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**Characterization of Vasogenic Brain Edema Formation  
after Experimental Traumatic Brain Injury  
by *in vivo* 2-Photon Microscopy**

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# 1 Introduction

## 1.1 Epidemiology of Traumatic Brain Injury

Traumatic brain injury (TBI) is defined as an acute brain injury resulting from mechanical energy to the head caused by direct or indirect external force (Menon et al., 2010). It is often referred to as the “silent epidemic” disease and remains a growing public health concern worldwide (Johnson and Griswold, 2017). Recently, data from Lancet Neurology Commission showed that more than 50 million people suffered from TBI annually (Maas et al., 2017). Since the global costs of TBI are approximately \$US400 billion per year, TBI is considered as a huge economic burden (Maas et al., 2017). Incidence rates of TBI vary greatly between countries and regions. In 2006, a systematic review of the epidemiology of TBI in Europe included 23 studies published from 1980 to 2003 and the results demonstrated that the incidence rate of TBI was 235 cases per 100,000 people per year (Tagliaferri et al., 2006). Ten years later, according to Brazinova et al., the incidence rates of TBI in Europe (country-level studies) ranged from 47.3 per 100,000, to 694 per 100,000 each year (Brazinova et al., 2016). For the United States, the total number of new TBI patients reached 3.5 million per year in the last decade (Coronado et al., 2012). In China, based on several large population-based studies, the estimate incidence of TBI were 55.4-64.1 per 100 000 people per year (Wang et al., 1986).

TBI varies in severity from mild to severe (Teasdale and Jennett, 1974). The reported mortality rate for severe TBI is estimated to be 39% and 60% of patients having an unfavorable outcome. TBI survivors often suffer from physical, emotional, and cognitive disorders during their lifetime (Jafari et al., 2013; Wilson et al., 2017). Such disorders are not only restricted to severe TBI, but also occur frequently after moderate or mild injury (Fleminger et al., 2003).

According to the World Health Organization (WHO), for young people aged 15-29 years, road traffic accidents are the leading cause of TBI, while in infants (0-1),

toddlers (1-4), young children (4-14), and in elder patient (aged over 65 years), most TBI cases were caused by falls (Bruns and Hauser, 2003). In low-income and middle-income countries, the incidence of TBI is rising because of increased transport-related injuries, while in high-income countries traffic-related incidents have decreased due to preventive measures (safety belts, helmets for motor vehicle). However, in these countries falls are now increasingly becoming the leading cause of TBI (Brazinova et al., 2016; Peeters et al., 2015). Other main causes of TBI include sports-related concussion, blast injury, and combat-related injury.

## **1.2 Pathophysiology of TBI**

### **1.2.1 Primary and Secondary Brain Damage**

#### **1.2.1.1 Primary Brain Damage**

TBI has a dynamic pathophysiology that evolves over time (Maas et al., 2017; Sahuquillo et al., 2001). Primary brain damage occurs when the insult delivers direct mechanical energy to skull and brain tissue. The injury is usually classified as focal brain damage (due to contact injury) or diffuse brain damage (due to acceleration/deceleration injury) (Dixon, 2017). These damages result primarily in contusion, laceration, intracranial hemorrhage or diffuse axonal injury (DAI) (Baethmann et al., 1998; Jha et al., 2019; Maas et al., 2008; Nortje and Menon, 2004; Sahuquillo et al., 2001). The primary injury damages the cerebral vessels and cells, such as neurons, astrocytes, and oligodendrocytes, leading to a loss of function of the microcirculation, the neurovascular unit, and cellular structures and/or to necrotic cell death. Additionally, both the extravasation of blood and necrotic cell death lead to a release of cytokines and chemokines which are often involved in the development of secondary injury responses.

### **1.2.1.1 Secondary Brain Damage**

Secondary brain injury is an additional brain damage that is caused by a series of pathophysiological changes that are triggered by the primary damage (Dixon, 2017) and develops over hours, days, and potentially weeks, months, or even years after TBI. It mainly includes disturbances of the cerebral microcirculation, cytotoxic and vasogenic brain edema formation, inflammatory responses, cellular and mitochondrial dysfunction, and further cell death (Jassam et al., 2017; Jha et al., 2019; Maas et al., 2008; Sahuquillo et al., 2001; Shlosberg et al., 2010). Since the development of secondary brain damage occurs delayed during the first hours and days following injury, there is time for therapeutic interventions.

A key factor in the pathogenesis of secondary brain damage is brain edema formation with a consecutive increase in intracranial pressure (ICP), which in turn results in microcirculatory alterations and ischemia (Jha and Kochanek, 2018; Maas et al., 2008; Sahuquillo et al., 2001; Unterberg et al., 2004). According to the Monro-Kellie doctrine from 1783, the pressure within the skull is a function of the volume of intracranial compartments, i.e. blood, brain, and CSF (Mokri, 2001). A volume increase of any of the compartments will lead to an increase of ICP. When ICP increase exceeds the natural compliance mechanisms, it will result in perfusion deficits and ischemia (Bouma and Muizelaar, 1992). Consequently, a key mechanism to secondary brain damage is the development of brain edema (Jha and Kochanek, 2018; Unterberg et al., 2004). So far, several mechanisms have been discussed to contribute to brain edema formation.

#### *BBB disruption*

The breakdown of BBB results in extracellular accumulation of excitatory amino acids and exposure of the brain tissue to serum-derived molecules (thrombin, albumin, and fibrinogen) (Faden et al., 1989; Teichberg et al., 2009). These factors are discussed to cause microglia activation, proliferation, and production of pro-inflammatory factors, which further leads to edema formation, astrocytic dysfunction, inflammation,

alterations in microcirculation, and metabolic disturbances (Blixt et al., 2015b; Corrigan et al., 2016; Lozano et al., 2015; Schwarzmaier et al., 2016; Willis et al., 2004). These processes serve as mediators for brain repair but also facilitate further BBB disruption (Shlosberg et al., 2010). Although BBB breakdown following TBI is recognized a central and critical pathologic process, the underlying molecular changes are still not completely understood (Donkin and Vink, 2010; Jha et al., 2019; Unterberg et al., 2004).

### *Inflammation*

Accumulating evidence suggests that the immune system plays an important role in TBI pathogenesis (Jassam et al., 2017). In patients with TBI, the inflammatory response begins within hours and persists up to several weeks (Corrigan et al., 2016; Morganti-Kossmann et al., 2007). Accordingly, in animal models it was shown that the inflammatory response occurs frequently following TBI and is associated with BBB breakdown (Holmin and Mathiesen, 2000; Tian et al., 2016). The inflammatory cascade after TBI develops within minutes and includes local signaling in neurons, glia and recruited peripheral immune cells (Ziebell and Morganti-Kossmann, 2010). The local microglia is activated by pro-inflammatory cytokines which are released from leukocytes, and contribute to the overall increase in BBB permeability and brain edema (Broux et al., 2015; da Silva Meirelles et al., 2017; Jassam et al., 2017). These processes can be both beneficial and pathogenic, which could be part of the reason why broadly suppressing the immune system has been unsuccessful in altering clinical outcome in TBI patients. In the chronic phase, in some cases, the inflammatory state will persist for weeks, month, or even years after TBI (Acosta et al., 2013; Daneshvar et al., 2015). Interestingly, inflammation has been associated with increased BBB permeability and brain edema formation.

### *Microcirculatory alterations*

Another major consequence of TBI is damage to the cerebral vasculature, which leads to formation of hemorrhage, edema, hypoperfusion, microthrombus formation,

ischemia and hypoxia (Salehi et al., 2017). Clinical studies indicate that more than 30% of patients with TBI show global cerebral blood flow (CBF) values below the ischemic thresholds ( $< 18 \text{ ml} \cdot 100 \text{ g}^{-1} \cdot \text{min}^{-1}$ ) within 12 h of initial trauma (Bouma et al., 1991), which result in neuronal cell death and poor functional outcome (Bouma and Muizelaar, 1992; Bullock et al., 1992). At later times after injury, there is an apparent uncoupling between blood flow and tissue metabolism, which can result in vasospasm and hypo-perfusion (Bouma et al., 1992; Salehi et al., 2017). Alterations of the cerebral microcirculation leading to ischemia of both parenchymal cells and endothelial cells, could contribute to BBB disruption.

### *Mitochondrial dysfunction*

Mitochondria are the energy-producing organelles in cells (van der Bliek et al., 2017). Physiologically, mitochondria are involved in many key cellular processes including acting as an effective storage for calcium, producing ATP production for the supply of energy (Kannurpatti, 2017). After TBI, the brain goes through a state of metabolic crisis and mitochondrial dysfunction becomes apparent (Hill et al., 2017). Mitochondrial dysfunction following TBI mainly leads to oxidative stress and subsequent apoptosis and decreased cellular energy supply (Hiebert et al., 2015). The production of reactive oxygen species (ROS) is increased, which further leads to oxidative damage to neurons, astrocytes and other essential vascular elements (Pierce et al., 2018). ROS also act as a common trigger for many downstream pathways that directly mediate BBB compromise, such as tight junction and matrix metalloproteinases (MMP) (Pun et al., 2009).

The pathophysiologic evolution of TBI is a complex process including primary and secondary injury (Figure 1), in which edema formation plays a central role. Edema formation after TBI may result in a malignant increase in ICP, decrease of CBF, and finally tissue ischemia (Jha and Kochanek, 2018; Klatzo, 1994; Stocchetti and Maas, 2014), which aggravate many secondary processes following TBI (Stokum et al.,

2016). Moreover, these alterations may exacerbate edema formation, thus become a vicious circle.

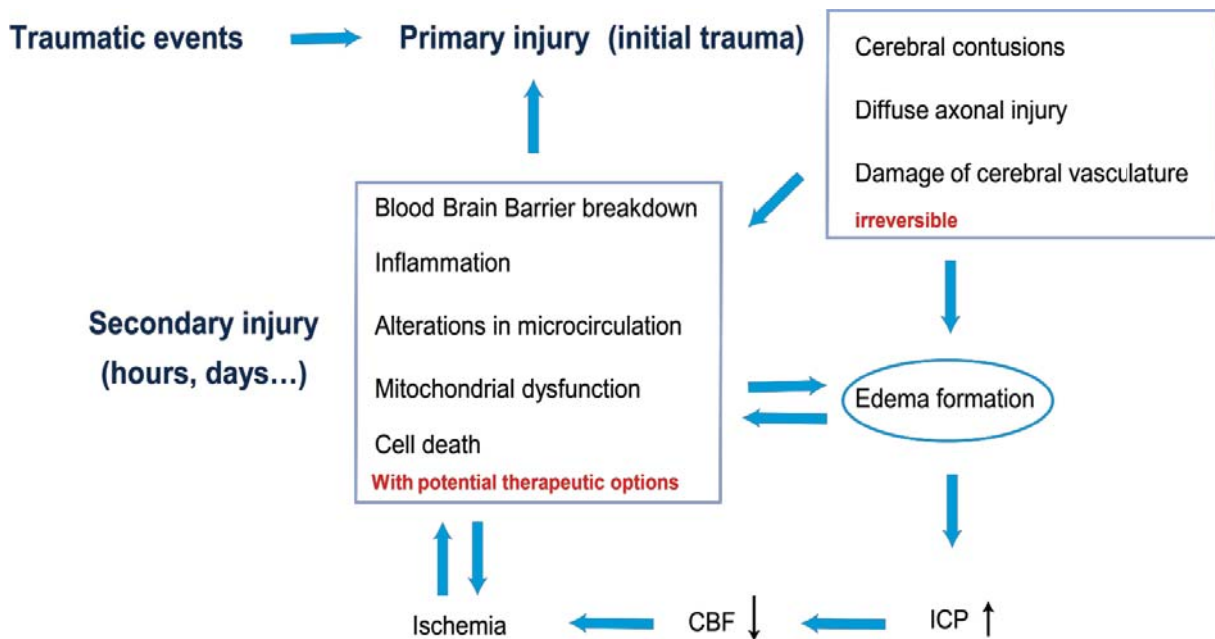


Figure 1: Summary of cascade of brain traumatic events and the central role of edema formation in this pathologic process. CBF=cerebral blood flow; ICP=intracranial pressure.

### 1.2.2 Cerebral Edema Formation

Since it plays a central role for the development of secondary brain damage, cerebral edema formation after TBI is an important matter. Cerebral edema is defined as an increase in brain tissue water, including water accumulation in the interstitial space and in individual cells (Donkin and Vink, 2010; Unterberg et al., 2004). It is discussed to play an important role for in-hospital mortality following TBI and may influence the long-term outcome as well (Nagata et al., 2018). Evidence from both animal and clinical experiments indicates that edema formation frequently occurs after brain trauma and is associated with unfavorable prognosis (Jha et al., 2019; Shapira et al., 1993; Tanno et al., 1992; Wei et al., 2012). According to Igor Klatzo's definition, there are two major types of brain edema: vasogenic and cytotoxic/cellular edema (Klatzo, 1994).

#### **1.2.2.1 Vasogenic Brain Edema**

Vasogenic brain edema occurs when the BBB is disrupted and the permeability of the BBB is increased. This results in the extravasation of water and plasma proteins into the interstitial space (Alluri et al., 2015). Driven by hydrostatic pressure, water together with plasma proteins and electrolytes leaks into the interstitial compartments with ensuing water accumulation (Alves, 2014; Lukaszewicz et al., 2011; Unterberg et al., 2004). The fluid accumulation results in brain swelling, and afterwards, exceeding intracranial compliance in a malignant rise of ICP (Blixt et al., 2015a; Jha and Kochanek, 2018; Katayama and Kawamata, 2003).

It is generally accepted that one factor contributing to vasogenic edema formation is the breakdown of BBB (Stokum et al., 2016). In TBI, shear forces lead to mechanical injury of the microvascular system, including disruption of tight junctions and degradation of endothelial basement membrane proteins (Nag et al., 2011; Sangiorgi et al., 2013). Plasma proteins and water may pass from the vascular compartment to the interstitial space through either trans-endothelial channels or paracellular pathways (Broadwell and Salcman, 1981). The enhanced permeability of BBB further leads to dysregulation of the vascular autoregulatory capacity, inflammatory responses, and reduced neurovascular coupling (Jha et al., 2019; Moretti et al., 2015).

#### **1.2.2.2 Cytotoxic Brain Edema**

The second important form of post-traumatic brain edema is called cytotoxic or cellular edema, and it is characterized by an intracellular water accumulation of astrocytes, neurons, and microglia (Jha et al., 2019). Extracellular ions are taken up by astrocytes, which leads to a consecutive water influx. Following brain trauma, this mechanism gets overstrained and finally results in ionic pump failure or activation of selected ion channels and further cell swelling. In contrast to vasogenic edema, in cytotoxic edema water mainly moves from the interstitial to the intracellular space (Hudak et al., 2014; Winkler et al., 2016). Although cytotoxic edema seems more frequent than vasogenic edema in patients after TBI, both entities contribute to

increased ICP and secondary ischemic events (Lukaszewicz et al., 2011; Winkler et al., 2016).

### 1.2.2.3 Differences and Interactions between two Types of Edema

Cytotoxic edema is believed to be caused by a redistribution of water from the interstitial space into the cytoplasm of astrocytes, which does not necessarily result in an increase in brain water content or brain volume. By contrast, vasogenic edema is a redistribution of water from the vasculature into the brain which results in an increase in brain water content, brain swelling and eventually increased ICP. Cellular edema has been confirmed to contribute to vasogenic edema, because oncotic cell death of endothelial cells and astrocytes can cause BBB disruption (Jha et al., 2019). In turn, vasogenic edema can worsen cytotoxic edema by providing more interstitial water for the cells to take up.

	Vasogenic Edema	Cytotoxic Edema
Development	BBB disruption	Uptake of excess ions and metabolites by astrocytes
BBB Permeability	Increased	Unchanged
Edema fluid	Rich in protein	Rich in electrolytes
Morphology	No cell swelling Increased interstitial space	Cell swelling Decreased interstitial space

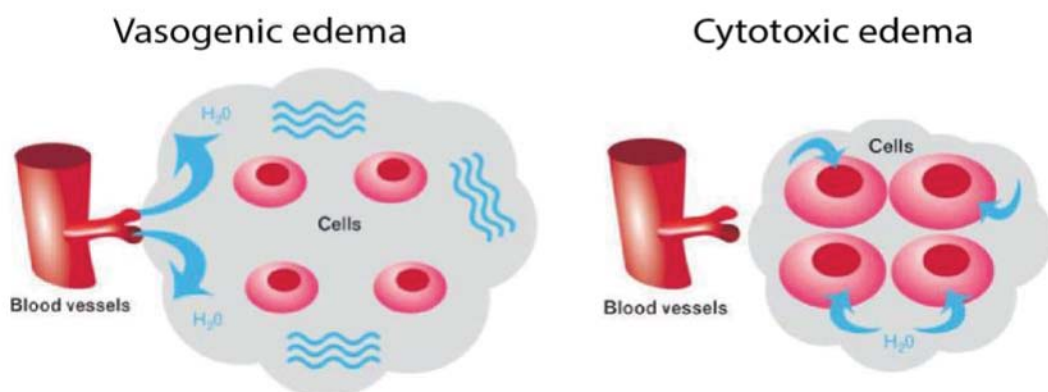


Figure 2: Comparison of vasogenic brain and cytotoxic brain edema. Part of the figure was modified from Donkin, J. J. *et al* (Donkin and Vink, 2010).

### 1.3 Technical Limitations for Detecting Edema Formation

#### 1.3.1 Conventional Technologies

Despite the key role vasogenic brain edema formation has for the generation of secondary brain damage, its underlying mechanisms are still not fully understood. This is mainly due to technical limitations that have restricted the proper detection and quantification in the past. Conventional techniques used to investigate BBB opening include *ex vivo* experiments detecting IgG or Evans blue extravasation from the vessels (Murakami et al., 1999; Rosas-Hernandez et al., 2018), and *in vivo* experiments using MRI (Ren et al., 2019; Shen et al., 2016; Yu et al., 2019), epifluorescence microscopy (Mayhan and Heistad, 1985; Prager et al., 2010; Wahl et al., 1985) or near-infrared (NIR) fluorescence imaging (Klohs et al., 2009).

Evans Blue, a dye which has a high affinity for albumin, was used for measuring vascular permeability as early as 1948 (Allen and Orahovats, 1948). When Evans Blue is injected intravenously, it remains in the intravascular space. However, when vascular permeability is increased and protein leakage occurs, Evans Blue can be observed or measured within the brain parenchyma (Saria and Lundberg, 1983). So far, there are numerous TBI studies which have reported to use Evans Blue for monitoring BBB permeability (Dash et al., 2016; Yang et al., 2019). However, the assessment of Evans Blue extravasation appeared to be not sensitive enough to detect minor vascular leaks (Wick et al., 2018). Additionally, Evans blue extravasation can only be determined *ex vivo* and does not provide *in vivo* information. Consequently, this approach only provides a semi-quantitative assessment for a single time point, and does not allow the dynamic measurement of BBB breakdown.

For *in vivo* investigation of TBI several techniques have been established. One of them is dynamic contrast-enhanced MRI (DCE-MRI) which detects the extravasation

of low-molecular weight MRI contrast agents by increased signal intensity in T1-weighted images (Sourbron and Buckley, 2013). This method has proven valuable in the assessment of BBB opening not only in diseases with large abnormalities in BBB dysfunction, such as acute ischemic strokes (Kassner et al., 2009), brain trauma (Ren et al., 2019) or tumors (Singh et al., 2007), but also in diseases with more subtle and chronic BBB disruption like cerebral small vessel disease (Wardlaw et al., 2009; Wardlaw et al., 2008), Alzheimer's disease (Starr et al., 2009) and diabetes (Starr et al., 2003). Theoretically, DCE-MRI seems a valid technique to capture BBB dysfunction *in vivo*, with the advantage to capture information from the whole brain and to provide repeated measurements in one single animal. However, in practice, DCE-MRI has only been used in a limited number of experimental TBI studies due to its technical limitations, like a low signal-to-noise ratio, low spatial and temporal resolution of the dynamic scans, and its relatively poor image quality.

Another widely used technique is intravital fluorescence microscopy (IVM) through an open skull window, which has been used to investigate BBB dysfunction *in vivo* since the 1980ies (Wahl et al., 1985). By injecting fluorescent tracers linked to large molecules such as dextrans intravascularly, BBB disruption was determined by the appearance of the tracer in the parenchyma (Mayhan and Heistad, 1985). Additionally, with this technique regional CBF in small arterioles and venues (Prager et al., 2010), inflammatory responses such as leukocyte adhesion, the formation of micro thrombi, and alterations of vascular diameters can be investigated (Schwarzmaier and Plesnila, 2014; Schwarzmaier et al., 2015b; Schwarzmaier et al., 2013). Taken together, IVM was successfully used experimentally to assess the functionality of the cerebral microcirculation in real time. However, due to the limited penetration of visible light into brain tissue, studies using epifluorescence microscopy are limited to investigations of the surface of the brain. Variations in fluorescence intensity can only be discriminated superficially, a correlation along the vertical axis is not possible and alterations inside the brain parenchyma cannot be

visualized. In order to overcome these limitations, a 3D imaging technique is required.

### **1.3.2 2-photon microscopy**

With 2-photon microscopy, a decent resolution along the vertical axis (z-axis) is possible (Cheng et al., 2019). In a two-photon absorption process, two photons are sent within nanoseconds into the tissue with a relatively long wavelength, thereby achieving a larger penetration depth. These photons arrive in the point of focus virtually simultaneously and excite a fluorescent molecule with their combined energies (Helmchen, 2009). As a result, the fluorescent molecules within the focal point of the objective emit only one photon with the combined energy of the two exciting photons. Therefore, two-photon microscopy provides extremely high spatial resolution both in the horizontal and the vertical axis thereby providing novel options for detecting vascular leakage *in vivo*. So far, there are already several studies that have reported the use of multi-photon microscopy for *in vivo* imaging of cerebrovascular leakage in different experimental models such as micro beam irradiation (Verant et al., 2008), photo chemically induced stroke (Frederix et al., 2007), and ultrasound stimulation (Raymond et al., 2007). The only study performed after TBI has been performed by our laboratory (Schwarzmaier et al., 2015a). In this study, vasogenic edema formation was monitored dynamically with high spatial and temporal resolution after TBI. However, the investigation was reduced to the first few hours following injury, and a chronic characterization of vasogenic brain edema formation is still missing.

### **1.4 Aim of the Study**

The aim of the present study was therefore to characterize the development of vasogenic brain edema formation in a clinically relevant mouse model of experimental TBI *in vivo*, with high spatial and temporal resolution, in both the acute

phase following trauma (the first hours) and in the sub-acute phase up to 7 days following injury.

## 2 Materials and Methods

### 2.1 Materials

#### 2.1.1 Equipment

Analytical Balances (Mettler Toledo™)	Thermo Fisher Scientific, USA
Axio Imager M2 Microscope	Carl Zeiss, Germany
Blood Gas Analyzer (RAPIDLab 348)	Siemens, Germany
Capnograph (TYPE 340)	HUGO SACHS ELEKTRONIK, Germany
CCI device (Mouse-Katjuscha 2000)	University of Mainz, Germany
Fluorescence Illuminator (X-cite 120)	Lumen Dynamics, USA
Glass Desiccator (DURAN®)	DWK Life Sciences, Germany
Surgical instruments	Fine Scientific Tools, Switzerland
LED light source (KL2500)	Leica, Germany
Microscope (Leica M80)	Leica, Germany
MicroSyringe Pump Controller	World Precision Instrument, USA
Oxygen Sensor, Oxydig	Draegerwerk, Germany
Powerlab 8/35	AD Instruments, Australia
Precision balance (OHAUS®)	Waagendienst Winkler GmbH, Germany
Recovery chamber (CA17 4BG)	MEDIHEAT™, UK
Stereotactic Injection Platform(KOPF®)	Föhr Medical Instruments, Germany
Syringe Pump (SP101IZ)	World Precision Instruments, USA

Temperature controller system	FHC, USA
Two Photon Microscope (LSM 7 MP)	Carl Zeiss, Germany
Volume-controlled ventilator (Minivent 845)	HUGO SACHS ELEKTRONIK, Germany

### **2.1.2 Chemicals, Drugs and Solutions**

Buprenorphine	Bayer, Germany
Dextran, Tetramethylrhodamine, 40,000 MW, Neutral (D1842)	Thermo Fisher Scientific, USA
Heparin-Natrium (25,000 I.E./5ml)	B-Braun, Germany
Isoflurane Isp-Vet (1000 mg/g)	Chanelle, Ireland
Ketamine (50 mg/ml)	Bayer, Germany
Medetomidine	Zoetis, USA
Midazolam	B-Braun, Germany
Tachosil	Takeda, Germany

### **2.1.3 Consumables**

Accelerator INSTA-SET™	Drechseln & Mehr, Germany
Cover glass (2 x 2 mm LOT 2441285)	Thermo Fisher Scientific, USA
Cyano Veneer	Hager & Werken, Germany
Cyano Veneer Powder	Hager & Werken, Germany
Cyanoacrylate Maxi-Cure	Drechseln & Mehr, Germany

Fine Bore Polythene Tubing (0.28mm ID, 0.61 mm OD)	Smiths Medical ASD, Belgium
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Gauze (7.5 x 7.5 cm)	Lohmann & Rauscher, Germany
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#### **2.1.4 Software**

ImageJ2	National Institute of Health, USA
Imaris 9.0	Bitplane AG, Switzerland
LabChart software	AD instrument, USA
Prism7	Graphpad, USA
ZEN 2010	Carl Zeiss, Germany

## **2.2 Methods**

### **2.2.1 Animals**

All protocols and procedures on animals were approved by the Government of Upper Bavaria (protocol number Vet\_02-17-23) and are reported in accordance with the ARRIVE (Animal Research: Reporting of in Vivo Experiments) guidelines. The animals that were used were 6-8 -week-old male C57Bl/6 mice with a body weight of 22-26 g (Charles River Laboratories, Germany). All mice were housed in groups of up to five per cage under a 12h light/12h dark cycle with free access to food and water. Prior to surgery, animals were allowed to acclimate to our animal facility for at least five days. After surgery, mice were kept individually in separated cages. Health screens and hygiene management checks were performed daily in accordance with the guidelines and recommendations established by the Federation of European Laboratory Animal Science Associations (FELASA).

### **2.2.2 Randomization and Blinding**

For each series of experiments, mice were randomly assigned to one of the experimental groups after preparation of the craniotomy (see 2.2.3) by drawing lots (n=6 each group). Throughout the experiment, each animal was kept individually and only labeled with a number, i.e. with no information about further treatment. 2-photon microscopy image analysis for each animal was performed off-line by a researcher who was blinded towards the treatment of the respective mouse, i.e. trauma or sham surgery

### **2.2.3 The Controlled Cortical Impact (CCI) Model**

TBI was induced using the controlled cortical impact (CCI) model. It is well established model of contusional TBI and results in a highly reproducible contusion (Krieg et al., 2017; Trabold et al., 2010; Zweckberger et al., 2003). The contusion is produced by a pneumatic driven piston which hits the cortex with a defined velocity and impact depth. The detailed protocol is described below.

For analgesia, the animals received buprenorphine (0.1mg/25g) i.p. 30min before surgery. For short lasting surgical procedures such as inducing CCI, the animals were anesthetized with inhalation of Isoflurane (4% for 60s and 1.5-1.8 % throughout surgery) delivered in a gas mix containing N<sub>2</sub> and 30%-33% O<sub>2</sub>. Mice were fixed in a stereotactic frame by a nose clamp. Bepanthen ointment was applied on the eyes to protect them from dryness during surgery. A rectal probe was used to monitor body temperature and a feedback controlled heating pad was used to maintain body temperature during surgery.

Surgery was performed using an upright operation microscope (Carl Zeiss, Germany). Following a longitudinal skin incision along the sagittal suture, a craniotomy of 4 x 4mm was prepared posterolateral to bregma over the right parietal cortex (Fig. 4) by using a dental drill under continuous cooling with saline. The skull was opened carefully and the CCI impactor was placed exactly onto the cortex. The impact was

applied perpendicular to the dura with a steel cylinder (diameter: 3.0 mm), a velocity of 6 m/sec, a penetration depth of 0.5 mm and a contact time of 150 ms, as previously described (Schwarzmaier et al., 2015a). Immediately following the impact, the removed bone flap was placed back and fixed with tissue glue. The skin was sutured and the mice were placed in a recovery chamber (33°C and 50% humidity) for 30 min until recovery of motor function. Sham-operated animals were treated as described above (including the craniotomy), except for the induction of CCI.

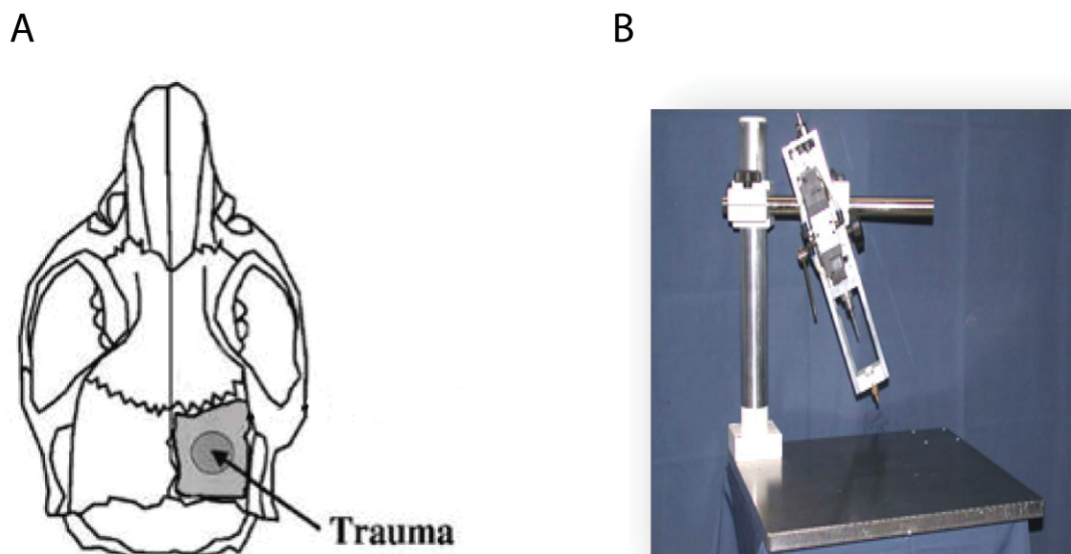


Figure 3: (A) Schematic drawing of the skull of a mouse and of the position of brain trauma; (B): The CCI device.

#### **2.2.4 Acute Cranial Window Preparation**

Mice were anesthetized by intraperitoneal injection of a triple combination containing 0.05 mg/kg Fentanyl, 0.5 mg/kg Medetomidine and 5 mg/kg Midazolam (Schwarzmaier et al., 2015a; Thal and Plesnila, 2007). Thereafter the mice were intubated with a custom-made tube connected to a volume-controlled ventilator. Ventilation volume and respiratory frequency was adjusted according to body weight and the end tidal partial pressure of carbon dioxide ( $p\text{CO}_2$ ). Body temperature was

maintained by a feedback controlled heating pad. The head was fixated in a nose clamp mounted on a stereotactic frame. For measurement of arterial blood pressure a sterile plastic catheter was inserted into the femoral artery and connected to a pressure transducer. Animals received a continuous infusion of 0.4 ml/h NaCl 0.9% via the arteriolar catheter to compensate for ventilation-induced fluid loss. Body temperature, mean arteriolar blood pressure (MABP) and end-tidal  $p\text{CO}_2$  were monitored throughout the experiment and stored for further analysis using Powerlab and LabChart software.

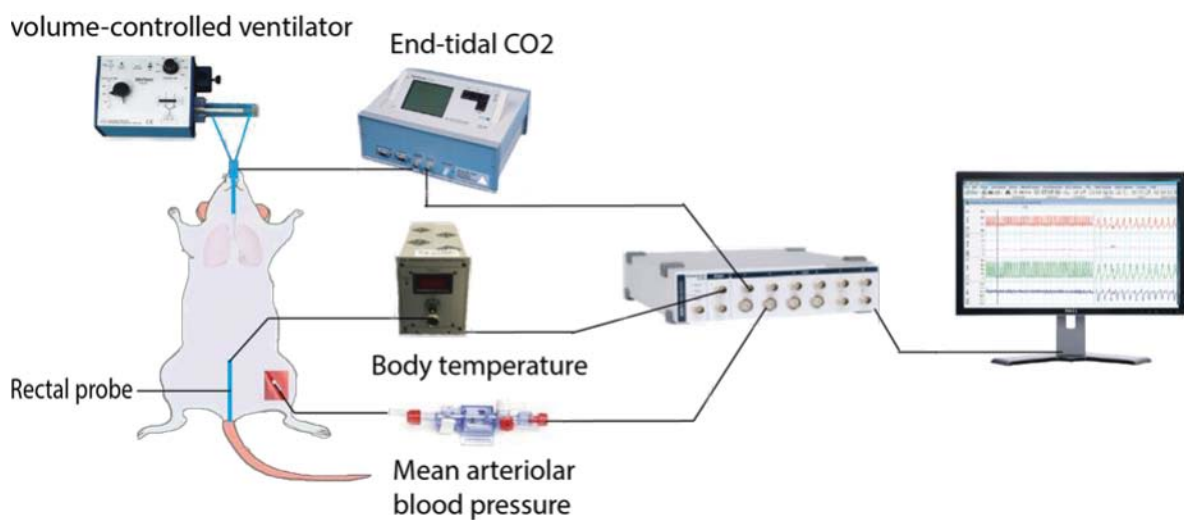


Figure 4: Experimental setup for the measurement of physiological parameters. End-tidal CO<sub>2</sub>, core body temperature and mean arterial blood pressure were monitored continuously throughout the experiment.

A square cranial window (2 mm x 2 mm) was prepared as previously described (Schwarzmaier et al., 2015a). Briefly, a cranial window was drilled carefully over the right fronto-parietal cortex under continuous cooling with saline. After removal of the bone flap, the dura mater was gently removed and the surface of the brain was rinsed with saline. Then, a precise-fitting square cover glass with a thickness of 0.175 mm was carefully placed into the window and fixed with dental cement. The window was located 1 mm lateral to the sagittal suture and 1 mm frontal to the coronal suture, thus, a region 1.5–3.5mm frontal to the primary contusion could be imaged.

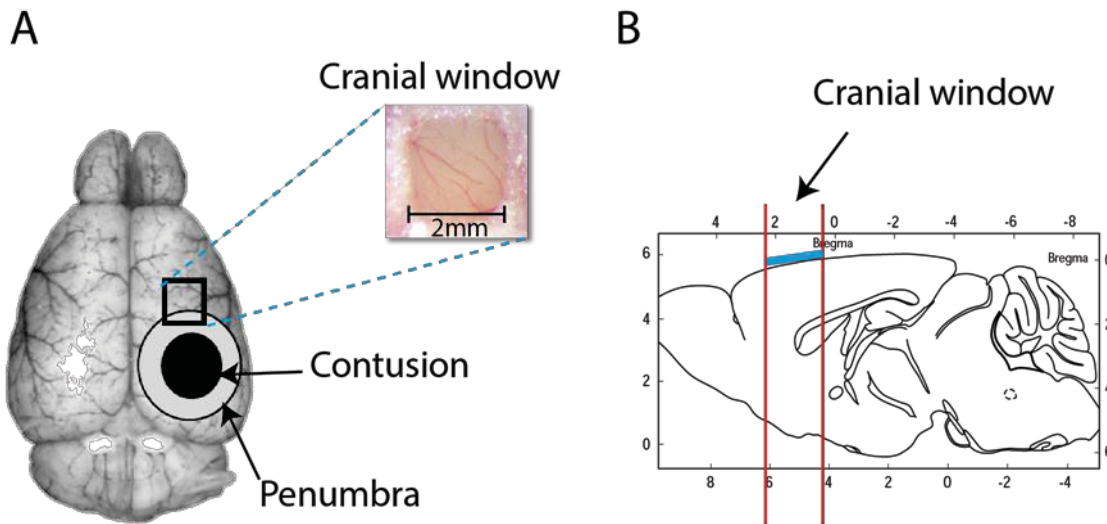


Figure 5: Location of the contusion (black), the penumbra (gray), and the cranial window on the brain.

### 2.2.5 2-photon Microscopy

Following CCI and preparation of the window, the anesthetized mice were placed under a 2-Photon microscope (Zeiss LSM-7MP). For imaging, the mice received an injection of 40 mg/kg Tetramethylrhodamine-dextran (TMRM; MW 40,000) through the femoral artery catheter. The molecular weight of 40,000 Dalton was chosen specifically because it mimics the size of plasma proteins which cross the BBB exclusively under pathological conditions (Guérin et al., 2001; Reyes-Aldasoro et al., 2008). The excitation wavelength for TMRM dextran was 800 nm. In order to provide an even signal intensity throughout the 3D region of interest, the laser power was increased with increasing imaging depth from 3.0% at the surface to 15.0% at 300  $\mu$ m depth. Master gain and digital gain were set as 650 and 2.00, respectively. These settings were determined empirically in order to visualize the vessels and parenchyma in a three dimensional region of interest reaching 0–300 microns below the surface of the brain with equal intensity and minimized background noise.

For imaging, three regions of interest (ROI) were defined within the window as illustrated in Fig. 6. Area 1 was the ROI closest to the site of the primary injury (1.5 mm frontal to the rim of the initial contusion). Area 2 and Area 3 were located at a

distance of 2 mm and 2.5 mm from the rim of the initial contusion, respectively. The side length of each area was  $425\ \mu\text{m} \times 425\ \mu\text{m}$ , all areas extended vertically from the surface to a depth of  $300\ \mu\text{m}$  into the parenchyma. Images of horizontal planes were acquired with a vertical distance of  $3\ \mu\text{m}$  and then digitally combined to a 3D image (z-stack). Baseline images were captured from each ROI immediately after adjustment of the microscope (within 2mins). Thereafter, z-stacks of each area were obtained every 30 min.

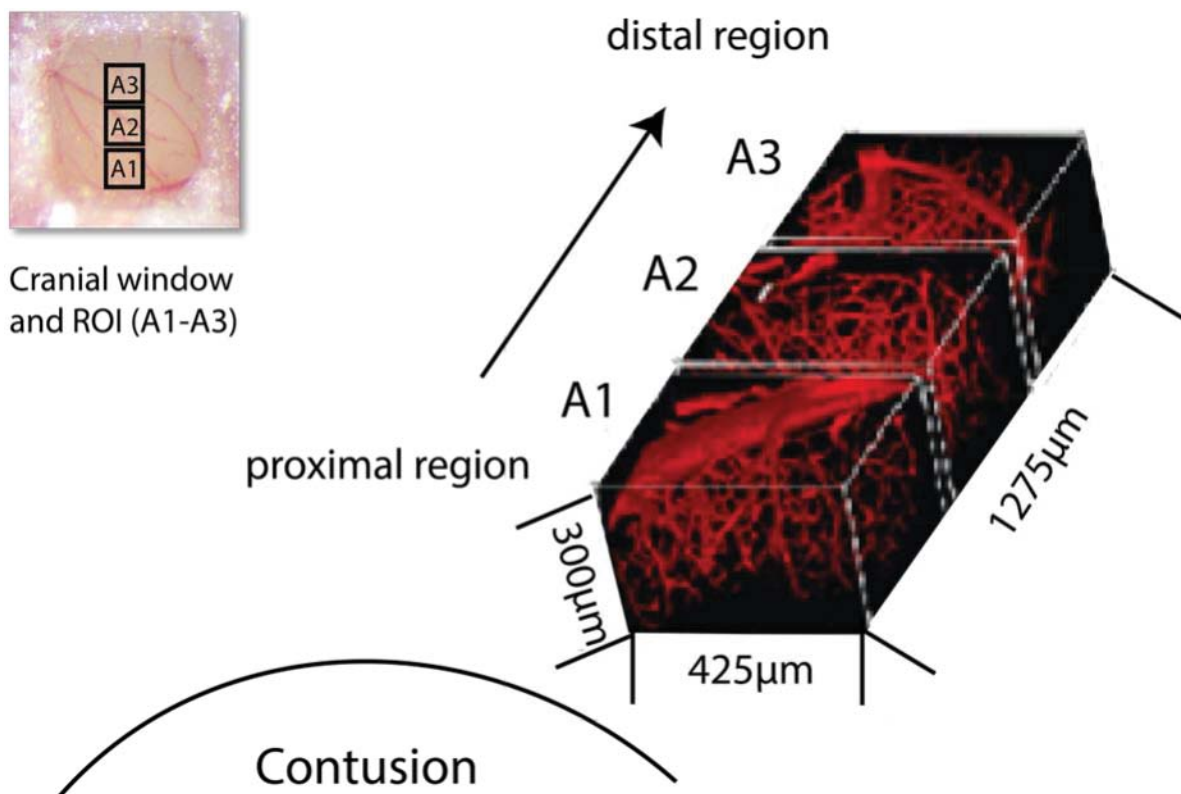


Figure 6: Scheme of the location of the ROI for imaging (A1-A3) with A1 being the most proximal region to the contusion. For each area, the side length was  $425\ \mu\text{m} \times 425\ \mu\text{m}$  and all areas extended vertically from the surface to a depth of  $300\ \mu\text{m}$  into the parenchyma.

## 2.2.6 Blood gas Measurement

At the end of imaging, arterial blood samples were immediately collected with a glass capillary from the femoral artery catheter. The pH,  $\text{pCO}_2$  and  $\text{pO}_2$  were measured using a blood gas machine.

### 2.2.7 Brain Water Content Measurement

Before measurement, the cleaned weighing dishes were weighed with an accuracy of 0.0001 mg (empty weight (A)). After sacrificing the mouse, the brain was removed quickly and then placed and straightened in a metal matrix. The olfactory bulb and cerebellum were removed with a razor blade. The brain was divided exactly along the midline and each hemisphere was placed in a weighing dish and labeled accordingly. The dishes containing the hemispheres were measured again to assess the wet weight (B). The dishes were kept open and placed in the preheated oven at 110°C for 24h. After removal from the oven, the open dishes were transferred to the desiccator to cool for 1 hour. Thereafter the dishes were weighed again (dry weight (C)). The formula used for the calculation of brain water content was the following:  $(\text{wet weight} - \text{dry weight}) / (\text{wet weight} - \text{empty weight}) \times 100 = \text{water content (\%)} = (B - C) / (B - A) \times 100$ . (A) Empty weight (B) wet weight (bowl + brain) (C) dry weight (bowl + brain).

### 2.2.8 General Condition Monitoring

As a parameter for the general wellbeing of the animals participating in the study, the body weight was assessed before and repetitively throughout the experiment, i.e. daily for the first 3 days and at the 7<sup>th</sup> day post CCI or sham operation.

For monitoring of the general condition, the state of consciousness, behavior, general appearance, clinical condition and wound condition, were recorded daily for the first 3 days and on the 7<sup>th</sup> day post CCI and evaluated according to a score scheme (Table 1). The neurologic status was measured according to the neurologic score sheet (see table 1) daily for the first 3 days and on the 7<sup>th</sup> day post CCI or sham operation in

Table 1 Neurologic score sheet

Items	Descriptions	Burden/score
1. Behavior	Unobtrusive, normal	No/0
	Reduced spontaneous movement, but normal reaction to stimulus (e.g. reaction to noise),	Low/5

	normal cooperation in neurologic testing	
	Significantly slowed down, significantly reduced spontaneous motor functions, reduced co-operation in neurologic tests, even after stimulus	Middle/10
	Apathetic, auto aggressive, making noises	High/20
2. General condition	Fur even, orifices clean, eyes clean/shiny	No/0
	Altered grooming	Low/5
	Fur dull, orifices dirty, eyes dull, piloerection	Middle/10
	Fur dirty, orifices sticky, fast, shallow breathing or gasping	High/20
3. Weight loss	< 1%	No/0
	1% - 5%	Low/5
	5% - 10%	Middle/10
	11% - 15%	High/20
4. Neurologic Deficits	No	No/0
	Only with neurologic testing for detestable deficits (e.g. muscle weakness, clumsiness)	Low/5
	Significant unilateral weakness with obvious impairment of movement	Middle/10
	Hemiplegia, locomotion and food intake/body care severely impaired	High/20
5. Wound conditions	No irritation, dry, no dehiscence	No/0
	Slight redness, dry, no dehiscence	Low/5
	Redness, swelling, fluid leakage Need for local treatment (disinfection, stitching)	Middle/10
	Not healing, infected wound	High/20
6. Vigilance	No impairment	No/0

	Epileptic seizure with subsequent vigilance reduction lasting less than 10 minutes	Low/5
	Vigilance reduction without previous epileptic seizure with subsequent reduction of vigilance between 10 and 30 minutes	Middle/10
	Comatose for more than 5 minutes without epileptic seizure, comatose for more than 30 minutes after epileptic seizure	High/20
7. Epileptic seizures	No	No/0
	Self-limiting focal seizure (duration less than 5 minutes)	Low/5
	Self-limiting generalized seizure (duration less than 5 minutes)	Middle/10
	Grand-mal status epileptics with motor dislocations/tonic seizures lasting > 15 minutes	High/20

### 2.2.9 Quantification of Two-photon Microscopy Images

#### 2.2.9.1 Fluorescence Intensity Measurement

The image analysis was performed off-line by an investigator who was blind to the treatment of the animal using the software ImageJ. To avoid an analytical bias by artifacts from the operation, the superficial 50  $\mu\text{m}$  of each z-stack were excluded from the analysis. The intensity threshold was adjusted to eliminate both the (very high) intravascular signal and the (very low) background signaling of the images. The adjustment for the color threshold was standardized and applied uniformly to all datasets. Subsequently, fluorescent pixels in each layer were assessed throughout the z-stack using ImageJ. Fluorescence intensity in the whole z-stack was then calculated as the mean of the values acquired from all sections. The baseline fluorescence intensity value was determined in the z-stack that was acquired

immediately after TMRM injection. The data subsequently obtained in that experiment (30, 60, and 90 min) was then expressed as percentage of that specific baseline.

### 2.2.9.2 Extravasation Distribution Measurement

In order to better characterize the extravasation distribution after CCI, a novel method was used to analyze the original data. Firstly, the original data (z-stack) was transferred into the maximal intensity projection form. By applying a specific look-up-table (jet lut) into the image, the contrast of images was better than in monochrome images. Then, each image was gridded into 36 segments (6 X 6). In each segment, a ROI was chosen in the non-vessel area (78 pixels). The fluorescence intensity was measured within each ROI using imageJ. Based on these data, a 2D heat-map was drafted using Prism7.

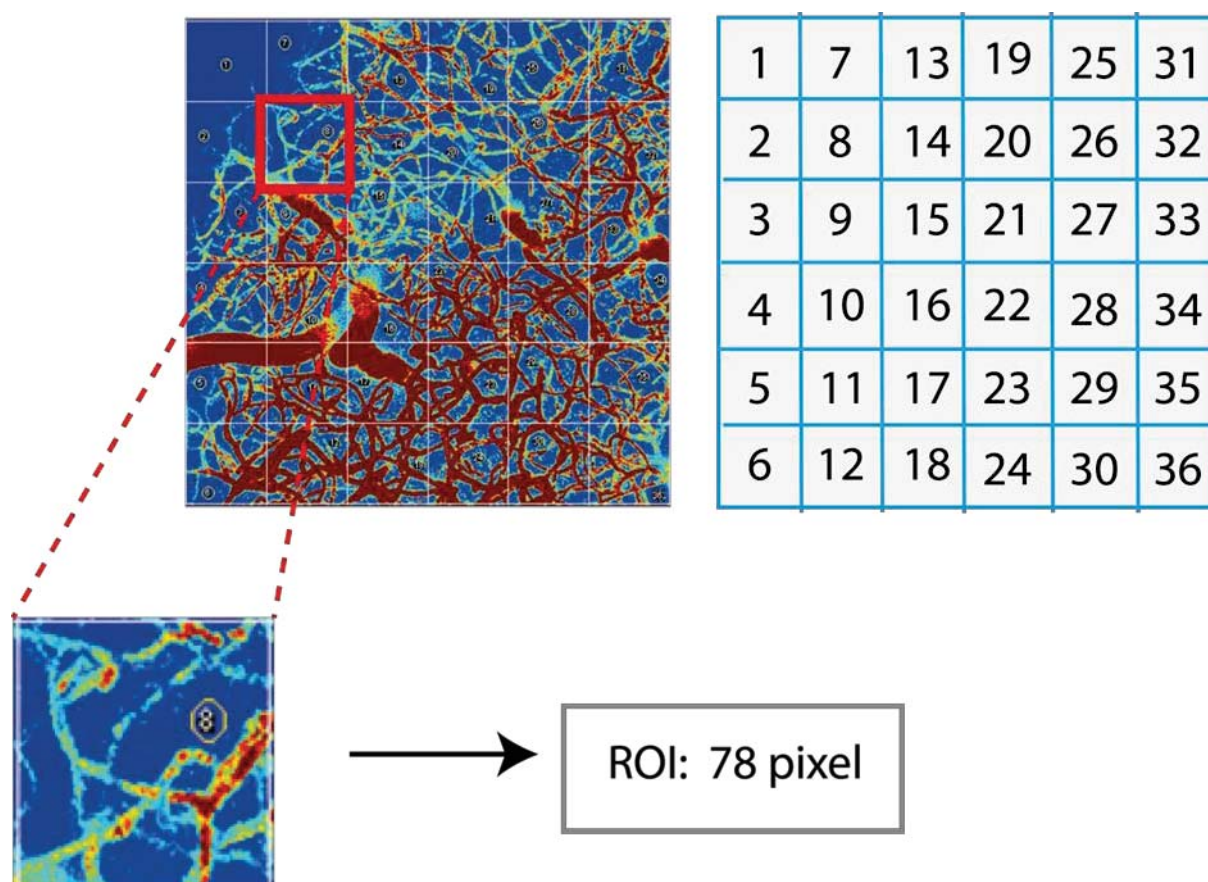


Figure 7: Distribution of TMRM extravasation measurement as well as definition of ROI.

### 2.2.10 Experimental Protocols

The experimental protocols used in this thesis are shown in Fig. 8.

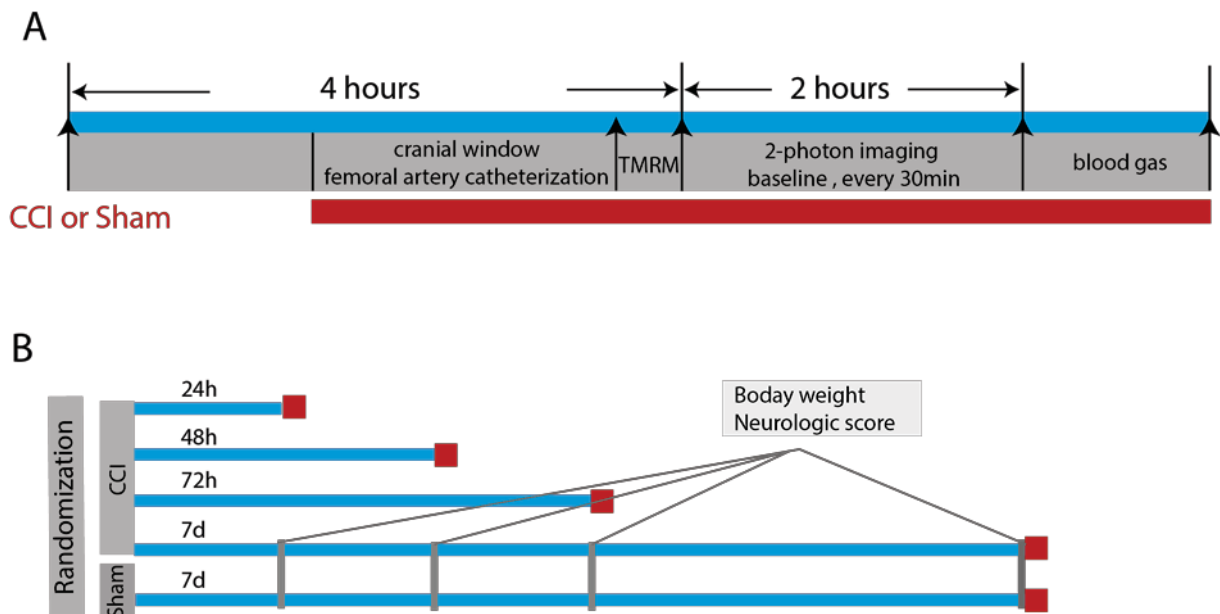


Figure 8: Timeline of the experimental setup. (A) Experimental series determining the short-term development of vasogenic brain edema formation. (B) Experimental series investigating vasogenic edema formation until 7 days post CCI. Body weight and neurologic score were assessed at day 1, 2, 3, and 7 following CCI. Animals were imaged at different time points indicated by the red cubes.

### 2.2.11 Statistical Analysis

Statistical analysis was performed using GraphPad Prism 6.0. All data are presented as mean  $\pm$  standard deviation (SD). Sample sizes were calculated with standard deviation ranged from 25 - 30% and a biologically relevant difference of 50%. To increase the robustness of the statistical approach only non-parametrical statistical tests were used. The Mann-Whitney U test was used to analyze the differences between groups, Kruskal-Wallis analysis of variance on ranks was used to compare

more than two groups. Differences with p values less than 0.05 were considered to be statistically significant.

### 3. Results

#### 3.1 Brain Swelling after TBI

As a first parameter reflecting unilateral brain swelling, the midline shift was assessed. As shown in Fig. 9, the ipsilateral hemisphere started to swell as early as 4 hours after TBI. At 48 hours after injury the midline shift reached its maximum and decreased thereafter.

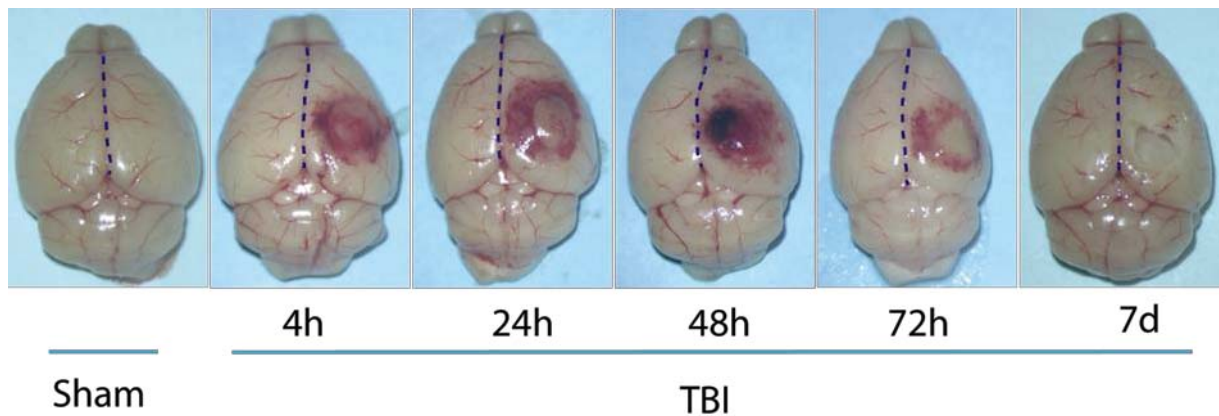


Figure 9: Gross anatomy pictures showing the contusion and midline shift at different time points following CCI.

Secondly, brain water content was determined by applying the wet-dry method. Following CCI, the water content in the ipsilateral hemisphere increased as early as 4h following CCI to  $80.4 \pm 0.8\%$  compared to  $78.3 \pm 0.5\%$  following sham operation ( $n=6$ ). Thereafter, ipsilateral water content gradually increased and peaked at 24 hours following injury with  $80.9 \pm 1.1\%$  ( $n=6$ ). In the ipsilateral hemisphere, brain water content remained significantly increased until 72 hours following TBI compared to brain water content after sham operation. At 7 days after injury ipsilateral brain water content decreased to  $79.5 \pm 1.1\%$ , which was only slightly more than in the contralateral hemisphere.

## Brain Water Content Post-CCI

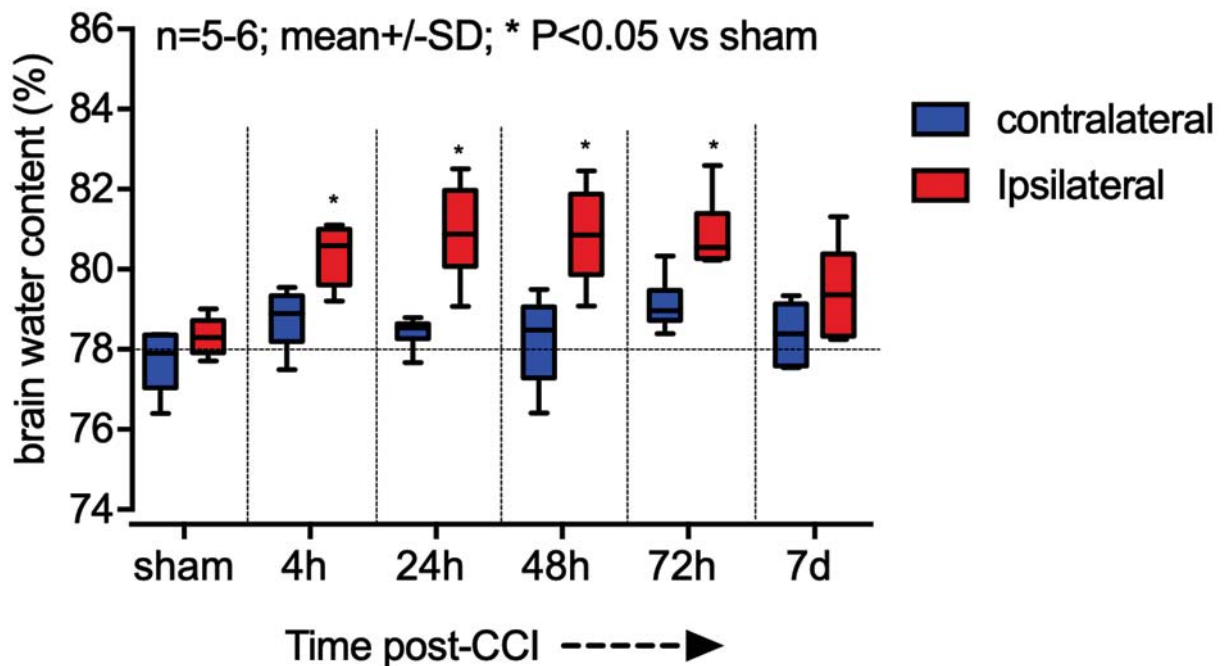


Figure 10: Water content in the injured hemisphere and control hemisphere at different time points after TBI. Ipsilateral water content gradually increased and peaked at 24 hours after TBI. \*P<0.05 vs sham ipsilateral. SD = standard deviation.

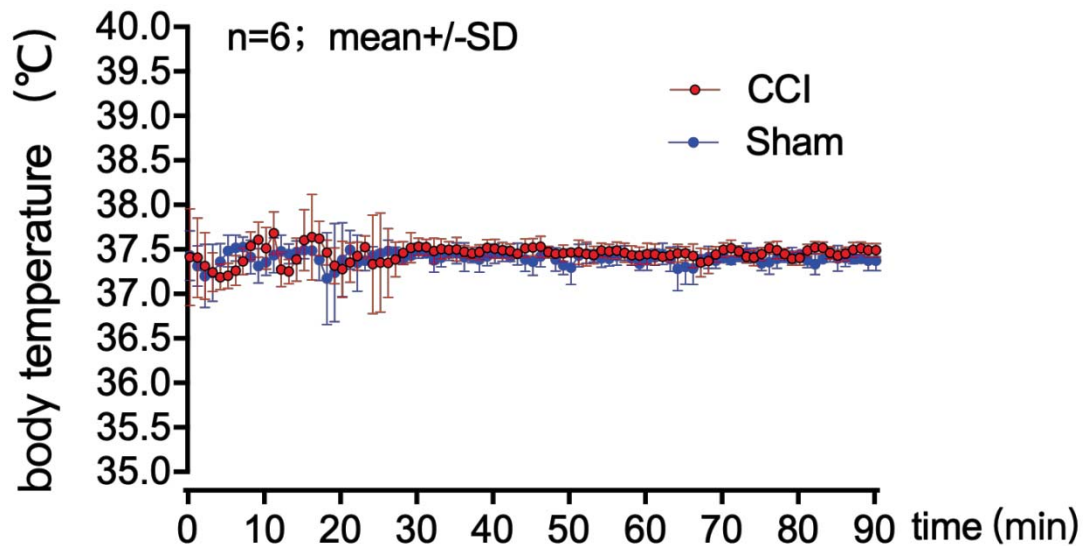
### 3.2 Short-term Development of Vasogenic Brain Edema Formation after TBI

#### 3.2.1 Physiological Monitoring

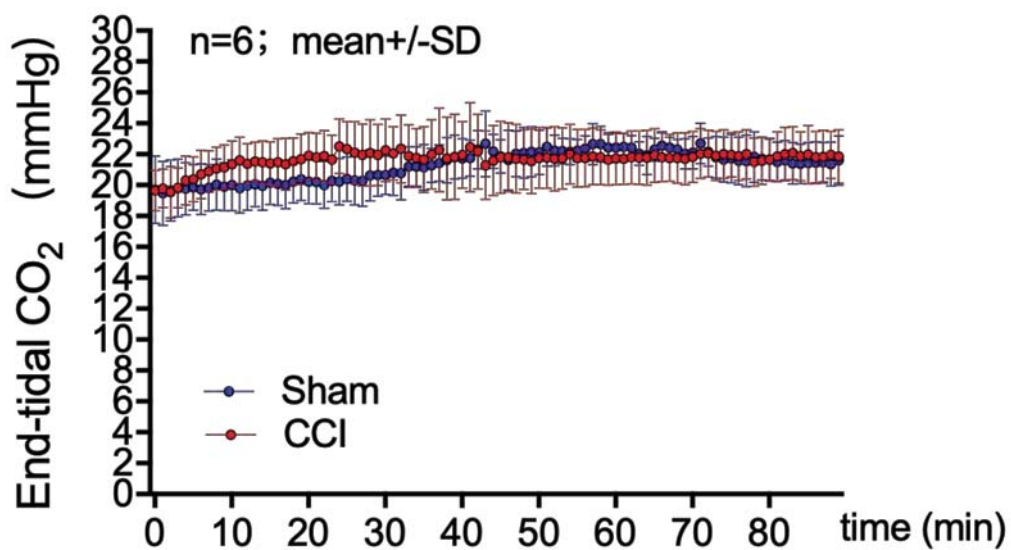
In the applied experimental 2-photon imaging protocol, the overall surgical and imaging time reached up to 4 hours. To ensure physiological homeostasis throughout the experiment and especially during imaging, physiological parameters including body temperature, mean arterial blood pressure and end-tidal CO<sub>2</sub> were continuously monitored; all values were within their respective physiological range and showed no significant difference between groups (Fig. 11). At the end of the experiment, blood gases including pH, pCO<sub>2</sub> and partial pressure of oxygen (pO<sub>2</sub>) were measured. As shown in Table 2, the values did not differ significantly between groups and pCO<sub>2</sub> and

pO<sub>2</sub> were kept within the physiological range. Throughout the experiment the animal developed an acidosis with a pH of 7.2 which was similar in both groups.

## A Body temperature During Imaging



## B End-tidal CO<sub>2</sub> During Imaging



### C Mean Arterial Blood Pressure During Imaging

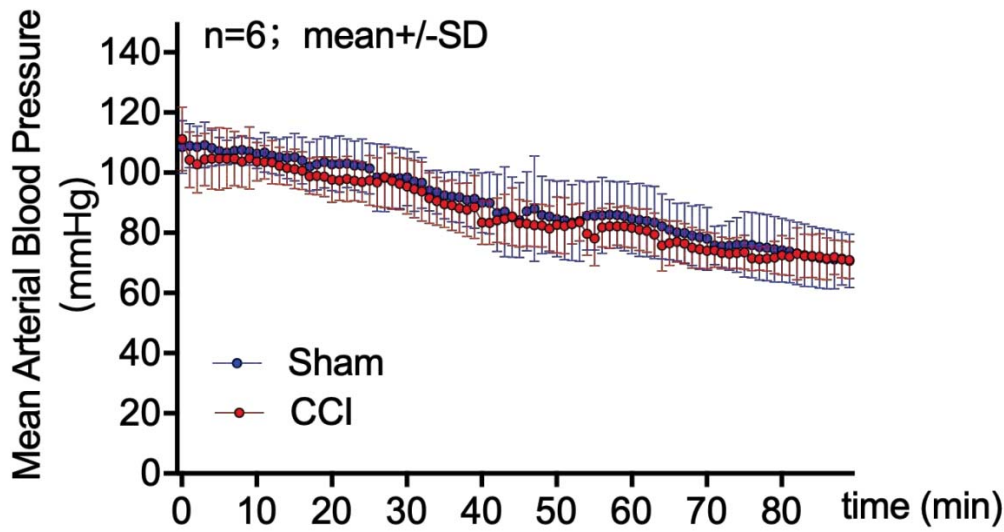


Figure 11: Physiological parameters during imaging (short-term 4h). Core body temperature (A), end-tidal CO<sub>2</sub> (B), and mean arterial blood pressure (C) were monitored continuously throughout the experiment.

Table 2 Arterial blood gas analysis at the end of the experiment

Group (n=6)	pH	pCO <sub>2</sub> (mmHg)	pO <sub>2</sub> (mmHg)
Sham	7.2 $\pm$ 0.1	45.4 $\pm$ 11.8	94.5 $\pm$ 11.6
CCI	7.2 $\pm$ 0.1	45.8 $\pm$ 12.4	97.1 $\pm$ 22.4

Data were shown as mean  $\pm$  standard deviation

#### 3.2.2 Temporal Profile of TMRM Extravasation

The first z-stack was acquired immediately after TMRM injection and served as baseline for the following datasets. Then, imaging was repeated every 30 minutes in the three regions of interest from proximal to distal to primary contusion (A1-A3). As demonstrated in Fig. 12, vasogenic brain edema formation after CCI could be clearly visualized by 2-photon imaging. Brain parenchyma appears black, while the vessels

are labeled with TMRM and are depicted in white. The extravasation, which appears as a grey shadow across the parenchyma, could be detected in A1 as early as 4.5 hours following CCI. Subsequently, the fluorescence signal intensity increased continuously up to 90 minutes after injury. By contrast, in sham operated mice no extravasation could be detected throughout the experiment.

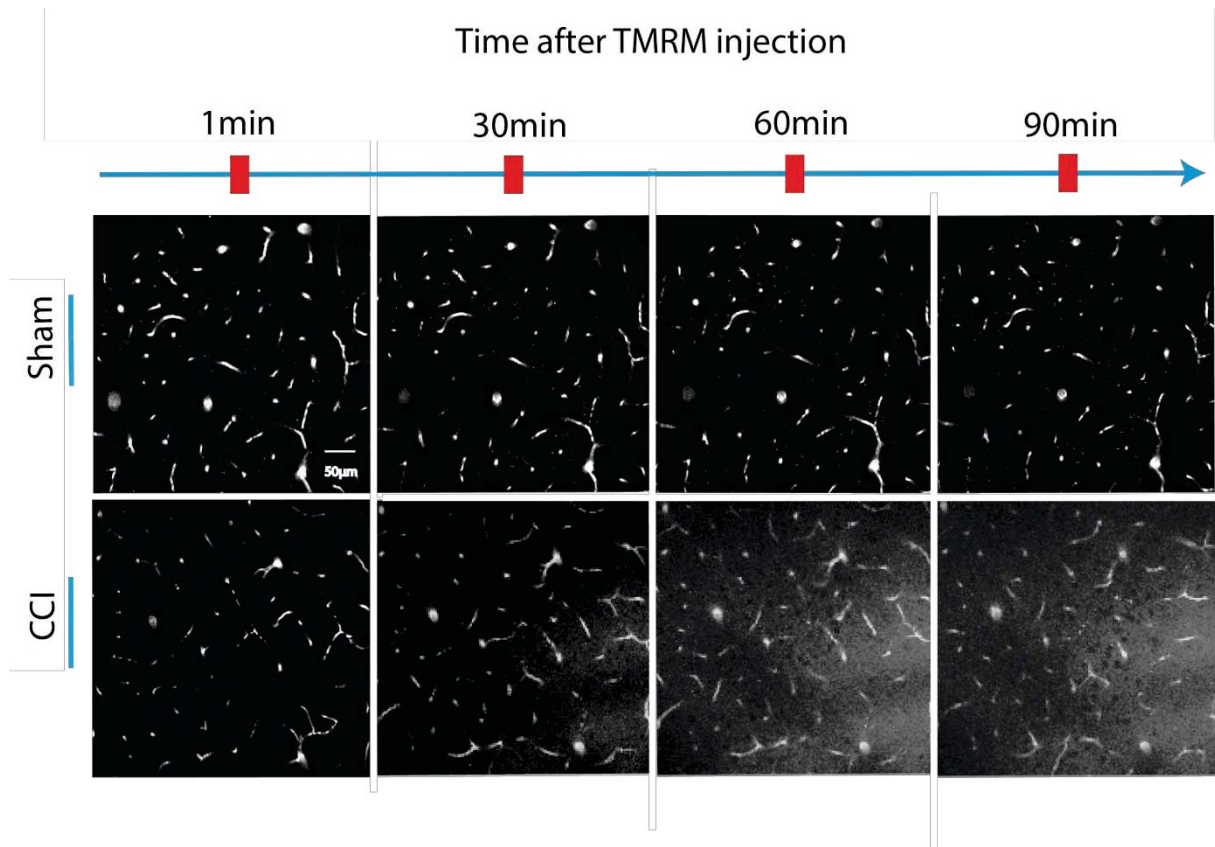
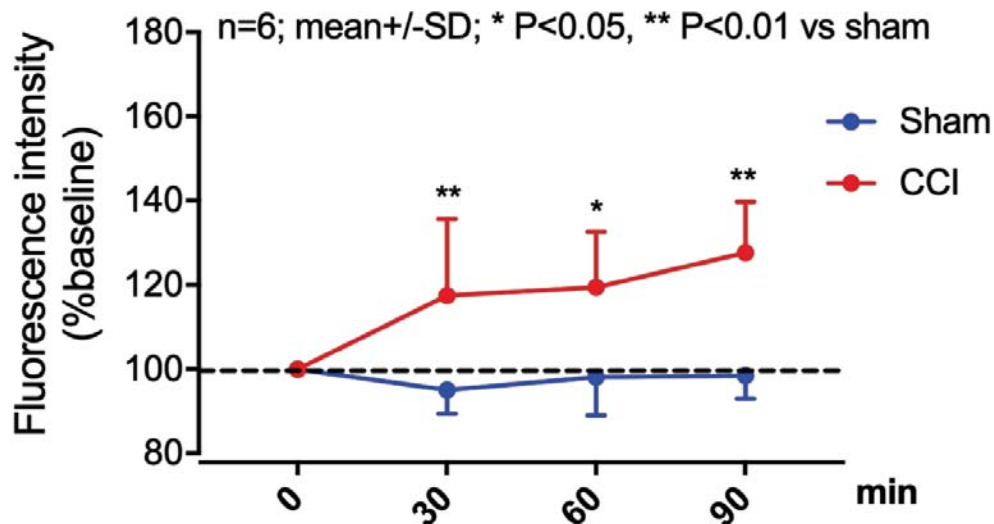


Figure 12: Short-term development of vasogenic brain edema formation after CCI (4h). Vessels were labeled with TMRM. No extravasation is visible after sham operation. In contrast, the extravasation of TMRM is clearly visible 4.5 h following CCI. Representative images from region A1, at a depth of 150 µm; scale bar: 50µm.

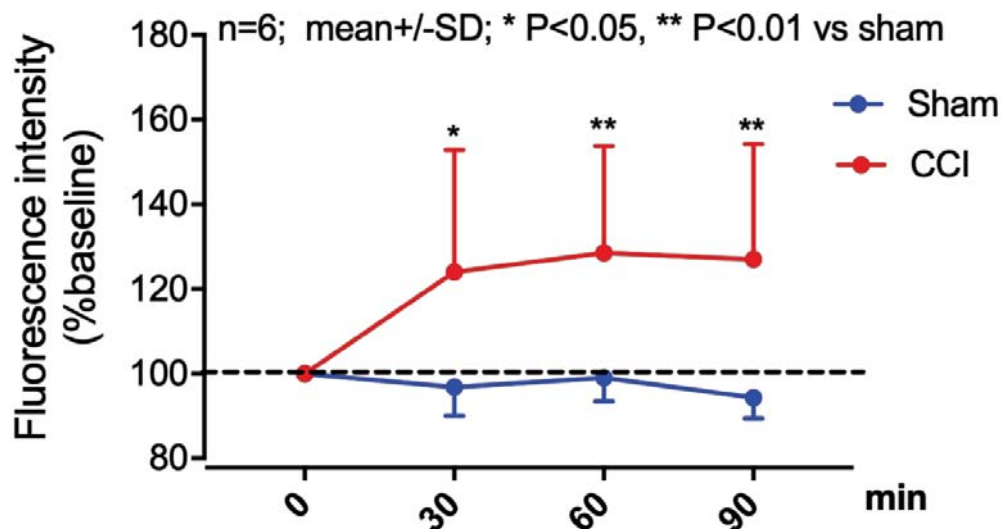
Fluorescence intensity was used as a parameter for TMRM extravasation and quantified in regions A1 to A3, i.e. from proximal to distal to the contusion site. In the CCI group, fluorescence intensity in A1 and A2 significantly increased compared to sham operation, reaching approximately 130% of baseline within 30 minutes ( $P < 0.01$ ,  $P < 0.05$  vs sham operation). Subsequently, TMRM extravasation still increased gradually until the end of the experiment. By contrast, the signal increase in A3 was

much lower. In the sham group, no TMRM extravasation could be detected at any time throughout the experiment. In each figure, the baseline was assessed in the first z-stack and defined as 100%. This value is presented as a dotted reference line.

### A 4h after sham or CCI (A1)



### B 4h after sham or CCI (A2)



### C 4h after sham or CCI (A3)

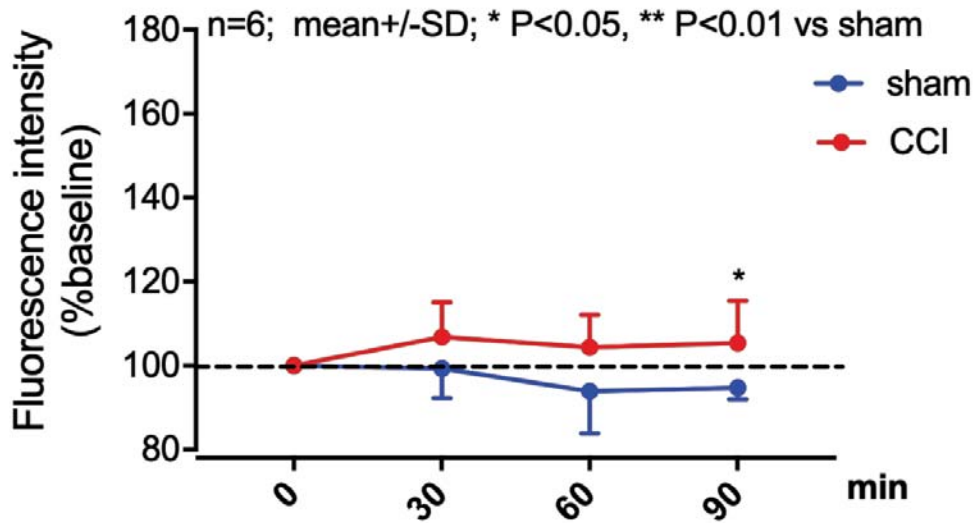
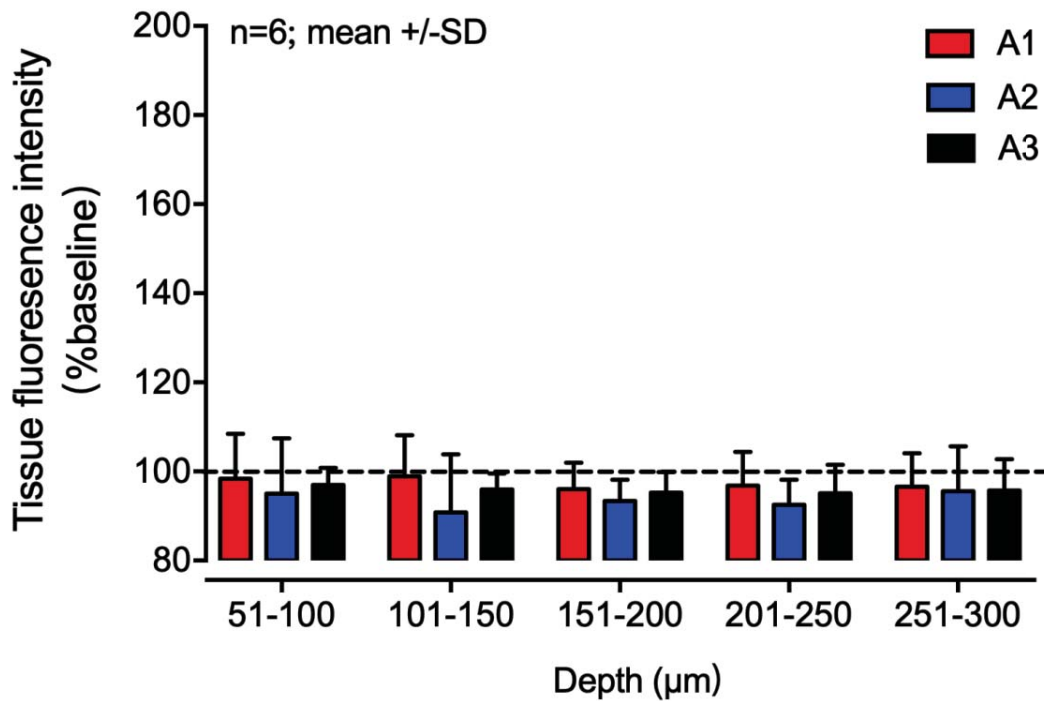


Figure 13: Extravasation of TMRM in different areas (A1-A3) over time. Fluorescence signal intensity increased significantly in A1 and A2, compared to sham operation. However, in the most distal region A3 TMRM extravasation was less strong. The dotted line represents the baseline. \*P<0.05, \*\*P<0.01 (vs sham). SD=standard deviation.

#### 3.2.3 Spatial Distribution of Vasogenic Brain Edema Formation following Trauma

The distributions of TMRM extravasation was measured horizontally and vertically to better characterize the expansion and development of trauma-induced vasogenic edema formation. Therefore, TMRM extravasation in 5 separate layers ranging from a depth of 50  $\mu$ m to 300  $\mu$ m was assessed separately. The most superficial layer (0-50  $\mu$ m) was excluded due to potential artefactual effects caused by cranial window preparation. As shown in Fig. 14A and 14B, TMRM extravasation was significantly higher in A1 and A2 in almost all layers compared with the sham group (P<0.01, P<0.05 vs sham). However, there was no significant difference in region A3 compared to sham group. No extravasation could be detected in sham-operated animals.

## A Tissue extravasation in different layers 6h after sham operation



## B Tissue extravasation in different layers 6h after CCI

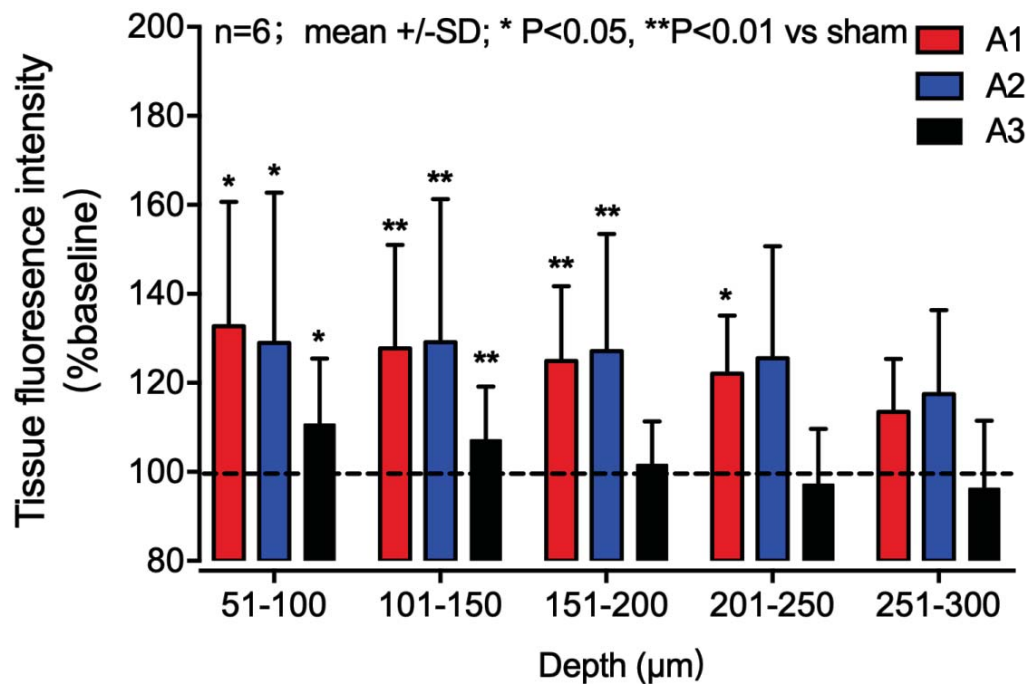


Figure 14: Extravasation of TMRM in the depth. Fluorescence intensity was assessed in 5 layers reaching from 50  $\mu\text{m}$  to 300  $\mu\text{m}$  into the brain (excluding the most superficial layer). The dotted line represents the baseline. \* $P<0.05$ , \*\* $P<0.01$  (vs sham). SD=standard deviation.

### 3.3 Long-term Development of Vasogenic Brain Edema Formation after TBI

#### 3.3.1 General Conditions after TBI

As one parameter for the general condition of the animals the body weight before and after surgery was measured. Before surgery, body weight did not differ significantly between groups ( $25.5\pm 3.0$  g in the CCI group and  $25.4\pm 2.4$  in the sham group). On the first day after CCI, the animals presented a weight loss of around 1 g. Sham operated mice showed a milder weight loss; however, there was no significant difference between groups. Body weight in both groups slightly increased 2 days post-surgery and reached  $25.3\pm 2.5$  and  $26.1\pm 1.8$  in the CCI and sham group, respectively. There was no significantly difference between groups.

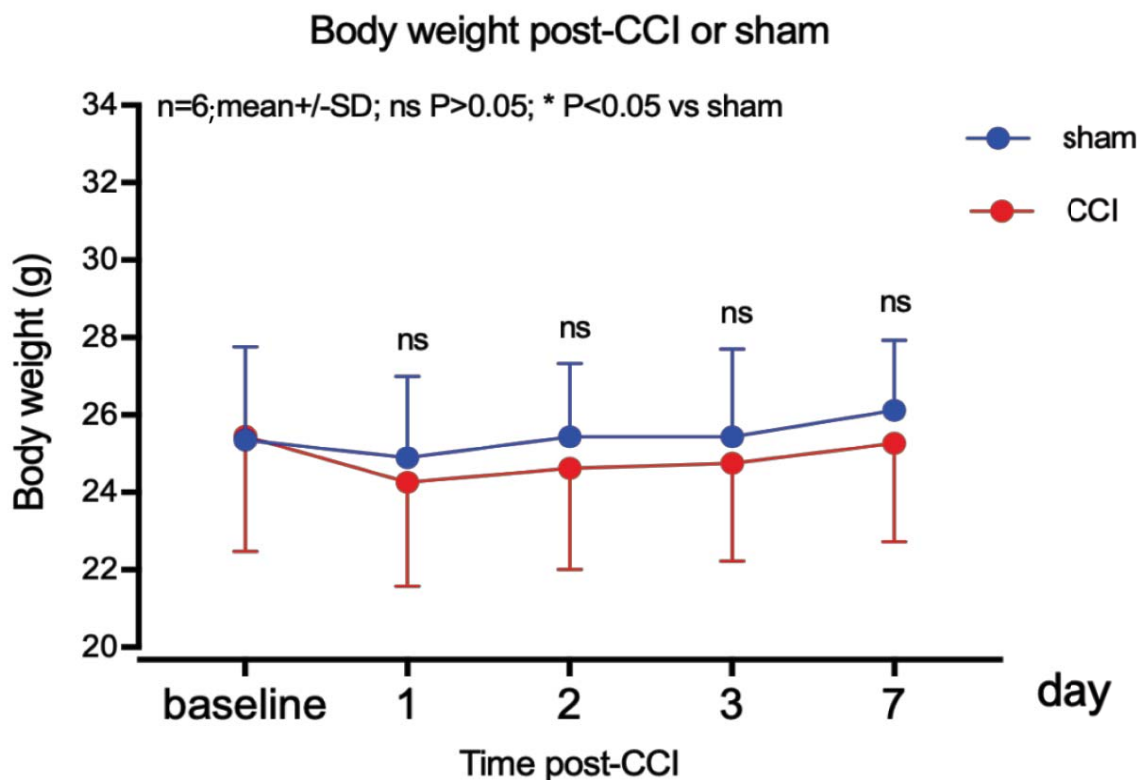


Figure 15: Body weight was measured in each group on day 1, 2, 3, and 7 following CCI or sham operation.

Additional to body weight, a neurological score was assessed to monitor the functional outcome of the animals. For this score, behavior, general condition, weight loss, neurologic deficits, wound conditions, vigilance, and epileptic seizures were recorded on day 1, 2, 3, and 7 following CCI or sham operation. A score of zero reflects no neurological deficit. On the first day following operation, there was a marked increase of the neurologic score after trauma as compared to sham surgery ( $p < 0.05$  vs. sham). Afterwards, the mice recovered quickly and from the 3<sup>rd</sup> day onwards there was no abnormal behavior observed in both groups.

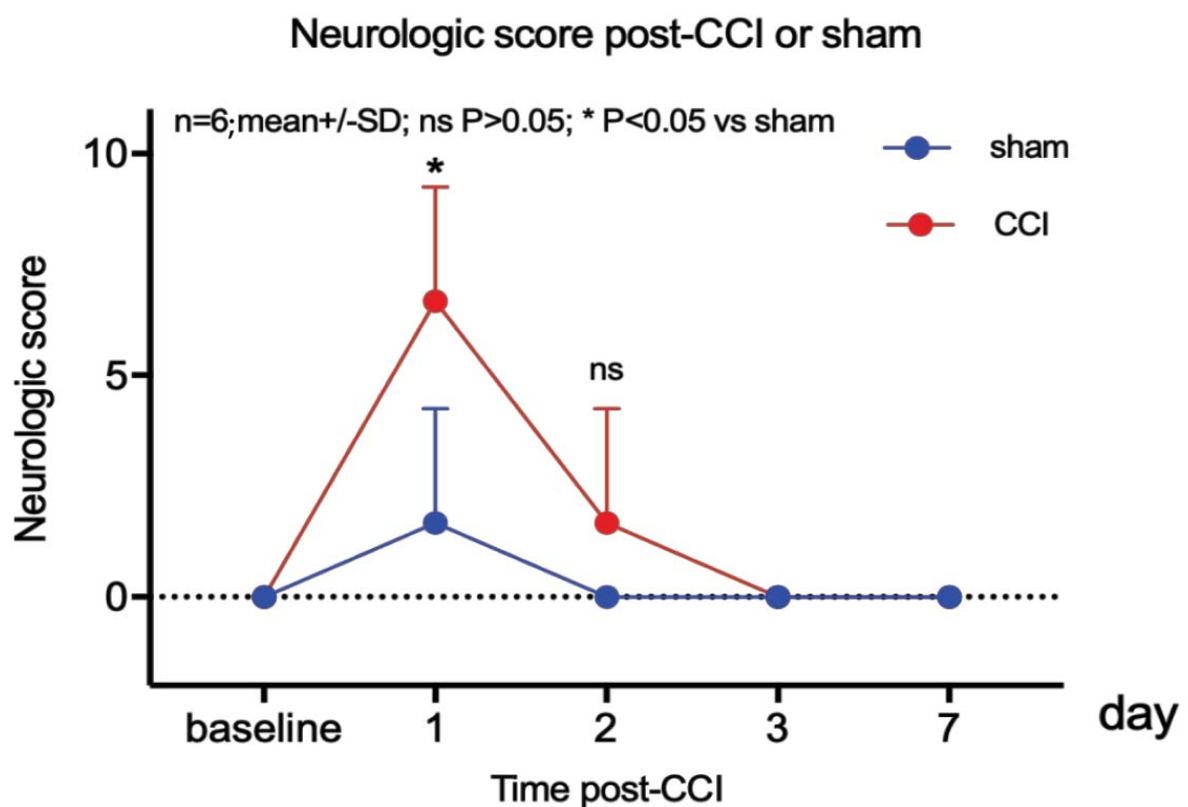
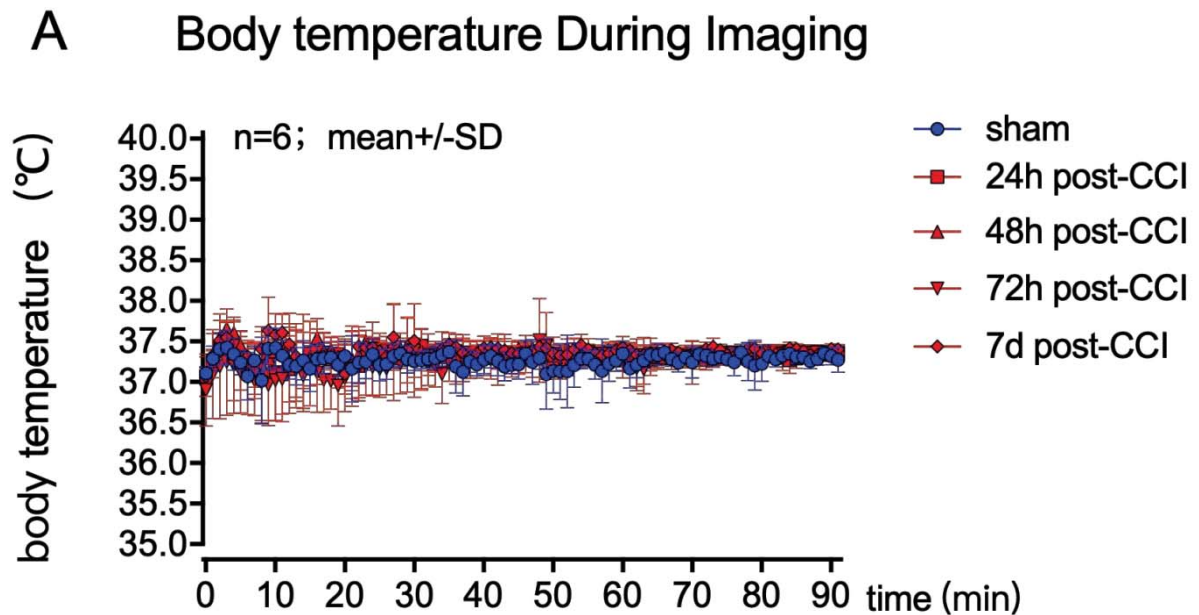


Figure 16: Neurologic score was assessed in each group at day 1, 2, 3, and 7 following CCI or sham operation.

### 3.3.2 Physiological Parameters during and after Imaging

In line with the experiments investigating the short term development of vasogenic brain edema, body temperature, mean arterial blood pressure and end-tidal CO<sub>2</sub> were also monitored throughout the experiments investigating vasogenic brain edema at later time points following CCI. All values were within their respective physiological range and showed no significant difference between groups (Fig. 17). At the end of the experiment, blood gases (pH, pCO<sub>2</sub> and partial pressure of oxygen (pO<sub>2</sub>)) were determined. As shown in Table 3, all values were within their respective physiological range and did not differ significantly between groups. Also in this experimental series pH was acidotic with a range of 7.2-7.3.



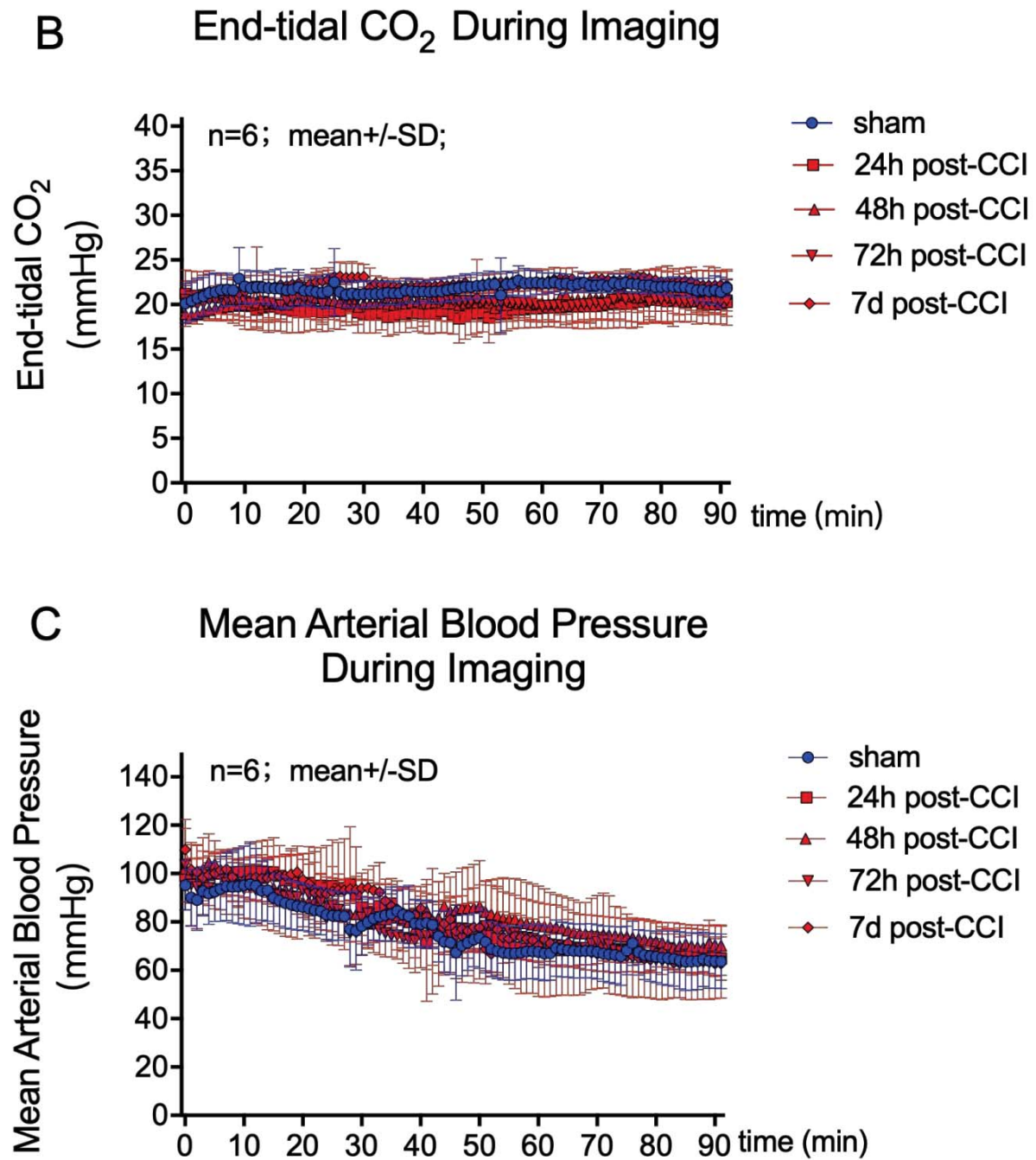


Figure 17: Physiological parameters obtained in the experiments investigating vasogenic brain edema formation 24h-7d following CCT. Core body temperature (A), End-tidal CO<sub>2</sub> (B), and mean arterial blood pressure (C) were monitored continuously throughout the experiments.

Table 3: Arterial blood gases and pH at the end of experiments

<i>Group (n=6)</i>	<i>pH</i>	<i>pCO<sub>2</sub> (mmHg)</i>	<i>pO<sub>2</sub> (mmHg)</i>
Sham	7.3±0.0	43.4±7.1	83.6±17.4
24h post-CCI	7.3±0.1	45.7±6.6	94.8±26.6
48h post-CCI	7.3±0.1	43.9±6.3	81.6±27.4
72h post-CCI	7.3±0.1	44.1±9.7	79.4±20.4
7d post-CCI	7.3±0.0	45.5±8.2	78.6±15.37

Data were shown as mean ± standard deviation

### 3.3.3 Temporal Profile of TMRM Extravasation

As described above, 2-photon imaging was conducted immediately after TMRM injection to determine baseline fluorescence intensity, and repeated 30, 60, and 90 minutes after TMRM injection in all regions (A1-A3). As shown in Fig. 18, no TMRM extravasation could be detected in sham operated animals at any time throughout the experiment. TMRM extravasation could be detected at all-time points following brain trauma with different intensity. The strongest signals were observed during 30 to 90 min of imaging at 48 and 72 h after CCI. At 24 h post CCI, TMRM extravasation could be detected 60 minutes after TMRM injection. At 7 days following CCI only a mild increase of fluorescence intensity was visible at 90 minutes after TMRM injection.

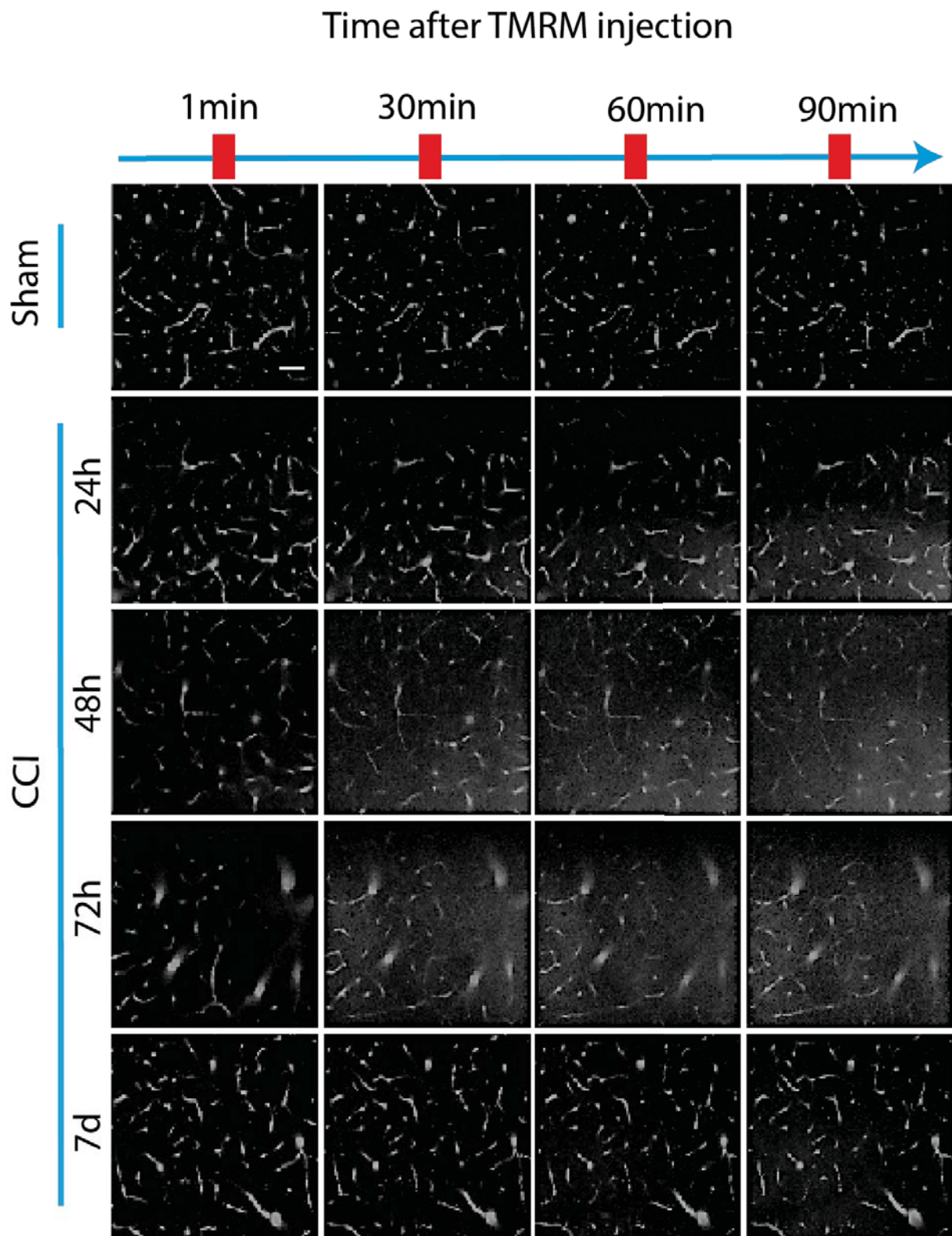
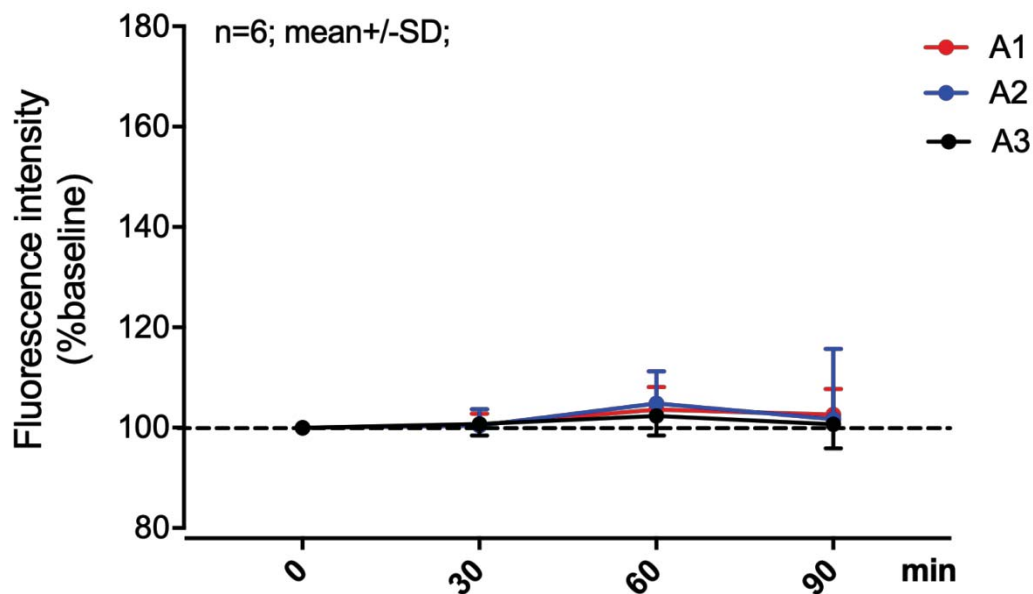


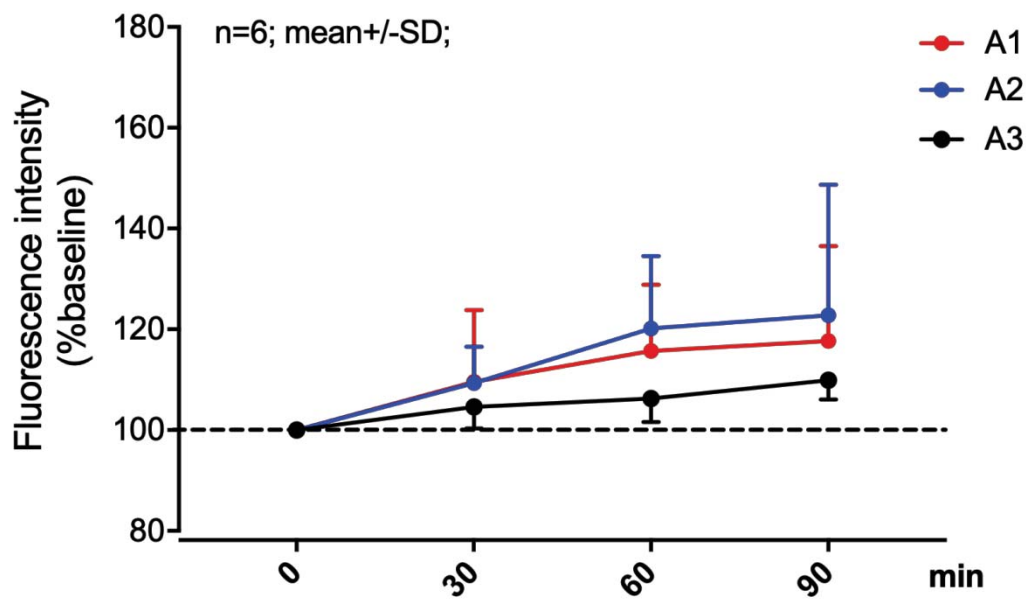
Figure 18: Long-term development of vasogenic brain edema formation 24h-7d after CCI or sham operation. Vessels were labeled with TMRM. Region A1, depth 150  $\mu\text{m}$ ; scale bar, 50  $\mu\text{m}$ .

Again, vasogenic brain edema formation was also quantified by assessing fluorescence intensity. No change of fluorescence intensity could be detected in sham operated animals (Fig. 19A). Following trauma, fluorescence intensity in region A1 and A2 reached 120%, 140%, 140% and 110% of baseline after 24h, 48h, 72h and 7d after injury, respectively (Fig. 19B-E). However, compared with the TMRM extravasation in A1 and A2, TMRM extravasation in region A3, i.e. the region most distal to the contusion, was weaker. Furthermore, after trauma the fluorescence intensity increased continuously throughout the imaging period in all three regions (A1-A3). TMRM extravasation was most prominent 48h following CCI ( $P < 0.01$ , vs sham, Fig. 19F). At 24h and 72h after trauma, only in region A1 and A2 a remarkably elevated fluorescence intensity could be detected ( $P < 0.05$ ,  $P < 0.01$ , vs sham, Fig. 19F). At 7 days following injury, there was no significant difference in fluorescence intensity in all regions (A1-A3) compared to sham operation (Fig. 19F). In each figure, the baseline is presented as a dotted reference line.

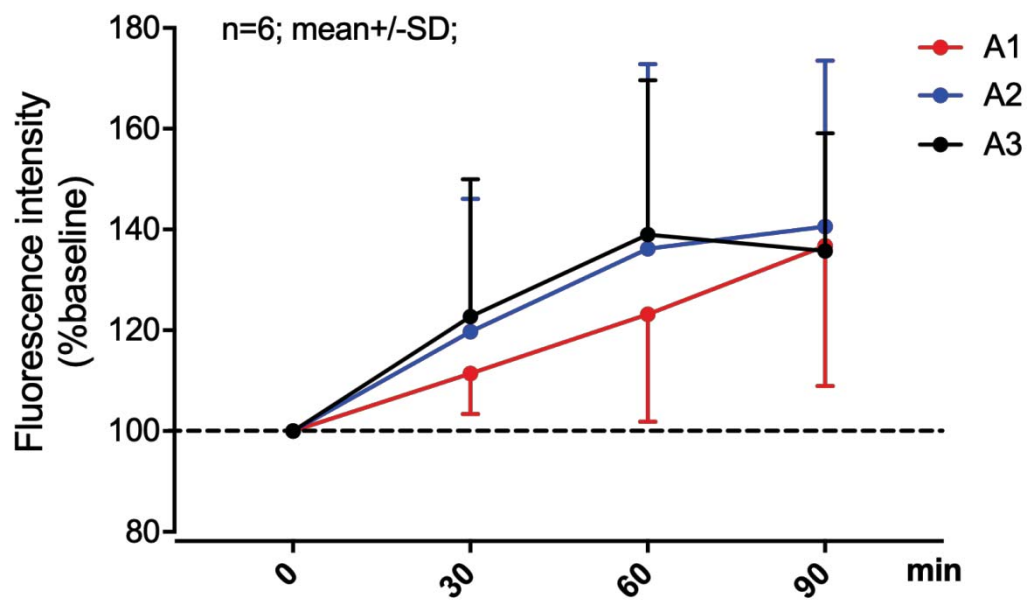
### A Dynamic extravasation in A1-A3 (sham)



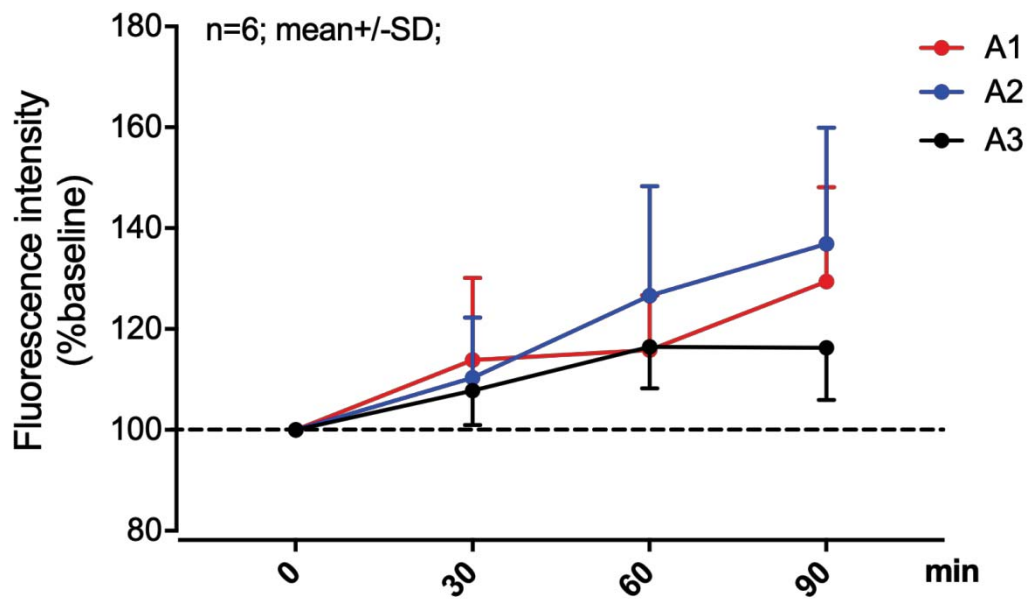
## B Dynamic extravasation in A1-A3 (24h)



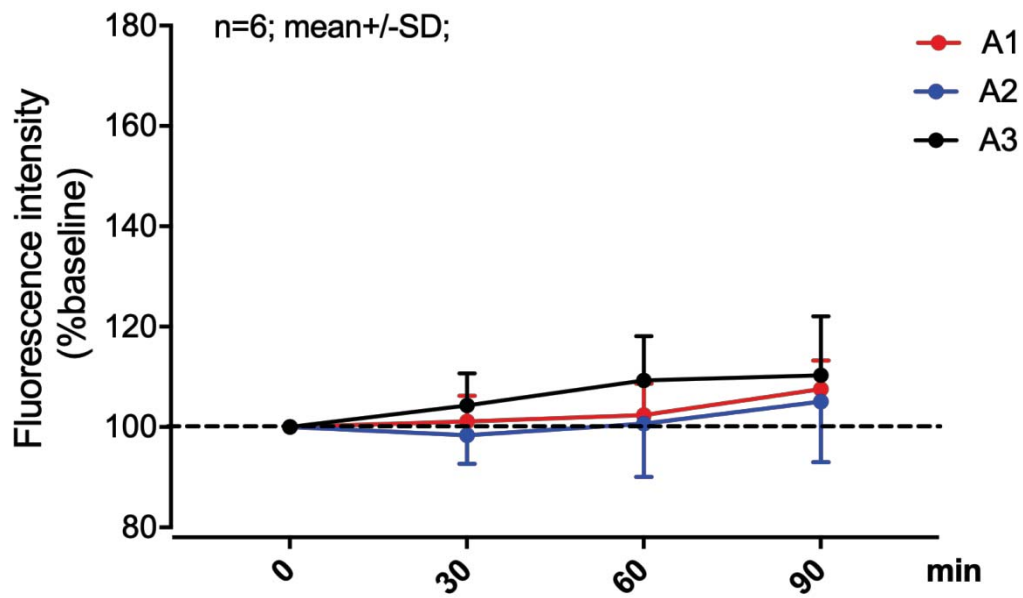
## C Dynamic extravasation in A1-A3 (48h)



## D Dynamic extravasation in A1-A3 (72h)



## E Dynamic extravasation in A1-A3 (7d)



## F Total extravasation after CCI

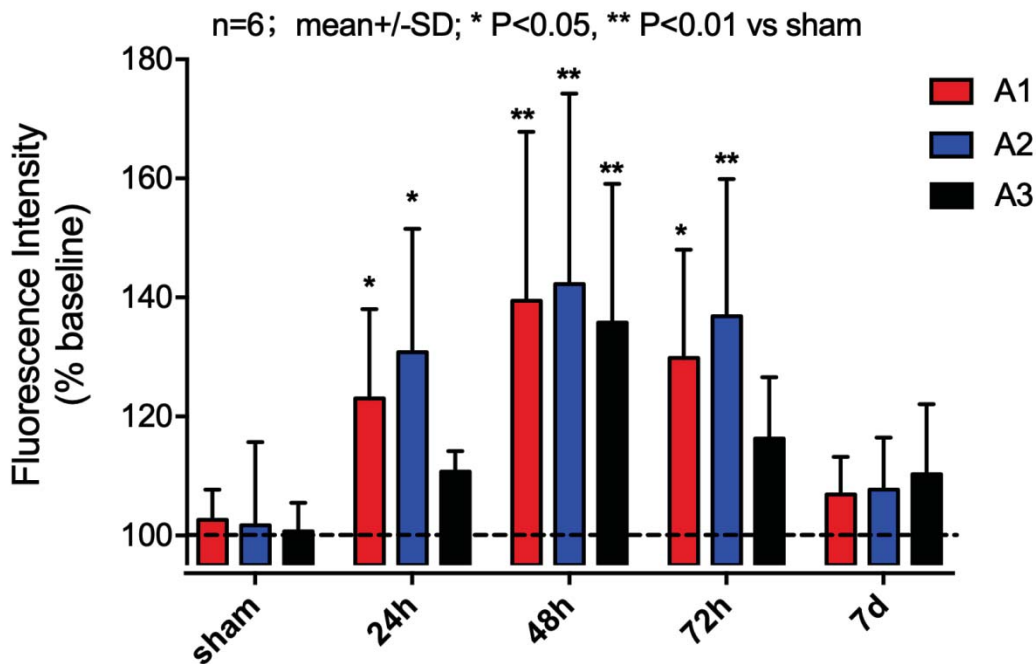


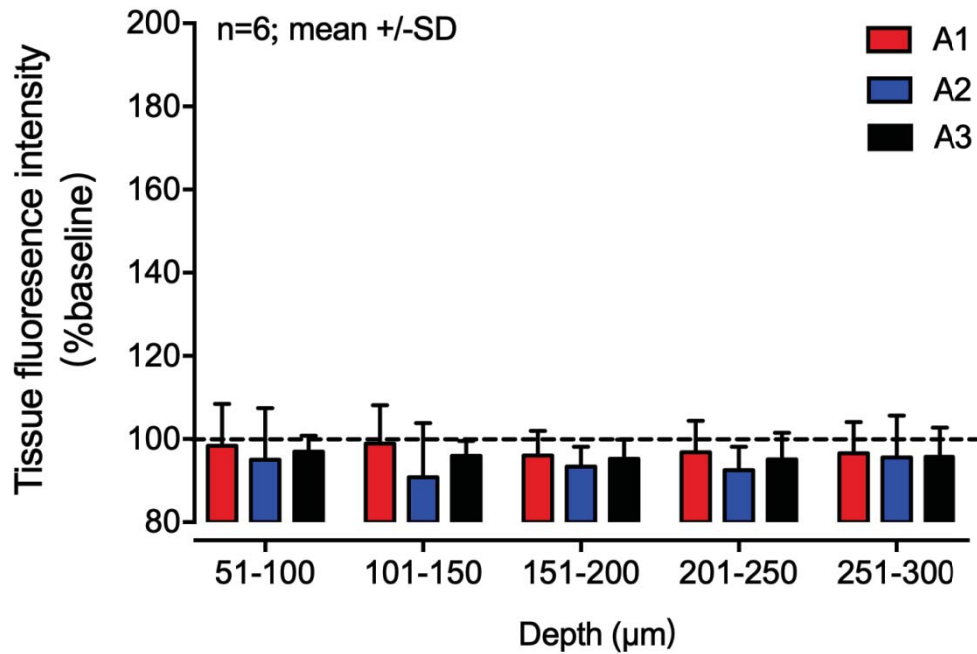
Figure 19: Long-term development of vasogenic brain edema formation after CCI or sham operation (24h-7d). TMRM extravasation is depicted as fluorescence increase in percent of the baseline fluorescence.

### 3.3.4 Spatial Distribution of Vasogenic Brain Edema Formation following Trauma

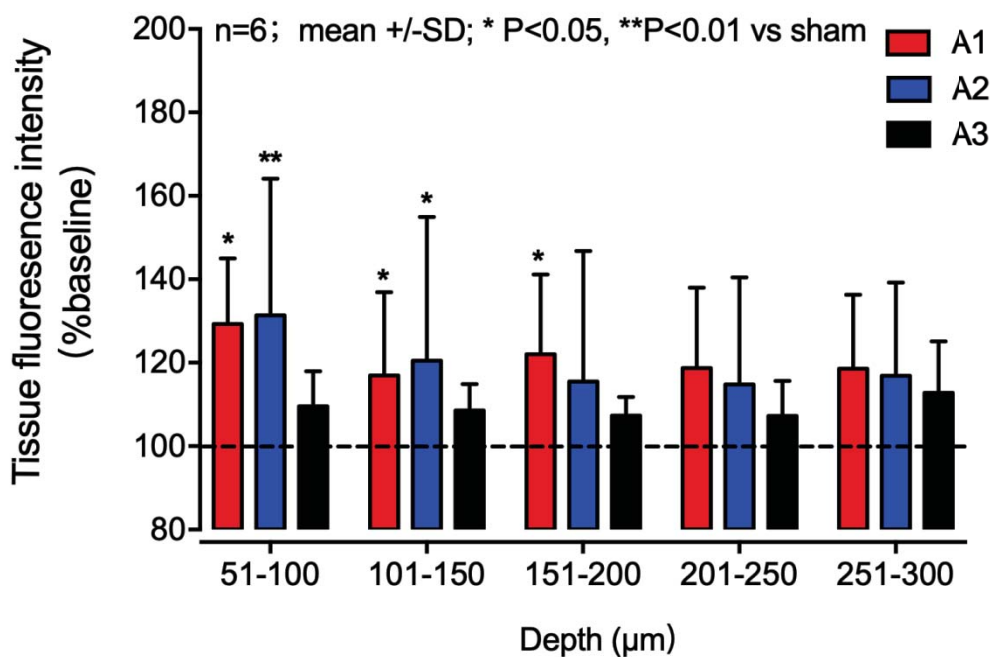
#### 3.3.4.1 Spatial Distribution of TMRM Extravasation

For the vertical discrimination of vasogenic brain edema formation, TMRM extravasation was again determined in 5 separate layers of 50  $\mu$ m, ranging from a depth of 50 to 300  $\mu$ m. As shown in Fig. 20A, no extravasation appeared in sham-operated animals and at 7 days following trauma in all different layers. At earlier time points after injury, TMRM extravasation was most dominant in the superficial layers ( $P<0.05$ ,  $P<0.01$ , vs sham (Fig. 20B). At 24, 48, and 72 h following trauma, TMRM extravasation was more pronounced in region A1 and A2, while the strongest increase in fluorescence intensity was seen in region A3 at 7 days after trauma.

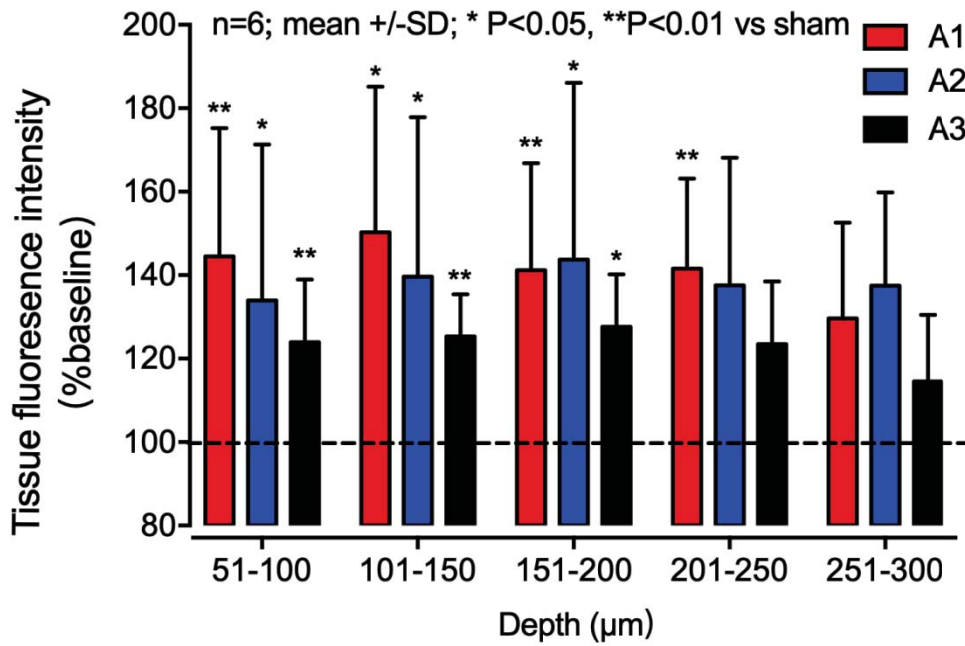
### A Tissue extravasation in different layers 6h after sham operation



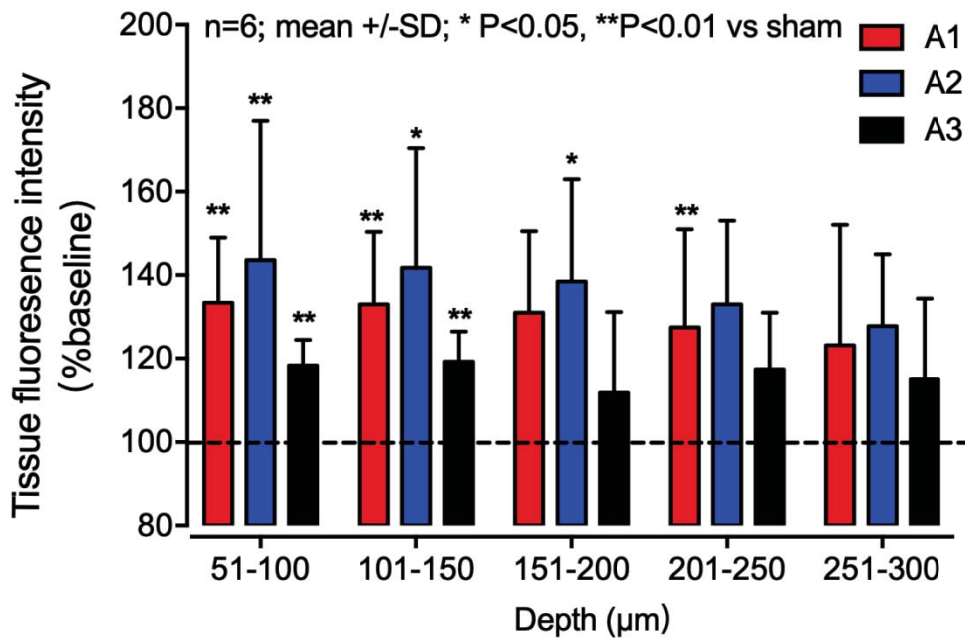
### B Tissue extravasation in different layers 24h after CCI



### C Tissue extravasation in different layers 48h after CCI



### D Tissue extravasation in different layers 72h after CCI



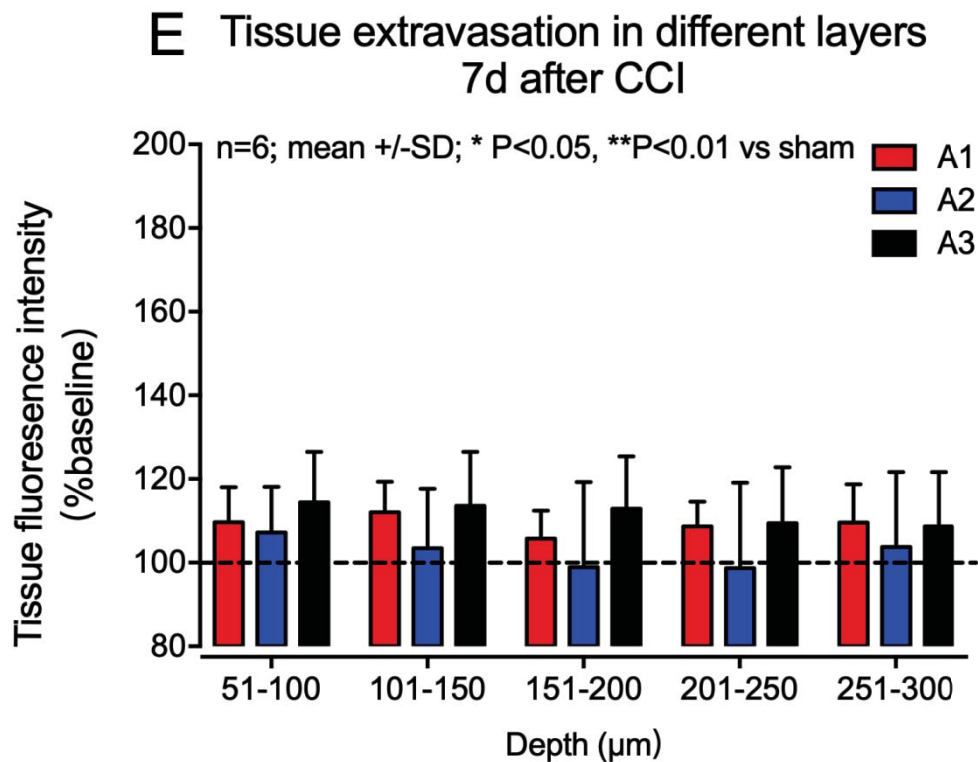


Figure 20: Vertical distribution of TMRM extravasation at different time points following CCI or sham operation. Fluorescent intensity was assessed in 5 layers reaching from 50 to 300  $\mu\text{m}$  into the brain (excluding the most superficial layer reaching 0–50  $\mu\text{m}$ ).

#### 3.3.4.2 Spatial Distribution of TMRM Extravasation in 2-dimensional Heat-map

To assess the spatial distribution of vasogenic edema formation the regions A1-A3 were divided into 6 x 6 sub-regions and fluorescence intensity was determined in each sub-region. The result is presented as a heat map in Fig. 21. No extravasation could be detected following sham operation. Following CCI, TMRM extravasation was most dominant in region A1 and A2, i.e. proximal to the contusion, at all time points.

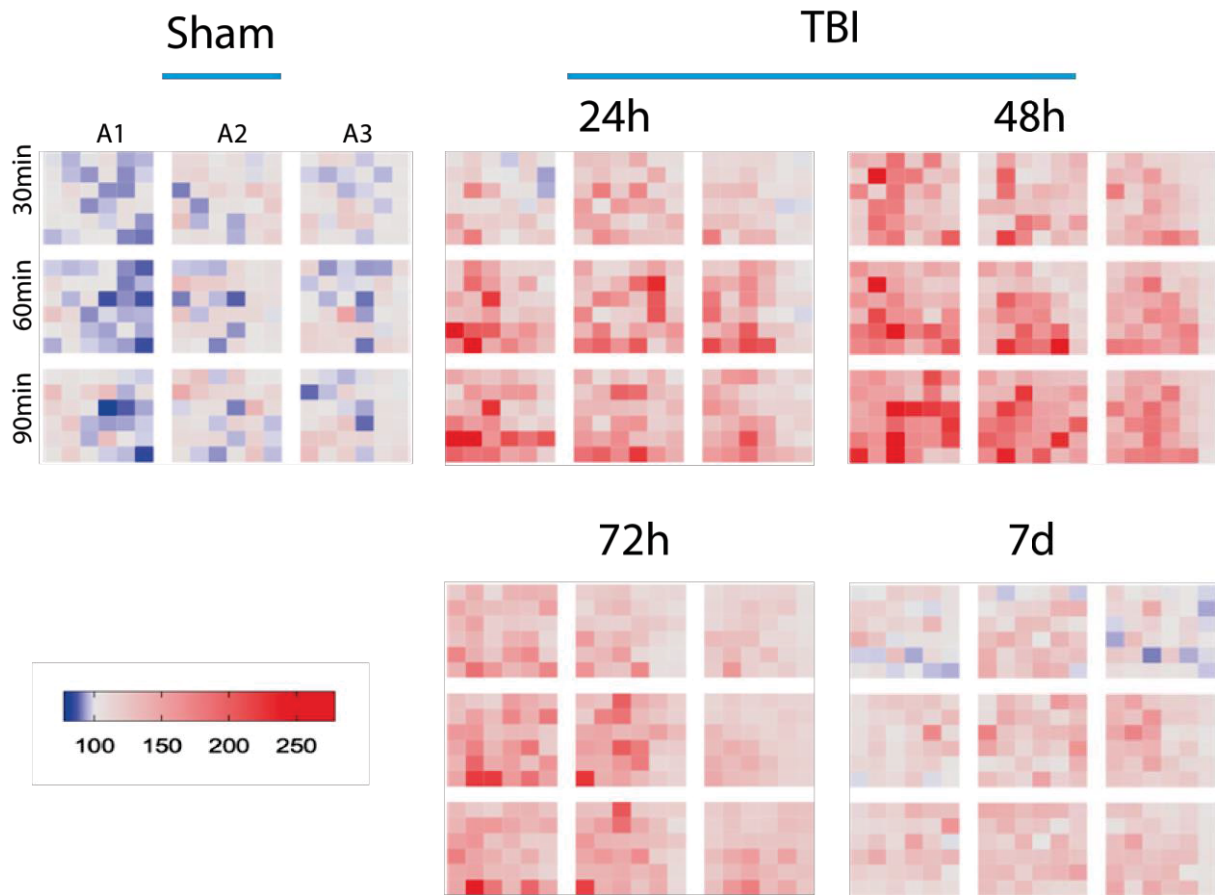


Figure 21: Spatial distribution of TMRM extravasation in 2-dimensional heat-maps.

### 3.4 Characterization of Extravasation Speed after TBI

In order to quantify the permeability of the BBB both short-term (4 h) and long-term (24 h-7 d) after trauma, the average speed of tissue fluorescence intensity increase was calculated using the following formula:

$$(\text{Fluorescence intensity at 90 min} - \text{baseline fluorescence intensity}) / 90 \text{ min.}$$

The different regions A1-A3 were analyzed separately and the results are shown in Fig. 22. Extravasation speed varied over time with two peaks at 4 h and 48 h post CCI. TMRM extravasation started as early as 4 hour following CCI, then decreased until 24 hours after injury, reached another peak at 48 hours after CCI, and slowly decreased until 7 days following trauma.

The early peak was detected in A1 at 4 h after trauma while the second and longer lasting peak from 48 to 72 hours post CCI was more dominant in A2. TMRM extravasation was slower in A3 at almost time points except at 7days post CCI.

### Average speed of extravasation after CCI

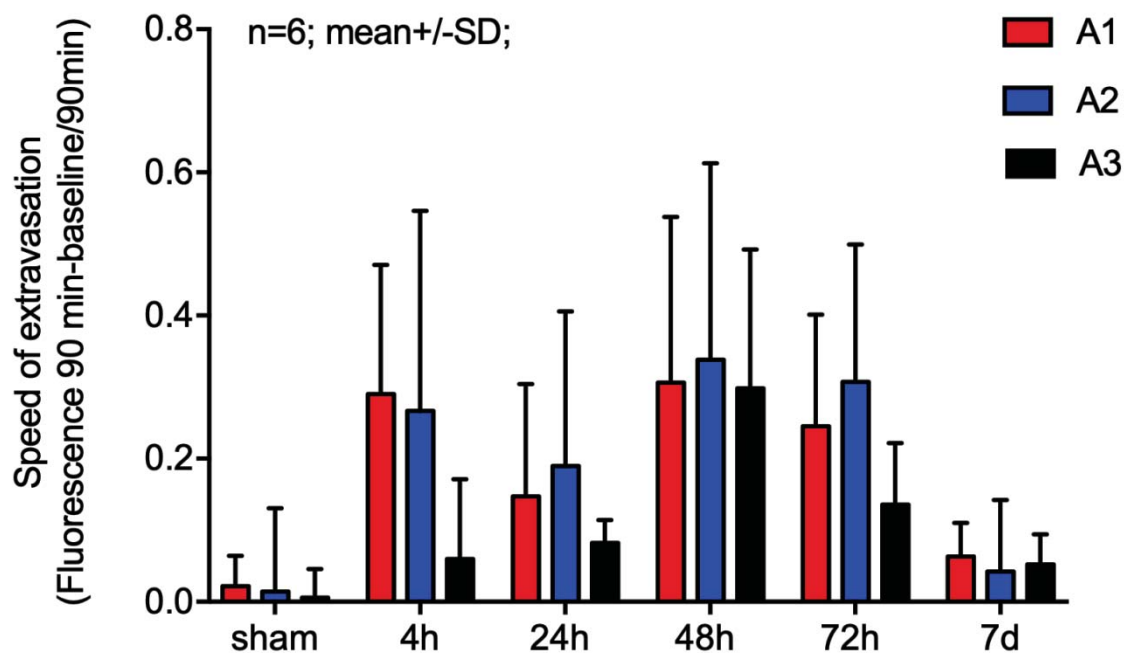


Figure 22: Average speed of extravasation after CCI or sham at different time points as a direct measure of BBB permeability

## 4. Discussion

### 4.1. Setup

BBB opening and consecutive vasogenic edema formation following TBI results in accumulation of brain water, increased ICP, and subsequent perfusion deficits and is one of the main contributors to the fate of TBI patients (Jha et al., 2019; Shlosberg et al., 2010; Unterberg et al., 2004). Consequently, brain edema formation has long been in the focus of trauma research. However, until recently, the time course and importance of vasogenic brain edema formation have been discussed controversially. A detailed spatial and temporal investigation *in vivo* was not possible due to technical limitations.

In the current study these limitations could be overcome by using *in vivo* 2-photon microscopy. This technique provides a high spatial resolution in 3D (Cheng et al., 2019; Denk et al., 1990). By combining a clinically relevant trauma model with *in vivo* 2-photon microscopy, a detailed and dynamic characterization of vasogenic brain edema formation with high spatial and temporal resolution was possible.

For the current study TMRM with a molecular weight of 40,000 Dalton was used as fluorescent tracer. This was specifically chosen because it is the commercially available tracer with the molecular weight closest to the one of albumin (70,000 Da). A leakage of molecules with a size of more than MW 50,000 Dalton across the BBB occurs only when its permeability is increased (Guérin et al., 2001). Such an increase in BBB permeability results not only in leakage of larger molecules but mainly in an extravasation of water (Reyes-Aldasoro et al., 2008). TMRM extravasation was visualized as increase of fluorescence intensity in the parenchyma. TMRM extravasation and fluorescence intensity in the parenchyma were then used as surrogate parameters to assess vasogenic edema formation (Cheng et al., 2019).

## **4.2 TBI-induced Cerebral Edema Formation**

Cerebral edema is defined as an increase of brain tissue water content both in individual cells and interstitial space (Jha et al., 2019). Cerebral edema can lead to intracranial hypertension which is associated with unfavorable outcome following TBI (Maas et al., 2008; Unterberg et al., 2004; Zweckberger et al., 2003). Cerebral edema formation after TBI is a complex and highly heterogeneous process which involves various pathophysiologic pathways (Donkin and Vink, 2010; Jha et al., 2019; Lukaszewicz et al., 2011; Sahuquillo et al., 2001; Unterberg et al., 2004). The underlying mechanisms of cerebral edema formation may differ depending on the original forces (closed-head injury, penetrating injury, or blast-induced injury). However, regardless of the *in vivo* models used, TBI results in tissue deformation and inflammatory responses, and it leads to a disruption of BBB integrity (Hadass et al., 2013; Jayakumar et al., 2011; Kiening et al., 2002; Kimbler et al., 2012; Laird et al., 2014).

### **4.2.1 Mechanisms of Vasogenic Edema Formation – BBB Breakdown**

The BBB was first described by Paul Ehrlich in the 1880 (Mayhan, 2001). Anatomically, the BBB consists of the cerebral endothelium, together with astrocytes, neurons, pericytes and the extracellular matrix, which constitutes a ‘neurovascular unit’ which is essential for the normal function of the central nervous system (CNS) (Ballabh et al., 2004; Daneman, 2012; Daneman et al., 2010; Obermeier et al., 2013; Zhao et al., 2015). Both animal models and clinical data proved the evidence that BBB breakdown frequently follows brain trauma and can last for a long time (Barzo et al., 1996; Csuka et al., 1999; Kirchhoff et al., 2006; Lenzlinger et al., 2002; Stahel et al., 2001). Usually, the initial injury of BBB caused by direct tissue damage, occurs in milliseconds to seconds, leading to irreversible blood vessel and cellular damage (Alluri et al., 2015). The BBB is a dynamic structure in which a closing or opening of largely depends on what proteins are expressed (Ballabh et al., 2004).

The main structures responsible for the BBB properties are the tight junctions (Brightman and Reese, 1969; Nabeshima et al., 1975; Reese and Karnovsky, 1967; van Deurs and Koehler, 1979). Tight junction proteins were identified in cerebral endothelial cell tight junctions. These proteins includes claudins (claudin-1, -5) (Liebner et al., 2000; Morita et al., 1999), occludins (Ando-Akatsuka et al., 1996; Furuse et al., 1993), zonula occludens ((ZO)-1,-2, and -3) (Balda and Anderson, 1993; Haskins et al., 1998; Jesaitis and Goodenough, 1994), and tricellulin (Haseloff et al., 2015). Tight junctions regulate major functions of endothelial cells in different ways such as modulation of gene expressions, signal transduction, and post-translational modifications (Bauer et al., 2011; Dejana et al., 2000; Matter and Balda, 2003).

Due to the importance for the tightness of the BBB, tight junctions play a key role for fluid extravasation and swelling post TBI. Abdul-Muneer *et al.* showed that the expression of tight junction proteins in brain microvessels was down-regulated following blast-induced mild TBI (Abdul-Muneer et al., 2013). The same tendency was shown in expression of claudin-5 and ZO-1 (Chen et al., 2014; Hue et al., 2014).

#### **4.2.2 Other Molecular Candidates Responsible for Vasogenic Edema Formation**

Neuroinflammation after TBI can be both can beneficial and harmful (Corrigan et al., 2016). In both focal and diffuse injury, neutrophilic infiltration and microglial response occurs in an early phase, followed by migration of monocytes, lymphocytes and astrocytes (Corrigan et al., 2016; Jassam et al., 2017; Simon et al., 2017). The activation of cells including neurons, astrocytes, endothelial cells, and leukocytes was able to induce inflammatory gene expression, further leading to release of inflammatory cytokines and chemokines (Jassam et al., 2017).

Cytokines such as TNF, IL-6, IL-8, and IL-1 $\beta$ , are commonly known to play important roles in the early phase of post TBI-induced inflammation (Helmy et al., 2011). Most of them have been confirmed to be associated with BBB dysfunction. For instance, in patients with TBI, TGF- $\beta$  in CSF was increased and acts as an anti-inflammatory and

neuro-protective mediator by regulating IL-6, thereby compromising BBB integrity (Morganti-Kossmann et al., 1999; Shen et al., 2011). In another clinical study, the level of pro-inflammatory IL-1 $\beta$ , IL-6, anti-inflammatory IL-10 and IL-8 were up-regulated in CSF post TBI (Buttram et al., 2007). However, another clinical study demonstrated that although the level of IL-6, IL-8 and IL-10 were found to be higher than corresponding plasma levels, there was no correlations between BBB dysfunction and cytokines (Maier et al., 2001).

Some well-studied chemokines in TBI include chemokine ligands CXCL1 and CXCL2 and CCL2 (Woodcock et al., 2017). So far, numerous studies have demonstrated that these factors were also associated with increased BBB permeability after TBI, especially in the acute phase (Chodobski et al., 2011; Kossmann et al., 1997; Stahel et al., 2001; Stamatovic et al., 2003; Yang et al., 2017).

MMPs, known as a family of zymogens, are responsible for the dynamic remodeling of the extracellular matrix (ECM). MMPs exacerbate the inflammatory cascades by activating inflammatory cytokines such as IL-1 $\beta$  and tumor necrosis factor  $\alpha$  (TNF- $\alpha$ ) and degrade ECM proteins (Rash et al., 1998). In severe human TBI, levels of MMP-8 and -9 were initially highly expressed, followed by MMP-2, MMP-3, and MMP-7 expression (Roberts et al., 2013). Wang *et al.* showed that secretion of MMP-2 and MMP-9 is significantly increased in cultured rat cortical neurons (Wang et al., 2002). During pathophysiological conditions, MMPs degrade tight junction proteins and regulate cytokines and chemokines thereby leading to BBB disruption (Tasaki et al., 2014). In TBI, several studies have suggested that MMPs can be activated by infiltrating or resident inflammatory cells, oxidative stress, and some cytokines (Price et al., 2016; Winkler et al., 2016).

Other main molecular candidates which are discussed to be involved in vasogenic edema formation include vascular endothelial growth factor A (VEGF-A), Substance P (SP) and bradykinin. VEGF-A, which is mainly expressed in neurons, astrocytes, and ependymal cells, is considered to be a critical factor for regulating microvascular

permeability. There are two major VEGF-A receptors called VEGF-receptor (R)-1 and VEGFR-2 (Pan et al., 2017). Several studies have suggested that VEGF-A could bind to VEGFR1 to regulate brain endothelial cell permeability by targeting tight junction proteins (claudin-5, occludin) (Argaw et al., 2009; Murakami et al., 2009; Wang et al., 2001). VEGF is also believed to play a critical role in neuroinflammation post TBI. For instance, VEGF-C could induce alternative activation of microglia, which appears to be beneficial for recovery from TBI (Ju et al., 2019). Substance P (SP), known as a member of the tachykinin family, is associated with neurogenic inflammation and vascular permeability, which causes BBB disruption (Corrigan et al., 2016). In animal models of TBI and patients with TBI, circulating levels of SP are elevated within the first few hours after injury early, and may be associated with severity and mortality (Donkin et al., 2009; Lorente et al., 2015; Zacest et al., 2010).

#### **4.3. Brain edema formation and brain swelling following trauma**

In the first part of the current study, brain swelling and edema formation was investigated *ex vivo* in the whole brain at different time points after experimental TBI. Brain swelling was visible as early as 4 hours following CCI (Fig. 9). At the same time, brain water content in the ipsilateral hemisphere was significantly increased compared with sham operated mice. Thereafter, brain swelling continued and reached its maximum 48 hours post-trauma. Correspondingly, brain water content remained on a high level until 72 hours after TBI. At 7 days after CCI, no more swelling was visible and the brains showed a posttraumatic lesion cavity. However, brain water content was still higher than in the control group. These results demonstrate a rapid increase of brain water content already during the first few hours after CCI. Brain water content remains high in the ipsilateral hemisphere despite recovery of brain swelling. The regress in brain swelling is most likely due to a reduction in contusion size after 72 hours following injury which is caused by a physiological degradation process. Consequently, brain edema persists until at least 7

days following TBI. These results are in line with previously published data (Yang et al., 2018; Zweckberger et al., 2006; Zweckberger and Plesnila, 2009).

However, these experiments do not provide information about the underlying mechanism of brain edema formation. Traditionally, cerebral edema after TBI has been categorized as 'vasogenic' or 'cytotoxic' edema (Donkin and Vink, 2010; Jha et al., 2019; Shlosberg et al., 2010; Unterberg et al., 2004). Both forms of edema have been reported to develop within the first few minutes or hours after TBI (Jha et al., 2019). However, the exact time course of each form of edema remains controversial. While cytotoxic edema formation is believed to play an important role following brain trauma (Unterberg et al., 2004), the role of vasogenic brain edema formation has been discussed quite controversially.

#### **4.4 Characterization of Vasogenic Brain Edema following Experimental TBI**

Most published data indicate that the primary opening of BBB occurs immediately after TBI. In a study by Barzó *et al.*, a transient BBB opening was reported at the time of the trauma in a closed head injury model. However, BBB opening was reported to last no more than 30 minutes, and vasogenic brain edema formation was discussed to be of only minor importance (Barzo et al., 1996). In another study using *in vivo* microscopy following CCI, Whalen MJ *et al.* claimed that BBB permeability was increased immediately after TBI and was maximal 30 to 60 mins after TBI (Whalen et al., 1999). By using 2-photon microscopy and CCI, our group previously confirmed that vascular leakage started as early as 2.5 h post CCI (Schwarzmaier et al., 2015a). In the current study, an increase in BBB permeability already within the first 4h following injury was confirmed.

However, the ensuing time-course throughout the following days has been discussed controversially so far. While some stated only a minor importance of vasogenic brain edema formation in the sub-acute phase following brain trauma (Barzo et al., 1996), others reported a chronic disruption of BBB until several days to weeks following

trauma. Abnormal permeability to IgG was reported to occur within the first hour after injury and to continuously increase until 24 hour thereafter (Tanno et al., 1992). In a blast injury model, Yeoh *et al.* showed that BBB disruption peaks at 1-2 days after injury (Yeoh et al., 2013). In other experimental TBI studies, the maximal BBB permeability appeared at 4 hours after injury, and lasted until 3-7 days (Baldwin et al., 1996; Baskaya et al., 1997; Habgood et al., 2007; Shapira et al., 1993), 2 weeks (Strbian et al., 2008) or even 30 days following injury (Korn et al., 2005). Moreover, some clinical studies have shown long-term BBB disruptions that can last for months to years following brain trauma (Tomkins et al., 2008).

In addition to the discrepancies reported regarding vasogenic brain edema formation during the sub-acute phase following injury, it remains unclear whether sub-acute and chronic BBB disruptions which have been reported are primary events caused by the injury or secondary events caused by ongoing pathological processes. Opening of the BBB after experimental brain injury has been reported to follow a biphasic pattern: The onset of the early phase occurs rapidly within few hours following trauma (Schwarzmaier et al., 2015a; Tanno et al., 1992). The second phase starts roughly at 3-7 days following injury (Shlosberg et al., 2010; Zlotnik et al., 2012). The exact time course of BBB disruption after TBI may be related to the different TBI models, animal species, and methods for assessing BBB integrity used in the various studies.

#### **4.4.1 Temporal Profile of Vasogenic Brain Edema formation following TBI**

To assess the temporal profile of vasogenic brain edema following TBI, two series of experiments were conducted, one short-term (4h post-CCI) and one long-term (24h-7d post-CCI). Vasogenic brain edema, reflected by extravasation of TMRM, could be detected as early as 4.5 hours following CCI. During the first week following CCI, the vascular leakage persisted and reached its peak at 48 hours post-CCI. Afterwards, it decreased gradually. At 7 days post-CCI, extravasation was still detectable, suggesting that BBB did not close completely.

In addition to the total leakage at different time points following CCI, the average speed of extravasation was determined. The results revealed a biphasic pattern: extravasation speed, a measure for the tightness of the BBB, was fastest in the early phase at 4 hours post-CCI, slowed down at 24 hours following injury and increased again for a prolonged period from 48-72 hours after trauma. These data indicate that BBB permeability changes over time, suggesting different mechanisms underlying leakage. The early peak might be caused by a direct, mechanical damage of the BBB, i.e. a disruption of tight junctions due to shear stress. This seems to recover within the first 24 hours following injury. Since maximal secondary lesion expansion occurs in the CCI model 24h following injury (Zweckberger et al., 2003), this early BBB disruption may represent a key mechanism in the pathophysiology of secondary brain damage by worsening intracranial pressure and cerebral perfusion (Shlosberg et al., 2010). This is in line with other studies describing vasogenic edema formation as an important factor which leads to increased ICP, microcirculatory disturbances and secondary brain damage (Jha et al., 2019; Maas et al., 2008; Winkler et al., 2016).

Interestingly, a second prominent and prolonged opening of the BBB occurred from 48-72 hours following trauma. Even 7 days after injury, the BBB seemed to not have fully recovered, however, TMRM extravasation showed no significant difference after trauma compared with sham operation.

Even though some previous reports argued that vasogenic brain edema occurs only very briefly following TBI (Barzo et al., 1996), others have reported a biphasic time course of increased BBB permeability (Baskaya et al., 1997; Logsdon et al., 2018; Shapira et al., 1993). However, these studies report *ex vivo* results without addressing the extravasation rate *in vivo*. In the current study a biphasic pattern concerning the extravasation speed has been confirmed *in vivo*.

So far, the underlying molecular pathophysiologic mechanisms leading to the biphasic increase of BBB permeability and vasogenic edema formation remain not fully understood. While the BBB breakdown in the acute phase following trauma is most

likely directly caused by mechanical forces, a second component induced by neutrophils has been discussed already in the first hours following injury (Adelson et al., 1998; McDonald et al., 2010; Rodriguez-Baeza et al., 2003; Roth et al., 2014; Sangiorgi et al., 2013; Soares et al., 1995), however, this hypothesis remains controversial (Hartl et al., 1997; Whalen et al., 1999). The second and prolonged peak in BBB permeability (48h-72h post-CCI) is hypothesized to be a component of the brain's response to injury. Several mechanisms for the second peak of BBB opening are possible:

*1) Reperfusion injury.* A damage of the endothelial membranes caused by delayed reperfusion. It is known that ischemic changes in the brain occur in the first hours after brain injury (Krishnappa et al., 1999; Marion et al., 1991), and BBB breakdown may be associated with delayed reperfusion of the ischemic tissues. Correspondingly, tight junction proteins, such as claudin-5, occluding, and ZO-1, were reported to be significantly reduced within that time period, suggesting exacerbated BBB damage (Higashida et al., 2011; Logsdon et al., 2018; Wen et al., 2014; Zhiyuan et al., 2016).

*2) Inflammation.* Inflammatory reactions caused by leukocytes or cytokines might present another cause for delayed BBB opening. (Soares et al., 1995) since regions with leukocyte recruitment showed BBB disruption (Schwarzmaier et al., 2013). Monocyte-derived macrophages were observed within the cerebral cortex and reached peak numbers in the damaged brain 24 to 48 hours after injury (Semple et al., 2010; Soares et al., 1995). The microglia, the brain's resident inflammatory cells change morphologically and immunologically (Gehrmann et al., 1995; Raivich et al., 1999). They can either promote further damage or play a role in tissue repair. Several studies have confirmed that activation of microglia resulted in BBB disruption. For instance, da Fonseca AC *et al.* suggested that the activation of M1-like microglia is thought to increase BBB permeability by activating MMPs or tight junction proteins (Corrigan et al., 2016; da Fonseca et al., 2014).

3) *Metabolic imbalances*. The second peak of BBB opening could also be initiated by metabolites which are reported to be released post-trauma, such as free radicals (Hall, 1993; Wu et al., 2018), leukotrienes, prostaglandins (Baskaya et al., 1996a; Biber et al., 2009), polyamines (Baskaya et al., 1996b), and histamine (Wahl et al., 1988). Other mechanisms, such as re-absorption of edema fluid (Holmin and Mathiesen, 1995), cerebral blood flow auto regulatory failure (Rangel-Castilla et al., 2008), or vasospasm (Lee et al., 1997), might coincide with damage to the structural integrity of the BBB (Muellner et al., 2003).

#### **4.4.2 Spatial Profile of Vasogenic Brain Edema Formation following TBI**

Vasogenic brain edema formation was also investigated regarding the spatial distribution. With 2-photon microscopy, a detailed 3D scan of the parenchyma was generated and edema formation was visualized up to a depth of 300  $\mu\text{m}$ .

##### *Horizontal spreading*

The results show that the degree of vascular leakage appears to be correlated to the proximity to the site of the primary contusion. In region A3, the region most distal to the site of impact, the extravasation was less pronounced than in the regions closer to the contusion. At the peak of TMRM extravasation at 48 hours after CCI, a significant increase of vascular leakage was detected in all three areas. At other time points, including 4, 24, and 72 hours following CCI, the area of BBB disruption was smaller and significant TMRM extravasation was detected only in A1 and A2. The horizontal spread of vasogenic brain edema formation reached its peak at 48 hours after CCI, which corresponds to the time of maximal total TMRM extravasation and to the second peak of increased extravasation speed.

##### *Vertical Spreading*

TMRM extravasation was determined along the vertical axis in 50  $\mu\text{m}$  thick sections reaching from the surface to a depth of 300  $\mu\text{m}$ . In order to avoid a bias due to the process of cranial window implantation, the superficial 50  $\mu\text{m}$  were excluded from

the analysis. At all time-points of significant vasogenic brain edema formation, i.e. 4, 24, 48, and 72 h post CCI, the level of TMRM extravasation was higher in the superficial layers, and the degree of vascular leakage decreased with increasing depth. However, it has to be taken into account that the outline of contusion and penumbra in the CCI model present an almost semicircular shape, while the regions of interest investigated by 2-photon microscopy followed a strictly rectangular pattern. Consequently, deeper regions are also regions more distant to the primary contusion. Accordingly, also in deeper regions TMRM extravasation in A1 and A2 were more pronounced than in A3. To avoid an artificial effect during imaging, the laser power and the detectors were adjusted dynamically according to the depth of the focus in order to achieve a fluorescence signal with stable intensity throughout the z-stack.

In summary, the *in vivo* data indicate that after CCI, vasogenic brain edema formation changes over time, suggesting that the origin of the vasogenic edema alters dynamically. Total TMRM extravasation peaks at 48 hours following injury, at the same time the vertical and horizontal distribution of vascular leakage present the widest spread. This corresponds to the maximal midline shift following TBI. Extravasation speed follows a biphasic pattern, with an early peak in the first hours following injury and a later and prolonged peak concurrent to the time of maximum TMRM extravasation.

#### **4.3.3 Biphasic Pattern of extravasation speed**

TMRM extravasation speed showed a biphasic time course, the total brain water content presented a monophasic pattern which immediately increased and remained at a high level until 72 hours following CCI. It has been described repetitively that maximum lesion expansion is reached at 24h following trauma in the CCI model (Engel et al., 2008; Zweckberger et al., 2006). Accordingly, the first peak of BBB leakage happens before and is likely to play a role in the increase of ICP following trauma (Winkler et al., 2016). However, the results of the current study indicate that

the main part of vasogenic brain edema formation happens *after* maximum lesion expansion has already developed. Yet, it should be considered that in a clinical setting, the pathophysiology following TBI might follow a significantly prolonged time course. TBI patients rarely recover as quickly as experimental animals and patients often present with a combined form of injury, including contusion, diffuse axonal injury, and hematoma (Maas and Delaney, 2004). Consequently, further studies regarding vasogenic brain edema formation in other forms of TBI such as diffuse injury or closed head injury, are needed.

This second peak of vasogenic edema formation might be caused by an inflammatory reaction, reperfusion injury or pathologic metabolites as discussed above. These pathophysiological reactions happen with a delay of several hours or even days (Donkin and Vink, 2010; Jha et al., 2019; Sahuquillo et al., 2001) and are not specific for TBI, i.e. they are also observed following other forms of acute head injury such as ischemic stroke or subarachnoid hemorrhage (Russin et al., 2018; Zeynalov et al., 2017). The delayed development allows time for therapeutic interventions. Further investigations regarding therapeutic interventions targeting the inflammatory reaction or the formation of pathologic metabolites are necessary to provide important information for the development of clinical therapies.

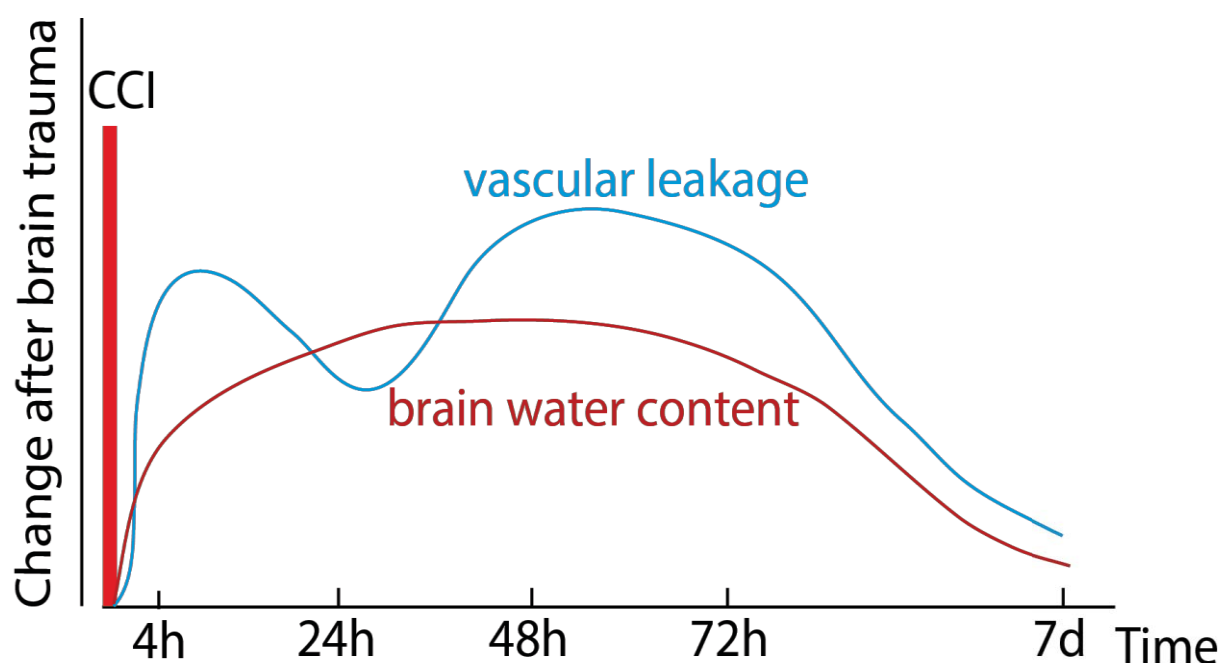


Figure 23: Schematic representation of the time course of vascular leakage and brain water content after CCI.

#### 4.5 Clearance Routes of Vasogenic Brain Edema

Interestingly, the second peak of vascular leakage did not lead to a second peak of brain swelling. This might be explained by the following reasons:

1) Due to the defined space within the rigid skull there is an upper limit for the volume the brain might expand to (Stokum et al., 2016). Furthermore, pathologic brain water content seems also to have an upper limit.

2) Physiological clearance routes of brain edema might be induced over time resulting in a reduction of extracellular water and extravasated serum protein. Although the mechanism of these routes are incompletely understood, there are many possible mechanisms that have been considered responsible for the clearance of fluid from the extracellular space, such as pressure driven bulk flow into CSF or reverse vesicular transport from the extracellular space to the blood flow via trans-endothelial passage (Stokum et al., 2016).

In the early 1980s, studies reported that brain interstitial fluid (ISF) in the healthy brain moves by bulk flow rather than diffusion (Cserr et al., 1981; Rosenberg et al., 1980). Ohata *et al.* further described the CSF pathway via the extracellular space of the cortical neuropil as a primary route for clearance of extracellular edema proteins (Ohata and Marmarou, 1992). The widely accepted mechanism is that a sizable proportion of interstitial water is removed from the brain parenchyma along perivascular spaces and is deposited into the subarachnoid space and further removed by CSF absorption (Bradbury et al., 1981; Brinker et al., 2014; Iliff et al., 2012; Reulen et al., 1978). Another mechanism that has been controversially discussed is the clearance of ISF (Hladky and Barrand, 2014). In contrast to their role in cytotoxic edema, astrocyte end-feet and AQP-4 channels may have a clearing effect in vasogenic (non-cellular) edema. One study showed that AQP4 deletion is

associated with worsened edema following injuries that precipitate vasogenic edema formation, suggesting that AQP4-mediated trans-cellular water movement is crucial for fluid clearance in vasogenic brain edema (Papadopoulos et al., 2004). However, there is still lack of evidence in TBI models regarding the clearance routes of vasogenic brain edema. *In vivo* studies are needed to improve our understanding of these edema clearance mechanisms.

#### **4.6 Limitations and Perspectives**

The combination of *in vivo* 2-photon microscopy and CCI has been newly developed (Schwarzmaier et al., 2015a). This method provides detailed 3D deep-brain images and dynamic *in vivo* quantification of vascular leakage. However, there are still several limitations that need to be considered in the current study.

1) The surgical time to prepare the cranial window and cover glass implantation is very long for each animal. The long surgical and experimental time in anesthesia increases the risk of acidosis as seen in our study, despite weight-adjusted ventilation and fluid therapy. Recent developments have made it possible to conduct prolonged experiments and to obtain repeated measurements from animals with chronic cranial windows, even in awake mice (Silasi et al., 2016).

2) Information regarding the chronic development of vasogenic brain edema formation (more than 7 days) is lacking. Several studies supported the hypothesis that BBB opening can last for weeks or even years following injury (Korn et al., 2005; Strbian et al., 2008; Tomkins et al., 2008).

Historically, vasogenic edema has long been known to be a major contributor to post-traumatic brain edema formation. However, cytotoxic edema is considered to be another major contributor to brain edema formation which is closely associated to the clinical outcomes. Moreover, it is increasingly recognized that these processes may be interrelated (Jha et al., 2019; Marmarou, 2007; Simard et al., 2007). Therefore, further studies are necessary to characterize cytotoxic edema formation

following brain trauma and to understand the mechanism underlying the interaction of vasogenic edema and cytotoxic edema following TBI.

## **5. Summary and conclusion**

In the present study, a new method to dynamically investigate the formation of vasogenic brain edema *in vivo* was used. The results show that vasogenic brain edema formation begins within 4 h following trauma and reaches its peak 48 hours following injury. There is a biphasic pattern of vascular leakage, with an early phase at 4-6 hours post-CCI and a delayed phase at 48-72 hours post-CCI. Moreover, the extravasation seems more pronounced in the areas which are closer to the primary contusion. This implies that different mechanisms might be responsible for vasogenic brain edema formation. In the initial phase, the BBB disruption is most likely associated to the acute mechanical damage to the parenchyma and vasculature. In the sub-acute phase until a week following trauma, other mechanisms like inflammation, reperfusion injury and metabolic imbalances might increase BBB permeability. These mechanisms have to be investigated further to develop therapeutic strategies for brain trauma and vasogenic brain edema formation.

The findings from the current study provide a novel insight into vasogenic brain edema formation and may help to develop more specific therapies for the treatment of brain edema following TBI.

## **Zusammenfassung und Schlussfolgerung**

In der vorliegenden Studie wurde eine neue Methode zur dynamischen Untersuchung der Entstehung eines vasogenen Hirnödems in vivo eingesetzt. Die Ergebnisse zeigen, dass die Bildung eines vasogenen Hirnödems innerhalb von 4 Stunden nach einem Trauma beginnt und 48 Stunden nach einer Verletzung ihren Höhepunkt erreicht. Es gibt ein zweiphasiges Muster von Gefäßleckagen, mit einer frühen Phase bei 4-6 Stunden nach der CCI und einer verzögerten Phase bei 48-72 Stunden nach der CCI. Darüber hinaus scheint die Paravasation in den Bereichen, die näher an der primären Kontusion liegen, stärker ausgeprägt zu sein. Dies bedeutet, dass verschiedene Mechanismen für die Entstehung eines vasogenen Hirnödems verantwortlich sein können. In der Anfangsphase ist die BBB-Störung höchstwahrscheinlich mit der akuten mechanischen Schädigung des Parenchyms und der Gefäßversorgung verbunden. In der subakuten Phase bis eine Woche nach dem Trauma können andere Mechanismen wie Entzündungen, Reperfusionsschäden und Stoffwechselstörungen die BBB-Permeabilität erhöhen. Diese Mechanismen müssen weiter untersucht werden, um therapeutische Strategien für Hirntraumata und vasogene Hirnödembildung zu entwickeln.

Die Ergebnisse der aktuellen Studie geben einen neuen Einblick in die Entstehung eines vasogenen Hirnödems und können helfen, spezifischere Therapien für die Behandlung von Hirnödemen nach TBI zu entwickeln.

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## 7. List of Abbreviations

AQP	Aquaporin
ATP	Adenosine triphosphate
AVP	Arginine vasopressin
BBB	Blood–brain barrier
CBF	Cerebral blood flow
CCI	Controlled cortical impact
CCL2	Chemokine (C-C motif) ligand 2
CNS	Central nervous system
CPP	Cerebral perfusion pressure
CSF	Cerebrospinal fluid
CXCL	Chemokine (C-X-C motif) ligand
DAI	Diffuse axonal injury
DAMPs	Danger associated molecular patterns
DCE-MRI	Dynamic contrast-enhanced MRI
ECM	Extracellular matrix
FPI	Fluid percussion injury
GCS	Glasgow Coma Scale
ICP	Intracranial pressure
IL	Interleukin
ISF	Brain interstitial fluid

IVM	Intravital fluorescence microscopy
LTP	Long-term potentiation
MMP	Matrix metalloproteinases
MRI	Magnetic resonance imaging
NIRS	Near-infrared fluorescence
NOS	Nitric oxide synthase
pCO <sub>2</sub>	Partial pressure of carbon dioxide
PRRs	Pattern recognition receptors
RCT	Randomized controlled trial
ROI	Regions of interest
ROS	Reactive oxygen species
SD	Standard deviation
SDF	Side-stream dark-field
SP	Substance P
TBI	Traumatic brain injury
TMRM	Tetramethylrhodamine dextran
TNF- $\alpha$	Tumor necrosis factor- $\alpha$
VEGF	Vascular endothelial growth factor

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