with other psychiatric conditions, such as anxiety, depressive, and substance use disorders, is common  $^{4,8-11}$ . Moreover, head trauma resulting in mild traumatic brain injury (mTBI) may lead to the development or exacerbation of psychological symptoms <sup>12–16</sup>.

The alarming numbers of neuropsychiatric complaints and associated substantial burdens pose unique challenges to the US Department of Defense (DoD) and Veterans Affairs (VA). Particularly, the "signature wounds" of military veterans – PTSD and mTBI – have been the major focus of attention <sup>17,18</sup>. As one of the most debilitating symptoms, sleep quality disturbances belong to the *hallmarks* of PTSD <sup>19,20</sup>. Moreover, sleep quality disturbances are highly prevalent after mTBI <sup>21–23</sup>. However, until today, understanding the complex underpinnings of sleep quality disturbances following PTSD and mTBI is still in its infancy. Alterations of the brain's white matter – the myelinated nerve fiber bundles that connect different brain regions <sup>24,25</sup> – have been suggested to play a role in sleep quality disturbances. Importantly, initial evidence suggests that sleep quality <sup>26</sup> and white matter health <sup>27–30</sup> are more severely impacted in veterans with greater symptom burden (i.e., veterans with comorbid PTSD+mTBI compared to PTSD or mTBI alone, or no history of PTSD or mTBI). Given that sleep quality disturbances can persist for years after trauma <sup>31,32</sup>, have been linked to poor quality of life <sup>33,34</sup>, and commonly fail to be alleviated with conventional treatments <sup>35,36</sup>, a better understanding of the underlying pathomechanisms is urgently required.

The present work aims at elucidating the relationship between sleep quality disturbances and white matter alterations in the context of PTSD and mTBI. The structure of this dissertation can be summarized as follows. First, the pathogenesis of PTSD and mTBI will be outlined by elaborating on environmental, economic, social, neurophysiological, and genetic factors that contribute to altered brain macrostructure and function. Next, diffusion magnetic resonance imaging (dMRI) will be introduced - an advanced MRI technique for studying tissue microstructure in vivo <sup>37</sup>. Specifically, dMRI studies focusing on subtle microstructural abnormalities in the brain's white matter will be discussed. White matter anatomy is of major importance for optimal brain network functioning <sup>38,39</sup>, and disruptions in white matter health have been linked to neuropsychiatric sequelae <sup>40</sup>. In fact, white matter abnormalities have been individually associated with PTSD 41-58, mTBI 59-65, and sleep quality disturbances 66-77. However, the link between PTSD, mTBI, and sleep quality disturbances in the context of altered white matter is largely unknown. To shed light on the complex interplay between these factors, an automated white matter fiber clustering that uses machine learning to identify fiber tracts 78-<sup>81</sup> will be conducted. The magnitude of microstructural white matter alterations in veterans with PTSD, mTBI, comorbid PTSD+mTBI, or no history of PTSD or mTBI will be quantified. Statistical modeling will be applied to uncover the relationship between PTSD, mTBI, and sleep quality disturbances. Moreover, the association between sleep quality and white matter microstructure will be assessed among veterans with and without PTSD and mTBI. Finally, a conclusion on the association between the studied variables will be drawn. An outlook on required future research and potential treatment options for sleep quality disturbances in veterans with PTSD and mTBI will be provided.

#### 2. Post-traumatic Stress Disorder (PTSD)

#### 2.1. Prevalence and Diagnosis

Approximately 23% of military veterans returning from deployment to Iraq and Afghanistan are subsequently diagnosed with post-traumatic stress disorder (PTSD)<sup>82</sup>, making it one of the most common psychiatric disorders in veterans <sup>83</sup>. PTSD is a debilitating condition that may develop after personally experiencing or witnessing a traumatic incident that presents as "*exposure to actual or threatened death, serious injury, or sexual violation*" (p.271)<sup>84</sup>.

According to the Diagnostic and Statistical Manual of Mental Disorders, Fifth Edition (DSM-5), PTSD is marked by symptoms that broadly fall into four categories: (A) Reexperiencing symptoms associated with the traumatic incident, such as recurrent distressing recollections, dreams, emotional and physiological reactions to trauma cues, and/or dissociative reactive states (i.e., flashbacks), during which the afflicted individual experiences the traumatic event as actually recurring; (B) avoidance of external reminders concerning the traumatic event and memories that cause distress; (C) altered mood and behavior, presenting as dissociative amnesia, negatively distorted cognitions about self and others, excessive guilt, and anger; and (D) altered arousal and reactivity, including irritability, ruthless or self-destructive conduct, hypervigilance, poor concentration, and sleep quality disturbances <sup>84</sup>.

In order to be diagnosed with PTSD, the symptoms need to be present for at least a month, significantly affect daily life, and cannot be attributed to medication and substance use, or any other illness (**Table 1**).

Table I. Diagno	ISIS OF PTSD	
Criterion A	A traumatic event	Must be met
Criterion B	Re-experiencing symptoms	At least one Criterion B symptom
Criterion C	Avoidance symptoms	At least one Criterion C symptom
Criterion D	Negative alterations in cognition and mood	At least two Criterion D symptoms
Criterion E	Alterations in arousal and reactivity	At least two Criterion E symptoms
Criterion F	Disturbance lasted for a month	Must be met
Criterion G	Disturbance causing impairment	Must be met
Criterion H	Symptoms not due to medication, substance use, or other illness	Must be met

*Note.* PTSD, Post-traumatic stress disorder. This table shows the Diagnostic and Statistical Manual for Mental Disorders 5 (DSM-5) criteria for PTSD (p. 271)<sup>84</sup>.

#### 2.2. Etiology and Pathophysiology

Table 1 Discovering of PTSD

#### 2.2.1. Social, Economic, and Environmental Factors

The development of PTSD has been linked to various social, economic, and environmental factors. Childhood adverse experiences, pre-existing mental disorder, lack of social support, low socioeconomic status, and migration have all been associated with a heightened risk of PTSD following exposure to a traumatic event <sup>85–90</sup>. Unfortunately, these risk factors often interact and overlap, thereby enhancing the vulnerability for developing PTSD after trauma. In addition, the perceived severity and nature of the traumatic event play a crucial role in the onset of PTSD. Man-made interpersonal trauma is more likely to elicit PTSD than accidents or natural disasters <sup>91</sup>. For military veterans specifically, more frequent deployments, and greater severity of traumatic combat experiences are linked to an increased risk of PTSD development <sup>87</sup>.

#### 2.2.2. Neurophysiological Factors

Endocrine dysregulations of the stress response system constitute an underlying pathology of PTSD. In particular, the hypothalamic-pituitary-adrenal (HPA) axis is a key stress regulatory system that is disturbed in patients with PTSD <sup>92</sup> (**Figure 1**). In response to acute threat, paraventricular neurons (PVN) in the hypothalamus trigger corticotropin-releasing hormone (CRH) production, which in turn stimulates the secretion of adreno-corticotropin hormone (ACTH) from the anterior pituitary. ACTH further stimulates the secretion of the glucocorticoid cortisol from the adrenal cortex. As a negative feedback cycle, cortisol suppresses the production of CRH and ACTH from the hypothalamus and pituitary in an attempt to return to normal states of arousal after a stressor has ceased <sup>93,94</sup>.

During acute stress, an increased production of glucocorticoids is beneficial, given that it elevates the availability of glucose to facilitate fighting or fleeing, and preserves the organism's homeostasis <sup>95</sup>. However, as stress becomes chronic, negative feedback inhibition of the HPA axis is triggered by excess cortisol secretion. Thus, increased glucocorticoid receptor binding in the hypothalamus and anterior pituitary results in a surge of CRH secretion <sup>96</sup>. The heightened CRH levels lead to a down-regulation of CRH receptors in the anterior pituitary and consequently suppress ACTH and cortisol release. As a result, *decreased* cortisol levels have repeatedly been demonstrated in patients with PTSD <sup>97,98</sup>.

Eventually, these processes fail to restore the HPA activity <sup>99</sup>, and ongoing high levels of CRH trigger the sympathetic nervous system and the associated secretion of catecholamines (mainly norepinephrine), which has been linked to symptoms of PTSD <sup>91</sup>. In fact, increased arousal in the presence of diminished available cortisol to regulate the stress response consequently evokes uncontrolled fight or flight reactions – an underlying core feature of PTSD <sup>100,101</sup>. Interestingly, especially cortisol levels that are already low at the time of trauma appear to be particularly likely to facilitate the development of PTSD <sup>102–104</sup>. Basal hypocortisolemia and the associated hyperactive sympathetic nervous system result in an over-consolidation of

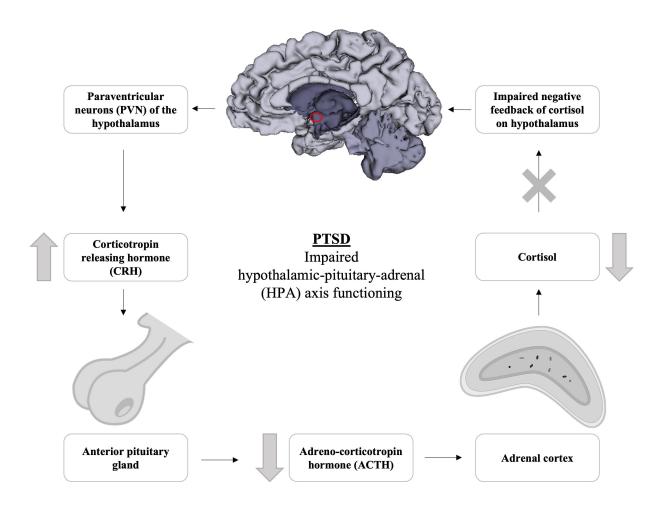


Figure 1. Impaired Hypothalamic-Pituitary-Adrenal (HPA) Axis Functioning in Patients with PTSD

*Note*. HPA axis, Hypothalamic-pituitary-adrenal axis; PVN, Paraventricular neurons; CRH, Corticotropin releasing hormone; ACTH, Adreno-corticotropin hormone.

This figure visualizes the impaired HPA axis functioning in patients with PTSD. As stress becomes chronic, increased glucocorticoid receptor binding in the hypothalamus and anterior pituitary results in a surge of CRH secretion. The heightened CRH levels lead to a down-regulation of CRH receptors in the anterior pituitary and consequently suppress ACTH and cortisol release. As a result, decreased cortisol levels fail to inhibit CRH production and consequently to restore the HPA activity.

Figure adapted from <sup>101</sup>.

Dysregulation of the HPA axis with a blunted cortisol response and simultaneously hyperactivated sympathetic nervous system belong to the core biological underpinnings of PTSD <sup>99,108,109</sup>. The chronically low cortisol levels in patients with PTSD and consequently high

CRH production stimulate increased noradrenergic activity in the locus coeruleus. From here, norepinephrine projects to various brain regions involved in the stress response, such as the prefrontal cortex and limbic structures (i.e., amygdala, hippocampus, hypothalamus) <sup>110</sup>. Excessive noradrenergic activity in the amygdala has been linked to the hyperarousal symptoms of PTSD <sup>111</sup>, and the enhanced release of norepinephrine leads to a greater encoding of traumatic memories <sup>112</sup>. With lower cortisol levels, the organism has fewer resources available for shutting down the adrenergic response, enabling damaging effects on brain structure and facilitating the consolidation of traumatic memories <sup>113</sup>. The ongoing hyperarousal has further been associated with an implicit cognitive bias towards potentially threatening stimuli, which is reflected in problems with executive functioning, attention, and concentration <sup>91</sup>. This bias leads to the avoidance of potentially threatening situations, thereby preventing extinction learning <sup>91</sup>.

The increased exposition of norepinephrine is followed by an enhanced release of proinflammatory cytokines. This, in turn, stimulates CRH secretion, which further inhibits cortisol release. Cortisol is needed to inhibit not only the sympathetic nervous system but also the proinflammatory cytokine response <sup>99,114</sup>. Thus, a disruption in this mechanism further contributes to the biochemical cascade leading to the manifestation of PTSD <sup>114</sup>. In fact, PTSD patients show elevated levels of inflammatory markers, such as interleukin (IL)-1 $\beta$ , IL-6, tumor necrosis factor- $\alpha$  (TNF- $\alpha$ ), and c-reactive protein (CRP), indicating immune dysregulation and inflammation <sup>114</sup>.

#### 2.2.3. Genetic Factors

Twin studies have long suggested a genetic contribution to the development of PTSD. A recent meta-analysis proposed a heritability of approximately 5-20% for the likelihood of developing PTSD following a traumatic experience <sup>115</sup>. Interestingly, some studies suggested that patients with PTSD do not only have a genetic risk for developing PTSD after trauma but also to *encounter* traumatic events in general <sup>116,117</sup>.

Importantly, environmental factors modify the genetic risk for developing PTSD, and especially childhood traumatic experiences influence the genetic probability <sup>118</sup>. For example, the serotonin transporter gene 5-HTTLPR has not directly been linked to PTSD, but contributes to gene-environment interactions in eliciting PTSD <sup>119</sup>. Individuals with the short allele exhibit greater amygdala activity when facing threatening stimuli, thereby facilitating fear conditioning <sup>120</sup>. Moreover, genes involved in the dysregulation of the stress response system, mainly the HPA axis and noradrenergic system, are altered in patients with PTSD <sup>121</sup>. The FK506 Binding

Protein 51 (FKBP5) gene has been linked to HPA axis dysregulation, particularly of the glucocorticoid receptors <sup>122</sup>. In addition, the Val158Met polymorphism of the Catechol-O-methyltransferase gene has been linked to decreased inactivation of catecholamines <sup>123</sup> and consequently may contribute to stress response system alterations and PTSD development in veterans <sup>124</sup>. Moreover, a genetic variation of the CRP gene has been linked to PTSD symptom severity <sup>125</sup>, especially the hyperarousal symptoms <sup>126</sup>. In addition, veterans with PTSD with the apolipoprotein E (APOE) 2 allele demonstrate significantly higher re-experiencing symptoms and worse memory functioning <sup>127</sup>.

#### 2.3. Magnetic Resonance Imaging (MRI)

Neuroimaging research contributed greatly to the understanding of PTSD <sup>128</sup> and mTBI <sup>129</sup>. During the last decades, imaging techniques, including magnetic resonance imaging (MRI) <sup>130</sup>, positron emission tomography (PET) <sup>131,132</sup>, electroencephalography (EEG) <sup>133,134</sup>, and magnetencephalography (MEG) <sup>135</sup>, allowed for a non-invasive, in-vivo assessment of brain structure and function <sup>136,137</sup>.

MRI, in particular, provided some of the most intriguing insights into the pathophysiology of PTSD <sup>138</sup> and mTBI <sup>129</sup>. Medical applications of MRI were introduced in the 1970s <sup>139,140</sup> and offered a more advanced assessment of brain tissue than previous techniques, such as computed tomography (CT). Due to an intense magnetic field, hydrogen protons in tissue (i.e., the brain) are stimulated, while the MRI machine emits radiofrequency pulses <sup>129</sup>. This excites the hydrogen nuclei of water molecules in brain tissue. After each radio wave pulse, the hydrogen nuclei return to their position parallel to the magnetic field. During this so-called relaxation time, the atomic nuclei emit signals that are registered by the MRI device and spatially encoded into images by computer algorithms. Depending on the relaxation time and proton density associated with different types of tissues, differing signals are emitted, which is reflected in the level of brightness in the image. MRI is especially suitable for visualizing the contrast between the brain's gray and white matter and cerebrospinal fluid (CSF), as well as hemorrhages, edema, and contusions <sup>129,141</sup>.

#### 2.3.1. Brain Morphology and Function

Among the most consistently observed MRI findings in patients with PTSD are the repeatedly demonstrated smaller hippocampal volumes <sup>142–144</sup>, a gray matter brain structure associated with fear conditioning and memory functioning <sup>145–147</sup>. While these findings were initially attributed to the chronicity of the disorder <sup>148,149</sup>, twin studies suggested that decreased

hippocampal volumes may actually precede the onset of PTSD, as healthy identical twins of veterans who developed PTSD also showed reduced hippocampal volumes compared to combatants who did not develop PTSD after deployment <sup>150</sup>. Nonetheless, it has been postulated that the manifestation of PTSD leads to a downstream of events causing death of cells and impaired neurogenesis, eventually resulting in volumetric gray matter reductions of the hippocampus <sup>151</sup>. Particularly, glutamate signaling and proinflammatory processes interfere with synaptic connections and lead to atrophy of dendritic spines, thus altering gray matter structure <sup>152–154</sup>. Moreover, individuals with PTSD show decreased levels of brain-derived neurotrophic factor (BDNF), a neurotrophin that is protective against excessive glutamate transmission <sup>155</sup>. It has been suggested that the volumetric reductions of the hippocampus in patients with PTSD prevent extinction learning and the ability to differentiate between potentially threatening and safe environments <sup>96</sup>.

Moreover, the amygdala, a brain structure that is anatomically and functionally closely related to the hippocampus <sup>156</sup> and involved in emotional control and fear learning <sup>157–159</sup>, shows structural alterations in patients with PTSD <sup>160</sup>. Some studies suggested volumetric reductions of the amygdala in PTSD patients <sup>142,161</sup>, however, two recent meta-analyses did not find significant differences between PTSD patients and trauma-exposed controls <sup>142,151</sup>, while amygdala volume differed significantly from healthy controls <sup>151</sup>. Structural alterations in the amygdala may, thus, be a marker of extended stress exposure in general, but not necessarily of PTSD symptomatology specifically. **Figure 2** visualizes the bilateral amygdala and hippocampus.

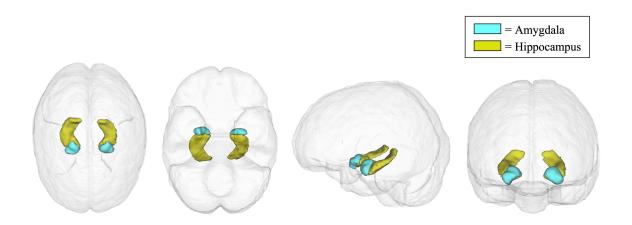


Figure 2. Amygdala and Hippocampus.

*Note.* Visualization of the amygdala (turquoise) and hippocampus (yellow) in top, bottom, sagittal, and coronal view (from left to right).

#### 2.3.2. Brain Networks

Volumetric alterations in limbic and paralimbic gray matter structures, such as the hippocampus and amygdala <sup>162–166</sup>, underlie functional connectivity network abnormalities <sup>167</sup>. Especially altered amygdala-frontal connectivity is one of the core network abnormalities of PTSD <sup>168,169</sup>. The medial prefrontal cortex has long been implicated in playing a major role in regulating emotions by suppressing fear reactions elicited by the amygdala <sup>112</sup>. However, in patients with PTSD the amygdala is overly responsive, while the medial prefrontal cortex is less engaged <sup>170</sup>. This is reflected in an increased blood flow in the amygdala and decreased flow in the medial prefrontal cortex in response to trauma stimuli <sup>171–175</sup>. The heightened amygdala and simultaneously decreased medial prefrontal cortex activity prevents fear extinction learning <sup>176</sup>, thereby further imprinting traumatic memories <sup>96</sup>. Particularly PTSD hyperarousal and re-experiencing symptoms have repeatedly been linked to heightened amygdala and decreased medial prefrontal cortex activity <sup>112,167</sup>.

#### 2.4. Diffusion Magnetic Resonance Imaging (dMRI)

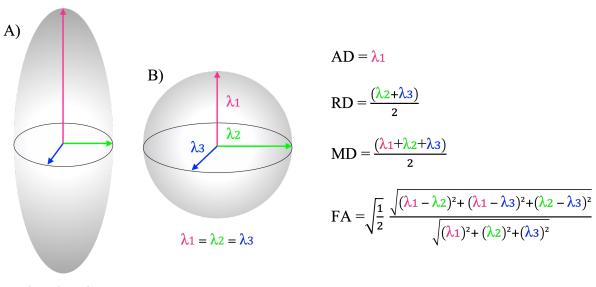
Network functioning relies on the brain's white matter anatomy <sup>38,39,177</sup>. The white matter fiber tracts compose vast structural and functional connections between different gray matter structures and nodes of brain networks <sup>24,25</sup>. Projection fibers connect sub-cortical with cortical areas. Association fibers link different structures within the same hemisphere. Commissural fibers, such as the corpus callosum, bridge the left and right hemisphere <sup>24</sup>. White matter consists of an accumulation of myelin-coated axons formed into densely packed bundles of fibers. The high concentration of lipids in the axons' insulating sheath lets the fiber tracts appear white, thus the term *white matter*<sup>178</sup>. The electrically insulating myelin sheath enhances the signal transmission to other neurons <sup>25</sup>, that is facilitated through a rapid nerve impulse conduction along small gaps in the myelin sheath (nodes of Ranvier)<sup>179</sup>. Action potentials are regenerated at each node and jump along the axons, a process called saltatory conduction <sup>180</sup>. Greater myelination is generally associated with much faster conduction of signals. On the contrary, disrupted myelination has been associated with disturbed stimulus transmission <sup>25</sup>. Impaired signal transmission amongst various brain networks may further impact information processing in adjacent brain structures <sup>181</sup>. This may lead to compromised cognition and mental health, as evidenced in numerous neuropsychiatric conditions <sup>40</sup>, including PTSD and mTBI <sup>27-</sup> 30,41,51–53,182,183

White matter properties, such as myelinization, axonal diameter and density can be analyzed with diffusion magnetic resonance imaging (dMRI), which is an advanced MRI technique, specialized in studying tissue microstructure in vivo <sup>37</sup>. DMRI was developed in the 1990s <sup>184</sup> and determines the diffusion of water molecules in tissue <sup>37</sup>. According to Brownian motion rules, water molecules move at random following a 3D Gaussian distribution when free and unrestricted. This is called *isotropic diffusion* and can be found in the CSF, whereas the opposite, *anisotropic diffusion*, refers to water molecule movement restrained by structured tissue, such as the brain's white matter. The cell membranes, myelin sheath, and numerous, densely packed axons force water molecules to diffuse along with the fiber directions <sup>37,185</sup>.

DMRI measures the magnitude and directionality of diffusion <sup>37,130</sup> by calculating a diffusion tensor for three perpendicular axes of each brain voxel. This three-dimensional vector is represented as a diffusion ellipsoid (**Figure 3**). The magnitude of diffusion along each axis are the *eigenvectors*, while their length or directionality represents the *eigenvalues* ( $\lambda 1$ ,  $\lambda 2$ ,  $\lambda 3$ ). Diffusion parameters can be determined from the eigenvalues of the diffusion tensor and provide information on tissue microstructure. Fractional anisotropy (FA) is the most frequently reported diffusion metric and represented in a value between 0 (*isotropic diffusion*) and 1 (*anisotropic diffusion*) that provides information on the diffusion directionality <sup>186–189</sup>. Greater restriction of diffusion, e.g., due to greater axonal density and myelin sheath thickness, will thus result in higher FA values <sup>190</sup>. On the contrary, impairments in the myelin sheath <sup>191,192</sup>, edema <sup>193</sup>, and gliosis <sup>194</sup> may reflect reduced FA values.

Axial diffusivity (AD) represents diffusion parallel to the fiber and equals the largest eigenvalue. Radial diffusivity (RD) reflects the degree of diffusion perpendicularly to the primary direction and is the average of eigenvalue  $\lambda 2$  and  $\lambda 3$ . AD has primarily been shown to reflect axonal degeneration, while RD mostly reflects myelination processes <sup>195,196</sup>. Finally, mean diffusivity (MD) provides information on the overall magnitude of diffusivity in a voxel, independent of tissue-restricted direction, and is calculated by summing and averaging all three eigenvalues <sup>197</sup>. MD is typically inversely related to FA <sup>130</sup>.

AD, RD, and MD all provide individual information on white matter organization. However, the summary measure (FA) is commonly preferred, given that it provides a proxy for overall white matter microstructural tissue architecture. In addition, in 2009, free-water (FW) imaging was developed <sup>198</sup>, a dMRI advancement that is able to measure FW adjacent to cell tissue. FW imaging provides additional information over the conventionally used diffusion measures by separating the MRI signal into two compartments <sup>198</sup>. The isotropic free-water compartment accounts for the proportion of extracellular free-water in each voxel, while the tissue compartment represents free-water-corrected fractional anisotropy <sub>Tissue</sub> (FA<sub>Tissue</sub>, FA<sub>T</sub>). In comparison to the conventional FA measure, FA<sub>T</sub> is more specific to fiber tract myelination, axonal density, and fiber orientation, and, thus, a more precise marker for cellular white matter microstructure <sup>199</sup>.



 $\lambda_1 > \lambda_2 > \lambda_3$ 

**Figure 3.** Diffusion Magnetic Resonance Imaging *Note.* A) Anisotropic diffusion; B) Isotropic diffusion. AD, Axial diffusivity; RD, Radial diffusivity; MD, Mean diffusivity; FA, Fractional anisotropy. Figure adapted from <sup>37</sup>.

#### 2.4.1. White Matter Diffusion

PTSD has been associated with alterations in various white matter fiber tracts throughout the brain, including the major fiber tracts (i.e., arcuate fasciculus, cingulum bundle, inferior longitudinal fasciculus, inferior occipito-frontal fasciculus, superior longitudinal fasciculus, uncinate fasciculus, and corpus callosum), and with alterations in global white matter <sup>41,43–58,183</sup>. Importantly, white matter alterations have not only been reported compared to healthy civilians <sup>43,44,50</sup>, but also compared to trauma-exposed controls <sup>41,46,47,49,51,52,183</sup>. For example, PTSD patients showed increased MD in the uncinate fasciculus compared to trauma-exposed controls <sup>49</sup>. Moreover, alterations in the uncinate fasciculus showed significant correlations with greater symptom severity, suggesting impaired communication between the amygdala and medial prefrontal cortex <sup>49,200</sup>. Lower FA of the uncinate fasciculus was also negatively associated with re-experiencing symptoms, both acutely and sub-acutely post-trauma, while lower fornix/stria terminalis FA correlated with greater arousal symptoms <sup>201</sup>. While these findings are single observations in small to medium-sized samples, a recent meta-analysis summarized data from different studies and identified lower FA values in PTSD patients compared to trauma-exposed controls in the tapetum of the corpus callosum, a structure

that bridges the left and right hippocampus <sup>51</sup>. Notably, the results persisted even after adjusting for comorbid psychiatric disorders, and medication use <sup>51</sup>. Lower white matter FA<sub>T</sub> may reflect an alteration of tissue organization, such as altered myelination processes, membrane thickness, and axon diameter <sup>37</sup>. Indeed, chronic stress incites inflammatory processes <sup>114</sup> (i.e., increased release of inflammatory cytokines <sup>202,203</sup>), and excitotoxic neurotransmitter damage <sup>204,205</sup> (i.e., overly activated glutamate neurotransmission <sup>41,205</sup>) which induce apoptosis and impair myelination <sup>202,203,206</sup>.

Even though most of the available studies reported decreased white matter microstructural integrity, some showed an association between PTSD and increased white matter microstructure. For example, decreased MD of the right cingulum bundle was shown in veterans with PTSD compared to those without PTSD, suggesting greater connectivity between the amygdala and anterior cingulate cortex <sup>52</sup>. Moreover, a recent meta-analysis revealed significantly higher inferior occipito-frontal fasciculus and inferior temporal gyrus FA in PTSD patients <sup>43</sup>. Notably, greater white matter FA is not always associated with better functioning <sup>207</sup>. For example, higher FA of the superior fronto-occipital fasciculus has been linked to reexperiencing and dissociative symptoms <sup>183</sup>. The alternations between regional-specific FA increases and decreases in patients with PTSD may suggest that not PTSD per se, but rather distinct symptoms of the disorder may result in different white matter changes <sup>183</sup>. Despite the fact that sleep quality disturbances are a hallmark symptom of PTSD <sup>19,20</sup>, research on the association between PTSD-related sleep quality disturbances and white matter alterations is sparse. One study showed an association between the hyperarousal symptoms of PTSD, poor sleep quality, and reduced FA of the right uncinate fasciculus <sup>208</sup>. While requiring further research, the findings may illustrate the increased noradrenergic activity in patients with PTSD <sup>19,209,210</sup>, leading to impaired fronto-limbic connectivity <sup>211,212</sup> that is reflected in decreased white matter FA.

#### 3. Mild Traumatic Brain Injury (mTBI)

#### 3.1. Prevalence and Diagnosis

Approximately 12-35% of veterans sustain a mild traumatic brain injury (mTBI) during military deployment <sup>213,214</sup>. According to the American Congress of Rehabilitation Medicine (ACRM) diagnostic criteria, mTBI presents as disturbed brain functioning due to physiological head trauma, that may include immediate loss or alteration of consciousness and/or amnesia before or after the event <sup>215</sup>. Common causes of mTBI in veterans are blunt and blast-related injuries, where the head is struck by an object or exposed to acceleration and deceleration forces

<sup>216</sup>. In order for a traumatic brain injury to be classified as mild, loss of consciousness must not exceed 30 minutes, while posttraumatic amnesia must not last longer than 24 hours. Moreover, the Glasgow Coma Scale score must range between 13 and 15 <sup>215</sup>.

Sustaining a mTBI may provoke temporary symptoms that can be classified into three broadly defined categories: (1) Physical symptoms that present as nausea, vomiting, vertigo or dizziness, headache, blurred vision, sensory distortions, and sleep quality disturbances; (2) cognitive distortions, such as attention and concentration problems, forgetfulness and difficulty in decision making; and (3) emotional and behavioral symptoms, including anxiety, depression, irritability, and aggressive behavior <sup>215,217</sup> (**Table 2**). These impairments usually subside after a couple of days or weeks <sup>218</sup>. However, around 15 to 30% of mTBI patients develop long-term post-concussive symptoms <sup>218–220</sup> that can lead to permanent disability <sup>218–220</sup>.

Table 2. Diagnosis of mTBI			
Cause	1)	The head being struck The head striking an object	
	2)		
	3)	The brain undergoing an acceleration/deceleration movement (i.e., whiplash) without external head	
		trauma	
Definition	1)	Loss of consciousness < 30 minutes	
	2)	Initial Glasgow Coma Scale score of 13-15	
	3)	Post-traumatic amnesia < 24 hours	
Symptoms	1)	Physical symptoms	Nausea and vomiting
			Vertigo or dizziness and headaches
			Blurred vision and sensory distortions
			Sleep disturbances
	2)	Cognitive symptoms	Attention problems
			Concentration problems
			Forgetfulness
			Difficulty in decision making
	3)	Emotional and behavioral symptoms	Anxiety
			Depression
			Irritability
			Aggressive behavior

*Note.* mTBI, Mild traumatic brain injury. This table shows the American Congress of Rehabilitation Medicine (ACRM) and Centers for Disease Control and Prevention<sup>221</sup> criteria for diagnosing mTBI.

#### 3.2. Etiology and Pathophysiology

#### 3.2.1. Social, Economic, and Environmental Factors

Low socio-economic status <sup>222</sup>, pre-existing psychiatric disorders <sup>223</sup>, as well as traumatic combat experiences <sup>224</sup> are among the risk factors for sustaining a mTBI. Alarmingly, sustaining a mTBI has been linked to the development of various mental disorders <sup>225</sup> (most importantly PTSD <sup>12–16</sup>), associated with neuropsychiatric symptoms (e.g., suicidality <sup>226</sup>, anger, aggressiveness <sup>227</sup>, and sleep quality disturbances <sup>21–23</sup>), and disadvantageous psychosocial outcome (e.g., impaired familial, and other social relationships <sup>227,228</sup>, unemployment <sup>229</sup>, and homelessness <sup>230</sup>). Moreover, common risk factors for persistent post-

concussive symptoms after mTBI are traumatic stress, history of a psychiatric disorder, additional physical injuries, as well as loss of consciousness and post-traumatic amnesia <sup>231,232</sup>. Importantly, mTBI acquired in a deployed setting is associated with higher incidences of PTSD, depression, substance use, and poor sleep quality <sup>233</sup>, indicating that military mTBI requires special attention and customized treatment approaches <sup>232</sup>.

### 3.2.2. Neurophysiological Factors

Sustaining a mTBI may disturb HPA axis functioning in a similar way as observed in patients with PTSD <sup>234–239</sup> (**Figure 1**). In fact, pituitary dysfunction after mTBI is highly common <sup>240–242</sup>, and involved in inducing post-concussive symptoms <sup>243</sup>. Mechanical forces can lead to shearing, tearing, contusions, and hemorrhages of brain tissue <sup>244</sup>, ischemic injury <sup>245</sup>, altered blood flow, and increased intracranial pressure <sup>246</sup>. These processes may cause post-traumatic hypopituitarism <sup>247</sup>. Especially the vulnerable pituitary stalk that connects the anterior pituitary and hypothalamus is affected by mTBI <sup>246</sup>.

Similar to PTSD, disturbed pituitary hormone production results in altered glucocorticoid signaling and consequent impaired HPA axis negative feedback control <sup>246,248</sup>. ACTH deficiencies <sup>249</sup>, subsequent decreased adrenal gland and cortisol stimulation <sup>246,248</sup>, and an accumulation of anti-pituitary anti-bodies that impair the pituitary's functioning <sup>250</sup> have been pointed back to brain trauma. Dysfunction of the HPA axis may further lead to inflammatory dysregulations <sup>248</sup>. After sustaining a mTBI, microglia (central nervous systemspecific immune cells) trigger an inflammatory response by releasing proinflammatory cytokines, including IL-6, IL-1, and TNF $\alpha^{248}$ . These processes are needed to clear waste products and to re-adjust synapses after injury but become problematic if the inflammatory reaction is constantly activated <sup>248</sup>. MTBI may also affect metabolic functioning <sup>251</sup>, and alter lipid and glucose mechanisms <sup>252</sup>. This may further stimulate the genesis of beta-amyloid and tau pathology <sup>253</sup>, which are protein aggregates that form pathological plaques and neurofibrillary tangles around cells <sup>254,255</sup>. In fact, beta-amyloid and tau protein may accumulate in the white matter after brain trauma <sup>256</sup>. Alarmingly, mTBI may even show a similar neuropathology (i.e., accumulated tau, disseminated microgliosis, astrocytosis, and neurodegeneration) as seen in patients with chronic traumatic encephalopathy (CTE) -aneurodegenerative condition that has been linked to repetitive head impacts <sup>257</sup>.

The endocrine dysregulations following mTBI <sup>239,241</sup> increase the risk for the onset, exacerbation, and recurrence of stress-related conditions, including PTSD, anxiety, and depression <sup>258–265</sup>. Psychiatric symptoms, in turn, perpetuate the endocrine and nervous system

dysregulations, resulting in a greater expression of post-concussive symptoms, including sleep quality disturbances <sup>266</sup>.

#### 3.2.3. Genetic Factors

Genetic variations that affect the inflammatory reactions and neurotrophic repairments after injury, and genes that alter mental and cognitive functioning are implicated in the outcome of brain trauma <sup>267</sup>. Genetic polymorphisms controlling inflammatory markers, including TNF- $\alpha$ , IL-1, and IL-6, have been linked to adverse outcomes after mTBI <sup>267</sup>. Moreover, particularly APOE polymorphisms appear to be implicated in mTBI outcome. Carriers of the APOE4 allele with mTBI history have a substantially heightened risk of developing Alzheimer's dementia <sup>268</sup> and CTE <sup>269</sup>. Moreover, they experience longer durations of loss of consciousness when sustaining a head trauma, exhibit worse memory functioning after injury, and suffer from a delayed recovery process <sup>267</sup>. In fact, a recent study showed that mice with the APOE4 genotype compared to those with APOE3 exhibit a greater inflammatory response, tau accumulation, microglia activation, apoptosis, and lower BDNF levels after mTBI, potentially explaining disadvantageous injury outcome <sup>270</sup>.

Interestingly, the genetic makeup may not only affect how an individual recovers from a mTBI, but also influences the likelihood of *sustaining* a mTBI in the first place <sup>271</sup>. A systematic review of genetic risk factors for sustaining mTBI identified that the promoter -219 G/T polymorphism of the APOE gene is linked to increased risk for mTBI <sup>271</sup>. Moreover, the BDNF Met/Met genotype (corresponding to decreased BDNF release) was linked to greater incidences of mTBI among military service members <sup>271</sup>. The authors explain the latter by alleging that BDNF polymorphisms have been associated with certain personality characteristics that may incline an individual to engage in risk behaviors potentially leading to mTBI, such as hyperactivity, impulsivity, and aggression <sup>271</sup>. Moreover, the BDNF polymorphism also affects neurochemistry and brain functioning, thereby facilitating that a head impact actually results in a diagnosable mTBI <sup>271</sup>.

### 3.2.4. Brain Morphology and Function

A recent review summarized the current macrostructural brain findings in mTBI and reported alterations in brain volume and cortical thickness after mTBI <sup>272</sup>. Tissue death in gray matter structures may occur particularly in the limbic system <sup>273</sup>. Indeed, smaller hippocampal volumes have not only been shown in patients with PTSD, but also in those with mTBI <sup>274–279</sup>. Damage to white matter tracts connected to the hippocampus may ultimately compromise

hippocampal structure <sup>272</sup>, and volumetric reductions of the hippocampus have been associated with poor sleep quality <sup>280–282</sup>. The amygdala is equally vulnerable to the adverse effects of head impacts <sup>63,277,279</sup>. Moreover, lower cingulate gyrus and orbitofrontal cortical volume has been observed <sup>283</sup>, the latter particularly in military mTBI <sup>284–286</sup>. Volumetric alterations of the limbic structures after mTBI have been associated with impaired cognitive functioning, such as memory, attention, psychomotor speed <sup>287–289</sup>, and with failure to resume employment <sup>290</sup>. Moreover, they pave the way for the emergence of psychiatric disorders, particularly PTSD <sup>291</sup>, given the limbic projections to stress response system regulation hubs, e.g., the hypothalamus <sup>292–294</sup>.

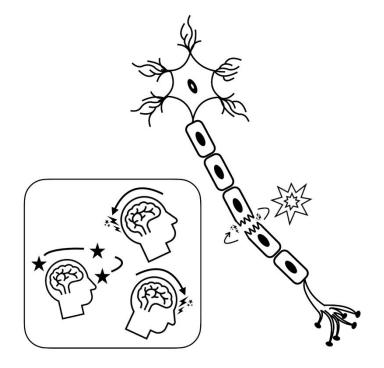
Importantly, not all studies report changes in cortical thickness <sup>277</sup> and brain volume <sup>288,295</sup> after mTBI. Increases in brain volume one year post-mTBI have been reported in comparison to healthy control subjects <sup>296</sup>, which may be interpreted as a compensatory reaction to the injury <sup>297</sup>. Others showed an initial increase and later decrease in cortical thickness <sup>298–300</sup>. Thus, brain structural alterations may vary with the acute, sub-acute and chronic stage of injury and according to specific mTBI symptoms.

#### 3.2.5. Brain Networks

Similar to PTSD, the connectivity of major brain networks is impacted after mTBI <sup>301–</sup> <sup>305</sup>, suggesting that mTBI may lead to widespread intra- and inter-network impairments <sup>306,307</sup>. Specifically, hypoactivity in the default mode network has been observed <sup>308,309</sup>, a network that is involved in providing an internal representation of the outer world and is most active at rest when reflecting on personal experiences and memories <sup>310</sup>. These self-referential processes influence social and emotional judgment and decision making <sup>310</sup>. The main hubs of the default mode network are the medial prefrontal cortex, inferior parietal lobule, posterior cingulate cortex, lateral temporal cortex, and the hippocampal formation <sup>310</sup>. Especially alterations in the anterior default mode network have been linked to psychiatric post-concussive symptoms <sup>309</sup>, and functional connectivity alterations acutely after mTBI are predictive of persistent postconcussive symptoms <sup>311,312</sup>. Several studies have shown associations between abnormalities of default mode network functioning and military mTBI with comorbid post-traumatic stress <sup>313-</sup> <sup>316</sup>. Importantly, altered connectivity of the default mode network may further lead to abnormalities in associated networks and, thus, to disruption of information processing, cognitive and emotional control, and adequate adaptation of behavior <sup>317–319</sup>. The findings suggest that mTBI leads to wide-spread impairments in large-scale brain networks <sup>301–305</sup>, resulting in neuropsychiatric sequalae <sup>309,311,312</sup>.

#### 3.2.6. White Matter Diffusion

Reduced network efficiency results from damages to connecting structures and white matter fiber tracts <sup>320–322</sup>. In fact, traumatic axonal injury is the most common injury type following mTBI <sup>323</sup>. Mechanical forces lead to shearing, tearing, contusions, and hemorrhages of axons <sup>244</sup> (**Figure 4**).



**Figure 4.** Mild Traumatic Brain Injury Traumatic Axonal Injury *Note.* This figure displays the twisting and tearing of an axon due to acceleration, and deceleration forces applied to the brain. Traumatic axonal injury may disrupt communication between brain areas and can lead to a cascade of biological events resulting in adverse neuropsychiatric symptoms.

DMRI studies with mTBI patients report widespread lower white matter FA, particularly for major fiber tracts, such as the corpus callosum <sup>59–63</sup>, inferior longitudinal fasciculus, superior longitudinal fasciculus, and uncinate fasciculus <sup>63,65</sup>. While numerous white matter tracts are implicated after mTBI <sup>59–65</sup>, especially the corpus callosum is affected due to its anatomical susceptibility to mechanical strain <sup>324</sup> and shear lesions <sup>272</sup>. A meta-analysis revealed FA reductions, particularly in the splenium of the corpus callosum <sup>325</sup>.

Importantly, it has been stressed that mTBI adversity may be detectable by sensitive imaging methods even years after injury <sup>326,327</sup>. Lower FA in fiber tracts throughout the brain has been reported after two to five years <sup>61,328</sup> or even ten years after mTBI <sup>329</sup>. Interestingly, a recent longitudinal study revealed that while white matter FA levels one year after mTBI were comparable to those of control subjects, FA measures decreased later on, as shown at the five-

year follow-up <sup>330</sup>. The authors suggest that the results are in line with accelerated brain aging in mTBI patients <sup>330,331</sup>.

While numerous studies showed white matter degeneration after mTBI, some have found higher white matter FA. A meta-analysis across five military brain injury cohorts identified higher FA in the superior longitudinal fasciculus in comparison to control participants <sup>332</sup>. The finding was interpreted as a rehabilitative process of fiber restructuring after injury <sup>333</sup>. White matter FA may increase especially acutely after injury <sup>334–336</sup> and likely reflects neuroinflammatory processes, such as cytotoxic edema, swelling, or neuropathological gliosis <sup>335,337,338</sup>. However, not all studies reveal initial increases of white matter FA, and the findings are highly variable in general, with some not reporting differences between mTBI patients and controls at all <sup>339</sup>. Further, time since injury appears to play a crucial role in white matter organization after mTBI, as indicated above. In fact, findings vary greatly depending on whether patients have been assessed acutely, sub-acutely, or remotely after mTBI <sup>326</sup>. Importantly, however, white matter alterations at all stages after mTBI have been linked to various post-concussive symptoms, such as impairments in working memory, processing speed, concentration problems, depression, irritability, aggressiveness, and sleep quality disturbances <sup>59,65,67,340–342</sup>. These symptoms are particularly amplified in patients who did not only sustain a mTBI, but also suffer from a psychiatric disorder, particularly PTSD <sup>343</sup>.

#### 4. PTSD, mTBI, and White Matter Diffusion

Approximately 33-39% of veterans with mTBI also meet diagnostic criteria for PTSD <sup>344</sup>. PTSD and mTBI do not only share various common symptoms, such as general psychological distress <sup>345</sup>, depression <sup>346,347</sup>, cognitive impairments <sup>348,349</sup>, problems in social life and everyday functioning <sup>228,350</sup>, and sleep quality disturbances <sup>351–354</sup>, but also influence each other. PTSD worsens the mTBI recovery prognosis <sup>355,356</sup>, and mTBI may lead to PTSD development or progression <sup>12–16</sup>. Importantly, it has been postulated that not only does mTBI increase the susceptibility for developing PTSD, but an uncontrolled stress response with associated abnormal limbic-cortical circuitry, as seen in patients with PTSD, enables far more detrimental effects of a head injury <sup>204,357</sup>.

The great commonality of neuropsychological impairments in PTSD and mTBI <sup>348,358</sup>, and particularly the emergence of PTSD following mTBI may likely be explained by the highly overlapping neural substrates underlying both conditions <sup>204,272,359,360</sup>. Damage to mesial temporal structures, such as the amygdala and hippocampus <sup>361</sup>, due to impact or blast-related acceleration and deceleration forces contribute to the emergence of both post-concussive

symptoms and PTSD symptomatology <sup>204,357,362</sup>. Moreover, chronic stress leads to abnormal HPA axis functioning <sup>99,101</sup>. This affects neuronal plasticity due to inflammatory processes <sup>114</sup>, and excitotoxic neurotransmitter damage <sup>204</sup>, such as overly activated glutamate neurotransmission <sup>41,205</sup>. These processes may ultimately lead to apoptosis and necrosis of brain tissue <sup>206</sup>. Moreover, brain structural abnormalities affect functional connectivity among large scale-brain networks, further reinforcing neuropsychiatric symptom burden <sup>167,363</sup>.

The brain's white matter is crucial for optimal network functioning <sup>38,39</sup> and both patients with PTSD <sup>41–58</sup> and mTBI <sup>59–65</sup> exhibit marked, wide-spread alterations of white matter microstructure. However, despite the high co-occurrence and clinical additive effects of PTSD and mTBI 204,348,357,358,362, only a small number of dMRI studies examined both disorders together. These few studies largely suggest that patients with comorbid PTSD and mTBI might have even more severe white matter abnormalities than patients with either disorder alone <sup>27–</sup> <sup>30</sup>. Specifically, white matter impairments of limbic tracts, e.g., the uncinate fasciculus <sup>29</sup> and cingulum bundle <sup>30</sup>, have been reported in veterans with comorbid PTSD+mTBI compared to those with mTBI only. Similarly, a greater number of lower FA clusters was found in veterans with comorbid PTSD+mTBI in comparison to those with mTBI only and healthy controls <sup>341</sup>. However, counterintuitive findings have also been reported. One study showed lower internal capsule FA in patients with PTSD compared to comorbid PTSD+mTBI, mTBI and controls, and therefore indicated no evidence of an additive burden of comorbid PTSD+mTBI <sup>364</sup>. Moreover, other studies revealed higher FA of major white matter tracts in veterans with comorbid PTSD+mTBI <sup>27</sup>. However, it is crucial to consider that higher white matter FA is not always associated with better functioning <sup>207</sup>, and may also be interpreted as an attempt of fiber re-organization or battling neuroinflammation <sup>183</sup>.

Despite these conflicting results, there is consensus that PTSD and mTBI may lead to impairments in white matter microstructure. Moreover, white matter microstructural alterations correlate with neuropsychiatric symptoms <sup>29,341</sup>, particularly sleep quality disturbances <sup>365</sup>. However, knowledge on the impact of PTSD- and mTBI-related sleep quality disturbances on the brain's white matter is still limited.

#### 5. PTSD, mTBI, and Sleep Quality

Sleep quality disturbances are highly prevalent in the veteran population <sup>366,367</sup>, with 70% experiencing poor sleep quality after deployment <sup>368</sup>. In particular, sleep quality disturbances are a hallmark symptom of PTSD <sup>19,20</sup>. PTSD-associated sleep quality disturbances encompass difficulties falling asleep, recurrent awakenings during the night, distressing

dreams, and not feeling rested during the day <sup>369–371</sup>. The large majority of PTSD patients experiences difficulties in falling and staying asleep <sup>33</sup>. Moreover, nightmares are particularly indicative of PTSD 372, and constitute a re-enactment of trauma that hinders the affected individual from returning to sleep due to intense anxiety after awakening <sup>372</sup>. Moreover, sleep quality disturbances are common in patients with mTBI <sup>21–23</sup>, exacerbate psychiatric symptoms <sup>365</sup>, and have been identified as a mediator between TBI and the emergence of PTSD <sup>373</sup>. Importantly, sleep appears to be even more severely impaired in veterans with comorbid PTSD and mTBI compared to those with only one of the conditions <sup>26</sup>. Sleep quality disturbances can persist for years after trauma <sup>31,32</sup>, and may not remit even when other PTSD symptoms are <sup>35,36</sup>. as sleep is needed for the emotional processing of traumatic events <sup>369</sup>. Indeed, prolonged sleep quality disturbances hinder the recovery from PTSD <sup>374–376</sup> and post-concussive symptoms <sup>377–</sup> <sup>379</sup>, and have been linked to adverse outcomes, including reduced quality of life <sup>365</sup>, poor physical and cognitive functioning <sup>33,34</sup>, substance use <sup>380</sup>, and suicide attempts <sup>381</sup>. In summary, trauma-related sleep quality disturbances may severely affect mental and physical health <sup>382</sup>. However, the underlying pathomechanisms are still poorly understood, impeding the implementation of proper diagnostic and treatment protocols.

#### 5.1. Etiology and Pathophysiology

A healthy human undergoes an entire sleep cycle for four to six times per night, each lasting for an average of approximately 90 minutes <sup>383</sup>. An entire sleep cycle consists of a wake period, three phases of non- rapid eye movement (non-REM) sleep (N1 to N3), and REM sleep. Sleep becomes deeper from non-REM sleep N1 to N3 and is marked by increasingly large-amplitude EEG waves <sup>383</sup>, explaining why non-REM sleep is commonly referred to as *slow wave sleep* <sup>384</sup>. During the non-REM sleep stages, arousal is typically decreased, and the body restores its functions <sup>211</sup>. Indeed, slow wave sleep is essential for optimal mental and physical functioning <sup>384</sup>. When the morning approaches, REM sleep covers more time. During REM sleep, muscle tone is inhibited, and only the eyes move under the eyelids, giving REM sleep its name. Breathing and heart rate are more irregular, and most dreaming occurs during this stage <sup>383,385</sup>. Neural activity during REM sleep is comparable to wakefulness, and activity is especially increased in the limbic system and related areas <sup>209,211</sup>.

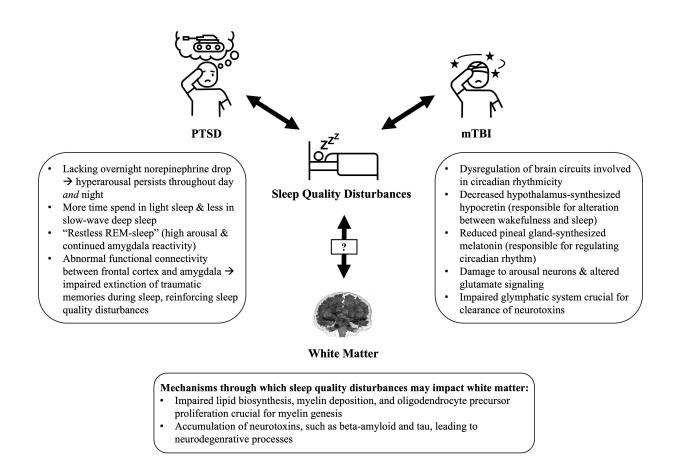
PTSD patients commonly show disruptions in REM sleep <sup>19,386</sup>. Nightmares usually occur during REM sleep <sup>19</sup> and have been suggested to be a failed attempt of incorporating the lived traumatic experience into one's world <sup>210</sup>. In fact, so-called *restless REM sleep* that is marked by especially high arousal and eye movements impairs the overnight resolution of

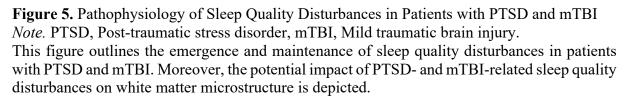
distress and contributes to chronic hyperarousal symptoms of PTSD <sup>387</sup> by maintaining continued amygdala reactivity <sup>388</sup>. Moreover, in patients with PTSD light sleep is more prominent, while slow-wave deep sleep covers less time <sup>210,389</sup>, which has been connected to increased CRH levels and CRH receptor down-regulation – a key pathology of PTSD <sup>97,98,390</sup>. As a consequence, PTSD patients show an abnormally high norepinephrine activity in the brain <sup>19,209</sup>, which correlates with the clinical symptoms of hyperarousal <sup>210</sup>. Norepinephrine activity is usually suppressed during sleep <sup>391</sup>, however not so in patients with PTSD. PTSD patients lack the overnight drop in norepinephrine levels which correlates with worse sleep quality <sup>392</sup>. Strikingly, analyses of sleep patterns, HPA axis functioning, EEG, and neuroimaging findings suggest that sleep quality disturbances, such as insomnia, reflect a hyperarousal state that persists throughout day and night <sup>392,393</sup>. In accordance with the increased norepinephrine tone and hyperarousal, PTSD patients exhibit greater metabolism in the limbic system, brainstem, and cortical regions during REM sleep and while awake <sup>394</sup>. Moreover, PTSD-related sleep quality disturbances have been associated with abnormal functional connectivity in fear-related circuits, particularly between the medial prefrontal cortex and amygdala<sup>211,212</sup>, and with gray matter volume loss in limbic and paralimbic areas, such as the amygdala, hippocampus, anterior cingulate, and insula <sup>395</sup>. Disconnection between frontal and limbic areas as a correlate of disturbed sleep quality may further contribute to emotion regulation difficulties <sup>396</sup>, and impaired extinction of traumatic distressing memories <sup>397</sup>. Altered sleep patterns and nightmares may, thus, especially emerge due to the disturbed interplay between the amygdala and medial prefrontal cortex seen in patients with PTSD <sup>211,365</sup>.

Biological impairments due to mTBI, such as traumatic axonal injury <sup>323,398</sup>, may further exacerbate sleep quality disturbances in patients with PTSD. Moreover, mTBI may cause poor sleep quality even without psychiatric comorbidity <sup>399</sup>. Dysregulation of brain circuits involved in circadian rhythmicity <sup>400</sup> (such as the brain stem, reticular activating system, hypothalamus, and retino-hypothalamic tract <sup>401</sup>), damage to arousal neurons, altered glutamate signaling, and reduced melatonin production from the pineal gland after mTBI <sup>402,403</sup> likely play a role. Moreover, hypothalamus-synthesized hypocretin, which is involved in the alteration between wakefulness and sleep, is often decreased in TBI patients <sup>404–406</sup>, and may contribute to sleep quality disturbances <sup>407</sup>. Proinflammatory cytokines are elevated both in patients with PTSD <sup>114</sup> and in mTBI <sup>408</sup>, and have been linked to sleep quality disturbances <sup>409</sup>. Increased levels of inflammatory cytokines may induce apoptotic processes and may impair myelination <sup>202,203</sup>. Further, it was recently discovered that mTBI leads to impaired glymphatic waste clearance in the limbic system <sup>410</sup>. The glymphatic system is a brain network of perivascular spaces vital for

flushing out accumulated neurotoxins (such as beta-amyloid and tau) that is most active during sleep <sup>411–414</sup>. Impaired waste clearance of the limbic circuits may explain why sleep quality disturbances after TBI fuel the emergence and maintenance of psychiatric symptoms <sup>248</sup>.

In summary, PTSD and mTBI have been linked to physiological changes associated with disturbed sleep quality. In turn, sleep quality disturbances have been shown to affect brain structure and function. Sleep is crucial for maintaining the healthy environment the brain needs to perform adequately, and is directly linked to brain homeostasis <sup>415</sup>. As sleep is involved in lipid biosynthesis, myelin deposition, and activates oligodendrocyte precursor proliferation crucial for myelin genesis, it has been argued that sleep may be particularly critical for white matter health <sup>416</sup>. On the opposite, sleep quality disturbances have been linked to brain volume loss, decreased neurogenesis, and decreased cortical activation <sup>417,418</sup>. As a result, poor sleep quality is likely to have an impact on the brain's white matter connections and, vice versa, on neuropsychiatric functioning. However, the complex interplay between PTSD, mTBI, sleep quality, and white matter is largely unknown (**Figure 5**).





## 5.2. Sleep Quality and White Matter Diffusion

As outlined above, several dMRI studies revealed microstructural alterations in major fiber tracts and global cortical white matter in patients with PTSD <sup>41,51–53,182,183</sup>, mTBI <sup>59– 63,65,325,328,419</sup>, and comorbid PTSD+mTBI <sup>27–30</sup>. Moreover, a few studies have revealed an association between sleep quality disturbances and alterations in the white matter of patients with PTSD <sup>208</sup>, mTBI <sup>66,67</sup>, and otherwise healthy individuals <sup>68–77,420</sup>. A significant association between the hyperarousal symptoms of PTSD, poor sleep quality, and reduced FA in the right uncinate fasciculus has been shown <sup>208</sup>. The findings confirm the hypothesized dysregulation of noradrenergic activity in patients with PTSD <sup>19,209,210</sup>, leading to impaired fronto-limbic connectivity <sup>211,212</sup>. Moreover, mTBI patients exhibit reduced integrity of the parahippocampal gyri <sup>66</sup>, alterations in the internal capsule, corona radiata, fornix and superior fronto-occipital fasciculus in association with poor sleep quality <sup>67</sup>. The observed low FA in frontal and temporal lobe regions may be responsible for the disturbances in complex cognitive processes, such as attention and memory, commonly associated with sleep quality disturbances <sup>421</sup>. More specifically, it has been suggested that sleep-related reductions in structural connectivity between frontal and temporal regions may impair information processing <sup>77</sup>. In addition, various studies in otherwise healthy individuals <sup>68–77,420</sup> showed both local and widespread alterations of white matter microstructure in association with poor sleep quality, such as lower overall FA and higher MD in frontal, temporal, parietal, and occipital regions <sup>69</sup>.

Some studies suggested a link between poor sleep quality and disturbed synchronized neuronal activity, particularly reflected in impaired fronto-subcortical structural pathways <sup>72,73,391,422</sup>. However, across available studies, most of the major white matter tracts were affected by poor sleep quality <sup>68,70–72,75,420,423</sup>, thus pointing against region- or pathway-specific patterns of sleep quality disturbances. Rather, disturbed sleep appears to have widespread effects on the brain. Supporting this, it has previously been suggested that region-specific impairments in brain structure associated with poor sleep quality may further affect other connected areas, resulting in widespread pathology <sup>70,424</sup>.

While previous research indicates a strong association between sleep quality and white matter health, to date, only very few studies investigated the relationship between PTSD, mTBI, sleep quality and white matter microstructure. These studies commonly failed to assess white matter fiber tracts of the entire brain. Moreover, while sleep quality disturbances have usually been investigated in relation to either PTSD or mTBI individually, the underlying connection between both diagnoses has rarely been explored. This is surprising, given that white matter fiber tracts constitute major connections across various brain networks that affect clinical functioning <sup>38–40</sup> and are commonly impacted in patients with PTSD and mTBI <sup>27–30</sup>. Advanced insights on the association between structural brain health and sleep quality are needed to establish targeted interventions and improve long-term outcomes.

## 6. Hypotheses

As outlined above, the interplay of social, economic, environmental, genetic, and neurophysiological factors leads to altered brain morphology and function that contribute to the emergence and maintenance of PTSD, mTBI, and associated sleep quality disturbances. Particularly, the brain's white matter anatomy that connects different brain regions is of major importance for optimal brain network functioning and shows abnormalities in association with sleep quality disturbances. However, the complex interactions between PTSD, mTBI, poor sleep quality, and white matter microstructure are largely unknown.

The current work focuses on elucidating the relationship between PTSD, mTBI, sleep quality, and white matter microstructure, leveraging a large and unique sample comprised of veterans returning from deployment to Iraq and Afghanistan (N= 180). Self-reported sleep quality is investigated in veterans with PTSD, mTBI, and comorbid PTSD+mTBI. DMRI is utilized to assess the associations between sleep quality and white matter microstructure. Veterans with PTSD, mTBI, or comorbid PTSD+mTBI are hypothesized to experience poorer sleep quality than veterans without a history of PTSD or mTBI <sup>26</sup>. Based on previous studies that suggest a critical role of sleep for white matter microstructure  $^{68,70-72,75,420,423}$ , it is further hypothesized that poorer sleep quality is related to global white matter microstructure abnormalities  $^{68,70-72,75,420,423}$ . Subsequently, the relationship between PTSD symptom severity, mTBI burden, sleep quality and white matter microstructure will be explored, given that sleep quality disturbances are the hallmark of PTSD <sup>19,20</sup>, mTBI may exacerbate PTSD symptomatology <sup>12–16</sup>, cumulative mTBIs may increase sleep quality disturbances <sup>425</sup>, and PTSD <sup>41,43–58,183</sup>, mTBI <sup>59–65</sup> and sleep quality disturbances <sup>68–77,420</sup> have individually been linked to white matter microstructural alterations.

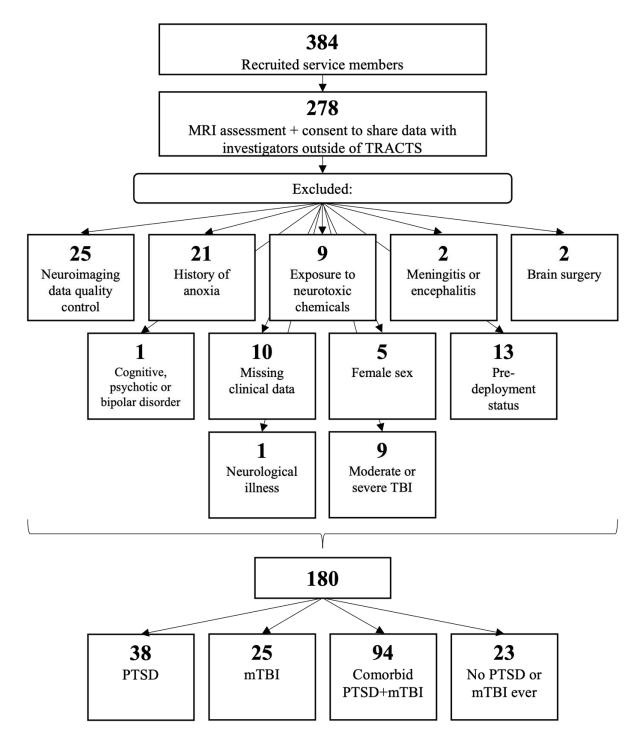
- I) Veterans with PTSD, mTBI, or comorbid PTSD+mTBI experience poorer sleep quality than veterans without a history of PTSD or mTBI.
- II) Greater PTSD symptom severity and mTBI burden are associated with poorer sleep quality.
- III) Poorer sleep quality is associated with abnormalities in white matter microstructure.
- IV) There is an association between PTSD symptom severity, mTBI burden, sleep quality, and white matter microstructure.

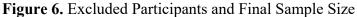
#### 7. Methods

# 7.1. Participants

Since 2016, the Translational Research Center for TBI and Stress Disorders (TRACTS) study <sup>426</sup> has been actively recruiting military service members in an effort to understand the consequences of deployment-related physical and psychological trauma (VA Rehabilitation Research and Development National Network Research Center for Traumatic Brain Injury Grant (B9254-C) to Regina E. McGlinchey). OEF and OIF veterans were recruited all over New England and the Boston area. Written informed consent was obtained from all study participants, and the VA Boston Healthcare System Institutional Review Board provided approval for the study protocols.

Out of the first 384 consecutively recruited veterans returning from deployment to Iraq and Afghanistan, 278 participants underwent an MRI assessment and provided consent for sharing their data with researchers of institutions cooperating with TRACTS. Out of these 278, 25 cases failed to persist the visual neuroimaging data quality control for reasons such as exceeding movement during scanning. Additionally, participants with a history of moderate or severe TBI (n = 9), neurological illness unrelated to TBI (n = 1), DSM-IV diagnosis of a cognitive, psychotic or bipolar disorder (n = 1), anoxia (n = 21), meningitis or encephalitis (n = 21)= 2), brain surgery (n = 2), exposure to neurotoxic chemicals (n = 9), missing clinical data (n = 2)= 10), female sex (n = 5), and participants who had not yet been deployed (n = 13) were excluded, resulting in a final sample of 180 participants. The above stated exclusion criteria were applied to rule out potentially confounding effects on brain structure. The participating veterans were classified into four groups based on lifetime diagnoses of PTSD and mTBI. The groups included veterans with PTSD (n = 38), veterans with mTBI (n = 25), veterans with comorbid PTSD+mTBI (n = 94), and veterans without a history of PTSD or mTBI (n = 23) (Figure 6). Lifetime diagnoses were chosen over current PTSD or mTBI diagnoses, since previous studies demonstrate a strong influence on gray matter and white matter structure when considering lifetime diagnoses <sup>27,427</sup>, suggesting that neurobiological effects persist or even increase over time.





*Note.* MRI, Magnetic resonance imaging; TRACTS, Translational Research Center for TBI and Stress Disorders; PTSD, Post-traumatic stress disorder; mTBI, Mild traumatic brain injury. This figure shows the number of excluded participants and the number of the total sample (N=180), and groups (PTSD, n = 38; mTBI, n = 25; PTSD+mTBI, n = 94; No history of PTSD or mTBI, n = 23).

#### 7.2. Diagnostic and Clinical Assessment

#### 7.2.1. Assessment of PTSD

Lifetime PTSD diagnosis and current symptom severity was assessed according to the 30-item Clinician-Administered PTSD Scale for DSM-IV (CAPS-IV) <sup>428</sup> by trained doctorate level psychologists. The CAPS-IV is a semi-structured interview that includes items that refer to re-experiencing, avoidance, arousal, emotional and cognitive symptoms of the traumatic event (e.g., B3: *"Have you ever suddenly acted or felt as if (EVENT) were happening again? Have you ever had flashbacks about (EVENT)? Tell me more about that."*). Frequency and intensity scores were rated on a scale from 0 = absent to 4 = extreme/incapacitating and summed into a total PTSD symptom severity score. To assess PTSD symptoms other than sleep quality, two items (i.e., difficulty sleeping, recurrent distressing dreams) were removed from the scale and a sleep-corrected PTSD total score was calculated, in line with prior work <sup>428</sup>.

# 7.2.2. Assessment of mTBI

The Boston Assessment of TBI-Lifetime (BAT-L)<sup>429</sup> was administered to assess mTBI history and to rate the accumulative lifetime mTBI burden. The BAT-L is a semi-structured interview that assesses a history of head injuries across the lifespan, with a particular focus on military TBI. It is sensitive to identifying approximations for altered mental status, post-traumatic amnesia, and loss of consciousness, with a special focus on blast-related injuries that are commonly sustained in the military population. Moreover, the BAT-L is able to differentiate between physiological and psychological symptoms of head trauma <sup>429</sup> and distinguishes between mild, moderate, and severe TBIs. Mild TBI is classified into stages 1-3, where a higher stage refers to a greater mTBI severity. A total mTBI burden score was computed from the number and severity of all mTBIs pre-, during, and post-deployment. Pre-deployment mTBIs included mTBIs before enlistment. MTBIs during deployment referred to all deployments if deployed multiple times.

In the current sample, comorbidity with mTBI was highly prevalent for all psychiatric diagnoses (i.e., PTSD, mood, anxiety, and substance use disorder) (**Figure 7**).

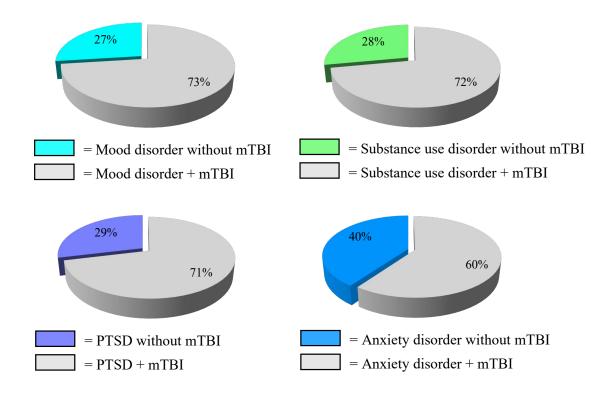
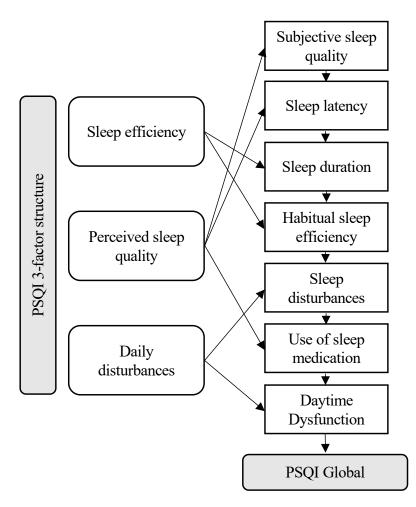


Figure 7. Proportion of mTBI in Psychiatric Diagnoses

*Note.* PTSD, Post-traumatic stress disorder; mTBI, Mild traumatic brain injury. This figure illustrates the proportion of PTSD, substance use, mood, and anxiety disorder in patients with and without additional mTBI.

# 7.2.3. Assessment of Sleep Quality

Current sleep quality was evaluated using the Pittsburgh Sleep Quality Index (PSQI) <sup>430</sup>, an 18-item self-report questionnaire measuring subjective sleep quality, sleep latency, sleep duration, habitual sleep efficiency, sleep disturbances, use of sleep medication, and daytime dysfunction on seven subscales (**Figure 8**). The PSQI includes a variation between open questions (e.g., "During the past month, how long (in minutes) has it usually taken you to fall asleep each night?"), and items that are scored on a 4-point scale, e.g., ranging from 0 = very good to 3 = very bad (e.g., "During the past month, how would you rate your sleep quality overall?"). A previously validated approach suggests that the seven subscales of the PSQI are most adequately characterized by three factors: *sleep efficiency, perceived sleep quality*, and "habitual sleep efficiency". *Perceived sleep quality* includes "subjective sleep quality", "sleep latency", and "use of sleep medication". Daily disturbances encompasses "sleep disturbances" and "daytime dysfunction". Higher scores on the total scale and all sub-scales refer to lower sleep quality.



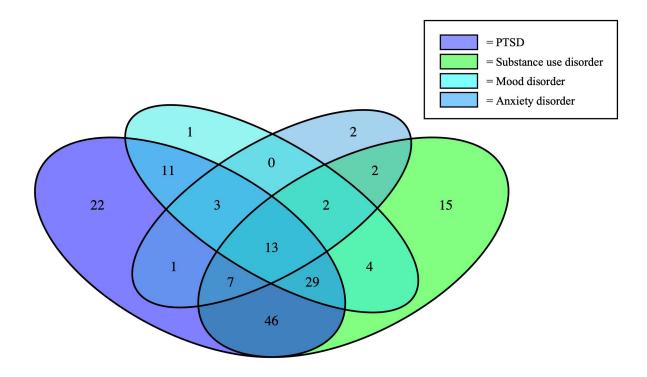
#### Figure 8. Assessment of Sleep Quality

*Note.* PSQI, Pittsburgh Sleep Quality Index <sup>430</sup>; PSQI 3-factor structure, The PSQI subscales sleep efficiency, perceived sleep quality and daily disturbances refer to the Pittsburgh Sleep Quality Index 3-Factor Structure <sup>431</sup>.

Figure adapted from <sup>431</sup>.

# 7.2.4. Assessment of Comorbid Psychiatric Disorders

The non-patient research version of the Structured Clinical Interview for DSM-IV Axis I Disorders (SCID-I/NP) <sup>432</sup> (module D: mood disorders; module E: substance use disorders; module F: anxiety disorders) was employed to diagnose comorbid lifetime psychiatric disorders. The SCID-I/NP is a semi-structured clinical interview that was administered by trained doctorate level psychologists. As displayed in **Figure 9**, many veterans presented with psychiatric comorbidities.



**Figure 9.** Overlap of Psychiatric Diagnoses *Note.* Total sample N = 180; PTSD, Post-traumatic stress disorder.

# 7.2.5. Assessment of Warzone Stress

Warzone-related stress was assessed with the combat experiences and post-battle experiences sub-scales of the Deployment Risk & Resilience Inventory-II (DRRI-II)<sup>433</sup>. The DRRI subscales (DRRI-Combat and DRRI-Other) consist of 16 questions concerning combat or warzone-related events (e.g., DRRI-Combat: "*I personally witnessed someone from my unit or an ally being seriously wounded or killed*", DRRI-Other: "*I saw civilians after they had been severely wounded or disfigured*"). The DRRI-Combat subscale uses a 5-point scale (0 = *never* to 4 = daily or almost daily). The DRRI-Aftermath subscale uses a binary response format (0 = *no* and 1 = *yes*).

# 7.3. Magnetic Resonance Imaging

## 7.3.1. Image Acquisition

DMRI data was acquired on a 3-Tesla Siemens TIM Trio scanner (Siemens Healthineers, Erlangen, Germany) at the VA Medical Center in Boston using a single-shot echo-planar sequence with a twice-refocused spin-echo pulse. The following sequence parameters were applied: 64 axial slices with no inter-slice gap, 60 gradient directions with a

b-value of 700 s/mm<sup>2</sup> and 10 additional scans with b = 0 gradients, TR = 10.000 ms, TE = 103 ms, voxel size = 2x2x2 mm<sup>3</sup>, FOV = 256 mm<sup>2</sup>.

# 7.3.2. Image Pre-processing

The dMRI data was processed in several steps by employing the image processing pipeline of the Psychiatry Neuroimaging Laboratory (PNL), Brigham and Women's Hospital, Harvard Medical School, USA (https://github.com/pnlbwh/pnlutil/blob/master/pipeline/README.md). First, the images were axis-aligned and centered to a standard position on the x-y-z axis, as well as motion- and eddy current-corrected by registering all gradient volumes to an undistorted b = 0 volume with an affine registration (FLIRT) utilizing the FMRIB Software Library (version 5.1, https://fsl.fmrib.ox.ac.uk/fsl/fslwiki/) 434,435. Image quality was visually checked for artifacts using 3D Slicer (version 4.5, http://www.slicer.org) 436, resulting in the exclusion of 25 participants (e.g., due to severe motion artifact or signal drop). Artifacts are fairly common and can be a result of faulty scanner hardware or patient motion during MRI acquisition <sup>437</sup>. SlicerDMRI<sup>438,439</sup> was employed to create diffusion masks covering the entire brain. Each brain slice was inspected visually in the axial, sagittal, and coronal view and brain masks were manually corrected where necessary. In case the automatically created brain mask failed to cover the entire brain, the mask was manually expanded. In case the skull was mistakenly registered as brain tissue, the mask was narrowed to fit only the brain. Manual correction of brain masks is important to ensure an accurate registration of brain images and to ensure optimal quality of further processing steps.

#### 7.3.3. White Matter Fiber Clustering

As outlined earlier, dMRI offers a non-invasive visualization of white matter fiber tracts in the living brain <sup>184</sup>. A visualization of the white matter microstructure can provide extensive information on brain disease, such as traumatic axonal injury, and may lead to an understanding of abnormal behavioral and cognitive processes <sup>130</sup>. White fiber clustering was conducted by utilizing accessible pipeline, an openly whitematteranalysis software (https://github.com/SlicerDMRI/whitematteranalysis), to automatically perform fiber tract parcellation and extraction (Figure 10)<sup>81</sup>. White matter fiber tracts were identified for each subject using the White Matter Analysis (WMA) package for tract parcellation. WMA is based on a neuroanatomist-curated white matter atlas and applies machine learning to identify fiber tracts in an individual <sup>78–80</sup>. The white matter fiber clustering approach is capable of extracting fiber tracts from the entire brain by organizing fiber tracts according to anatomical similarity and location in the brain.

First, a two-tensor whole-brain unscented Kalman Filter (UKF) tractography was conducted (https://github.com/pnlbwh/ukftractography)<sup>440,441</sup>. A two-tensor model was chosen to accommodate for crossing fibers <sup>442,443</sup>. The first tensor is associated with primary fiber tract direction, while the second tensor represents crossing fibers. Qualitative and quantitative quality checks were performed using the *whitematteranalysis software* quality control tool (https://github.com/SlicerDMRI/whitematteranalysis) to ensure correctness of fiber tract anatomy and that the tractography was stored in the same spatial coordinate system as the atlas tractography data. Compared to single single-tensor tractography, the UKF approach is more sensitive <sup>444–446</sup> and exhibits greater consistency <sup>78</sup>. Specifically, UKF tractography has a high ability to identify target fiber tracts correctly, even in the presence of crossing fibers <sup>445,447</sup>. The sensitivity to crossing fibers has both benefits and drawbacks. Increased sensitivity can aid in the detection of more putative true positive fibers while also increasing false positive tracking, which may affect white matter parcellation reproducibility. The subsequently employed white matter fiber clustering can reduce this issue by including a data-driven outlier elimination procedure and utilizing a neuroanatomist-curated atlas of fiber tracts.

This neuroanatomist-curated white matter atlas was trained on 100 healthy community subjects as input data <sup>448</sup>. A joint alignment of the tractography of all atlas subjects was conducted. Based on the joint atlas input tractography, white matter fiber tracts were extracted and used as a reference to identify fiber tracts in the TRACTS study subjects <sup>78–80</sup>. First, each TRACTS subject's tractography was registered into the atlas space. The similarity between the fibers in the atlas and the fibers of the individual TRACTS participants was quantified and used to categorize the fibers into clusters, allotting them to the corresponding tract in the atlas (Figure 10). A neuroanatomist identified probable false positive clusters in the atlas (i.e., fibers that were obviously deviating from known anatomical trajectories). As outlined above, this approach enabled to substantially reduce false-positive tracking, which is a common problem with tractography <sup>449</sup>. In further processing and display processes, false positive clusters were excluded and provided a reliable and highly accurate identification of fiber tracts <sup>78</sup>. Thus, it was ensured that the tractography and tract identifications were executed consistently and in the same manner across all subjects. The white matter fiber clustering method has successfully been applied in several studies <sup>450–452</sup>, has a high test-retest reproducibility <sup>453</sup>, and is robust to individual variation in brain anatomy <sup>454</sup>. By extracting an increased amount of fiber tracts from the entire brain, this approach outperformed previous automated fiber tracking methods, which were restricted to extracting only major fiber tracts, missing to include all of the brain's white matter <sup>78</sup>.

As highlighted in the introduction, a widespread effect of sleep quality on white matter microstructure was expected <sup>68,70–72,77</sup>. Therefore, the entire brain's fiber tracts were merged into one whole-brain white matter variable (**Figure 10**). Additionally, the major white matter fiber tracts (i.e., left/right arcuate fasciculus, cingulum bundle, inferior longitudinal fasciculus, inferior occipito-frontal fasciculus, superior longitudinal fasciculus, uncinate fasciculus, and corpus callosum) were extracted. A description of anatomical location, main functions, and associations with sleep quality of the major white matter fiber tracts can be found in **Table 3**. A visualization of the fiber tracts is displayed in **Figure 11**.

### 7.3.4. Diffusion Parameter Extraction

Free-water (FW) imaging was employed to obtain whole-brain voxel-wise free-water corrected fractional anisotropy (FA<sub>T</sub>) values of whole-brain and major fiber tract white matter (i.e., left/right arcuate fasciculus, cingulum bundle, inferior longitudinal fasciculus, inferior occipito-frontal fasciculus, superior longitudinal fasciculus, uncinate fasciculus, and corpus callosum). As described earlier, using FW imaging, the MRI signal is divided into two compartments, and is therefore able to eliminate partial volume effects of extracellular FW (e.g., caused by CSF contamination, edema, or atrophy)<sup>198</sup>. The first compartment is the isotropic FW compartment accounting for the relative contribution of extracellular FW in each voxel. The second represents each voxel's tissue compartment from which the FW-corrected fractional anisotropy (FA<sub>Tissue</sub>, FA<sub>T</sub>) can be calculated. Given the correction for FW, FA<sub>T</sub> serves as a more precise indicator for cellular WM architecture than the conventional FA measure <sup>199</sup>. Aberrant myelination, degeneration, or atrophy processes can be more accurately depicted when correcting for adjacent FW <sup>199</sup>. This is a significant improvement compared to earlier dMRI analyses of white matter microstructure in relation to PTSD, mTBI, and sleep quality, which largely relied on conventional FA measures.

The streamline quantity in each tract was assessed to ensure that there were no participants with outlier values. Similarly, FA<sub>T</sub>-values were inspected for outliers. Moreover, all white matter tracts were subjected to a visual quality assessment to validate anatomical accuracy. The described quality check procedures are in line with best practices employed most recently <sup>455–460</sup>. All data passed the quality checks.

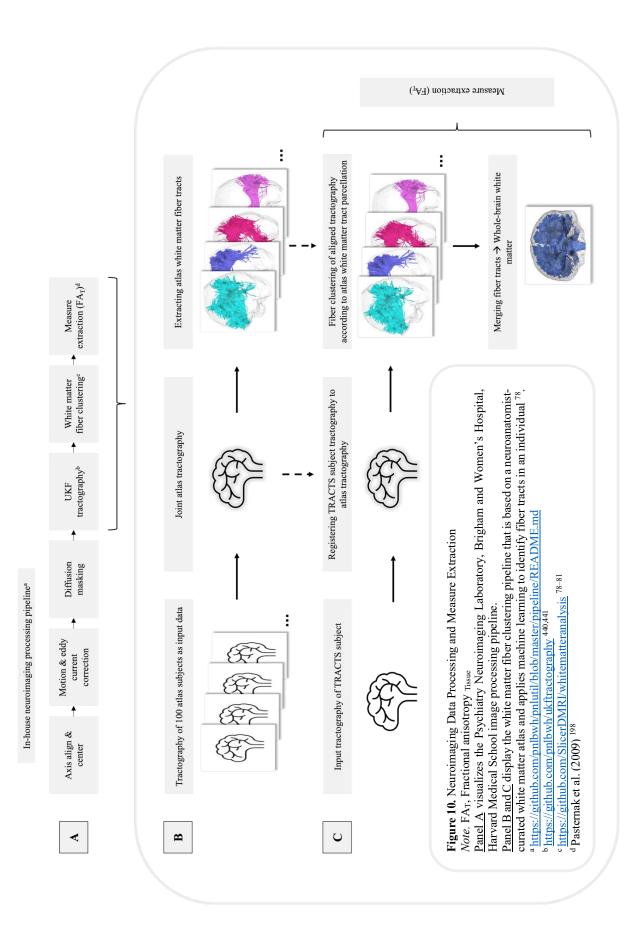


Table 3. Major White Matter Fiber Tracts

White Matter Fiber Tract	Location and Function
Arcuate fasciculus	The arcuate fasciculus connects the peri-sylvian cortex with
	frontal, parietal, and temporal regions. The left arcuate
	fasciculus is primarily known for its function in language,
	while the right arcuate fasciculus is involved in visuospatial
	processing <sup>461,462</sup> . The arcuate fasciculus has been found to be
	impacted in patients with self-reported insomnia <sup>75</sup> .
Cingulum bundle	The cingulum bundle extends from the orbitofrontal cortices
	to the temporal pole along the dorsal corpus callosum. It is a
	major part of the limbic system and responsible for
	communication between limbic regions <sup>463,464</sup> . Accordingly,
	the cingulum bundle has been primarily linked to emotional
	processes, but also to executive control, pain, and memory <sup>463</sup> .
	The cingulum bundle has been associated with the re-
	experiencing symptoms of PTSD <sup>465</sup> . Therefore, nightmares
	(which are considered uncontrolled re-enactments of the
	traumatic event 372,466), may similarly be associated with
	cingulum bundle structure. Reductions in cingulum bundle
	FA have been associated with overall poor sleep quality <sup>68</sup> .
	Moreover, an association between better sleep efficiency and
	lower cingulum bundle MD has been shown <sup>76</sup> .
Inferior longitudinal	The inferior longitudinal fasciculus links occipital regions
fasciculus	with anterior temporal structures <sup>467</sup> , and is essential for visual
	perception, face processing, language, and memory <sup>467,468</sup> .
	Insomnia has been correlated with lower inferior longitudinal
	fasciculus MD 420. Moreover, there are indications of an
	association between REM sleep behavior disorder (a
	parasomnia characterized by acting out with abnormal motor
	behavior and vocalization while dreaming <sup>469</sup> ) and reduced
	inferior longitudinal fasciculus integrity 470.
Inferior occipito-frontal	The inferior occipito-frontal fasciculus is a ventral association
fasciculus	fiber tract that links the ventral occipital lobe with the
	orbitofrontal cortex <sup>462</sup> . The inferior occipito-frontal

fasciculus runs in parallel to the corpus callosum, and in parallel to the inferior longitudinal fasciculus in the occipital lobe <sup>462,471</sup>. The functions of the inferior occipito-frontal fasciculus are not yet entirely understood <sup>462</sup>, however, there is evidence of its involvement in language processing, visual perception, and goal-driven behavior <sup>472</sup>.

An association between insomnia and lower inferior occipitofrontal fasciculus MD has been shown <sup>420</sup>, as well as a link between impaired inferior occipito-frontal fasciculus integrity and obstructive sleep apnea <sup>473</sup>, a sleep-related breathing disorder marked by repetitive episodes of upper airway collapse <sup>474</sup>. In fact, obstructive sleep apnea is common among veterans with PTSD and mTBI <sup>475,476</sup>, where the repeated episodes of hypoxia may damage the white matter <sup>477–479</sup>.

Superior longitudinal The superior longitudinal fasciculus is a major cortical white fasciculus The superior longitudinal fasciculus is a major cortical white matter structure (differentiated into three parts) that links the frontal cortex with the superior parietal lobe (superior longitudinal fasciculus I), angular gyrus (superior longitudinal fasciculus II), and supramarginal gyrus (superior longitudinal fasciculus III) <sup>480</sup>.

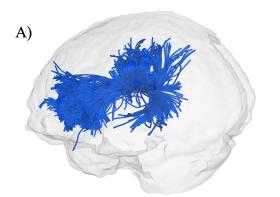
The superior longitudinal fasciculus has been found to be primarily responsible for cognitive processes, including processing speed, executive functioning, and attention <sup>481–485</sup>. There is evidence that the superior longitudinal fasciculus is impacted by poor sleep quality <sup>71</sup>, and insomnia specifically <sup>73,75,420</sup>.

Uncinate fasciculus The uncinate fasciculus links the orbitofrontal cortex with the temporal pole <sup>486</sup> and belongs to the limbic system <sup>462,487</sup>. The uncinate fasciculus plays a role in emotion, memory, and language <sup>487–489</sup>.

It has been suggested that uncinate fasciculus myelination may be negatively affected by poor sleep quality <sup>490,491</sup>. Supporting this, veterans showed an association between poor

	sleep, reduced uncinate fasciculus FA and increased
	hyperarousal <sup>208</sup> . Moreover, patients with obstructive sleep
	apnea similarly exhibit decreased uncinate fasciculus FA $^{492}$ .
Corpus callosum	The corpus callosum is the brain's largest white matter
	structure <sup>493</sup> , a major commissural fiber tract bridging the left
	and the right hemisphere <sup>494</sup> . It is primarily responsible for
	signal transmission between both hemispheres, thereby
	engaging in sensory and motor processes <sup>494</sup> , and integrating
	cognitive and social-emotional functions <sup>493</sup> .
	Poor sleep quality has been linked to reduced corpus callosum
	integrity 71,495,496. Correspondingly, an association between
	insomnia and decreased corpus callosum FA $^{\rm 73}$ and decreased
	corpus callosum MD <sup>420</sup> has been shown.

*Note.* PTSD, Post-traumatic stress disorder; mTBI; Mild traumatic brain injury; REM, Rapid eye movement; FA, Fractional anisotropy, MD, Mean diffusivity.



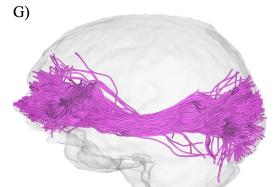


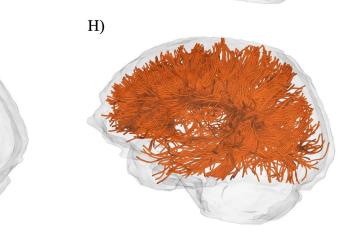


D)







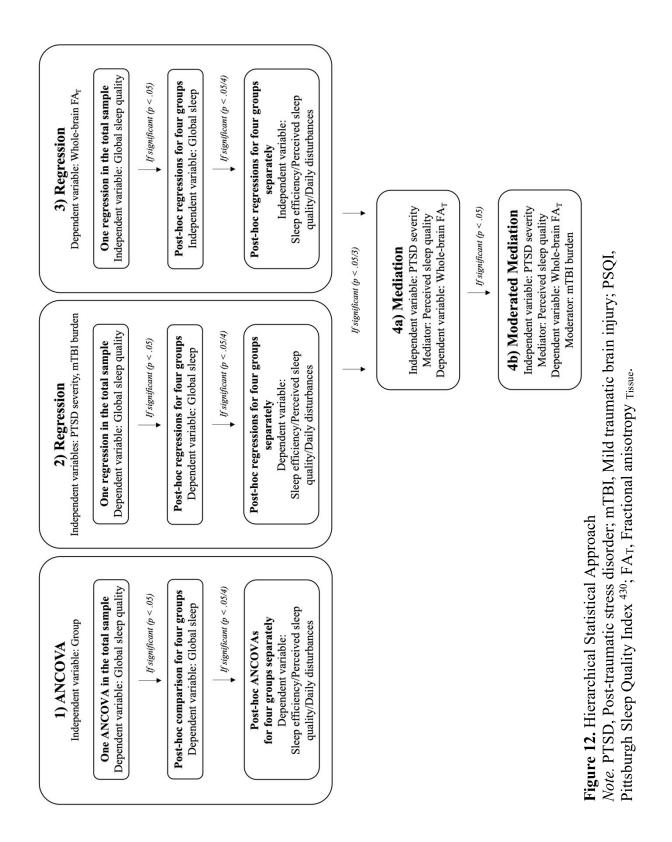




*Note*. A) Superior longitudinal fasciculus I; B), Superior longitudinal fasciculus II; C) Superior longitudinal fasciculus III; D) Uncinate fasciculus; E) Cingulum bundle; F) Inferior longitudinal fasciculus; G) Inferior occipital frontal fasciculus; H) Corpus callosum.

# 7.4. Statistical Analysis

Statistical analyses were conducted using IBM SPSS Statistics 27<sup>497</sup> and R 4.0.3<sup>498</sup>. Figures were created using R 4.0.3<sup>498</sup> and GraphPad Prism 9<sup>499</sup>. A hierarchical statistical approach was applied, conducting all analyses in the total sample and if significant in the four groups (PTSD, mTBI, comorbid PTSD+mTBI, no history of PTSD or mTBI; **Figure 12**). All analyses included age as a covariate and were corrected for multiple comparisons, as detailed in **Figure 12**.



## Hypothesis I)

*Veterans with PTSD, mTBI, or comorbid PTSD+mTBI experience poorer sleep quality than veterans without a history of PTSD or mTBI.* 

In line with a previous publication <sup>26</sup>, an analysis of covariance (ANCOVA) was conducted to depict differences in global sleep quality across the groups (PTSD, mTBI, comorbid PTSD+mTBI, no history of PTSD or mTBI). If the overall ANCOVA was significant (p < .05), post-hoc comparisons were performed for the four groups. If the group comparisons for global sleep quality were found significant (p < .05/4), post-hoc comparisons between the four groups for sleep efficiency, perceived sleep quality, and daily disturbances (PSQI 3-factor structure <sup>431</sup>) were conducted.

### Hypothesis II)

# Greater PTSD symptom severity and mTBI burden are associated with poorer sleep quality.

One regression analysis was performed in the total sample, including PTSD symptom severity and mTBI burden as the independent variables and global sleep quality as the dependent variable. In the case of significant associations between PTSD symptom severity, mTBI burden, and global sleep quality (p < .05), the regression analysis was repeated within the four groups separately (PTSD, mTBI, comorbid PTSD+mTBI, no history of PTSD or mTBI). If a regression model was found significant in one of the groups (p < .05/4), three additional regression analyses were conducted, including PTSD symptom severity/mTBI burden as the independent variable and sleep efficiency, perceived sleep quality, and daily disturbances as dependent variables, respectively.

#### Hypothesis III)

## Poorer sleep quality is associated with abnormalities in white matter microstructure.

Next, a regression analysis was conducted in the total sample, including global sleep quality as the independent variable and whole-brain FA<sub>T</sub> as the dependent variable. In the case of a significant association (p < .05), the regression analysis was repeated within each group (PTSD, mTBI, comorbid PTSD+mTBI, no history of PTSD or mTBI). In the case of a significant association between global sleep quality and whole-brain FA<sub>T</sub> in a group (p < .05/4), additional regression analyses were performed to evaluate the relationship between sleep efficiency, perceived sleep quality, daily disturbances, and whole-brain FA<sub>T</sub> in the respective group. Moreover, supplementary analyses were computed to assess the link between sleep quality and white matter microstructure of the major white matter fiber tracts (i.e., left/right

arcuate fasciculus, cingulum bundle, inferior longitudinal fasciculus, inferior occipito-frontal fasciculus, superior longitudinal fasciculus, uncinate fasciculus, and corpus callosum) to ensure impairments are widespread as opposed to region-specific, therefore justifying the whole-brain approach.

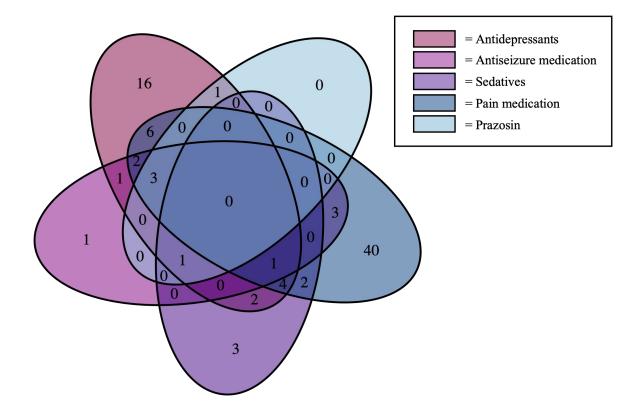
## Hypothesis IV)

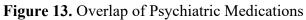
There is an association between PTSD symptom severity, mTBI burden, sleep quality, and white matter microstructure.

Given the significant associations between PTSD symptom severity and perceived sleep quality and between perceived sleep quality and white matter microstructure, a post-hoc mediation analysis was performed. It was investigated whether perceived sleep quality (mediator) mediates the association between PTSD symptoms (independent variable) and whole-brain FA<sub>T</sub> (dependent variable). The mediation model was calculated using Hayes PROCESS macro <sup>500</sup> for SPSS (model 4), which follows a nonparametric bootstrapping procedure based on n = 5,000 samples and a 95% CI. PROCESS is a tool for mediation and moderation analyses based on the principals of ordinary least squares regression <sup>500</sup>. Direct and indirect effects are estimated in advanced models with single or multiple mediators and/or moderators. PROCESS is suitable for testing mechanisms and contingencies of effects <sup>500</sup>. Mediation and moderation analyses can provide a broader understanding of the impact a variable X has on Y. X may interact with another variable M to impact Y, or a relationship between X and Y may be explained by M entirely <sup>501</sup>.

In an additional analysis, lifetime mTBI burden was included as a moderator variable in the above-described mediation model (Hayes PROCESS <sup>500</sup> model 58). Greater lifetime mTBI burden was expected to influence the association between PTSD symptom severity, sleep quality, and whole-brain FA<sub>T</sub>. The continuous lifetime mTBI burden score was chosen to be included in the model to account for variations of mTBI severity and symptom burden.

Finally, the mediation and moderation analyses were repeated while controlling for comorbid psychiatric diagnoses (lifetime mood, anxiety, and substance use disorder, **Figure 9**), warzone-related stress, body mass index (BMI), psychiatric medication use (**Figure 13**), race (white, non-white), and completed years of education to ensure that these factors do not confound the primary results. Mood <sup>502–506</sup>, anxiety <sup>507–509</sup>, and substance use disorders <sup>510,511</sup>, warzone-related stress <sup>512–515</sup>, BMI <sup>516–521</sup>, psychiatric medication use <sup>522–527</sup>, race (i.e., racial discrimination) <sup>528</sup>, and education <sup>478,529</sup> have repeatedly been associated with alterations in brain structure.





*Note.* This figure pictures the overlap of psychiatric medication use in the total sample (N = 180).

#### 8. Results

This section contains the results to each hypothesis. A summarizing figure of the results is displayed at the end of this section (Figure 19).

Demographic information is displayed in **Table 4** and **5**. The groups (PTSD, mTBI, comorbid PTSD+mTBI, no history of PTSD or mTBI) did not differ in age, the number of deployments, and the total duration of deployments. Veterans with comorbid PTSD+mTBI were the most severely clinically burdened group, as indicated by the high number of comorbid psychiatric diagnoses, psychiatric medication use, and the highest rates of mTBI in this group. In fact, veterans with comorbid PTSD+mTBI compared to veterans with mTBI only had higher incidences of military, and military blast-related mTBI. Substance use disorder was significantly more prevalent among veterans with comorbid PTSD+mTBI compared to veterans with comorbid PTSD+mTBI only or no history of PTSD or mTBI. Similarly, more veterans with comorbid PTSD+mTBI only or no history of PTSD or mTBI. Similarly, with mTBI only or no history of PTSD or mTBI. Similarly, were more prevalent among veterans with PTSD only compared to veterans with of PTSD or mTBI. Importantly, veterans with PTSD only compared to veterans with PTSD only.

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	Education (vears)		180	13.89±1.96	12-20	38	13.34±1.60	12-17	25	14.36±1.78	12-18	94	13.84±2.03	12-20	23	14.48±2.19	12-19
	Number of OEF/OIF depl	oyments	180	1.40±.69	1-5	38	1.39±.79	1-4	25	1.40±.65	1-3	94	1.41±.71	1-5	23	1.35±4.87	1-2
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	Total duration of OEF/OL	F deployments (months)	180	13.64±8.37	3-53	38	13.84±9.75	5-53	25	14.72±7.89	3-31	94	13.49±8.36	3-52	23	13.87±6.81	4-28
InternTist         Intern	Total duration of other de	ployments (months)	180	2.84±6.21	0-49	38	3.03±5.22	0-17	25	1.36±3.87	0-16	94	3.41±7.24	0-49	23	1.83±4.93	0-18
	Number of lifetime mTBIs		180	1.39±2.18	0-18	38	.00±.00	0-0	25	1.56±.96	1-5	94	2.24±2.62	1-18	23	.00 <del>1</del> .00	0-0
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Turture         Signature         S	Total CAPS		180	45.84±27.14	0-104	38	39.79±21.15	6-88	25	15.84±13.73	0-41	94	51.80±18.65	2-92	23	12.65±10.60	0-39
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	<b>PSOI 3-factor structure</b>	Sleep efficiency	179	$1.23\pm.99$	0-3	38	1.13±.98	0-3	25	.86±.99	0-3	93	$1.46\pm.94$	0-3	23	.89±1.07	0-3
		Perceived sleep quality	180	$1.31\pm.80$	0-3	38	1.30±.73		25	.99±.64	0-2.67	94	1.54±.82	0-3	23	.72±.52	0-2
m $m$ <th></th> <th>Daily disturbances</th> <th>179</th> <th>1.32±.62</th> <th>0-3</th> <th>38</th> <th>1.28±.59</th> <th>5</th> <th>25</th> <th><math>1.00\pm.48</math></th> <th>0-2</th> <th>93</th> <th>1.53±.61</th> <th>0-3</th> <th>23</th> <th>.91±.47</th> <th>0-2</th>		Daily disturbances	179	1.32±.62	0-3	38	1.28±.59	5	25	$1.00\pm.48$	0-2	93	1.53±.61	0-3	23	.91±.47	0-2
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		Air Force National Guard	2	3.89		5	5.26		1	4.00		ŝ	3.19		1	4.35	
Navy Marines73.8912.6314.0055.320Marines3821.11513.16416.005523.222Reserves3821.11511.16513.16416.002728.7222National Guard, branch unknown63.3312.6300099.5744Lifetime substance use disorder13273.3338100.00000000Lifetime substance use disorder11865.562360.531352.007276.601027Lifetime aubstance use disorder6335.001436.84416.00000106Lifetime anxiety disorder6335.662360.531352.007276.60100Lifetime anxiety disorder6435.5623.66.531352.007276.60106Nu6435.66000005585.5133Military mTBI4127.77000014,0000Blast mTBI4122.770004042.5500		Coast Guard	0	00.		0	.00	-	0	00.		0	.00		0	.00	
Marines38 $21.11$ 5 $13.16$ 4 $16.00$ $27$ $28.72$ 21Reserves25 $13.89$ 10 $26.32$ $2$ $8.00$ 9 $9.57$ 4National Guard, branch unknown6 $3.33$ 1 $2.633$ 0009 $9.57$ 4Lifetime PTSD132 $73.33$ 1 $2.633$ 0009974Lifetime aubstance use disorder13 $65.56$ 23 $36.53$ 13 $52.00$ 72 $76.60$ 10Lifetime mood disorder30 $16.67$ 9 $23.68$ 3 $10.000$ 000Lifetime mood disorder30 $16.67$ 9 $23.68$ 3 $12.00$ 72 $76.60$ 10Lifetime mood disorder30 $16.67$ 9 $23.68$ 3 $12.000$ $12$ $16.67$ $36.47$ $16.00$ $47.17$ $16$ $47.17$ $16$ $42.11$ $12$ $48.00$ $55$ $58.51$ $3$ <b>nuse</b> Military mTBI41 $22.77$ 0 $00$ 0 $00$ $40$ $42.56$ $0$ $0$		Navy	2	3.89		1	2.63		1	4.00		5	5.32		0	00.	
Reserves         25         13.89         10         26.32         2         8.00         9         9.57         4           National Guard, branch unknown         6         3.33         1         2.63         0         .00         3         3.19         2         1           Lifetime PTSD         132         73.33         1         2.63         0         .00         9         9         5         7         4           Lifetime aubstance use disorder         132         73.33         36.03         14         36.63         13         52.00         72         76.60         10         0         10         1         Lifetime aubstance use disorder         33         16.00         0         .00         10         10         10         1         10 <t< th=""><th></th><th>Marines</th><th>38</th><th>21.11</th><th></th><th>5</th><th>13.16</th><th></th><th>4</th><th>16.00</th><th></th><th>27</th><th>28.72</th><th></th><th>7</th><th>8.70</th><th></th></t<>		Marines	38	21.11		5	13.16		4	16.00		27	28.72		7	8.70	
National Guard, branch unknown         6         3.33         1         2.63         0         00         3         3.19         2         1           Lifetime PTSD         132         73.33         38         100.00         0         .00         3         3.19         2         1           Lifetime PTSD         132         73.33         38         100.00         0         .00         94         100.00         0         .0           Lifetime substance use disorder         118         65.56         23         60.53         13         52.00         72         76.60         10         0         .0		Reserves	25	13.89		10	26.32		2	8.00		6	9.57		4	17.39	
Lifetime PTSD         132         73.33         38         100.00         0         00         94         100.00         0           Lifetime substance use disorder         118         65.56         23         60.53         13         52.00         72         76.60         10         6           Lifetime substance use disorder         63         35.00         14         36.84         4         16.00         42         44.68         3         3           Lifetime anxiety disorder         63         35.00         14         35.84         4         16.00         42         44.68         3         3         10         4         10         4         4         16.00         10         4         4         10         4         4         10         4         4         10         4         4         10         4         4         10         4         4         4         10         4         10         4         10         4         10         4         10         4         10         4         10         4         10         4         10         4         10         4         10         4         4         10         10 <td< th=""><th></th><th>National Guard, branch unknown</th><th>9</th><th>3.33</th><th></th><th>1</th><th>2.63</th><th>-</th><th>0</th><th>00.</th><th></th><th>ŝ</th><th>3.19</th><th></th><th>2</th><th>8.70</th><th></th></td<>		National Guard, branch unknown	9	3.33		1	2.63	-	0	00.		ŝ	3.19		2	8.70	
Lifetime substance use disorder         118         6.5.56         23         60.53         13         52.00         72         76.60         10           Lifetime substance use disorder         63         35.00         14         36.84         4         16.00         42         44.68         3         3           Lifetime anxiety disorder         30         16.67         9         23.68         3         12.00         15         15.96         3           Aitrim edication use         8         4.7.77         16         42.11         12         48.00         57         60.64         0           Military mTBI         64         35.76         0         00         1         400         40         42.55         3	<b>Psychiatric diagnoses</b>	Lifetime PTSD	132	73.33		38	100.00	-	0	00.		94	100.00		0	00.	
Lifetime mood disorder         63         35.00         14         36.84         4         16.00         42         44.68         3         3           Lifetime anxiety disorder         30         16.67         9         23.68         3         12.00         15         15.96         3         3         3         3         12.00         15         15.96         3		Lifetime substance use disorder	118	65.56		23	60.53		13	52.00		72	76.60		10	43.48	
Lifetime anxiety disorder         30         16.67         9         23.68         3         12.00         15         15.96         3           iatric medication use         86         47.77         16         42.11         12         48.00         55         58.51         3         3         3         3         3         12.00         15         15         3         <		Lifetime mood disorder	63	35.00		14	36.84		4	16.00		42	44.68		ъ	13.04	
intric medication use         86         47.77         16         42.11         12         48.00         55         58.51         3           Military mTBI         64         35.56         0         .00         7         28.00         57         60.64         0         0         16         41         22.77         0         .00         1         4,00         40         42.55         0         0         .00         0         .00         1         4.00         40         42.55         0         0         .00         0         .00         1         4.00         40         42.55         0         0         .00         0         .00         1         4.00         40         42.55         0         0         .00         0         .00         .00         1         4.00         40         42.55         0         0         .00         0         .00         0         .00         0         .00         .00         0         .00         .00         .00         .00         .00         .00         .00         .00         .00         .00         .00         .00         .00         .00         .00         .00         .00         .00		Lifetime anxiety disorder	30	16.67		6	23.68		3	12.00		15	15.96		Э	13.04	
Military.mTBI         64         35.56         0         .00         7         28.00         57         60.64         0         .0         Blast.mTBI         41         22.77         0         .00         1         4.00         40         42.55         0         .0	Psychiatric medication use		86	47.77		16	42.11		12	48.00		55	58.51		e	13.04	
41 22.77 0 0.00 1 4.00 40 42.55 0	mTBI		64	35.56		0	.00		7	28.00		57	60.64		0	00.	
		Blast mTBI	41	22.77		0	00.		1	4.00		40	42.55		0	.00	
119 66.11 0 .00 25 100.00 94 100.00 0		Lifetime mTBI	119	66.11		0	00.		25	100.00		94	100.00		0	.00	

Table 4. Sample Characteristics

				1 1001 100	LUSI-IIUC	1 USU-100	POST-noc	Post-noc	Post-hoc
		Comorbid PTSD+mTBl vs. No history of PTSD or mTBl	vs. TBI	PTSD vs. mTBI	PTSD vs. Comorbid PTSD+mTBI	mTBI vs. Comorbid PTSD+mTBI	PTSD vs. No history of PTSD or mTBI	mTBI vs. No history of PTSD or mTBI	Comorbid PTSD+mTBI vs. No history of PTSD or mTBI
		ANCOVA							
		F(df), p		d	d	b	d	đ	b
Age (years)		.25(3, 176), .859		.473	979.	.425	.735	.741	.717
Education (years)		2.07(3, 175), 106		.058	.171	.311	.029	.761	.175
Number of OEF/OIF deployments	yments	.09(3,175), .968		.920	.883	809.	.749	.839	.627
Number of other stressful deployments	leployments	1.59(3, 175), .195		.387	.404	060.	.390	066.	760.
Total duration of OEF/OIF deployments (months)	deployments (months)	.03(3, 175), .995		.820	.819	.948	.946	.888	.911
Total duration of other deployments (months)	loyments (months)	1.30(3, 175), .275		.205	.742	.084	.397	.720	.218
Number of lifetime mTBIs		16.51(3, 175), <.001		e.	E	.123			ı
Lifetime mTBI burden		17.47(3,175), <.001				.075			
Total CAPS		47.40(3, 175), <.001		<.001	.001	<.001	<.001	.555	<.001
		F(df), p	η <sup>2</sup>	p*	p*	p*	p*	p*	p*
PSQI Global		11.430(3, 173), <.001	.17	.055	.026	<.001	.008	.457	<.001
<b>PSQI 3-factor structure</b>	Sleep efficiency	4.16(3, 174), .007	.07	.259	.078	.006	.339	.894	.012
	Perceived sleep quality	9.20(3, 175), <.001	.14	.112	160.	.001	.004	.224	<.001
	Daily disturbances	10.66(3, 174), <.001	.16	.057	.025	<.001	.017	.618	<.001
		Chi-square or Fisher's exact test	cact test						
		$\chi^2(df), p$		р	р	р	р	р	р
Ethnicity	American Indian or Alaska Native	2.04(3), 1.000		,	1.000	1.000		8	1.000
	Asian	1.69(3), .729		1.000	.494	1.000	1.000		1.000
	Black	3.34(3), .318		1.000	.373	.395	.287	.235	.596
	Hispanic or Latino	1.36(3), .713		.741	.772	.530	.698	.419	.732
	Native Hawaiian or Pacific Islander			,		,			,
	White	5.71(3), .137		.972	.211	.261	.039	.075	.237
	Unknown	3.85(3), .478		1.000	.288		1.000		
Service branch	Army	4.33(3), .226		.176	.117	.860	1.000	.249	.240
	Army National Guard	4.51(3), .212		.967	.184	.233	.523	.585	.062
	Air Force	1.15(3), .844		.557	.673	.637	1.000	1.000	1.000
	Air Force National Guard	.99(3), .938		1.000	.625	1.000	1.000	1.000	1.000
	Coast Guard	1						,	
	Navy	1.06(3), .838		1.000	.673	1.000	1.000	1.000	.581
	Marines	6.69(3), .080		1.000	.059	.198	.700	.668	.046
	Reserves	6.74(3), .070		.103	.013	1.000	.422	.407	.282
	National Guard, branch unknown	5.44(3), .080		1.000	1.000	1.000	.146	.102	.051
<b>Psychiatric diagnoses</b>	Lifetime substance use disorder	12.50(3), .005		.503	.063	.016	.195	.555	.002
	Lifetime mood disorder	12.77(3), .005		.073	.409	600.	.045	1.000	.005
	Lifetime anxiety disorder	1.81(3), .638		.334	.297	.761	.508	1.000	1.000
<b>Psychiatric medication use</b>		16.70(3), <.001		.796	.122	.372	.023	.013	<.001
mTBI	Military mTBI	60.09(3), <.001		r,	•	.004	•		
	Blact mTBI	43.90(3) < 001		i.	r	<.001		ī	

age. p Irgn Steep Quality 1 uly c ch duanty o rcy, per to do 4 comparisons as outlined in Figure 12.

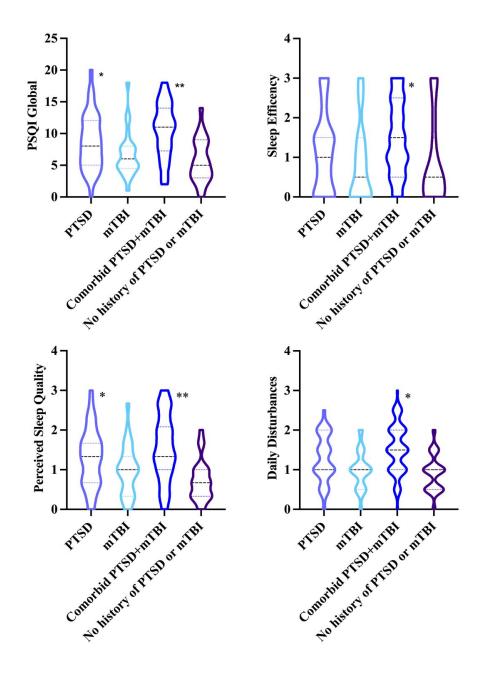
Table 5. Sample Characteristics: Group Comparisons

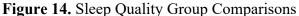
# 8.1. Sleep Quality, PTSD, and mTBI

# Hypothesis I)

*Veterans with PTSD, mTBI, or comorbid PTSD+mTBI experience poorer sleep quality than veterans without a history of PTSD or mTBI.* 

First, the influence of a diagnosis of PTSD and mTBI on sleep quality was examined by employing ANCOVAS. **Table 5** and **Figure 14** display the differences in sleep quality between the groups. The PTSD and comorbid PTSD+mTBI groups demonstrated more significant impairments on the PSQI global sleep quality, sleep efficiency, perceived sleep quality, and daily disturbances scales than those with mTBI or no history of PTSD or mTBI. There were no significant differences in sleep quality between the PTSD and comorbid PTSD+mTBI groups.





*Note.* PTSD, Post-traumatic stress disorder; mTBI, Mild traumatic brain injury; PSQI, Pittsburgh Sleep Quality Index <sup>430</sup>; The PSQI subscales sleep efficiency, perceived sleep quality & daily disturbances refer to the Pittsburgh Sleep Quality Index 3-Factor structure <sup>431</sup>.

This figure illustrates the significant differences in sleep quality between groups (PTSD, mTBI, comorbid PTSD+mTBI, no history of PTSD or mTBI). Lower scores on the PSQI scales represent better sleep quality.

<u>PSQI Global:</u> \* Significantly higher than no history of PTSD or mTBI. \*\* Significantly higher than mTBI and no history of PTSD or mTBI.

<u>PSQI Sleep efficiency:</u> \* Significantly higher than mTBI and no history of PTSD or mTBI. <u>PSQI Perceived sleep quality:</u> \* Significantly higher than no history of PTSD or mTBI. \*\* Significantly higher than mTBI and no history of PTSD or mTBI.

<u>PSQI Daily disturbances:</u> \* Significantly higher than mTBI and no history of PTSD or mTBI. All analyses are corrected for multiple comparisons as outlined in **Figure 12**.

# Hypothesis II)

Greater PTSD symptom severity and mTBI burden are associated with poorer sleep quality.

The regression analyses revealed a significant association between PTSD symptom severity and poorer global sleep quality in the total sample ( $\beta = .58, t = 9.15, p < .001$ ), whereas there was no significant association between mTBI burden and global sleep quality ( $\beta = .07, t$ = 1.07, p = .288). Post-hoc analyses demonstrated that more severe PTSD symptoms were associated with poorer global sleep quality in the PTSD, mTBI, and comorbid PTSD+mTBI groups. Moreover, more severe PTSD symptoms were associated with poorer perceived sleep quality and greater daily disturbances in the PTSD and comorbid PTSD+mTBI groups. In the mTBI group, more severe PTSD symptoms were associated with poorer sleep efficiency (**Table 6**).

# 8.2. Sleep Quality and White Matter Microstructure *Hypothesis III*)

# Poorer sleep quality is associated with abnormalities in white matter microstructure.

Next, the association between sleep quality and white microstructure was explored. The regression analyses investigating the association between global sleep quality and whole-brain FA<sub>T</sub> in the total sample was significant ( $\beta = -.24$ , t = -3.35, p = .001). Moreover, post-hoc analyses revealed a significant association between global sleep quality and whole-brain FA<sub>T</sub> in the comorbid PTSD+mTBI group ( $\beta = -.39$ , t = -4.04,  $f^2 = .18$ , p < .001, Table 7). Supplementary analyses of the major white fiber tracts similarly showed significant associations between global sleep quality and white matter FA<sub>T</sub> of the major fiber tracts in the comorbid PTSD+mTBI group (Table 8). No region-specific pattern was observed, supporting the hypothesis that poor sleep quality may lead to widespread white matter alterations. Subsequently, the relationship between the three PSQI sub-scales (sleep efficiency, subjective sleep quality, and daily disturbances) and whole-brain FA<sub>T</sub> was examined in the comorbid PTSD+mTBI group. Only perceived sleep quality was significantly associated with whole-brain FA<sub>T</sub> ( $\beta = .43$ , t = -3.86,  $f^2 = .21$ , p < .001, Table 7, Figure 15).

			PTSD				mTBI				Comort	Comorbid PTSD+mTBI	mTBI		No histo	No history of PTSD or mTBI	or mTB	
			Regression	sion			Regression	sion			Regression	ion			Regression	ion		
DV		IV	β	t	f	$p^*$	β	t	f	$p^*$	β	t	f	$p^*$	β	t	f	$p^*$
PSQI Global		Total CAPS	.49	3.40	.32	.002	.62	3.68	.61	.001	.53	5.82	.38	<.001	15	64	.02	.531
<b>PSQI 3-factor structure</b>	Sleep efficiency	Total CAPS	.32	2.07	Π	.046	.51	2.79	.36	.011	.22	2.17	.05	.033				
	Perceived sleep quality	Total CAPS	.45	2.99	.25	.005	.40	2.03	.18	.055	.51	5.55	.34	<.001				
	Daily disturbances	Total CAPS	-48	3.25	.30	.003	.47	2.57	.29	.018	.55	6.16	.42	<.001				

Table 6. Association between PTSD Symptom Severity and Sleep Quality

Note. PTSD, Post-traumatic stress disorder; mTB1, Mild traumatic brain injury; DV, Dependent variable; IV, Independent variable; R Standardized beta coefficient; f, effect size; CAPS, Clinician Administered PTSD Scale <sup>510</sup>, PSQI, Pittsburgh Sleep Quality Index <sup>410</sup>, The PSQI subscales sleep efficiency, perceived sleep quality & daily disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-15</sup>. The PSQI subscales sleep efficiency, perceived sleep quality & failt disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-15</sup>. The PSQI subscales sleep efficiency, perceived sleep quality & failt disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-15</sup>. The PSQI subscales sleep efficiency, perceived sleep quality disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-15</sup>. The PSQI subscales sleep efficiency, perceived sleep quality disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-15</sup>. The PSQI subscales sleep efficiency, perceived sleep quality disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-16</sup>. The PSQI subscales sleep efficiency, perceived sleep quality disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-16</sup>. The PSQI subscales sleep efficiency, perceived sleep quality disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-16</sup>. The PSQI subscales sleep efficiency, perceived sleep quality disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-16</sup>. The PSQI subscales sleep efficiency, perceived sleep quality disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-16</sup>. The PSQI subscales sleep efficiency, perceived sleep quality disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-16</sup>. The PSQI subscales sleep efficiency, perceived sleep quality disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-16</sup>. The PSQI subscales sleep efficiency, perceived sleep quality disturbances refer to the Pittsburgh Sleep Quality Index <sup>3-16</sup>. The Pittsburgh Sleep quality disturbances refer to the Pittsburgh Sleep Quality disturbances refer to the Pittsburg

Table 7. Association between Sleep Quality and Whole-brain  $\mathrm{FA}_{\mathrm{T}}$ 

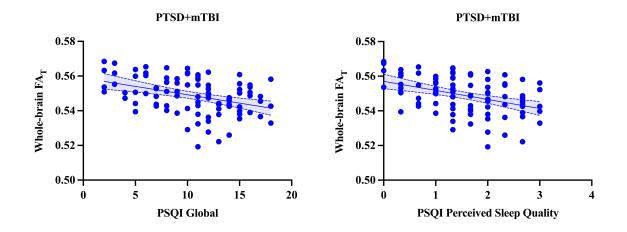
		PTSD				mTBI				Comor	Comorbid PTSD+mTBI	+mTBI		No his	No history of PTSD or mTBI	SD or m	[B]	
		Regression	ssion			Regression	sion			Regression	sion			Regression	ssion			
DV	IV	β	t	æ	<i>p</i> *	β	t	f	<i>p</i> *	β	t	æ	<i>p</i> *	β	t	f	<i>p</i> *	
Whole-Brain FA <sub>T</sub>	PSQI Global	90.	.35	00.	.727	15	80	.02	.434	39	-4.04	.18	<.001	25	25 -1.21	.07	.241	
	PSQI Sleep efficiency									.10	1.02	.01	309					
	PSQI Perceived sleep quality									43	-3.86	.21	<.001					
	PSQI Daily disturbances									15	-1.46	60.	.149					
Note. PTSD, Post-traumat	Note. PTSD, Post-traumatic stress disorder; mTBI, Mild traumatic brain injury;	brain injury		pendent	variable; I	V, Indepe	indent var	iable; $\beta$ ;	Standardiz	ed beta co	pefficient; )	f, effect	DV, Dependent variable; IV, Independent variable; 🕅 Standardized beta coefficient; $f'$ , effect size; FA1, Fractional anisotropy Tassa; PSQI, Pittsburgh Steep	ractional :	anisotropy	Tissue; PSC	I, Pittsbur	gh Sleep

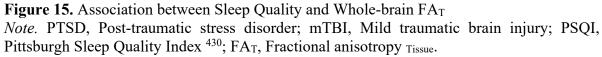
Quality Index <sup>430</sup>, The PSQI subscales sleep efficiency, perceived sleep quality & daily disturbances refer to the Pittsburgh Sleep Quality Index 3-Factor Structure <sup>431</sup>, *p*<sup>\*</sup> corrected for multiple comparisons as outlined in **Figure 12**.

	PTSD		mTBI		Comorbid PTSD+mTBI	D+mTBI	No history of PTSD or mTBI	SD or mTBI
DV IV	Regression		Regression		Regression		Regression	
Right FAT PSQI Global	t	р	t	d	t	d	t	d
Arcuate fasciculus	60.	.926	26	<i>L6L</i> .	25	.803	43	699.
Cingulum bundle	.845	.404	70	.491	-3.56	<.001	-1.35	1.92
Inferior longitudinal fasciculus	.984	.332	-2.51	.020	-2.04	.044	62	.542
Inferior occipito-frontal fasciculus	.543	.590	.65	.525	-2.87	.005	75	.465
Superior longitudinal fasciculus-I	1.33	.192	-1.23	.230	-2.49	.015	25	.806
Superior longitudinal fasciculus-II	.284	.805	72	.481	-1.31	.195	-1.25	.277
Superior longitudinal fasciculus-III	.034	.973	-1.39	.178	-1.01	.318	15	.884
Uncinate fasciculus	.126	006.	83	.418	-1.33	.188	43	.674
Left FAT PSQI Global								
Arcuate fasciculus	1.00	.323	04	968	-1.03	.307	-1.77	.092
Cingulum bundle	-25	806.	.59	.561	-1.963	.053	-1.46	.160
Inferior longitudinal fasciculus	.678	.502	TT.	.446	-2.72	800.	-2.56	.019
Inferior occipito-frontal fasciculus	.816	.420	94	.355	-3.53	<.001	-1.16	.260
Superior longitudinal fasciculus-I	-21	.832	47	.644	-2.42	.017	-1.49	.151
Superior longitudinal fasciculus-II	.21	.835	1.03	.315	-2.35	.021	812	.426
Superior longitudinal fasciculus-III	.61	.545	02	.984	-2.55	.012	-1.00	.336
Uncinate fasciculus	.43	.674	838	.411	-2.15	.035	138	.892
Commissural FAT PSQI Global								
Corpus callosum-I	-1.12	.272	25	.028	-3.61	<.001	97	.343
Corpus callosum-II	67	.506	-190	.071	-2.41	.018	-1.03	.314
Corpus callosum-III	44	.661	97	.344	-2.14	.035	-1.77	.092
Corpus callosum-IV	1.52	.139	86	399	-2.52	.013	42	.680
Corpus callosum-V	1.38	.176	16	.871	-2.09	.040	64	.531
Corpus callosum-VI	.10	.918	225	8.24	-1.33	.189	48	.635
Corpus callosum-VII	07	.944	75	.461	.23	.819	62	.543

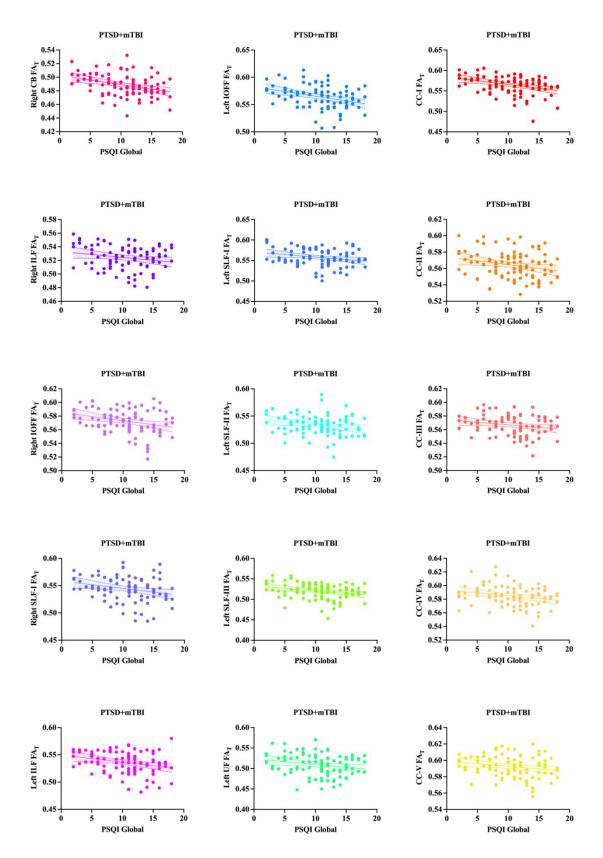
Table 8. Association between Sleep Quality and Major White Matter Fiber Tracts FAr

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This figure illustrates the significant association between global sleep quality and whole-brain FA<sub>T</sub> (p < .001) and between perceived sleep quality and whole-brain FA<sub>T</sub> (p < .001). Lower scores on the PSQI scales represent better sleep quality.



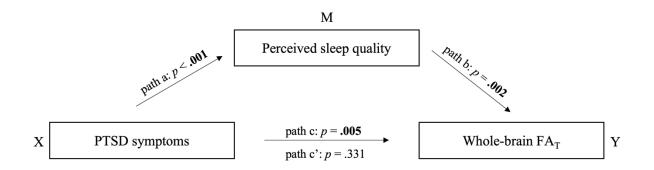
**Figure 16.** Association between Sleep Quality and Major White Matter Fiber Tracts FA<sub>T</sub> *Note.* Post-traumatic stress disorder; mTBI, Mild traumatic brain injury; FA<sub>T</sub>, Fractional anisotropy <sub>Tissue</sub>; PSQI, Pittsburgh Sleep Quality Index <sup>430</sup>; AF, Arcuate fasciculus, CB, Cingulum bundle; ILF; Inferior longitudinal fasciculus; IOFF, Inferior occipito-frontal fasciculus; SLF, Superior longitudinal fasciculus; UF, Uncinate fasciculus; CC, Corpus callosum.

#### 8.3. Sleep Quality, PTSD, mTBI, and White Matter Microstructure

# Hypothesis IV)

There is an association between PTSD symptom severity, mTBI burden, sleep quality, and white matter microstructure.

Given the significant association between PTSD symptom severity and perceived sleep quality as well as between perceived sleep quality and white matter microstructure in the comorbid PTSD+mTBI group, additional mediation analyses were performed to assess whether perceived sleep quality mediates the association between PTSD symptom severity (independent variable) and whole-brain FA<sub>T</sub> (dependent variable). PTSD symptom severity was significantly associated with whole-brain FA<sub>T</sub> (b = -.00, SE = .00, t(91) = -2.88, p = .005, Figure 17 path c) when not including perceived sleep quality in the model. When inserting perceived sleep quality as a mediator, the relationships between PTSD symptom severity and perceived sleep quality (b = .02, SE = .00, t(91) = 5.55, p < .001, Figure 17 path a), perceived sleep quality and whole-brain FA<sub>T</sub> (b = -.00, SE = .002, Figure 17 path b), and the model's total effect (F(3, 90) = 6.81,  $R^2 = .19$ , p < .001) were significant. However, the direct effect of PTSD symptom severity on whole-brain FA<sub>T</sub> was not significant (b = -.00, SE = .00, t(90) = -.97, p = .331, Figure 17 path c'). Therefore, the findings indicate that the association between PTSD symptom severity and whole-brain FA<sub>T</sub> is fully statistically mediated by perceived sleep quality (completely standardized  $\beta = -.18$ , *bootSE* = .06, *bootCI* [-.29, -.07]).



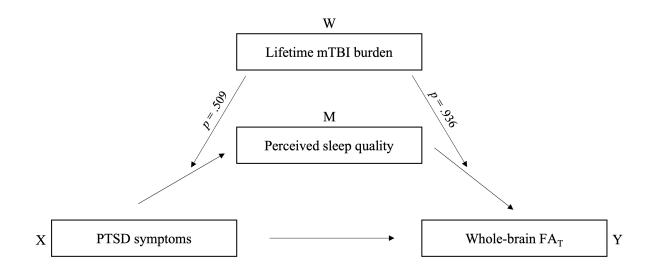
#### Figure 17. Mediation Model

*Note.* PTSD, Post-traumatic stress disorder; mTBI, Mild traumatic brain injury; FA<sub>T</sub>, Fractional anisotropy <sub>Tissue</sub>.

This figure illustrates the fully mediating effect of perceived sleep quality between PTSD symptoms and whole-brain  $FA_T$ . Path a refers to the association between X and M. Path b refers to the association between M and Y when taking X into account. Path c represents the total effect of X on Y, including the axb path. Path c' shows the direct effect of X on Y when M is omitted.

In an additional analysis, mTBI burden was included as a moderator variable in the mediation model to assess whether lifetime mTBI burden significantly influences the relationship between PTSD symptom severity, perceived sleep quality, and whole-brain FA<sub>T</sub>. There was no significant effect of mTBI burden on the associations between PTSD symptom severity and perceived sleep quality (b = -.00, SE = .00, t(89) = -.66, p = .509) or the associations between perceived sleep quality and whole-brain FA<sub>T</sub> (b = -.00, SE = .01, t(88) = .08, p = .936, **Figure 18**) as revealed by non-significant moderator effects.

All analyses were controlled for age. Of note, when including psychiatric comorbidities (anxiety, depression, substance use disorder), warzone-related stress, BMI, and psychiatric medication use, race, and education as additional covariates in the mediation and moderated mediation model, results did not change significantly.



# Figure 18. Moderated Mediation Model

*Note.* PTSD, Post-traumatic stress disorder; mTBI, Mild traumatic brain injury; FA<sub>T</sub>, Fractional anisotropy <sub>Tissue</sub>.

This figure illustrates the non-significant effects of moderator W on the mediated association between X and Y via M. Both the association between X and M and the association between M and Y were not significantly moderated by W.

# 8.4. Summary of Results

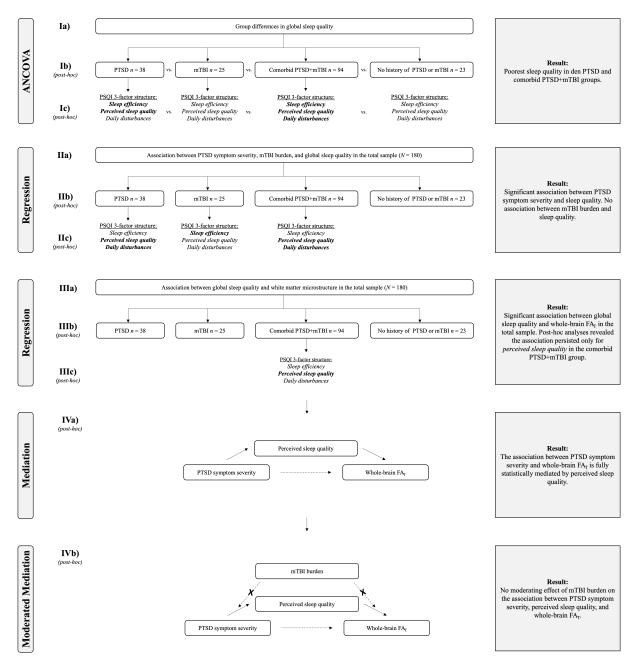


Figure 19. Summary of Results

*Note.* PTSD, Post-traumatic stress disorder; mTBI, Mild traumatic brain injury; PSQI, Pittsburgh Sleep Quality Index <sup>430</sup>, FA<sub>T</sub>, Fractional anisotropy <sub>Tissue</sub>. This figure displays the statistical approach and summary of results.

#### 9. Discussion

The current work investigated the relationship between PTSD, mTBI, sleep quality, and white matter microstructure in veterans. Poorer sleep quality was observed in veterans with PTSD and comorbid PTSD+mTBI compared to those with mTBI only or no history of PTSD or mTBI. Additionally, global and perceived sleep quality was associated with characteristics of white matter microstructure in veterans with comorbid PTSD+mTBI. Most importantly, perceived sleep quality fully accounted for the association between PTSD symptoms and white matter microstructure. This finding was independent of the mTBI burden, psychiatric comorbidities, warzone-related stress, BMI, psychiatric medication use, race and education. The findings suggest a crucial role of sleep in understanding the association between trauma-related neuropsychiatric diagnoses and brain health.

# 9.1. Sleep Quality, PTSD, and mTBI

# Hypothesis I)

*Veterans with PTSD, mTBI, or comorbid PTSD+mTBI experience poorer sleep quality than veterans without a history of PTSD or mTBI.* 

In line with previous studies <sup>26,531</sup>, veterans with PTSD or comorbid PTSD+mTBI experienced poorer sleep quality than veterans with mTBI only or no history of PTSD or mTBI. The findings underline the profound effect of post-deployment PTSD on sleep quality, that appears to outweigh the effects induced by head trauma alone. Indeed, sleep quality disturbances are a hallmark symptom of PTSD specifically <sup>19,20</sup>, and usually range from insomnia, to difficulties falling asleep, and recurrent awakenings during the night due to nightmares <sup>369–371</sup>. While it has been suggested that sleep quality is most impaired in those who suffer from comorbid PTSD+mTBI <sup>26</sup> the present work did not observe an additive burden of sleep quality disturbances in veterans with PTSD+mTBI compared to those with PTSD only. In fact, it is notable that – contrary to previous findings  $^{21,532}$  – there was no difference in sleep quality between veterans with mTBI only and those without a history of mTBI. An explanation for this finding may be that sleep quality disturbances resolved after time, given that some veterans in the present study may have sustained their head trauma many years in the past. In fact, while many mTBI patients experience sleep quality disturbances acutely and sub-acutely after mTBI 533, only a small proportion of individuals with mTBI suffers from persistent postconcussive symptoms (including sleep quality disturbances)<sup>218–220</sup>, while residual sleep quality disturbances are still highly prevalent in remitted PTSD patients <sup>35,36</sup>. Therefore, it can be

concluded that PTSD is a powerful indicator of poor sleep quality, even in the absence of comorbid mTBI.

## Hypothesis II)

## Greater PTSD symptom severity and mTBI burden are associated with poorer sleep quality.

As expected, a significant association between greater PTSD symptom severity and poorer global sleep quality, sleep efficiency, perceived sleep quality, and more daily disturbances were observed across the PTSD, mTBI, and comorbid PTSD+mTBI groups. The findings align with previous studies, highlighting an integral role of impaired sleep quality in PTSD <sup>19,20</sup>, and suggesting that veterans' poor sleep quality originates in traumatic stress <sup>286,531</sup>. The interplay of several factors may account for the association between PTSD symptom severity and sleep quality disturbances. Especially the re-experiencing and hyperarousal symptoms of PTSD contribute to poor sleep quality <sup>210,534</sup>. HPA axis disturbances commonly observed in PTSD lead to alterations in sleep <sup>535</sup>. Heightened CRH levels and a down-regulation of CRH receptors are core features of PTSD-related HPA axis abnormalities <sup>97,98,390</sup> and result in abnormally high norepinephrine activity in the brain <sup>19,209</sup>. While in healthy individuals norepinephrine activity is suppressed during sleep <sup>391</sup>, PTSD patients lack the overnight drop of norepinephrine <sup>392</sup> and exhibit heightened levels that persist throughout the night <sup>392,393</sup>. Moreover, brain networks that are implicated in PTSD likewise impact sleep quality. For example, the disturbed relationship between the limbic system and the medial prefrontal cortex gives rise to sleep quality disturbances, including insomnia and distressing dreams <sup>211,365</sup>. In fact, PTSD-typical restless REM sleep that is marked by especially high arousal and eye movements impairs the overnight resolution of distress, leads to continued amygdala reactivity <sup>388</sup>, and therefore perpetuates the chronic hyperarousal symptoms of PTSD <sup>387</sup>. Moreover, the disconnection between frontal and limbic areas leads to insufficient extinction of traumatic memories <sup>397</sup> and difficulties with emotion regulation <sup>396</sup>, resulting in a vicious cycle that perpetuates poor sleep quality.

Interestingly, there was no significant association between mTBI burden and sleep quality. The results highlight an integral role of poor sleep quality in PTSD severity <sup>19,20</sup>, underscoring that traumatic experiences might be the driving force behind sleep quality disturbances in veterans <sup>286,531</sup>.

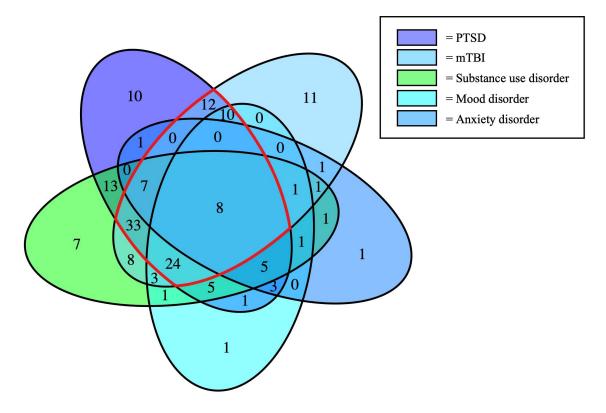
## 9.2. Sleep Quality and White Matter Microstructure

## Hypothesis II)

## Poorer sleep quality is associated with abnormalities in white matter microstructure.

As hypothesized <sup>66–77</sup>, a significant relationship between impaired sleep quality and characteristics of white matter microstructure was observed. It can be speculated that the observed white matter microstructural alterations can be traced back to impaired myelin repair and genesis processes. Previous research has demonstrated that sleep is crucial for sustaining white matter health, as sleep initiates myelin deposition and repair processes by fueling lipid biosynthesis, and activating oligodendrocyte precursor proliferation crucial for myelin genesis <sup>416</sup>. Myelin genesis and repair processes rely on the sufficient clearance of brain waste products <sup>414</sup>. The glymphatic system is an innate brain clearance system, which consists of perivascular spaces vital for flushing out accumulated neurotoxins, such as beta-amyloid and tau <sup>411,413</sup>. Critically, the glymphatic system is most active during sleep <sup>414</sup>, and deprivation of sleep for as little as one night can lead to an accumulation of brain waste products, such as beta-amyloid <sup>536</sup>. Therefore, it can be anticipated that poor sleep quality is linked to reduced neurotoxic clearance, which, in turn, leads to neurodegenerative processes including impaired myelination. Incomplete clearance of brain waste products has been suggested by previous research, which showed an association between white matter damage and increased amyloid and tau deposition in military veterans with comorbid PTSD+mTBI 537,538.

The present work revealed that the association between impaired sleep quality and abnormal white matter microstructure pertained solely to veterans with comorbid PTSD+mTBI – the most clinically burdened group. Veterans with comorbid PTSD+mTBI presented with the highest PTSD symptom severity, a high number of comorbid psychiatric diagnoses (**Figure 20**), medication use, and the highest mTBI and blast exposure rates. Deployed military personnel commonly experience blast-related injuries resulting from military artillery explosions that may cause even greater adversity than blunt injuries <sup>539</sup> (including swelling of the brain and traumatic axonal injury <sup>216</sup>). In fact, brain structural alterations <sup>284</sup> and neuropsychological sequelae <sup>539</sup> appear to be more profound after blast exposures, and military mTBI in general may be associated with greater adversity than civilian mTBI <sup>540</sup>. The combination of these factors may increase brain vulnerability <sup>27–30,284</sup>, potentially creating a neural environment that leaves the brain unprotected from the harmful effects of impaired sleep quality. Similarly, poor sleep quality negatively impacts brain structure and function <sup>68–77,420,541,542</sup>, thus fueling the onset or progression of neuropsychiatric disorders and related brain abnormalities <sup>365</sup>.





*Note.* PTSD, Post-traumatic stress disorder; mTBI, Mild traumatic brain injury. The red-lined area pictures the comorbid PTSD+mTBI sample (n = 94) with overlapping substance use, mood, and anxiety disorder.

Lower perceived sleep quality was the only significant indicator of alterations in white matter microstructure when all aspects of sleep quality (sleep efficiency, perceived sleep quality, and daily disturbances) were considered. This finding is consistent with a prior study revealing that perceived sleep quality was the driving factor between overall poor sleep quality and reduced cortical gray matter. <sup>542</sup>. Supporting this, it has previously been demonstrated that the personal perception of sleep quality is most essential for functional outcome and mental health in patients with PTSD <sup>34</sup>.

Of particular interest here, perceived sleep quality may not necessarily mirror objectively measured sleep quality. A recent meta-analysis did not find a difference in actigraphy-measured total sleep time, wake after sleep onset, sleep latency, or sleep efficiency between patients with versus without PTSD <sup>543</sup>. Actigraphy is a convenient way of assessing sleep quality objectively by employing a small device that is worn on the wrist <sup>544</sup>. The actigraph can be used over a period of time in the home environment, and measures sleep parameters, such as sleep latency, total sleep time, wake after onset, and sleep efficiency <sup>544</sup>. Moreover, studies that included both objective measures of sleep and self-report tools, such as the PSQI, reported large discrepancies, indicating that veterans may rate their sleep as poorer than actually

assessed by actigraphy <sup>543</sup>. However, contrary to findings on actigraphically-measured sleep quality, a meta-analysis on polysomnographically-assessed sleep reported a decrease in total sleep time, slow-wave sleep, sleep efficiency, and greater waking periods following sleep onset in patients with PTSD compared to healthy controls. Polysomnography is usually conducted in a sleep laboratory and gathers physiological parameters during sleep, utilizing EEG, electrooculography, electromyography, and electrocardiography, among others <sup>545</sup>. Unlike actigraphy, polysomnography can discern between different sleep cycles and evaluate the nature of REM- and non-REM sleep <sup>546</sup>. This feature may be of particular importance, considering the high prevalence of nightmares in PTSD <sup>33</sup>.

While polysomnography is widely recognized as the gold standard for objectively assessing sleep quality <sup>19,547,548</sup>, concerns regarding its usefulness in evaluating PTSD-related sleep quality disturbances have been voiced. Patients with PTSD might either feel safer or more threatened when sleeping in a laboratory setting, which may reflect itself in altered sleep duration, intensity, and in the frequency of trauma-related dreams <sup>23,543</sup>. Moreover, sleep is usually assessed only during one or two nights in a laboratory setting. This may miss intermittently occurring PTSD-related sleep quality disturbances <sup>549</sup> or falsely imply sleep quality disturbances are frequent, even if they actually only occur occasionally. Keeping these potentially confounding effects in mind and considering the aforementioned findings from actigraphy studies <sup>543</sup>, it is questionable whether differences in sleep quality between PTSD patients and healthy controls truly exist, or at least to which extent. Indeed, the phenomenon of paradoxical insomnia – the discrepancy between subjective and objective assessments of sleep <sup>550</sup> – is common among veterans with sleep disorders <sup>551</sup> and PTSD <sup>552</sup>. Similarly, patients with mTBI report worse sleep quality disturbances than actually picked up by polysomnographic measures <sup>553</sup>. General distress, continuous hyperarousal states, and a negative cognitive bias that impacts sleep perception are among the factors linked to paradoxical insomnia 543,551,554-557

Importantly, perceived sleep quality (rather than the objective assessment of sleep) appears to be an adequate indicator of overall well-being. <sup>393,557–559</sup>. This is supported by a recent study, showing that self-reported sleep quality is a more powerful predictor of mental and physical health than objectively measured sleep duration <sup>560</sup>. Moreover, it has been revealed that the association between objectively measured sleep quality and quality of life appears to be partially mediated by perceived sleep quality <sup>561</sup>. Perceived sleep quality is, thus, a crucial diagnostic assessment tool that should be employed in clinical care and future research. Nonetheless, future longitudinal studies are needed to further illuminate the relationship

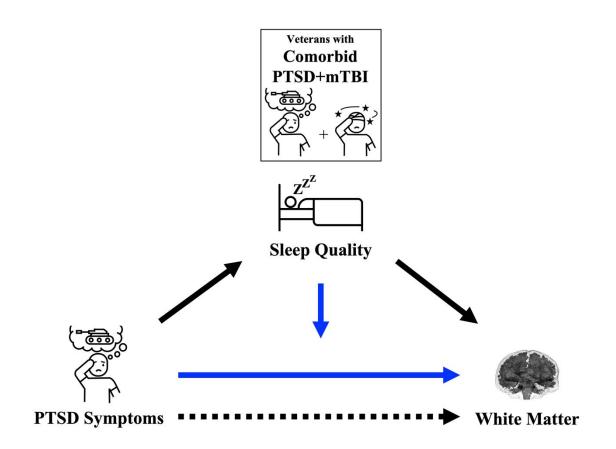
between subjective and objective measures of sleep quality and their relation to brain structure in veterans.

# 9.3. Sleep Quality, PTSD, mTBI, and White Matter Microstructure *Hypothesis IV*)

There is an association between PTSD symptom severity, mTBI burden, sleep quality, and white matter microstructure.

Strikingly, perceived sleep quality fully mediated the association between PTSD symptom severity and white matter microstructure (Figure 21). Several previous studies reported white matter microstructure alterations in patients with PTSD <sup>41,51–53,182,183</sup>, and lower FA has been observed for several major white matter fiber tracts <sup>41,43,151</sup>. A chronically activated stress response and overly activated glutamate neurotransmission affects neuronal plasticity <sup>41,205</sup> and has been suggested as the link between PTSD and impaired white matter. However, it is notable that none of the previously conducted studies that investigated the association between PTSD and white matter microstructure adjusted for sleep quality disturbances or assessed sleep quality disturbances as an influential factor. This is striking, given that disturbed sleep is not only the hallmark symptom of PTSD <sup>19,20</sup>, but also has a profound impact on brain structure and function <sup>211,212,395,542</sup>. In fact, studies assessing the link between sleep and gray matter volume in trauma-exposed veterans previously observed that sleep quality disturbances may affect neural structure even independently of other psychiatric symptoms. Greater selfreported insomnia severity has been associated with smaller hippocampal volumes in veterans whilst controlling for other PTSD symptoms <sup>541</sup>. Similarly, lower self-reported sleep quality was linked to reduced total cortical and frontal gray matter volume in veterans, even when correcting for comorbid psychiatric conditions <sup>542</sup>.

Notably, when including PTSD symptom severity, sleep quality, and white matter in the same statistical model, sleep quality fully accounted for the relationship between PTSD symptoms and white matter microstructure. This finding suggests that poor sleep might be the most damaging symptom for the brain's white matter microstructure. Moreover, the finding questions the interpretability of previous studies on the relationship between PTSD and white matter that did not consider the individual effect of sleep quality on brain structure <sup>41,43–58,183</sup>. Alterations in white matter that have previously been ascribed to PTSD as a disorder *per se* may instead be attributable to *one symptom* (disturbed sleep quality) of the disorder. This is critical information for future diagnostic and treatment approaches and warrants a thorough assessment of sleep quality in the veteran population.



**Figure 21.** Mediating Effect of Sleep Quality *Note.* PTSD, Post-traumatic stress disorder; mTBI, Mild traumatic brain injury. This figure depicts the fully mediating effect of sleep quality between PTSD syr

This figure depicts the fully mediating effect of sleep quality between PTSD symptom severity and white matter microstructure.

There was no statistically significant influence of the mTBI burden (indicated by the number and severity of lifetime mTBIs) on the association between PTSD symptoms, sleep quality, and white matter microstructure. This is surprising, given that cumulative TBIs exacerbate PTSD symptomatology <sup>12–16</sup>, and may increase sleep quality disturbances <sup>425</sup>. Mild traumatic brain injury alters glutamate signaling, melatonin production <sup>402,403</sup>, and circadian rhythmicity <sup>400</sup>, thereby contributing to the development of sleep quality disturbances. Moreover, adverse inflammatory processes can be elicited both by psychological <sup>114</sup> and head trauma <sup>408</sup>, contribute to sleep quality disturbances <sup>409</sup>, and alter brain structure <sup>202,203</sup>. As outlined earlier, mTBI in particular may lead to impaired glymphatic clearance of brain toxins during sleep <sup>411–413</sup>. Especially impeded waste removal in the limbic system after mTBI <sup>410</sup> may fuel the emergence and maintenance of psychiatric symptoms <sup>248</sup> and could explain how sleep quality disturbances after TBI contribute to neuropsychiatric sequelae.

While there is evidence that mTBI alters biological processes related to sleep quality and psychological functioning, studies focusing on the impact of increasing mTBI severity on sleep quality and brain structure are limited. Other than hypothesized, the current findings suggest that sleep quality mediates the association between PTSD symptom severity and white matter microstructure independently of increased mTBI burden. While some previous studies report an adverse effect of more significant mTBI burden on neuropsychological functioning and brain structure and function <sup>562–567</sup>, others did not <sup>568–571</sup>. Differences in the assessment of mTBI burden may account for the lack of consistency in previous findings. For example, some studies assessed only the severity or number of mTBIs, compared to both. Moreover, the present study focused on mild TBI exclusively, however, it is possible that TBI burden of greater severity (i.e., moderate or severe TBI) would have impacted the findings. Sleep quality disturbances increase with TBI severity <sup>572</sup>, and greater white matter abnormalities have been reported with moderate to severe compared to mild TBI <sup>419</sup>. Future research is needed to explore whether mTBI of increasing severity impacts trauma-related sleep quality disturbances and associated brain structural alterations.

## 9.4. Limitations and Future Directions

Several study limitations are acknowledged. First, an entirely male sample of military veterans was enrolled, which may not be generalizable to the entire population of OEF/OIF veterans that includes women. Indeed, sleep and white matter microstructure may be affected by sex 573,574 and the present findings may, therefore, only pertain to a limited sample. Next, the varying sample sizes across the groups (PTSD, mTBI, comorbid PTSD+mTBI, no history of PTSD or mTBI) may have impacted statistical power and type I error rates 575,576, necessitating replications with balanced designs and larger samples. Further, while potentially confounding factors, such as psychiatric comorbidity, warzone-related stress, BMI, psychiatric medication use, race, and education were considered, additional stressors associated with transitioning from combat duty to a non-deployed setting have not been captured. Moreover, medical records for verifying mTBI diagnoses were not available. Instead, information on head trauma relied on retrospective self-recall, potentially distorting accurate reports of mTBI occurrence and severity <sup>577</sup>. However, the current study employed the BAT-L for identification of mTBI <sup>429</sup>, which is considered the current gold standard for assessing combat-related mTBI retrospectively. Similarly, sleep quality was assessed with the most commonly utilized self-report tool to measure sleep quality (the PSQI<sup>430</sup>) which has proven high psychometric validity and reliability <sup>578,579</sup>. Nevertheless, sleep quality was determined entirely based on self-report, which may not accurately reflect objective sleep quality <sup>543</sup>. However, it is significant to note that perceived sleep quality is a valuable diagnostic tool for assessing mental and brain health <sup>393,557–561</sup>.

The mediation and moderation analyses allowed for an advanced statistical assessment of complex interactions between the target variables. However, the cross-sectional design restricts the interpretability of causal relationships. It is possible that sleep quality disturbances were present in some veterans even before deployment and predisposed a minority group to develop more severe neuropsychiatric symptoms and brain structural impairments <sup>177,580–583</sup>. Moreover, future studies may benefit from the inclusion of a general population control group to understand whether the present findings exclusively pertain to the veteran population, given that some civilian studies did not show an association between self-reported sleep quality and white matter microstructural integrity <sup>423,584,585</sup>. Thus, future longitudinal studies, including an increased number of participants and a non-veteran control group, are required to elucidate the underlying pathomechanisms of impaired sleep quality.

In addition, the relationship between self-reported sleep quality, objective sleep quality, and brain structure should be assessed. Polysomnography may serve as an important tool for objective sleep quality assessment <sup>546</sup>, especially considering the high prevalence of PTSDrelated nightmares <sup>33</sup>. The implementation of polysomnography in an ambulatory setting may mitigate the confounding effects attributed to a sleep laboratory environment <sup>586</sup> and should thus be pursued. An analysis of the relationship between subjective and objective measures of sleep and their associations with brain structure may contribute to the understanding of possible paradoxical insomnia in the veteran population. Future studies should also differentiate between sleep disorders (e.g., insomnia, nightmare disorder, obstructive sleep apnea), given that different aspects of sleep or distinct sleep disorders may be associated with alterations in specific white matter tracts. For example, nightmares, which are considered uncontrolled reenactments of the traumatic event <sup>372,466</sup>, may especially affect tracts of the limbic system, such as the uncinate fasciculus and cingulum bundle <sup>465</sup>. Objective measures of REM sleep should be supplemented with self-report questionnaires and clinical interviews specifically tailored to dreaming. Similarly, assessment tools primarily focusing on insomnia may assist with classifying behavioral and brain abnormalities specific to insomnia.

Brain imaging analyses would benefit from a combination between structural MRI and fMRI to identify an association between structural brain changes and brain networks related to sleep quality, PTSD, and mTBI. Moreover, measuring volumetric changes and diffusivity along the brain's perivascular spaces (i.e., the glymphatic system) may be a powerful way to complement the present findings. While changes in the glymphatic system have currently only been linked to military mTBI and consequent poor sleep quality <sup>413</sup>, PTSD likely also harms glymphatic clearance. In healthy individuals, norepinephrine levels decline during the night and

the perivascular spaces of the glymphatic system enlarge to enable the removal of waste products from the brain <sup>587</sup>. In fact, norepinephrine antagonists have been shown to fuel glymphatic clearance <sup>588</sup>. This mechanism is likely impaired in patients with PTSD, as PTSD patients lack the normal drop in norepinephrine levels during the night <sup>392</sup>. As discussed above, the unsuccessful clearance of neurotoxins may lead to neurodegenerative processes, including impaired myelination. These processes should be evaluated in association with objectively and subjectively measured sleep quality disturbances among military veterans.

There is a vast array of possibilities for future research on sleep quality disturbances in the context of PTSD and mTBI. Concluding, a combined assessment of objective and subjective sleep quality, an analysis of the brain's glymphatic system that is most active during sleep <sup>411–414</sup>, and a longitudinal study design belong to the core components required for future research on sleep in the context of PTSD and mTBI.

### 9.5. Clinical Implications

The insights that arise from the present findings may be of high importance for the clinical care of veterans with PTSD and mTBI. Service members should be evaluated for mental health problems and sleep quality disturbances even before deployment to assess the individual risk status and to implement preventive efforts. Moreover, returning veterans should be carefully monitored for emerging sleep quality disturbances and associated brain structural alterations. Novel treatment options may assist standard care procedures in alleviating sleep disturbances.

## 9.5.1. Early Identification of At-Risk Patients

The current findings suggest a close monitoring and early treatment interventions regarding sleep quality complaints in veterans. Identifying at-risk patients even before deployment may be a powerful way to prevent patient and health-care burden. As outlined earlier, several environmental, social, economic, genetic, and neurophysiological factors influence the likelihood of developing PTSD, mTBI, and poor sleep quality. Individuals from a low socio-economic background <sup>87,222</sup>, who struggle with pre-existing mental health conditions <sup>87,223</sup>, including sleep quality disturbances <sup>353,589</sup>, are more prone to developing PTSD and mTBI after a traumatic life experience. Indeed, poor sleep quality is not only a resulting symptom of deployment-related traumatic experiences, but also a risk factor for the development of PTSD <sup>353,580,581,589,590</sup>, post-concussive symptoms <sup>265,591</sup>, and associated brain structural impairments <sup>177,580–583</sup>. In fact, pre-deployment sleep quality disturbances are

predictive of PTSD re-experiencing symptoms three- and six-months post-deployment, even independently of combat-stress severity <sup>581</sup>. In line with the diathesis-stress model of PTSD <sup>592</sup>, pre-existing sleep quality disturbances interact with social, environmental and biophysiological diatheses to elicit PTSD symptoms after a traumatic event <sup>581,592</sup>. Individuals with a greater variety of premorbid factors (including sleep quality disturbances) are more vulnerable to show aversive reactions to even less severe stressors <sup>592</sup>. Sleep quality disturbances are considered a diathesis that facilitates the development of mental health problems when encountering a traumatic life experience <sup>353,580,581,589,590</sup>. Especially impairments of specific sleep stages have been thought to predispose veterans to exhibit mental health symptoms post-deployment <sup>590</sup>. While REM sleep disturbances are a characteristic following both PTSD <sup>19,386</sup> and mTBI <sup>593</sup>, REM sleep impairments most likely also facilitate the development of mental disorders, as it has been shown that impaired REM sleep is associated with lack of fear extinction and safety learning <sup>594</sup>. In fact, *restless REM sleep* is marked by high arousal and eye movements that impair the overnight resolution of distress and lead to continuous amygdala reactivity <sup>388</sup>, and chronic hyperarousal <sup>387</sup>, facilitating the emergence and maintenance of psychiatric symptoms.

Just like several environmental, social, economic, genetic, and neurophysiological factors influence the likelihood of developing PTSD and mTBI, disrupted sleep emerges due to the interplay of various etiological factors. Genetic studies suggest a heritability for PTSD <sup>115</sup>, mTBI <sup>267</sup>, and sleep quality complaints <sup>595–597</sup>. Genes that are involved in alterations of the HPA axis, such as the FKBP5 gene 122, Val158Met polymorphism of the Catechol-Omethyltransferase gene <sup>123</sup>, and a genetic variation of the CRP gene <sup>125</sup> contribute to the development of PTSD<sup>124</sup>, most importantly the hyperarousal symptoms<sup>126</sup> that have repeatedly been linked to sleep quality disturbances <sup>210,534</sup>. Indeed, substantial genetic overlap has been identified between PTSD and sleep quality impairments, such as insomnia <sup>598</sup>, providing an explanation for the common co-occurrence of the conditions and bi-directional relationship <sup>599</sup>. Similarly, genetic polymorphisms that control inflammatory markers, such as TNF-a, IL-1, and IL-6 and those that regulate the circadian rhythm influence mTBI outcome and associated sleep quality disturbances <sup>267,600-603</sup>. Indeed, insomnia symptoms that improve after standard treatment correlate with altered expression of inflammatory genes in military personnel <sup>604</sup>. Shared genetic etiology suggests that genetic testing may be highly informative for identifying at-risk patients even before deployment. However, the interest in genetic testing to evaluate risk status for poor mental health outcome is still relatively low among veterans, calling for educative interventions that outline potential benefits <sup>605</sup>.

Neuroimaging markers may additionally assist in identifying service members prone to developing poor neuropsychiatric outcome, including sleep quality disturbances. While it is assumed that trauma-related sleep quality disturbances affect white matter health through impaired glymphatic brain waste clearance <sup>411–414</sup>, and compromised myelin deposition and genesis processes <sup>416</sup>, it is similarly possible that white matter microstructural architecture that is already compromised before deployment predisposes for the development of sleep quality disturbances. In fact, while several studies have shown white matter alterations in patients with PTSD <sup>41,43–58,183</sup>, it has not been evaluated whether white matter alterations before encountering a traumatic life event predict the development of PTSD and associated sleep quality disturbances. A recent study revealed that white matter alterations of fronto-limbic fiber tracts one month following trauma predicted PTSD symptoms after three months <sup>606</sup>. It is conceivable that at-risk individuals with a genetic predisposition to developing sleep quality disturbances, PTSD, and persistent post-concussive symptoms exhibit structural brain alterations that render the brain vulnerable for poor mental outcome after trauma. As outlined earlier, smaller hippocampal volumes <sup>142-144</sup> have been suggested as a genetic predisposition to PTSD <sup>150</sup>. Similarly, smaller hippocampal volumes were shown in individuals with mTBI <sup>274–279</sup> and have further been associated with poor sleep quality <sup>280-282</sup>. Moreover, abnormalities in several functional connectivity networks have been linked to both PTSD <sup>167</sup> and mTBI <sup>301-305</sup>. Especially the hyperarousal and re-experiencing symptoms of PTSD that are closely linked to sleep quality disturbances <sup>210,534</sup> have repeatedly been associated with altered amygdala-frontal connectivity <sup>112,167</sup>. Similarly, mTBI has been linked to wide-spread disruptions in large-scale brain networks <sup>301–305</sup>, leading to neuropsychiatric sequalae <sup>363</sup>. In fact, disruptions in major networks, such as the default mode network, have been shown to mediate the association between sleep disturbances and mental health problems <sup>607</sup>. Pre-existing alterations in brain structure and function may, thus, increase the likelihood of developing sleep quality disturbances (both pre- and post-deployment) that further translate into poor mental health outcome and compromised brain health.

In summary, research to date suggests bi-directional relationships between sleep quality disturbances, brain alterations, and mental health outcome. Unfortunately, the cross-sectional nature of the present work precludes the inference of causal conclusions. While it has been suggested that sleep quality disturbances account for the relationship between PTSD symptoms and global white matter alterations, altered brain structure may have been present even before deployment and predisposed to poor outcome. Indeed, previous research suggests that various social, economic, environmental, genetic, and neurophysiological factors contribute to an

individual's disposition for the development of PTSD and associated sleep quality disturbances. Future research will reveal whether neuropsychiatric screening, genetic testing, and brain imaging can pinpoint reliable markers to identify individuals at risk for poor outcome after deployment.

## 9.5.2. Treatment of Sleep Quality Disturbances in Veterans

The present work identified a strong relationship between PTSD symptoms and sleep quality disturbances. However, it is crucial to highlight that while PTSD has been shown to illicit sleep quality disturbances <sup>370</sup>, sleep quality disturbances also perpetuate PTSD symptomatology <sup>608</sup>. First-line treatments for PTSD frequently fail to alleviate sleep problems, even when other PTSD symptoms subside <sup>35,36</sup>. Persistent disruptions in sleep quality may, in turn, increase the risk to maintain PTSD symptoms, resulting in a vicious cycle <sup>36,609</sup>. On the contrary, treatment strategies aimed at improving sleep quality may also help with overall PTSD symptom resolution <sup>20,36,610,611</sup>, given that restorative sleep is required for the remission of anxiety <sup>612,613</sup> and thus aids with the emotional processing of traumatic experiences <sup>369</sup>. In fact, addressing poor sleep quality is often an essential initial treatment target when commencing a trauma therapy in order to strengthen the required emotional coping mechanisms and cognitive resources <sup>614</sup>. Moreover, since sleep-targeted interventions are less stigmatized than mental health therapies, they may result in improved acceptability and compliance rates, encouraging more veterans to seek the necessary professional support <sup>615</sup>.

Cognitive behavioral therapy for insomnia (CBTi) is a widely accepted intervention for treating sleep quality disturbances that combines cognitive therapy and education on sleep hygiene and bedtime relaxation and was rated beneficial for veterans <sup>616</sup>. As a multiple approach treatment that combines several different strategies, CBTi relies on cognitive therapy but also includes strategies for reducing hyperarousal, and promoting circadian rhythm regulation by following a sleep schedule <sup>617</sup>. Maladaptive associations with bedtime or the sleeping environment are targeted over six to eight weeks. Patients are encouraged to set fixed sleeping and waking times, and to keep sleep diaries. Cognitive therapy changes distorted negative thoughts and believes around sleep and nighttime, while relaxation techniques are practiced to reduce the hyperarousal symptoms <sup>617</sup>. Positive changes are not only seen in subjective but also objective measures of sleep quality, such as significant increases in REM sleep <sup>618</sup>. Especially CBTi with adjunctive psychotherapy appears to be of value <sup>616</sup>. Accompanying psychotherapy may include image rehearsal, rescripting, exposure, or relaxation therapy <sup>616</sup>. The treatments aim at alleviating PTSD symptoms and may be especially important for patients who primarily

struggle with nightmares. Nightmares have been described as "uncontrolled re-exposure" of the traumatic event that fuel the maintenance of PTSD <sup>466</sup>. In image rehearsal therapy, the patient is instructed to change the narrative and storyline of a recurrent nightmare and to rehearse the new positive version multiple times. Successes from practicing the new pleasant dreams have been observed already after a couple of weeks <sup>619,620</sup>. Moreover, exposure therapy, a treatment option that is generally applied for alleviating post-traumatic memories <sup>621</sup>, has been adapted to serve nightmare cessation. Exposure consists of a gradual approach to trauma-related content until habituation is achieved. The patient may be instructed to focus on nightmare content that gradually increases in intensity <sup>376</sup>. In addition, audio recordings of imaginal exposures are used to practice between sessions <sup>622</sup>. Other exposure treatments may additionally incorporate psychoeducation and sleep hygiene, nightmare image rehearsal therapy, and relaxation techniques <sup>376</sup>. CBTi and adjunctive exposure therapy have been found to reduce both PTSD and insomnia symptoms and enhance quality of life <sup>623</sup>. Moreover, it is important to note that in some cases CBTi is applied to stabilize a patient before even starting exposure therapy. Exposure therapy for PTSD can be highly challenging, and establishing adaptive coping mechanisms before commencing the treatment can be a great source of support <sup>624</sup>. Sleep therapies may thus not only be beneficial for treating sleep quality disturbances but also prepare for trauma therapy.

In addition to psychotherapy, pharmacotherapy is often used to complement other methods when treating sleep quality disturbances related to trauma <sup>625</sup>. Antidepressants and antipsychotics exert sedative effects, but only antipsychotics have been found moderately effective in treating PTSD-related sleep quality disturbances <sup>626</sup>. While benzodiazepines are sometimes used in the treatment of nightmares, their highly addictive nature <sup>627</sup> and rather disappointing treatment outcome do not support their appliance as a first-line treatment for sleep quality disturbances <sup>626</sup>. Instead, the anti-hypertensive drug prazosin has surprisingly been found to reduce symptoms of insomnia, nightmares, and overall PTSD symptomatology <sup>626,628</sup>. Prazosin blocks abnormally high noradrenergic activity in PTSD patients <sup>19,209</sup>, decreases hyperarousal symptoms <sup>210</sup>, and has been shown to be particularly effective against nightmares <sup>19,629</sup>.

## 9.5.3. Treatment Impact on Brain Structure and Function

While several approaches to treat sleep quality disturbances in the veteran population exist, their effect on brain structure and function has rarely been assessed. There is evidence that pharmacotherapy and psychological interventions for PTSD can exert beneficial effects on

brain structure and function, such as increases in hippocampal volume, a reduction in amygdala activity, and a concurrent increase in dorsolateral prefrontal cortex activity <sup>522,630</sup>. However, research on the effect of treatment for sleep quality disturbances on brain structure and function is scarce. A small study with insomnia patients showed decreased activity in the precentral, prefrontal, fusiform, and posterior cingulate cortices in response to sleep-related stimuli after CBTi treatment <sup>631</sup>. Clinical improvements in sleep quality correlated with brain activity <sup>631</sup>. While this is promising, no reports on a potential history of psychological or physical trauma have been made in this sample. Moreover, the effect of treatment on trauma-related sleep quality disturbances and gray or white matter structure has not yet been explored. A few studies reviewed treatment effects for obstructive sleep apnea on neuropsychological outcome, and brain structure. Increased hippocampal and frontal gray matter volume that correlated with improvements in neuropsychological functioning was observed after treatment <sup>479</sup>. Moreover, white matter diffusion measures that were lower than those of control participants at baseline approximated control levels after treatment <sup>632</sup>. Interventions for obstructive sleep apnea, such as continuous positive airway pressure <sup>587</sup>, are obviously different from the psychological and pharmacological interventions applied to treat trauma-related sleep quality disturbances. However, obstructive sleep apnea is fairly common among veterans <sup>633</sup> and strongly associated with PTSD and mTBI <sup>475</sup>. In fact, sleep apnea is often accompanied by other sleep disturbances, such as insomnia <sup>476</sup>, and should thus be considered in future diagnostic and treatment efforts in the veteran population.

Further research is required to elucidate the effects of different treatment methods for sleep quality disturbances on brain structure and function. Besides sleep-targeted psychological interventions and current pharmacotherapies, newer approaches, such as neurofeedback 634,635, may constitute a promising therapeutic tool for improving sleep quality and brain health. Neurofeedback involves the conditioned learning of self-regulating brain activity <sup>636</sup>. Specifically, a positive stimulus is presented together with desired EEG activity, supporting certain brain states over others. In the case of sleep quality disturbances, a patient may be conditioned to produce more large-amplitude delta waves to decrease arousal and induce tranquility <sup>637</sup>. Initial studies suggest that neurofeedback is effective in treating sleep quality disturbances <sup>637,638</sup> and PTSD symptoms <sup>639</sup>, although future research needs to confirm the findings. Interestingly, neurofeedback may directly improve white matter health by increasing myelinization 634,635 Together with the above-mentioned psychotherapeutic and pharmacological interventions, neurofeedback may, thus, assist with battling trauma-related sleep quality disturbances and compromised brain health.

#### **10.** Conclusion

Findings from the present work suggest that sleep quality plays a vital role in mental and brain health of veterans. Veterans with PTSD and comorbid PTSD+mTBI experienced poorer sleep quality than veterans with mTBI only or no history of PTSD or mTBI, underscoring the profound association between deployment-related PTSD and poor sleep quality. HPA axis disturbances and abnormally high norepinephrine activity in the brain that persists throughout day and night have been suggested to account for the strong relationship between traumatic stress and poor sleep quality. Importantly, poor sleep quality mediated the relationship between PTSD symptom severity and white matter microstructural alterations among veterans with comorbid PTSD+mTBI, independently of neuropsychiatric comorbidities, warzone-related stress, BMI, psychiatric medication use, race, and education. Various social, economic, environmental, genetic, and neurophysiological factors that impact PTSD and mTBI ultimately contribute to biological processes that enable and maintain sleep quality disturbances and brain abnormalities. It is possible that the observed white matter microstructural alterations emerge due to impaired myelin repair processes, given that sleep is necessary for lipid biosynthesis, and oligodendrocyte precursor proliferation that are crucial for myelin genesis and deposition. Moreover, poor sleep quality has been associated with insufficient brain waste clearance through the perivascular glymphatic system, which is vital for flushing out accumulated neurotoxins. An accumulation of brain waste products, such as beta-amyloid and tau, has been linked to neurodegenerative processes, including impaired myelination. Notably, the current work employed self-report assessments of sleep quality, precluding inferences to objectively assessed sleep quality. Discrepancies between self-reported and device-assessed sleep quality have been noted, indicating that veterans may rate their sleep as poorer than depicted by actigraphy or polysomnography. Future studies are needed to assess the level of consensus between subjective and objective measures of sleep quality. Nevertheless, selfreported sleep quality has proven as a valuable indicator of mental and brain health that should be considered when investigating the impact of trauma-related sleep disturbances on white matter structure. Given the importance of white matter health for successful signal transmission amongst various brain networks and neuropsychiatric functioning, effective preventive and treatment strategies are urgently required. Future research is needed to examine whether novel sleep-targeted interventions can complement treatment-as-usual in supporting overall brain health of veterans suffering from PTSD and mTBI.

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