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**Pharmacological Studies of a Novel Inhibitor of the Mammalian  
Target of Rapamycin (mTOR) Signaling Pathway**

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This work is dedicated to  
my parents, my wife, my son, my daughter,  
and the spirit of Abd El Mageed Foud

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## ABBREVIATIONS

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### ABBREVIATIONS

<b>4EBP1</b>	Eukaryotic translation initiation factor 4E-binding protein 1
<b>AK<math>\beta</math>BA</b>	Acetyl-11-keto- $\beta$ -boswellic acid
<b>AKT/PKB</b>	Protein kinase B
<b>AKT inhibitor VIII</b>	3-Dihydro-1-(1-((4-(6-phenyl-1H-imidazo[4.5-g]quinoxalin-7-yl)phenyl)methyl)-4-piperidinyl)-2Hbenzimidazol-2-one
<b>AMPK</b>	Adenosine monophosphate-activated protein kinase
<b>APS</b>	Ammonium persulfate
<b>ATCC</b>	American Tissue Culture Collection
<b>ATM</b>	Ataxia telangiectasia mutated
<b>BME</b>	$\beta$ -Mercaptoethanol,
<b>BSA</b>	Bovine serum albumin
<b>CAM</b>	Chick embryo chorioallantoic membrane
<b>CCI-779</b>	mTOR inhibitor ( temsirolimus)
<b>C-K<math>\beta</math>BA</b>	3-Cinnamoyl-11-keto- $\beta$ -boswellic acid
<b>DMSO</b>	Dimethyl sulfoxide
<b>DTT</b>	Dithiothreitol
<b>EDTA</b>	Ethylene diamine tetra acetic acid
<b>EIF4E</b>	Eukaryotic translation initiation factor 4E
<b>ERK (MAPK)</b>	Extracellular signal-regulated kinase
<b>MAPK</b>	Mitogen-activated protein kinase
<b>FACS</b>	Fluorescence-Activated Cell Scan (Sorting)
<b>FCS</b>	Fetal calf serum
<b>FITC</b>	Fluorescein isothiocyanate
<b>FKBP12</b>	FK506-binding protein of 12 kDa
<b>FRB</b>	FKBP12-rapamycin binding
<b>GAP</b>	GTPase activating protein
<b>GDP</b>	Guanosine-diphosphate
<b>GTP</b>	Guanosine-triphosphate
<b>HPLC</b>	High-pressure liquid chromatography
<b>HRP</b>	Horseradish peroxidase
<b>IGFs</b>	Insulin-like growth factors
<b>K<math>\beta</math>BA</b>	11-Keto- $\beta$ -boswellic acid
<b>Ki-67</b>	Cellular marker for proliferation
<b>MEF</b>	Mouse embryonic fibroblast
<b>MnK</b>	Mitogen-activated protein kinase interacting kinase
<b>Mol</b>	Mole
<b>mSIN1</b>	mammalian stress-activated protein kinase (SAPK)-interacting protein
<b>mTOR</b>	mammalian target of rapamycin
<b>mTORC</b>	mTOR complex
<b>p70S6K</b>	70-kDa S6 protein kinase
<b>PAGE</b>	Polyacrylamide gel electrophoresis
<b>PBS</b>	Phosphate-buffered saline

## ABBREVIATIONS

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<b>PDK1</b>	Phosphoinositide-dependent protein kinase 1
<b>pH</b>	Potential of hydrogen
<b>PI3K</b>	Phosphatidylinositol-3-kinase
<b>PIP3</b>	Phosphatidylinositol 3-phosphate
<b>PKC</b>	Protein kinase C
<b>PP2A</b>	Protein phosphatase 2 A
<b>PRAS40</b>	Proline-rich AKT substrate 40 kDa
<b>PRR5</b>	Proline-rich protein 5 (Protor)
<b>PS</b>	Phosphatidylserine
<b>PTEN</b>	Phosphatase and tensin homolog
<b>PVDF</b>	Polyvinylidene difluoride
<b>Raptor</b>	Regulatory associated protein of TOR
<b>Rheb</b>	Ras homologue enriched in brain
<b>Rictor</b>	Rapamycin insensitive component of TOR
<b>RIPA</b>	Radioimmuno-precipitation assay buffer
<b>RSK</b>	Ribosomal S6 kinase
<b>RSK1</b>	Ribosomal protein S6 kinase alpha-1
<b>SDS</b>	Sodium dodecyl sulfate
<b>Ser</b>	Serine
<b>SLB</b>	Sample loading buffer
<b>TBE</b>	Trisborate-EDTA buffer
<b>TBS</b>	Tris-buffered saline
<b>TBS-T</b>	Tris-buffered saline and Tween 20
<b>TEMED</b>	Tetramethylethylenediamine
<b>Thr</b>	Threonine
<b>Tricine</b>	N-(2-hydroxy-1,1-bis(hydroxymethyl)ethyl)glycine
<b>TSC1</b>	
<b>(hamartin)</b>	Tuberous sclerosis protein 1
<b>TSC2 (tuberin)</b>	Tuberous sclerosis protein 2
<b>TUNEL</b>	Terminal deoxynucleotidyl transferase dUTP nick end labeling
<b>Tween 20</b>	Polyoxyethylene-20-sorbitan monolaurate
<b>Tyr</b>	Tyrosine

### 1. INTRODUCTION

#### 1.1 APOPTOSIS

For the maintenance of cellular homeostasis, an exact balance between cellular proliferation and cell death is of utmost importance. If mitosis would proceed without cell death, an 80 year old person would have 2 tons of bone marrow and lymph nodes and an intestinal tract 16 km long (Kerr et al. 1972).

The term “apoptosis” was first coined in 1972 by Kerr et al. and originates from the Greek words apo = from and ptosis = falling, symbolizing leaves falling from trees or petals falling from flowers, a natural process of death. The apoptotic mode of cell death is an active and defined process that plays an important role in the development of multicellular organisms and in the regulation and maintenance of the cell populations in tissues under physiologic and pathologic conditions. The disappearance of a cell by apoptosis creates “hardly a ripple” whereas necrosis is capable of producing inflammation. Programmed cell death is encoded in the genome. Each cell possesses the necessary molecular machinery required to undergo apoptosis, and the process can be initiated by specific cell signaling events. Numerous studies in recent years have revealed that apoptosis is a constitutive suicide program expressed in most, if not all cells, and can be triggered by a variety of extrinsic and intrinsic signals. Because the decision to live or to die critically contributes to the regulation of the immune response, the apoptotic pathways are kept under tight control (Elmore 2007; Hotchkiss et al. 2009).

##### 1.1.1 Historical perspective

In 1858, Virchow characterized the changes occurring in cells shortly after death as either necrosis, where “the mortified cell is left in its external form” or “necrobiosis or shrinkage necrosis, where the cell vanishes and can no longer be seen in its previous form” (Virchow R et al. 1859). The term “necrobiosis” was succeeded by the term “chromatolysis” 26 years later, when Flemming described the morphological changes taking place during regression of the epithelium in mammalian lymphoid

## INTRODUCTION

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follicles. In this study, the first drawings were produced, which illustrated what we now recognize as apoptosis (Flemming 1885). The theory of cell death as a mechanism involved in development, maintenance of homeostasis, control of organ size, and elimination of dysfunctional cells evolved over the following decades. In the late 1960s, apoptosis research was greatly facilitated by the use of electron microscopy. Now, the morphological changes occurring in apoptosis could be studied in much greater detail (Kerr 1969; Kerr 1971).

A scientific landmark in apoptosis research occurred in 1972, when Kerr and colleagues published a paper in which they coined the term “apoptosis” (derived from a Greek word for “dropping off”, as in falling leaves) and defined this phenomenon as a type of orderly, active process by which cells undergo a series of morphological changes, ultimately leading to recognition and engulfment by phagocytes. They provided evidence that this built-in death program was not only evident during development or during pathological conditions, but also in the normal mature organism, continuing throughout life. The authors defined an important role for apoptosis in homeostasis and suggested that deregulation of apoptosis could lead to pathological conditions such as cancer (Kerr et al. 1972).

At the beginning of the following decade, the interest for apoptosis was greatly increased with the discovery that glucocorticoids induce apoptosis and endonuclease activation in lymphocytes (Wyllie 1980). A few years later, the activation of endonucleases in apoptosis was demonstrated by gel electrophoresis, providing the first clear biochemical evidence for apoptosis (Duke et al. 1983). An understanding of the apoptosis process at the genetic and molecular level was initiated in 1986, when Horvitz, a Nobel Prize laureate of 2002, and Ellis discovered a set of genes in the nematode *C. elegans* that were involved in apoptosis (Ellis et al. 1986). These genes were later found to have homologues in a vast number of organisms, including humans. Since then, the list of apoptosis-related genes has expanded.

### 1.1.2 Natural occurrence of apoptosis

Apoptosis is a widespread phenomenon occurring throughout the animal kingdom and human being. It is becoming clear that many of the genes involved in apoptosis control in mammals also function in plants. Apoptosis is involved in plant gamete fertilization, embryogenesis and development (Yin et al. 2003). The process has been described as dynamic, well coordinated but complex and is important in both the development and maintenance of living organisms (Clarke et al. 1998).

Apoptotic processes begin as early as fertilization and are involved in a wide range of critical processes involved in homeostasis and development. Examples include development of the nervous system, whereby more nerve cells are produced than required (Oppenheim et al. 2001). Apoptosis adjusts the number of neuron, glial and neuroprogenitor cells to the number of target cells and it has been estimated that between 30-50% of all developed neurons die during development (Osborne 2004). Apoptosis is also involved in neural tube formation which in the developing embryo forms the brain, spinal cord, spinal nerves and spinal column.

Apoptosis also occurs during morphogenesis of various body structures including muscle, epithelial, intestinal, gonadal cells and the immune system (Hengartner et al. 1994). In non-aquatic vertebrates including humans, apoptosis of the inter-digital webs in the early embryo is important in the formation of fingers and toes (Hurle et al. 1996). In amphibians regression of the tail and gills of the tadpole, occurs as a result of substantial apoptosis (Fox 1973). In animals, which undergo metamorphosis, larval tissues that are no longer required are eliminated by apoptosis whilst the adult body parts emerge (Dyche 1979). Development of the reproductive tract requires regression of the male (wolffian) or female (müllerian) duct systems to produce a male or female embryo, and is another example of apoptosis.

Apoptosis is just as important to the organism during postnatal development into adulthood. It is a mechanism whereby cells, which have served their purpose or become nonfunctional, can be eliminated safely without causing harm to the host. Follicular atresia of the post-ovulatory follicle and involution of the mammary gland

post-weaning are two examples of normal tissue regression achieved by apoptosis (Elmore 2007). Regulation of the immune system also requires apoptosis (Hotchkiss et al. 2009). The separation of the digits during limb development is classical example of the effect of apoptosis during apoptosis. Defective lymphocytes, lymphocytes that threaten an autoimmune attack or those, which have performed their duties, can all be eliminated safely via apoptosis (Gercel-Taylor et al. 2002; Osborne 2004).

Furthermore, apoptotic cell death helps to shape the future inner ear structure, which starts from incubation day 5 in the chick inner ear. In cardiac morphogenesis, cell death is essential in generating the overall four-chambered architecture of the heart. In rat skeletal muscle, cell death persists during the first three postnatal weeks, suggesting an indispensable role for cell death in the development of skeletal muscle (Osborne 2004).

### **1.1.3 Morphological features of apoptosis**

During the early process of apoptosis, cell shrinkage and pyknosis are visible by light microscopy (Kerr et al. 1972). With cell shrinkage, the cells are smaller in size, the cytoplasm is dense and the organelles are more tightly packed. Pyknosis is the result of chromatin condensation and this is the most characteristic morphological feature of apoptosis (Osborne 2004) (Figure 1).

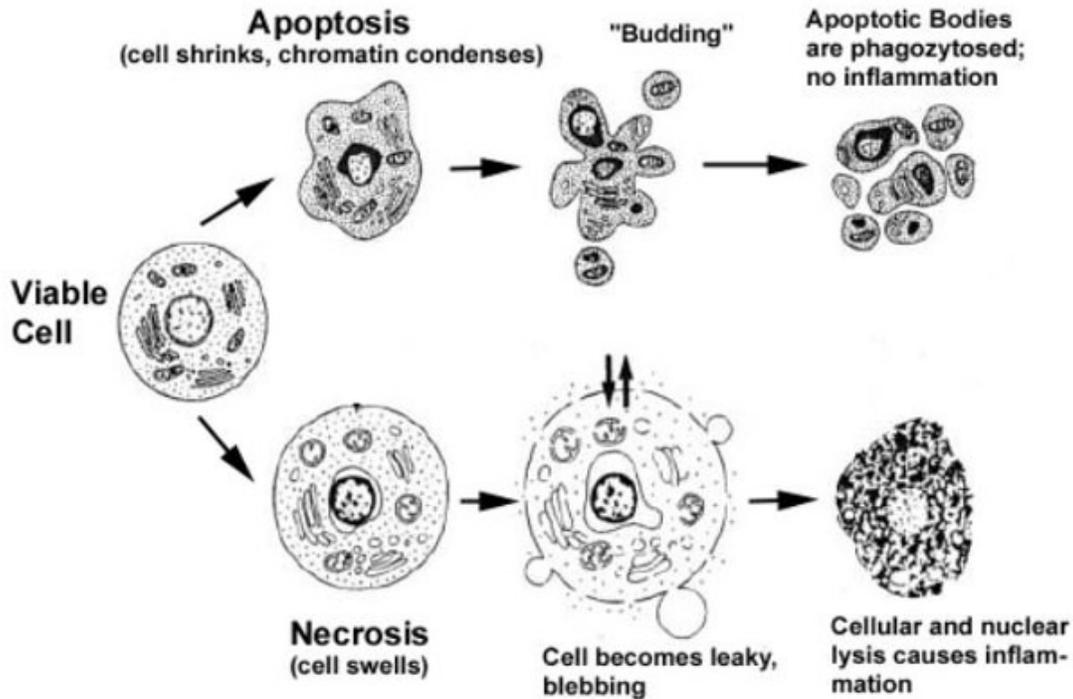
Extensive plasma membrane blebbing followed by karyorrhexis and separation of cell fragments into apoptotic bodies during a process called "budding." Apoptotic bodies consist of cytoplasm with tightly packed organelles with or without a nuclear fragment. The organelle integrity is still maintained and all of this is enclosed within an intact plasma membrane. These bodies are subsequently phagocytosed by macrophages, parenchymal cells, or neoplastic cells and degraded within phagolysosomes (Wyllie 1980; Ucker et al. 1992; Mills et al. 1998; Kawabata et al. 1999). Macrophages that engulf and digest apoptotic cells are called "tingible body macrophages" and are frequently found within the reactive germinal centers of lymphoid follicles or occasionally within the thymic cortex. The tingible bodies are the

bits of nuclear debris from the apoptotic cells. There is essentially no inflammatory reaction associated with the process of apoptosis nor with the removal of apoptotic cells because: (1) apoptotic cells do not release their cellular constituents into the surrounding interstitial tissue; (2) they are quickly phagocytosed by surrounding cells thus likely preventing secondary necrosis; and, (3) the engulfing cells do not produce inflammatory cytokines (Savill et al. 2000; Kurosaka et al. 2003).

### **1.1.4 Apoptosis versus necrosis**

The alternative to apoptotic cell death is necrosis, which is considered to be a toxic process, where the cell is a passive victim and follows an energy-independent mode of death. But since necrosis refers to the degradative processes that occur after cell death, it is considered by some to be an inappropriate term to describe a mechanism of cell death. Oncosis is therefore used to describe a process that leads to necrosis with karyolysis and cell swelling, whereas apoptosis leads to cell death with cell shrinkage, pyknosis, and karyorrhexis. Therefore the terms "oncotic cell death" and "oncotic necrosis" have been proposed as alternatives to describe cell death that is accompanied by cell swelling. However, these terms are not widely used at this time (Majno et al. 1995; Levin et al. 1999).

Some of the major morphological changes that occur with necrosis include cell swelling, formation of cytoplasmic vacuoles, distended endoplasmic reticulum, formation of cytoplasmic blebs, condensed, swollen or ruptured mitochondria, disaggregation and detachment of ribosomes, disrupted organelle membranes, swollen and ruptured lysosomes, and eventually disruption of the cell membrane (Kerr et al. 1972; Majno et al. 1995; Trump et al. 1997). This loss of cell membrane integrity results in the release of the cytoplasmic contents into the surrounding tissue sending chemotactic signals with eventual recruitment of inflammatory cells. By contrast, apoptotic cells do not release their cellular constituents into the surrounding interstitial tissue and are quickly phagocytosed by macrophages or adjacent normal cells, there is essentially no inflammatory reaction (Savill et al. 2000; Kurosaka et al. 2003) (Figure 1 and Table 1).



**Figure 1. Hallmarks of the apoptotic and necrotic cell death process**

Apoptosis includes cellular shrinking, chromatin condensation and margination at the nuclear periphery with the eventual formation of membrane-bound apoptotic bodies that contain organelles, cytosol and nuclear fragments and are phagocytosed without triggering inflammatory processes. The necrotic cell swells, becomes leaky and finally is disrupted and releases its contents into the surrounding tissue resulting in inflammation. Modified from (Van Cruchten et al. 2002)

**Table 1. Comparison of morphological features of apoptosis and necrosis (Elmore 2007)**

<b>Apoptosis</b>	<b>Necrosis</b>
Single cells or small clusters of cells	Often contiguous cells
Cell shrinkage and convolution	Cell swelling
Pyknosis and karyorrhexis	Karyolysis, pyknosis, and karyorrhexis
Intact cell membrane	Disrupted cell membrane
Cytoplasm retained in apoptotic bodies	Cytoplasm is released
No inflammation	Inflammation is usually present

### **1.1.5 Biochemical features of apoptosis**

Apoptotic cells exhibit several biochemical modifications such as protein cleavage, protein cross-linking, DNA breakdown, and phagocytic recognition that together result in the distinctive structural pathology described previously (Hengartner et al. 1994).

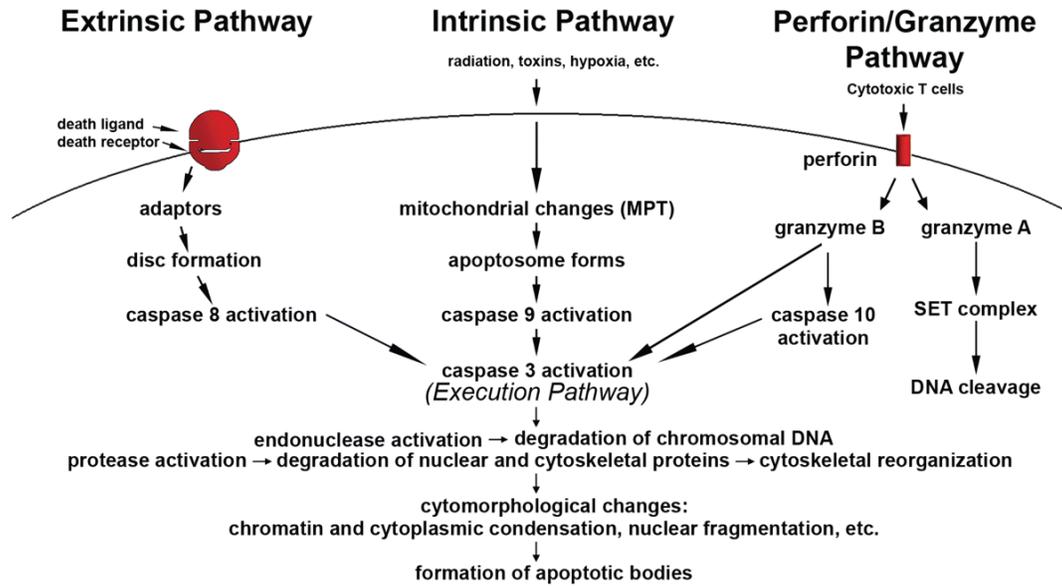
Caspases belong to the family of cysteine proteases, which play essential roles in apoptosis and inflammation. They are expressed as inactive proenzyme forms in most cells and once activated, can often activate other procaspases, allowing the initiation of a protease cascade. Some procaspases can also aggregate and autoactivate. This proteolytic cascade, in which one caspase can activate other caspases, amplifies the apoptotic signaling pathway and, thus, leads to rapid cell death.

DNA breakdown by  $\text{Ca}^{++}$  and  $\text{Mg}^{++}$  dependent endonucleases also occurs, resulting in DNA fragments of 180 to 200 base pairs (Bortner et al. 1995). A characteristic "DNA ladder" can be visualized by agarose gel electrophoresis with ethidium bromide staining and ultraviolet illumination.

Another biochemical feature is the expression of cell surface markers that results in the early phagocytic recognition of apoptotic cells by adjacent cells, permitting quick phagocytosis with minimal compromise to the surrounding tissue. This is achieved by the movement of the normally inward-facing phosphatidylserine of the cell's lipid bilayer to the outer layer of the plasma membrane resulting in the surface expression of phosphatidylserine (Bratton et al. 1997).

### **1.1.6 Apoptosis pathways (induction of apoptosis)**

There are three alternative pathways, through which apoptosis can be initiated, 1-Death receptor (extrinsic) pathway, 2- Mitochondrial (Intrinsic) pathway, 3-Perforin/granzyme pathway (Figure 2).



**Figure 2. Schematic representation of apoptosis pathways**

The two main pathways of apoptosis are extrinsic and intrinsic, as well as, a perforin/granzyme pathway. Each requires specific trigger signals to initiate an energy-dependent cascade of molecular events. Each pathway activates its own initiator caspase (8, 9, and 10) which, in turn, will activate the executioner caspase 3. However, granzyme A works in a caspase-independent fashion. The execution pathway results in characteristic cytomorphological features including cell shrinkage, chromatin condensation, formation of cytoplasmic blebs and apoptotic bodies and finally phagocytosis of the apoptotic bodies by adjacent parenchymal cells, neoplastic cells or macrophages (Elmore 2007).

### 1.1.6.1 Extrinsic (death receptor) pathway

The extrinsic pathway is mediated by the death receptor CD95 (APO-1/Fas). Triggering of CD95 by its natural ligand or agonistic antibodies induces the formation of DISC that consists of the adapter protein FADD and FLICE/caspase 8. Complex formation is initiated through homophilic interaction of the death domains present in the intracellular part of both CD95 and FADD. FADD, in addition, contains a second interaction region called the DED, which couples to caspase 8 as the most proximal element in the caspase cascade. Further downstream, caspase 8 presumably triggers the proteolytic activation of other caspases and cleavage of cellular substrates (Schulze-Osthoff et al. 1998; Krammer 1999)

### **1.1.6.2 Intrinsic (mitochondrial) pathway**

The mitochondrial pathway of apoptosis is activated by DNA damage, cell cycle deregulation, hypoxia, and growth factor withdrawal. Signals induced by these stimuli lead to permeabilization of the outer membrane of the mitochondria, promoting the release of cytochrome c. When cytochrome c is released, it associates with apoptotic protease activating factor 1 (Apaf-1), allowing for the recruitment of an inactive initiator caspase, procaspase 9. The resulting protein complex, the apoptosome, enables the activation of procaspase 9 into caspase 9. Caspase 9 then activates executioner caspases such as caspase 3 (Li et al. 1997; Stennicke et al. 1999).

### **1.1.6.3 Perforin/granzyme pathway**

T-cell mediated cytotoxicity is a variant of type IV hypersensitivity, where sensitized CD8<sup>+</sup> cells kill antigen-bearing cells. These cytotoxic T lymphocytes (CTLs) are able to kill target cells via the extrinsic pathway and the FasL/FasR interaction is the predominant method of CTL-induced apoptosis (Brunner et al. 2003). However, they are also able to exert their cytotoxic effects on tumor cells and virus-infected cells via a novel pathway that involves secretion of the transmembrane pore-forming molecule perforin with a subsequent exophytic release of cytoplasmic granules through the pore and into the target cell (Trapani et al. 2002). The serine proteases granzyme A and granzyme B are the most important component within the granules.

Granzyme B will cleave proteins at aspartate residues and will therefore activate procaspase 10 and can cleave factors like ICAD (inhibitor of caspase activated DNase) (Sakahira et al. 1998). Reports have also shown that granzyme B can utilize the mitochondrial pathway for amplification of the death signal by specific cleavage of Bid and induction of cytochrome c release (Barry et al. 2002; Russell et al. 2002). However, granzyme B can also directly activate caspase 3. In this way, the upstream signaling pathways are bypassed and there is direct induction of the execution phase of apoptosis.

### 1.2 Mammalian target of rapamycin (mTOR) signaling

#### 1.2.1 The mTOR proteins

The TOR proteins are a family of serine/threonine protein kinases that include ataxia–telangiectasia mutated (ATM), Rad3-related (ATR), DNA-dependent protein kinase (DNA-PK), and suppressor of morphogenesis in genitalia-1 (SMG-1) protein kinase. These kinases are characterized by large size (>2,500 amino acid) and a C-terminally located kinase domain (Abraham 2004). The C-terminal kinase domains are similar to the kinase domain of phosphoinositide-3-kinase (PI3K), and from here they get the name PI3K-related kinases (PIKKs). The N-terminus possesses 20 tandem HEAT domains, which are named based on their presence in Huntingtin protein, Elongation factor 3, the A subunit of PP2A and TOR1.

The mammalian target of rapamycin (mTOR) is an atypical serine/threonine protein kinase with a molecular weight of 290 kDa. Structurally, the N-terminus of mTOR consists of 20 tandemly repeated motifs (HEAT motifs).

The C-terminus consists of mutated FRAP-ataxia-teleangiectasia (FAT, FRAP) domain, a transformation/transcription domain-associated protein domain, an FKBP12-rapamycin-binding (FRB) domain, a catalytic kinase domain, and a FAT carboxy-terminal domain (FAT C-terminus, FATC). It is speculated that the HEAT repeats serve to mediate protein-protein interactions, the FRB domain as suggested by its name provides a docking site for the FKBP12/rapamycin complex, and the FAT and FATC domains modulate mTOR kinase activity via unknown mechanisms (Yang et al. 2007) (Figure 3).

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**Figure 3. The primary structure of the mTOR protein**

Starting from the N terminus to the C terminus, mTOR protein consists of HEAT (huntingtin, EF3, A subunit of PP2A, TOR) repeat domains, FRAP-ataxia-teleangiectasia (FAT) domain. FRB domain (FKBP12-rapamycin binding), is a small protein domain able to bind FKBP12-rapamycin complex. The C-terminal FATC domain has been proposed to interact with the FAT domain to yield a configuration that exposes the catalytic domain (after (Bai et al. 2009)).

The mTOR pathway is a key regulator of cell growth and proliferation and increasing evidence suggests that its deregulation is associated with human diseases, including cancer and diabetes (Sarbasov et al. 2005). The mTOR signaling pathway is considered to be the central regulator of ribosome biogenesis, protein synthesis, and cell growth.

mTOR is found in two structurally and functionally distinct complexes to regulate growth and metabolism. In mammals, the mTOR complex 1 (mTORC1) contains mTOR, mLST8 (G protein beta protein subunit-like, G $\beta$ L), PRAS40 (a proline-rich Akt substrate of 40 kDa, a raptor-interacting protein), raptor (regulatory associated protein of mTOR), and dector (mTOR-interacting protein, inhibitory protein). mTORC1 is sensitive to the immunosuppressive and anticancer drug rapamycin. mTORC2 contains mTOR, mLST8, rictor (rapamycin-insensitive companion of mTOR, also known as the mammalian homolog of AVO3P, mAVO3), mSIN1 (mammalian stress-activated protein kinase interacting protein 1, MIP1, mAVO1, the mammalian homolog of Avo1p, necessary for mTORC2 assembly and Akt/PKB phosphorylation), PRR5 (proline-rich protein 5, belongs to the small family of pseudo-response regulators (PRRs)) and dector. The mTORC2 complex is insensitive to rapamycin (Bai et al. 2009; Peterson et al. 2009) (Figure 4).

The two complexes signal via different effectors pathways to control distinct cellular processes. The mTORC1 protein kinase complex is the central component of a pathway that promotes growth in response to insulin, energy levels, and amino acids and is deregulated in common cancers. The mTORC2 complex phosphorylates Akt at Serine 473 (Ser473) and regulates the actin cytoskeleton (Jacinto et al. 2004; Sarbasov et al. 2005; Sancak et al. 2008).



**Figure 4. Schematic representation of the mTOR complex components**

The mTORC1 complex consists of mTOR, mLST8, PRAS40 and raptor and the mTORC2 complex consists of mTOR, mLST8, rictor, mSIN1, and PRR5 (after (Bai et al. 2009; Peterson et al. 2009)).

### 1.2.2 Regulation of mTOR Activity

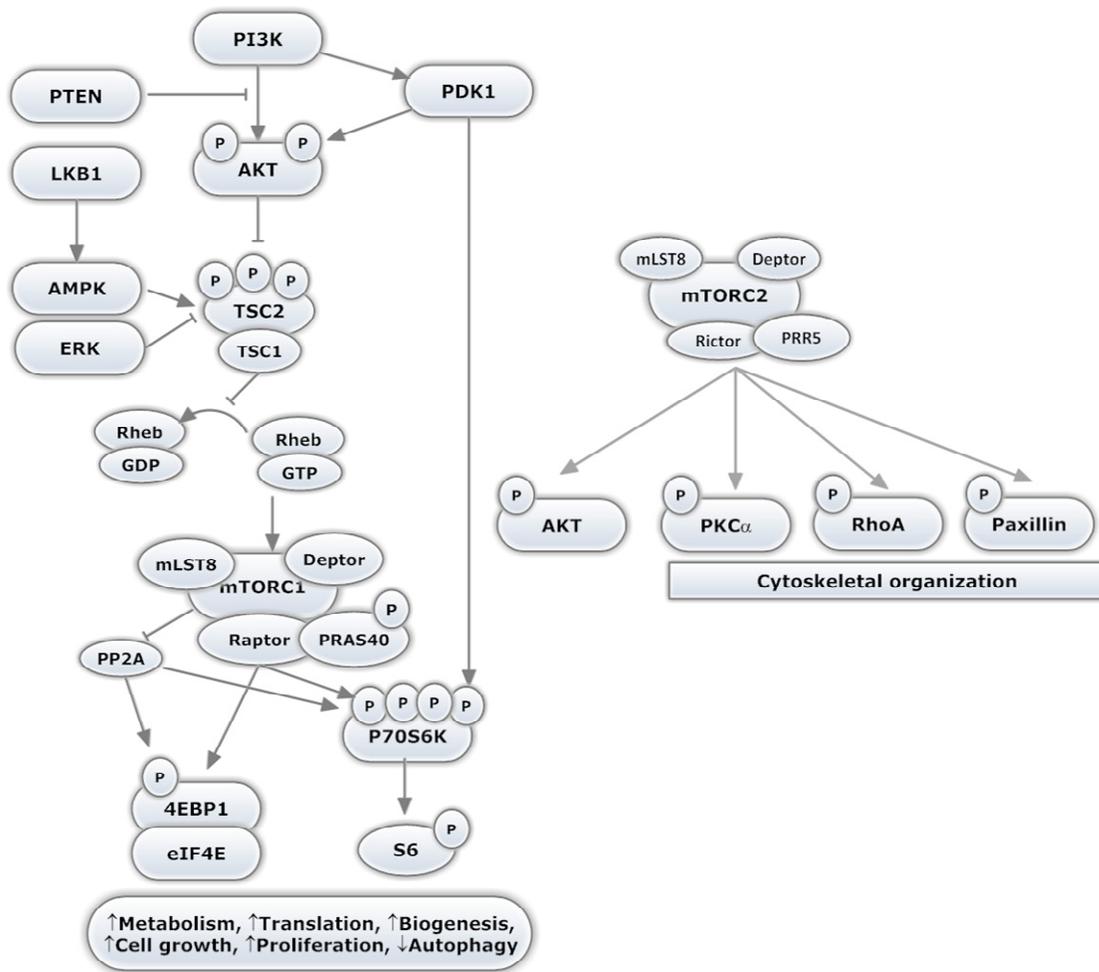
#### 1.2.2.1 Activation of mTOR by the PI3K signaling pathway

The phosphoinositide 3-kinase (PI3K) pathway has a critical role in aggressive tumorigenesis. PI3K signaling is activated by various extracellular signals including peptide growth factors such as insulin and insulin-like growth factors (IGFs). The PI3K activity that results in PIP3 production is tightly controlled and negatively regulated by several phosphatases. The PTEN (phosphatase and tensin homolog on chromosome 10) lipid phosphatase dephosphorylates PIP3 at the 3' position, whereas SHIP-1 phosphatase dephosphorylates it at the 5' position, in both cases limiting the production of PIP3. Genetic inactivation of PTEN, e.g. by mutation, leads to constitutive activation of the PI3K/AKT/TSC2/mTORC1 cascade (Hay et al. 2004).

AKT is a serine/threonine kinase, also known as protein kinase B, a critical downstream effector of PI3K. Mammalian cells express three Akt proteins encoded by different genes. Full activation of AKT requires AKT phosphorylation at Ser473 and Threonine (Thr308) by PI3K and PDK1 (the phosphoinositide-dependent protein kinase), respectively. AKT phosphorylates TSC2, destabilizes it and disrupts its interaction with TSC1 leading to activation of mTOR (Bai et al. 2009) (Figure 5, Figure 6).

1.2.2.2 Inhibition of mTOR by the LKB1/AMPK/TSC2 signaling pathway

The serine/threonine kinase LKB1 is a tumor suppressor gene. LKB1 is a central regulator of cell polarity and energy metabolism through its capacity to activate adenine monophosphate-activated protein kinase (AMPK). AMPK is activated in response to ATP depletion or increased AMP levels. Activation of AMPK phosphorylates and activates TSC2 inducing mTOR downregulation (Bai et al. 2009; Meric-Bernstam et al. 2009) (Figure 5, Figure 6).



**Figure 5. The mammalian target of rapamycin (mTOR) signaling**

Arrows represent activation, bars represent inhibition. mTOR signaling regulates critical cellular processes (after (Meric-Bernstam et al. 2009)).

### 1.2.2.3 Inhibition of mTOR by the tuberous sclerosis complex (TSC1/TSC2)

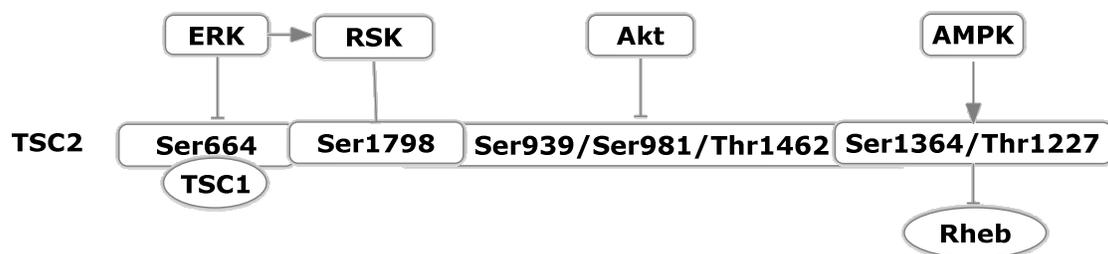
Tuberous Sclerosis Complex (TSC) is a genetic disorder that occurs upon mutation of either the TSC1 or TSC2 gene, which encodes Hamartin or Tuberin, respectively. The TSC1/TSC2 (TSC1/2) has been known as the major upstream inhibitory regulator of mTOR. TSC2 protein contains a GAP homology domain at its C-terminus. In vitro, TSC2 stimulates GTP hydrolysis of Rheb. TSC1 does not have any GAP activity and is not required for TSC2 GAP activity towards Rheb in vitro. Rheb (Ras homolog enriched in brain) is a member of the Ras family proteins. Rheb, a small GTPase that belongs to a unique family within the Ras superfamily of GTPases. The small GTPase Rheb is a positive upstream regulator of the target of mTORC1. TSC2 regulates Rheb-GTP levels. TSC2 acts as a GTPase-activating protein (GAP) for Rheb. Therefore, TSC2 inhibits Rheb activity. Rheb in its active GTP-bound state (Rheb-GTP) binds to and activates mTORC1. TSC1/2 inhibits mTORC1 activity by limiting the amount of GTP-bound Rheb available to stimulate mTORC1 (Hay et al. 2004; Bai et al. 2009).

Multiple signaling cascades converge on TSC2, leading to its phosphorylation and inactivation. TSC2 is phosphorylated by multiple kinases, including Akt, RSK1, ERK, and AMPK (Bai et al. 2009) (Figure 6).

Active Akt phosphorylates TSC2 directly on multiple sites (Ser939, Ser981, and Thr1462). Phosphorylation of TSC2 inactivates the GTPase activator domain function of TSC2, disrupts the TSC1/2 complex and stimulates activity of Rheb and mTOR (Tee et al. 2003).

Under energy starvation conditions, the AMP-activated protein kinase (AMPK) phosphorylates TSC2 at Thr1227 or Ser1345. The phosphorylation of TSC2 at these sites by AMPK improves the ability of TSC2 to inhibit mTOR activity by activating GAP activity of TSC2 (Inoki et al. 2003).

ERK, and RSK directly phosphorylate TSC2 at Ser664 and Ser1798 resulting in inhibition of TSC2 function (Bai et al. 2009).



**Figure 6. Schematic representation of TSC2/TSC1 complex regulation.**  
(after (Bai et al. 2009)).

#### 1.2.2.4 Regulation of pathways downstream of mTOR

The downstream signals of mTOR are characterized by two independent targets, p70S6K and 4EBP1/eIF4E complex.

#### 1.2.2.5 Regulation of p70S6K activation

The p70S6K is a major downstream effector of the mammalian target of rapamycin. The p70S6K is a mitogen-activated serine/threonine kinase, which plays a crucial role in the control of the cell cycle (during progression through the G1 phase), of growth and survival. The p70S6K phosphorylates the 40S ribosomal protein S6, leading to upregulation of translation and protein synthesis. The p70S6K is regulated by diverse extracellular signals. The activity of p70S6K is controlled by multiple phosphorylation events located within the catalytic, linker and pseudosubstrate domains. Activation of p70S6K depends on the level of its phosphorylation state at eight sites: Thr229, Ser371, Thr389, Ser404, Ser411, Ser418, Thr421, and Ser424. Phosphorylation of Thr229 in the catalytic domain and Thr389 in the linker domain are most critical for the kinase function. The mTORC1 complex phosphorylates p70S6K at Thr389 and Ser371. PDK1 binds to and phosphorylates p70S6K at Thr229 (Pearson et al. 1995; Pullen et al. 1997; Dufner et al. 1999). The carboxyl terminus of p70S6K has a set of Ser and Thr residues (Ser411, Ser418, Ser424, and Thr421), which might be phosphorylated by the MAP kinases ERK1/2 and p38 (Mukhopadhyay et al. 1992).

### 1.2.2.6 Regulation of the 4E-BP1/eIF4E complex

The 4E-BP1 is a downstream component of the mTOR pathway. 4E-BP1 is a protein identified as a repressor of the cap-binding protein (eIF4E). 4EBP1 binds to eIF4E, which prevents the formation of the active eIF4F complex (eIF4A-eIF4G-eIF4E complex). Hyperphosphorylation of 4EBP1 by mTOR results in the release of eIF4E, thus, allowing the translation complex to assemble (Hay et al. 2004). Downregulation of mTOR induces hypophosphorylation of 4E-BP1 leading to 4E-BP1 binding to eIF4E, and inhibition of cap-dependent translation.

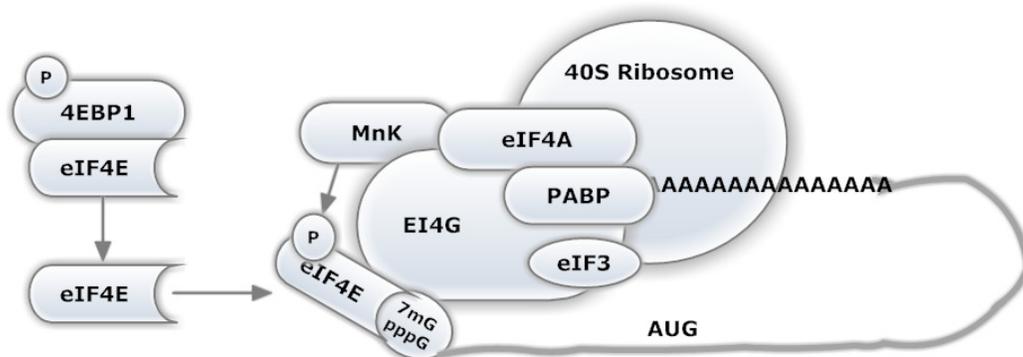
Eukaryotic translation initiation factor 4E (eIF4E), the mRNA 5'-cap-binding protein, is a central component in the initiation and regulation of translation in eukaryotic cells. EIF4E binds to the mRNA cap structure to mediate the initiation of translation. EIF4E is implicated as important regulator of translation, which plays a crucial role in the malignant transformation, progression, and chemoresistance of many cancers. Elevated eIF4E levels correlate with poor prognosis in many cancers including prostate cancer (De Benedetti et al. 2004). The level of free eIF4E might be raised due to increased eIF4E expression or increased phosphorylation and expression of 4EBP1 (De Benedetti et al. 2004).

Mnk1 phosphorylates eIF4E on its physiological site Ser209 only when eIF4E binds to eIF4F complex (Ross et al. 2006). The relation between the phosphorylation of eIF4E and protein translation is controversial. Thus, it has been reported that phosphorylation of eIF4E has either stimulatory (Waskiewicz et al. 1999) or inhibitory (Knauf et al. 2001), or has no effect at all (Morley et al. 2002) on protein translation. In addition, biophysical studies elucidated that phosphorylation of eIF4E decreases its cap-binding affinity, increasing the rate of eIF4E-m<sup>7</sup>GTP cap complex dissociation (Scheper et al. 2002). However, this could depend on the cell type and the experimental condition used. This controversial situation somehow seem to indicate that phosphorylation of eIF4E may not be necessary for the assembly of the eIF4E complex or the general protein translation. However, it has been clearly shown that inhibition of mTOR by rapamycin or other compounds in vitro and vivo increases the phosphorylation status of eIF4E (Tee et al. 2000; Sun et al. 2005; Wang et al. 2007;

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Chen et al. 2009; Zhu et al. 2009). Combination of rapamycin and inhibitors against MnK, ERK, p38 MAPK or PI3K revealed that upregulation of eIF4E phosphorylation depends on PI3K signaling and is independent of the MnK signaling pathway (Sun et al. 2005). Knockdown of the MnK1 expression decreased the basal level of p-eIF4E but could not prevent its phosphorylation being increased by rapamycin. Knockdown of mTOR (mTOR siRNA) or raptor (raptor siRNA), yet not rictor (rictor siRNA) hindered the phosphorylation of eIF4E by rapamycin (Wang et al. 2007). This indicates that rapamycin induced eIF4E phosphorylation depends on the presence of mTOR.



**Figure 7. Mechanism of translation initiation**

Hypophosphorylated 4EBP1 sequesters EIF4E. Hyperphosphorylation of 4EBP1 results in the dissociation of 4EBP1 from EIF4E and the subsequent association of EIF4E with EIF4G. EIF4G functions as a scaffolding protein that assembles translation factors required for efficient translation initiation. These include the RNA helicase eIF4A, the poly (A)-binding protein (PABP), and eIF3, which recruits the 40S ribosome to the 5' end of the mRNA. 4EBP1 and eIF4G have overlapping binding sites in eIF4E and, therefore, compete for binding to eIF4E (after (Wang et al. 2008)).

Cyclin D1 is considered to be the prime downstream target protein for eIF4E-dependent protein translation. Expression of eIF4E significantly correlates with increased cyclin D1 protein translation. eIF4E enhances nuclear export of cyclin D1 mRNAs (Mamane et al. 2004; Culjkovic et al. 2005). Targeting eIF4E with antisense oligodeoxynucleotides (ASO) or ribavirin diminished the expression of eIF4E-dependent proteins such as cyclin D1. Additionally, it has been shown that inhibition of mTOR signaling was associated with reduction of cyclin D1 expression (Dong et al. 2005; Averous et al. 2008; Yu et al. 2008).

Cyclin D1 has been shown to be implicated in several cancer types. Cyclin D1 is a key regulator of the G<sub>1</sub> phase of the cell cycle, which drives cells through the G<sub>1</sub>/S phase transition (Stacey 2003). Downregulation of cyclin D1 function results in cell cycle arrest in G<sub>0</sub>/G<sub>1</sub> cell cycle phase.

### **1.2.2.7 Regulation of protein serine/threonine phosphatase**

mTOR directly phosphorylates p70S6K and 4EBP1, and also indirectly increases their phosphorylation by inhibition of protein serine/threonine phosphatase (Dufner et al. 1999).

The two main classes of serine/threonine protein kinases, PP1 and PP2A, are extensively involved in many signaling pathways. Treatment of cells with phosphatase inhibitors calyculin A prevents 4EBP1 dephosphorylation. At the same time, inhibition of p70S6K activity by rapamycin, curcumin or amino acid deprivation requires phosphatase activity (Peterson et al. 1999; Yu et al. 2008). The serine/threonine phosphatase PP2A is a prime candidate for such a mTOR-dependent phosphatase. PP2A dephosphorylates p70S6K in vitro and associates with full-length p70S6K, rather than the rapamycin-resistant N- and C-terminal truncated p70S6K mutant (Peterson et al. 1999).

The role of phosphatase in mTOR signaling has been previously identified. Tap42, a protein phosphatase 2A (PP2A) regulatory subunit, is essential for mTOR-mediated signaling in yeast. Tap42 interacts with the catalytic subunits of type 2A phosphatase (PP2Ac), including Pph21 and Pph22, and several 2A-like phosphatases, such as Sit4, Pph3 and Ppg1. In cells under poor nutrient conditions, Tap42 is dissociated from the phosphatases. However, even under optimal growth conditions, Tap42 associates only with a small portion of the phosphatases. For instance, only 5–10% of Sit4 and PP2Ac is found to associate with Tap42 in actively growing cells. Tap42 acts as a phosphatase inhibitor, which binds and inhibits phosphatases in response to mTOR signaling activity (Di Como et al. 1996; Yan et al. 2006).

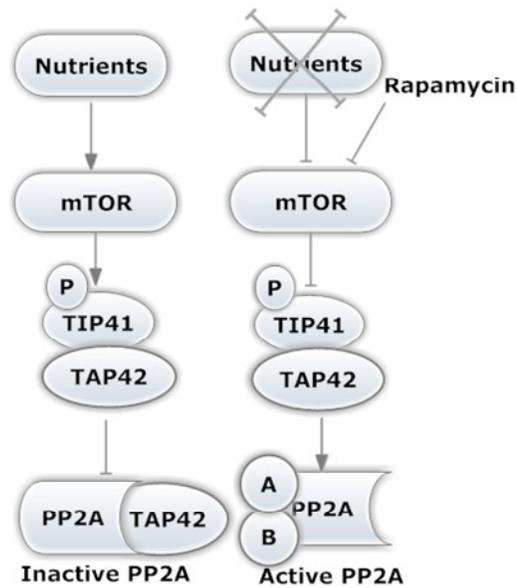
In more details, mTOR in the presence of sufficient nutrients directly phosphorylates TIP41, which causes release of TAP42. TAP42 can now bind to the catalytic subunit

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of PP2A type phosphatases (Sit4, PPh21/22). Association of TAP42 with PP2A prevents its binding to the regulatory subunits A and B, and, thus, leads to inhibition of PP2A downstream phosphorylation. In the absence of nutrients or in the presence of rapamycin, mTOR does not phosphorylate TIP41. TIP41 can now bind to TAP42 and this binding prevents the association of TAP42, leading to binding of PP2A with subunits A and B and dephosphorylation of downstream targets. This results in inhibition of translation and transcription (Rohde et al. 2001)(Figure 8).

Mammalian cells also contain a homolog of Tap42, the  $\alpha 4$  protein, which associates with PP2A phosphatases and modifies the substrate specificity of PP2A (Murata et al. 1997). The regulation of this complex may differ from that in yeast, as a recent study has suggested that PP2A is the target of mTOR. The p70S6K was found in a complex with a fraction of PP2A, and a model has been proposed, by which mTOR phosphorylation of PP2A results in phosphatase inactivation that prevents p70S6K dephosphorylation (Peterson et al. 1999; Rohde et al. 2001). In addition, TAP42 and  $\alpha 4$  protein interfere with PP2A in vitro inducing dephosphorylation of 4EBP1 (Nanahoshi et al. 1998). On another hand, rapamycin treatment neither induced restoration of the phosphatase activity of PP2A nor did it cause dissociation of alpha4 and Tap42 from PP2A (Nanahoshi et al. 1998).



**Figure 8. Model for mTOR regulation of PP2A activity**

In the presence of sufficient nutrients mTOR directly phosphorylates TIP41, which causes release of TAP42. TAP42 can now bind to the catalytic subunit of PP2A type phosphatases (Sit4, PPh21/22). Association of TAP42 with PP2A prevents its binding to the regulatory subunits A and B, thus, leading to inhibition of PP2A downstream phosphorylation. In the absence of nutrients or in the presence of rapamycin, mTOR does not phosphorylate TIP41. TIP41 can now bind to TAP42 and this binding prevents the association of TAP42 leading to binding of PP2A with subunits A and B and dephosphorylation of downstream targets resulting in inhibition of translation and transcription (after (Rohde et al. 2001)).

### **1.2.3 mTOR related diseases and the challenges associated with targeting mTOR**

Recent studies confirmed that the mTOR signaling pathway involved in various pathological disorders. The importance of the mTOR pathway in different human diseases including cancer is due to its elevated activity and the biological effects of its downstream target proteins, many of which promote cell survival, proliferation and growth. Therefore, the development of small molecules, which modulate the mTOR pathway, has translational potential into therapy.

Typically, mTOR hyperactivation is caused by inactivating mutations of certain suppressors genes in the mTOR signaling pathway like the TSC1/TSC2 complex, LKB1 or PTEN, resulting in mTOR-dependent cell growth.

The macrolide rapamycin is the classical inhibitor of the mTOR signaling pathway. The compound possesses immunosuppressive, antifungal and antitumor properties.

#### **1.2.3.1 Tuberous sclerosis complex (Hamartomas)**

Tuberous sclerosis complex (TSC) is an autosomal dominant multisystem disorder characterized by widespread hamartomas in several organs, including the brain, heart, skin, kidney, lung, and liver. TSC is caused by heterozygous mutations in the TSC1 or TSC2 gene (Kwiatkowski et al. 2005).

Hamartomas are benign focal tumour-like malformations. Hamartomas are defined as lesions that are (1) commonly present at birth, but can also be acquired (2) and are composed of aberrant mature or nearly mature structures. Unlike neoplasms, hamartomas lack the ability to grow continuously, resulting in a self-limiting proliferation. Hamartomas tend to originate from the lung, liver, spleen, kidney, and intestine, but uncommon examples have been noted in various other organs. Cells lacking TSC1/TSC2 exhibit activation of the mTOR signaling pathway (Chan et al. 2004; Kwiatkowski et al. 2005), which is thought to contribute to the clinical syndromes in TSC (Chan et al. 2004). Preclinical data and phase I/II clinical trials

suggest that the use of mTOR inhibitors is beneficial in TSC patient (Lee et al. 2005; Sampson 2009).

### **1.2.3.2 Hamartoma related syndromes**

Cowden disease, Bannayan-Riley-Ruvalcaba syndrome (BRRS), proteus syndrome and Lhermitte-Duclos disease are hamartoma syndromes that share similarity to TSC, although they result from inactivating mutation in the tumor suppressor PTEN. PTEN-deficiency results in TSC1/TSC2 inhibition and subsequent hyperactivation of mTORC1. Recent studies indicated that mTOR inhibition with rapamycin may represent a suitable therapeutic option for the chemoprevention and treatment of Cowden disease patients and other tumor syndromes that involve defective PTEN function (Inoki et al. 2005; Squarize et al. 2008).

Peutz-Jeghers syndrome (PJS) is associated with hamartomas in the gastrointestinal tract. Recently, PJS was linked to the TSC-mTOR pathway. The gene mutated in this syndrome, STK11, encodes a protein kinase that phosphorylates and activates LKB1- AMPK signaling, an essential positive regulator of the TSC1/TSC2 complex. Recently it has been shown that rapamycin effectively suppresses Peutz-Jeghers polyposis in a mouse model, suggesting that rapamycin or its analogues may represent a new targeted therapy for the treatment of PJS (Inoki et al. 2005; Wei et al. 2008).

### **1.2.3.3 Polycystic kidney disease**

Polycystic kidney disease (PKD) is generally a late-onset multisystem disorder characterized by large cysts in one or both kidneys and a gradual loss of normal kidney tissue, which can lead to chronic renal failure. The most common form is autosomal dominant polycystic kidney disease (ADPKD). ADPKD is caused by mutations in the PKD1 and PKD2 gene, which encode polycystin-1 (PC-1) and -2 (PC-2), respectively. TSC2 gene and PDK1 gene genes are located in close vicinity on the human chromosome 16 in a tail-to-tail orientation. Genetic molecular analysis has revealed that, in the majority of cases of severe polycystic kidney disease in

TSC revealed large deletions of chromosome 16 affecting both the TSC2 and the PKD1 gene. Indeed, TSC1 inactivation in the kidney results in massive renal cystogenesis (Boletta 2009).

In animal models for ADPKD, hyperactivation of mTOR was observed and mTOR inhibition could indeed retard cyst formation and progression of renal failure, which suggests that mTOR activity is the molecular mechanism underlying renal cyst formation (Tao et al. 2005). Recently, hyperactivated mTOR was found in cyst-lining epithelial cells in human ADPK patients and it was shown that treatment of human ADPKD transplant-recipient patients with rapamycin results in a significant reduction in native polycystic kidney size (Shillingford et al. 2006). It has also been recently demonstrated that rapamycin might be an effective therapeutic option for ADPKD patients that are prone to progress to end-stage renal disease (Serra et al. 2007).

### **1.2.3.4 Neurodegenerative disorders**

It has been shown that the mTOR signaling pathway is associated with many neurological disorders (mental retardation syndromes, autism, Alzheimer's, Huntington's and Parkinson's diseases) (Swiech et al. 2008; Hoeffler et al. 2010)

Autophagy is an intracellular bulk degradation process through which a portion of the cytoplasm is delivered to lysosomes for degradation. Thus, autophagy is the protective mechanism by which our body can fight against these neurodegenerative diseases, because these diseases develop from intracellular protein aggregations associated with mutant proteins (Mizushima 2005; Hara et al. 2006).

Interestingly, induction of autophagy by inhibition of the mTOR signaling pathway enhances the autophagic clearance of protein aggregates (Hara et al. 2006).

In a mouse model of Huntington disease, rapamycin attenuates huntingtin accumulation and cell death, Furthermore, rapamycin protects against neurodegeneration in a fly model of Huntington disease, and the rapamycin analog CCI-779 improved the performance on four different behavioral tasks and decreased

the aggregate formation in a mouse model of Huntington's disease (Ravikumar et al. 2004).

Moreover, treatment of a mouse model for Parkinson's disease with rapamycin prevents the development of dyskinesia (L-DOPA-motor side effect). Thus, mTOR inhibitors represent a promising target for the design of anti-parkinsonian therapies (Santini et al. 2009).

### **1.2.3.5 Cancer**

The term cancer designates diseases with uncontrolled cell division resulting in local tumor or neoplasia formation. Cancer cells can also spread to other parts of the body through the blood or lymphatic systems.

Tumor suppressor genes are normal genes that slow down cell division, repair DNA, and eventually induce cells to die (a process known as apoptosis or programmed cell death). When tumor suppressor genes do not work properly, cells may grow out of control, leading to cancer. Many different tumor suppressor genes have been identified, including PTEN, p53, BRCA1, BRCA2, APC, and RB1.

Increased mTOR signaling pathway activity can occur by a number of mechanisms. A common mechanism is the loss of function of the tumor suppressor gene PTEN by mutation, deletion or silencing. The signaling pathways that regulate mTOR activity are frequently activated in human cancers (Sawyers 2003; Wan et al. 2007) (Table 2). The main mechanism by which mTOR can contribute to cancer development is through its effects on cell cycle progression and its anti-apoptotic activity. mTOR is required for cell cycle progression, and inhibition of mTOR activity by rapamycin arrests cells in the G<sub>1</sub> phase of the cell cycle. Expression of a rapamycin-resistant mutant of mTOR reduces the effect of rapamycin on the cell cycle progression. There is evidence that the effect of rapamycin on the cell cycle progression occurs by the inhibition of the downstream effectors of mTOR, p70S6K, and eIF4E. Moreover, inhibition of mTOR drives the cell into apoptosis. Many proteins in mTOR signaling pathway have already been implicated in cancer (Sawyers 2003; Wan et al. 2007). Therefore, the development of anti-cancer drugs related to the mTOR

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pathway is considered to be very promising. Thus, clinical trials have already been started or conducted using mTOR inhibitors such as rapamycin, RAD001, CCI-799 and AP23579, for cancer treatment.

In clinical trials, rapamycin and three rapamycin analogues, CCI-779 (Temsirolimus), RAD001 (Everolimus), and AP23573 (Deforolimus) have been assessed for their efficacy as anticancer agents (Wan et al. 2007). Rapamycin tested in recent clinical studies for treatment of patients with recurrent PTEN-deficient glioblastoma, phase 1 clinical trial (Cloughesy et al. 2008). Rapamycin analogues were used in clinical trials for treatment of different cancers type (Table 3). Rapamycin and RAD001 can be administered orally. Yet, pharmacokinetic studies showed that both drug have low bioavailability. Therefore, the use of rapamycin as anticancer might be impractical, because of its poor water solubility and stability in solution. On the other hand, CCI-779 and AP23573 can be administered intravenously. CCI-779 was recently approved by U.S Food and Drug Administration (FDA) for treatment of renal cell carcinoma (Ballou et al. 2008; Morgan et al. 2009).

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**Table 2. Proteins in the mTOR signaling pathway already implicated in cancer  
(Sawyers 2003; Wang et al. 2007)**

Protein	Dysfunction	Type of cancer
<b>Upstream of mTOR</b>		
<b>PTEN</b>	Mutation, deletion, or promoter methylation	Brain, bladder, breast, prostate, endometrial cancer, and glioplastoma
<b>Akt</b>	Gene amplification	Gastric adenocarcinoma, ovarian, breast, and pancreatic cancers
<b>TSC1/TSC2</b>	Gene mutation	TSC syndrome
<b>Downstream of mTOR</b>		
<b>eIF4E</b>	Gene amplification; protein over expression	Many human cancer types
<b>p70S6K</b>	Gene amplification	Breast, ovarian cancers
<b>Cyclin D1</b>	Gene amplification	Mantle cell lymphoma and breast cancer
<b>Myc</b>	Gene amplification	Burkitt's lymphoma  Other Myc-driven cancer
<b>HIF</b>	Gene amplification	Kidney cancer

**Table 3. Clinical trials with mTOR inhibitors as anticancer agents (Wan et al. 2007; Cloughesy et al. 2008)**

Compound	cancer	Trial phase
<b>Rapamycin</b>	Recurrent PTEN-deficient glioblastoma	Phase I
<b>CCI-779</b>	Refractory renal cancer, advanced breast cancer, mantle cell lymphoma, recurrent glioblastoma, advanced renal cancer	Phase II
<b>RAD001</b>	Advanced solid cancer and metastatic renal cancer	Phase I
<b>AP23574</b>	Advanced sarcomas and relapsed hematologic cancer	Phase II

### 1.2.3.6 Other disorders and drug resistances

The mammalian target of rapamycin (mTOR) acts as a central regulator of ribosome biogenesis, protein synthesis, cell growth, cell survival, cytoskeletal organization and most cell activities.

Dysregulation of mTOR signaling has been reported to be involved in cardiac hypertrophy (Inoki et al. 2005). It also plays an important role in metabolic disorders like obesity, type 2 diabetes, non-alcoholic fatty liver disease and Niemann-Pick type C (NPC) disease (Pacheco et al. 2008; Laplante et al. 2009).

A recent animal study revealed that the mTOR signaling pathway links diet-induced obesity with vascular senescence and cardiovascular diseases (Wang et al. 2009). Moreover, it has been shown that inhibition of the mTOR signaling pathway extended the lifespan in invertebrates (yeast, nematodes and fruits) and also in mammalian species (mice) (Harrison et al. 2009). Thus, the mTOR signaling pathway appears to be a key target to control many diseases.

Previous studies showed that the mTOR signaling pathway is implicated in the resistance to many anticancer drugs such as retinoic acid, vincristine and trastuzumab (Jiang et al. 2008)

### **1.3 Apoptosis and mTOR signaling**

Apoptosis is the balance between pro- and antiapoptotic proteins. Defects in the apoptotic machinery are mainly due to either overexpression of antiapoptotic proteins (i.e. Bcl-2, Bcl-xL and survivin) or decrease of proapoptotic proteins (i.e. BAX and BAK, NBK/Bik, BAD, Par-4, Bim, cytochrome c, apoptosis-inducing factor (AIF)) resulting in uncontrolled growth and proliferation.

Many studies showed that activation of the mTOR signaling pathway is implicated in a decreased expression of proapoptotic proteins. Consistently, mTOR inhibitors induced apoptosis in different cell lines through upregulation of proapoptotic proteins and at the same time downregulation of antiapoptotic proteins. (Shinjyo et al. 2001; Tirado et al. 2005; Freilinger et al. 2006; Yan et al. 2006; Zhang et al. 2007; Wangpaichitr et al. 2008; Hayun et al. 2009).

Eukaryotic initiation factor 4E (eIF4E), downstream of mTOR, is responsible for cap-dependent translation and exhibits anti-apoptotic activity (Mamane et al. 2007). Consistently, it has been shown that eIF4E mediates resistance to apoptosis via increases cap-dependent translation (Herbert et al. 2000; Larsson et al. 2006). Additionally, it has been shown that targeting eIF4E with specific RNAi or eIF4E-binding peptides is able to induce apoptosis (Herbert et al. 2000; Dong et al. 2009).

The main biochemical hallmark of apoptosis is activation of caspase-3. Interestingly, caspase-dependent apoptosis is an important mechanism of cell death when the rapamycin derivative RAD001 is combined with 3 Gy radiations (Albert et al. 2006). Additionally, rapamycin can induce caspase-3 activation and induce apoptosis dependent on caspase-3 activation (Zhang et al. 2006).

All these findings indicate that the mTOR signaling pathway is an important target for the development of novel drugs for diseases-associated with apoptosis defect.

### 1.4 Aims of the study

We have previously shown that the pentacyclic triterpenoid acetyl-11-keto- $\beta$ -boswellic acid (AK $\beta$ BA) induces apoptosis in vitro and in vivo (Syrovets et al. 2005). In comparison to AK $\beta$ BA, the deacetylated derivative 11-keto- $\beta$ -boswellic acid (KBA) showed a decreased activity, pointing to the importance of the acetyl group at carbon number 3 of ring A.

The aim of present study was to,

- i) synthesize a new ester derivative of KBA with enhanced proapoptotic activity,
- ii) Clarify the putative antiproliferative effect of the new compound,
- iii) Shed light on the type of cell death induced, and to
- iv) Elucidate the mechanism behind this cell death.

## 2. MATERIALS and METHODS

### 2.1 Synthesis of 3-cinnamoyl-11-keto- $\beta$ -boswellic acid (C-K $\beta$ BA)

#### Materials

The synthesis of the corresponding new substance followed a common published method for esterification (Steglich reaction) (Neises et al. 1978), whereas the starting compound 11-keto- $\beta$ -boswellic acid was extracted from a commercial oleogum resin of *Boswellia carterii* (Caesar & Lorentz GmbH; Hilden, Germany; batch number 72598287, tested corresponding to EB6) according to the method of Winterstein and Stein (Winterstein et al. 1932). The identity of the isolated 11-keto- $\beta$ -boswellic acid was verified by MS and NMR analysis as described (Büchele et al. 2003). Cinnamic acid (19 mg, 84  $\mu$ mol), 11-keto- $\beta$ -boswellic acid (20 mg, 42  $\mu$ mol), dicyclohexylcarbodiimide (17 mg, 84  $\mu$ M) and dimethyl amino pyridine (4 mg, 21  $\mu$ mol) were dissolved in 5 ml dry dichloromethane. This solution was stirred for 17 h at room temperature. The end of the reaction was controlled by a specific thin layer chromatography separation system. The precipitate was separated by filtration and the filtrate was evaporated with argon to dryness. After the residue was redissolved in methanol, 3-cinnamoyl-11-keto- $\beta$ -boswellic acid was precipitated by addition of water. The precipitate was washed with water and purified by semipreparative reversed phase HPLC to chemical homogeneity (Belsner et al. 2003). The yield of the reaction was 70%. Mass spectra were recorded with a Finnigan SSQ 7000 single-stage-quadrupole mass spectrometer in negative chemical ionization (CI) mode. The scanned mass range was 10u to 2000u. 1-D-NMR and 2D-NMR spectra were recorded on a Bruker AVANCE 400 with a 5 mm QNP  $^1\text{H}/^{13}\text{C}/^{31}\text{P}/^{13}\text{F}$  NMR probe and 5 mm BBI  $^1\text{H}$ -BB z-GRD NMR probe, respectively.

### 2.2 Cell culture

#### Materials

Androgen-independent PC-3	ATCC (Teddington, UK)
Androgen-independent PC-3 PTEN	Professor Derek LeRoith, Professor of Medicine, Endocrinology Diabetes and Bone Disease Director of Metabolism Mount Sinai School of Medicine, New York City
Androgen-dependent LNCaP	ATCC (Teddington, UK)
Androgen-independent DU 145	ATCC (Teddington, UK)
Epithelial cell from normal prostate (RWPE-1)	ATCC (Teddington, UK)
Breast cancer cell line MDA-MB-231	ATCC (Teddington, UK)
Mouse embryonic fibroblasts MEFs (TSC <sup>-/-</sup> ) MEFs (TSC <sup>+/+</sup> )	Professor David J. Kwiatkowski, Professor of Medicine, Brigham and Women's Hospital, Harvard Medical School
F-12K medium	Invitrogen, Karlsruhe, Germany
RPMI 1640 medium	PAA Laboratories GmbH, Austria
MEM medium	Invitrogen, Karlsruhe, Germany
Keratinocyte-SFM medium	Invitrogen, Karlsruhe, Germany
DMEM medium	Invitrogen, Karlsruhe, Germany
DMEM/F-12 medium	Invitrogen, Karlsruhe, Germany
EDTA (Titrplex III)	Merk KG, Darmstadt, Germany
Penicillin/streptomycin	Invitrogen, Karlsruhe, Germany
FCS "fetal calf serum"	Seromed, Berlin, Germany

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### Protocol

### Cultivation

#### Cell lines

#### Medium

PC-3	F-12K, 10% FCS, 1X penicillin streptomycin
PC-3 PTEN	F-12K, 10% FCS, 500 µg/ ml geneticin
LNCaP	RPMI-1640, 10% FCS, 1X penicillin streptomycin, 1 mM sodium pyruvate, 2 mM L glutamate and 4.5 g/L glucose
DU 145	MEM, 10% FCS, 1X penicillin streptomycin, 1 mM sodium pyruvate, 2 mM L glutamate and 1X non-essential amino acids (NEAA)
RWPE-1	Keratinocyte-SFM, 0.05 mg/ml bovine pituitary extract (BPE), 5 ng/ml human recombinant epidermal growth factor (EGF).
MDA-MB-231	Leibovitz's L-15 Medium, 10% FCS.
MEFs (TSC <sup>-/-</sup> )	DMEM, 10% FCS, 1X penicillin streptomycin.
MEFs (TSC <sup>+/+</sup> )	and DMEM/F-12, 10% FCS, 1X penicillin streptomycin, 1 mM sodium pyruvate, 4 mM L glutamate and 4.5 g/L glucose (for XTT assay)

Each cell line was cultivated according to data sheet from the cell bank.

### Subculturing

The splitting ratio was 1:3 to 1:6 with medium renewal 2 to 3 times per week.

### Freezing and thawing

Cells ( $3 \times 10^6$ )/cryovial in 1.5 ml freezing medium (medium containing 10% DMSO) were frozen in an isopropanol box at  $-80^\circ\text{C}$  for 24 h, then stored in the vapor phase of liquid nitrogen.

The contents of a cryovial was thawed and suspended in 30 ml prewarmed complete medium. The culture was allowed to grow for at least 2 weeks before any experiments.

### 2.3 Antiproliferative effect of C-K $\beta$ BA in vitro

#### 2.3.1 Cell proliferation assay (XTT assay)

The assay is based on the measurement of the metabolization of tetrazolium salts (XTT, Roche Diagnostics) to water-soluble formazan salt by viable cells (mitochondrial reduction of tetrazolium salt).

### Materials

C-K $\beta$ BA, KBA, Methyl-KBA, AK $\beta$ BA, cinnamic acid	B. Büchele Institute of Pharmacology of Natural Products & Clinical Pharmacology, Ulm University, Germany
Akt inhibitor VIII	Calbiochem, San Diego, USA
Temsirolimus (CCI-779)	LC laboratories, Woburn, USA
Rapamycin	Sigma-Aldrich, Steinheim, Germany
Cell proliferation Kit (XTT)	Roche Diagnostics, Mannheim, Germany
Microtiter plate	BD, Falcon, San Jose, USA
ELISA reader	Dynatech MR 7000, Germany



### Protocol

PC-3 cells were harvested from cultures growing in the log-phase and were plated at a density of 3000 cells/well in a 6-well plate. After 24 h, the cells were treated with C-K $\beta$ BA or 0.5% DMSO (as control) in 1% FCS. On the third day, the medium was removed and new medium (10% FCS) was added. After 6 days, the wells were washed twice with ice-cold PBS and fixed for 10 min in 1 ml fixation solution. Colonies were stained with 1 ml staining solution for 10 min. The staining solution was removed and the wells were washed with water to remove excess dye, and dried at room temperature overnight. Photos of the plates were taken, and the colonies were subsequently solubilized in 33% acetic acid followed by spectrophotometric analysis at 540 nm.

### 2.3.3 Antiproliferative effect of C-K $\beta$ BA in vivo

We used the chick embryo chorioallantoic membrane as a bioassay to investigate the cytotoxicity and apoptotic parameters of C-K $\beta$ BA in vivo.

### Materials

Anti Ki-67 antibody	DAKO, Glostrup, Denmark
TUNEL Kit	Roche Diagnostics, Mannheim, Germany
Anti p-p70S6K antibody	Epitomics, Hamburg, Germany

### Protocol

For the application of the compound in the xenograft model, PC-3 cells were grafted into silicone rings placed onto the chorioallantoic membrane (CAM) of chicken eggs. The fertilized chicken eggs were incubated at 37°C at constant humidity (Syrovets et al. 2005). On day six,  $0.7 \times 10^6$  PC-3 cells in the log growth phase were seeded in 20  $\mu$ l medium/Matrigel (1: 1, v/v) in 6 mm silicone ring were placed onto the CAM. Starting from day 2 after seeding, the cells were topically treated once daily either

with 20  $\mu$ l compound or 0.5% DMSO alone (control). On day 12, the xenografts were histologically analyzed.

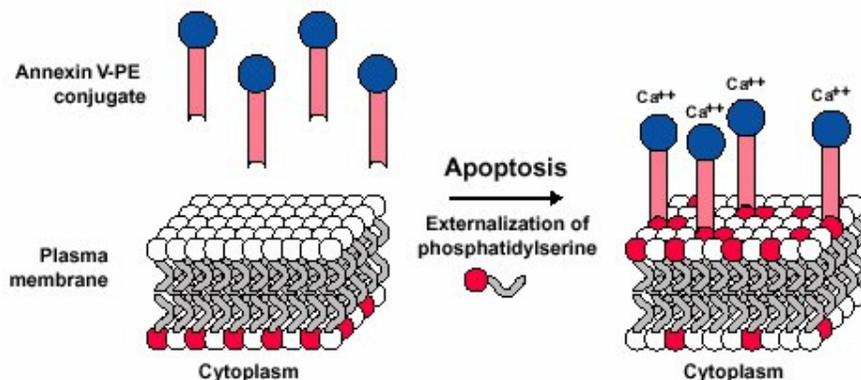


**Figure 9.** Application of compounds onto the chorioallantoic membrane (CAM) of a fertilized chicken egg.

## 2.4 Analysis of apoptosis parameters

### 2.4.1 Expression of phosphatidylserine on the cell surface

Apoptosis is characterized by a variety of morphological features such as loss of membrane asymmetry and attachment, condensation of the cytoplasm and nucleus, and internucleosomal cleavage of DNA. One of the earliest indications of apoptosis is the translocation of the membrane phospholipids' phosphatidylserine (PS) from the inner to the outer leaflet of the plasma membrane. Once, it is exposed to the extracellular environment, binding sites on PS become available for annexin V, a 35-36 kDa,  $\text{Ca}^{2+}$ -dependent, phospholipid-binding protein with a high affinity for PS.



**Figure 10. Schematic representation of phosphatidylserine (PS) phospholipid flipping to the outer membrane leaflet during apoptosis and subsequent binding of exogenously added annexin V to the cell surface**

([http://www.bdbiosciences.ca/canada/pharmingen/product\\_pages/annexinv/](http://www.bdbiosciences.ca/canada/pharmingen/product_pages/annexinv/))

### Materials

Annexin V-FITC	Roche Diagnostics, Mannheim, Germany
PBS (with 2 mM CaCl <sub>2</sub> )	Gibco, Karlsruhe, Germany
Propidium iodide	Sigma-Adrich, Steinheim, Germany
FACScan	Becton Dickinson, Franklin Lakes, USA

#### Citric acid solution

- 1.35 M potassium chloride
- 0.15 M sodium citrate

#### Binding buffer

- 500 ml Sterofundin (B. Braun Avitum AG, Melsungen, Germany)
- 5 ml 1 M HEPES

### Protocol

PC-3 cells were exposed to the desired concentrations of C-K $\beta$ BA for 24 h. Subsequently both, floating and adherent cells, were collected. The floating cells were collected by centrifugation at 400 x g for 5 min, whereas adherent cells were harvested by citric acid solution and collected by centrifugation at 400 x g for 5 min. The pooled cells were resuspended in F-12K medium (10% FCS) and incubated for 20 min at 37°C. Then the cells were collected by centrifugation at 400 x g, resuspended in 100  $\mu$ l of binding buffer, and mixed with annexin V-FITC and propidium iodide according to the manufacturer's instructions. In cells undergoing apoptosis annexin V binds to PS, which is translocated from the inner to the outer leaflet of the cytoplasmic membrane. Double staining is used to distinguish between viable, early apoptotic and necrotic or late apoptotic cells. Untreated control cells were also included in the analysis. Annexin V-FITC signals were recorded in FL1-H and propidium iodide in FL2-H. Cells in the bottom left quadrant (annexin V-negative, propidium iodide-negative) are viable, whereas cells in the right lower quadrant (annexin V-positive, propidium iodide-negative) are in the early stages of apoptosis, and the cells in the top right quadrant (annexin V-positive, propidium iodide-positive) are in later stages of apoptosis and necrosis.

### 2.4.2 Measurement of caspase activity

Caspases (cysteine-aspartic acid proteases) are a family of cysteine proteases, which play essential roles in apoptosis. A member of this family, caspase 3 (CPP32, apopain, YAMA) has been identified as a key effector caspase of apoptosis of mammalian cells. Caspase 3 activity was determined by proteolytic cleavage of the fluorogenic substrate (Z-DEVD-R110), which leads to formation of a fluorescent product that can be measured fluorimetrically.

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### Materials

#### Fluorogenic substrate

Z-DEVD-R110 substrate

Molecular Probes/Invitrogen,  
Karlsruhe, Germany

QuantaMaster™ UV VIS  
spectrofluorometer

Photon Technology International,  
Birmingham, NJ, USA

#### 20x Cell lysis buffer

200 mM Tris-HCl, pH 7.5

2 M NaCl, 20 mM EDTA

0.2% TRITON X-100

#### 5x Reaction buffer

50 mM PIPES, pH 7.4

10 mM EDTA

0.5% CHAPS

#### 1 M DTT

### Protocol

PC-3 cells ( $1 \times 10^6$ ) cultivated in F-12K medium for 24 h were treated with or without different concentrations of C-K $\beta$ BA for 24 h, collected, and caspase activity was analyzed according to the manufacturer's protocol. Briefly, PC-3 cells were resuspended in 50  $\mu$ L 1x cell lysis buffer on ice. After 30 min, 50  $\mu$ L 2x reaction buffer (containing 10 mM DTT and 50  $\mu$ M caspase substrate) was added and incubated for 30 min at room temperature. The samples were measured with a fluorescence spectrophotometer (496 nm/520 nm).

### 2.4.3 Measurement of DNA fragmentation

DNA fragmentation is a key feature of programmed cell death. Apoptosis is characterized by the activation of endogenous endonucleases with subsequent cleavage of chromatin DNA into internucleosomal fragments of 180-200 BP and multiples thereof.

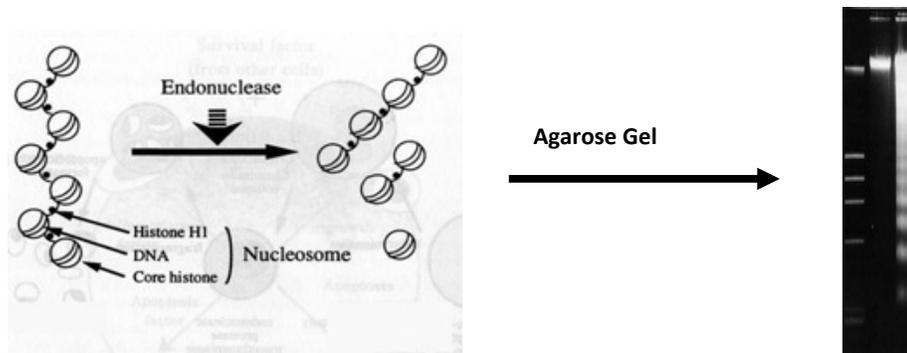


Figure 11. Scheme of the DNA fragmentation process and its analysis

#### Materials

PBS	Gibco, Karlsruhe, Germany
RNase A	Sigma-Aldrich, Steinheim, Germany
Proteinase K	Sigma-Aldrich, Steinheim, Germany
Agarose	Invitrogen, Karlsruhe, Germany
Photo apparatus easy 429	Herolab, Wiesloch, Germany
UV-Photometer	Herolab, Wiesloch, Germany
Electrophoresis apparatus	Biometra, Göttingen, Germany

#### Genomic DNA extraction buffer

5 mM Tris-HCl, pH 8.0

20 mM EDTA

0.8% (w/v) SDS

### Electrophoresis buffer

TBE (Tris/borate/EDTA) buffer, 5x  
445 mM Tris base  
445 mM boric acid  
10 mM EDTA, pH 8.0

### 6x Loading buffer

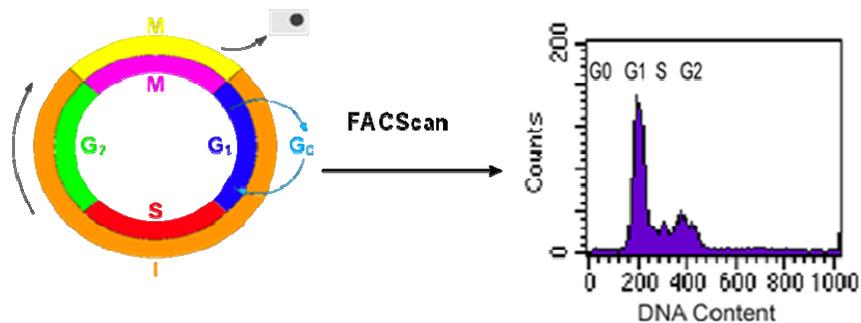
3 ml glycerol (30%)  
25 mg bromophenol blue (0.25%)  
dH<sub>2</sub>O to 10 ml

### **Protocol**

PC-3 cells were cultured for 24 h in F-12K medium, 10% FCS, and then treated with or without C-K $\beta$ BA in medium/1% FCS for 96 h with daily medium changes. Floating cells were collected by centrifugation, washed with PBS, and lysed with 20  $\mu$ l genomic DNA extraction buffer with 2  $\mu$ l RNase (50 mg/ml) at 37°C for 30 min with shaking, then 10  $\mu$ l proteinase K (20 mg/ml) were added, and incubated at 50°C for 90 min with shaking. Then genomic DNA extractions were analyzed by electrophoresis on a 1.5% agarose gel run at 50 V for 4 h. After staining with ethidium bromide (1  $\mu$ g/ml), the presence of DNA ladders could be visualized under UV light.

### **2.5 Cell cycle analysis**

The DNA contents of cells can provide information about the cell cycle and consequently the effect of added stimuli on the cell cycle, e.g. after drug treatment. The most commonly used DNA dye is propidium iodide, which intercalates in the DNA helix and fluoresces strongly red. Propidium iodide is excited at 488 nm, and can be analyzed on most common flow cytometers. Propidium iodide also stains double-stranded RNA. Therefore, the latter has to be removed with ribonucleases. The progression of cells through the cell cycle was examined using flow cytometry.



**Figure 12. Scheme of flow cytometric cell cycle analysis**

(Modified: [http://upload.wikimedia.org/wikipedia/commons/2/22/Cell\\_Cycle\\_2.png](http://upload.wikimedia.org/wikipedia/commons/2/22/Cell_Cycle_2.png))

**Materials**

Ethanol	Merck, Darmstadt, Germany
PBS	Gibco, Karlsruhe, Germany
Propidium iodide	Sigma-Aldrich, Steinheim, Germany
RNase A	Sigma-Aldrich, Steinheim, Germany
Flow cytometry	FACScan, Becton Dickinson, Franklin Lakes, NJ, USA
CellQuest software	Becton and Dickinson, Franklin Lakes, NJ, USA
Modfit software	Becton and Dickinson, Franklin Lakes, NJ, USA

Propidium iodide staining buffer

- 1x PBS
- 50 µg/ml propidium iodide
- 40 µg/ml DNase-free RNase A

### Protocol

Cells were either left untreated or treated with C-K $\beta$ BA for 24 h in 1% FCS medium, then harvested by trypsinization, collected by centrifugation 400 x g for 5 min, fixed in 70% ethanol ( -20°C overnight) and washed once with PBS. After centrifugation, the cells were resuspended in 1 ml of propidium iodide solution. The cells were incubated at room temperature for 30 min in the dark, and the DNA contents were analyzed using the flow cytometer and CellQuest software. The data were analyzed with Modfit software.

### 2.6 Protein phosphatase assay

Serine/threonine phosphatase activity was determined using malachite green phosphatase assay. This assay is a non-radioactive serine/threonine phosphatase assay, which measures free inorganic phosphate (Pi) that is released from a phosphopeptide substrate. The free inorganic phosphate reacts with malachite green to form an ammonium molybdate-malachite green phosphate complex, which absorbs at or near 620 nm.

### Materials

Protein phosphatase peptide substrate	Enzo Life Sciences GmbH, Lörrach Germany
H-Arg-Arg-Ala-pThr-Val-Ala-OH, (RRApTVA, K-R-pT-I-R-R)	
Protease inhibitor cocktail (P1860)	Sigma-Aldrich, Steinheim, Germany

#### Malachite green solution A

10 mM ammonium molybdate,  
1 N HCl  
3.4% ethanol  
0.034% malachite green

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### Phosphatase lysis buffer

20 mM HEPES (pH 7.4)  
0.1% NP-40  
0.1 mM MgCl<sub>2</sub>  
1 mM EGTA  
30 mM β-mercaptoethanol (BME)  
1 mM phenylmethylsulfonyl  
fluoride (PMSF)  
Protease inhibitor cocktail  
Freshly added 5 μl PMSF, 10 μl  
protease inhibitor cocktail and 2.4 μl  
BME to 1 ml buffer

### Malachite green additive (solution B)

1% Tween®-20

### Phosphate assay buffer

50 mM Tris-HCl (pH 7.0) and 0.1 mM  
EDTA  
200 μM phosphopeptide substrate

### Malachite green detection solution

1 ml solution A  
10 μl solution B

### **Protocol**

PC-3 cells,  $0.2 \times 10^6$ , were cultivated in 6-well plates for 24 h in 10% FCS medium followed by overnight starvation in 0% FCS medium. The cells were pretreated with calyculin A for 20 min, then treated with C-KβBA in the presence or absence of calyculin A for 30 min. The cells were activated by 1% FCS for 30 min. After that the cells were washed with 0.9% NaCl, scraped into phosphate lysis buffer on ice, and sonicated for 8X with ultrasonicator. After centrifugation at 2000 g at 4°C for 5 min, the supernatants were used for phosphatase assay. Cell lysates (5 μl) were diluted in 20 μl phosphatase assay buffer at room temperature for 5 min. The reaction was terminated by adding 100 μl malachite green detection solution and 15 min later the absorbance at 630 nm was measured and corrected by subtracting the reading of the bank without lysates.

### 2.7 Analysis of protein expression

Western blotting (immunoblotting) is a rapid and sensitive assay for the detection and characterization of proteins that works by exploiting the specificity inherent in antigen-antibody recognition. It involves the solubilization and electrophoretic separation of proteins, glycoproteins, or lipopolysaccharides by SDS PAGE or urea-PAGE, followed by quantitative transfer and irreversible binding to nitrocellulose, PVDF, or nylon. This technique has been useful in identifying specific antigens recognized by polyclonal or monoclonal antibodies, and is highly sensitive (1 ng of antigen can be detected).

#### Materials

Methanol	Sigma-Aldrich, Steinheim, Germany
Skim milk powder	Carl Roth, Karlsruhe, Germany
BSA endotoxin-free	Sigma-Aldrich, Steinheim, Germany
Calyculin A (CA)	Santa Cruz Biotechnology, Heidelberg, Germany
Okadaic acid (OA)	Santa Cruz Biotechnology, Heidelberg, Germany
Protease inhibitor cocktail (P1860)	Sigma-Aldrich, Steinheim, Germany
Compound C	Calbiochem/EMD Biosciences, San Diego, USA
BCA Protein Assay Kit	Pierce, Rockford, USA
Rainbow marker	Amersham Biosciences, Freiburg, Germany
PVDF membrane	Amersham Biosciences, Freiburg, Germany
Hyperfilm	Amersham Biosciences, Freiburg, Germany
ECL substrate reagent	Amersham Biosciences, Freiburg, Germany
Electrophoresis apparatus (Mini Protean II)	BioRad Laboratory, Munich, Germany
Power supply	BioRad Laboratory, Munich, Germany
Sonorex RK 100 SH	Bandelin, Berlin, Germany

### 2.7.1 Preparation of samples

#### Whole cell lysates

Cells were seeded in 9 cm plates ( $0.5 \times 10^6$ ) for 24 h, then the cells were starved using serum-free medium overnight, after that the cells were either left untreated or treated with C-K $\beta$ BA for different time point, followed by activation of the cells by 1% FCS for 30 min. Cells were harvested by scraping in the medium on ice, collected by centrifugation, 400 x g at 4°C for 5 min. The pellet was washed in ice-cold PBS. After another centrifugation, the pellet was resuspended in lysis buffer (RIPA buffer) and stored on ice for 15 min, followed by 15 min sonication on ice. The solution was cleared by centrifugation (21,000 x g at 4°C for 5 min) and the protein solution transferred to fresh eppendorf tubes. The protein solution was diluted 1: 3 with 3x sample lyses buffer, boiled at 95°C for 5 min and stored at -20°C or used directly for electrophoresis.

#### RIPA buffer:

(Radio Immuno Precipitation Assay buffer):  
150 mM Sodium chloride  
1.0% NP-40 or Triton X-100  
0.5% Sodium deoxycholate  
0.1% Sodium dodecyl sulphate (SDS)  
50 mM Tris-HCl, pH 8.0  
Add 1:100 protease and phosphatase inhibitors

#### Sample lysis buffer (3X SLB):

150 mM Tris-HCl (pH 7.0)  
12% SDS  
6%  $\beta$ -mercaptoethanol  
30% glycerol  
0.05% Coomassie Brilliant Blue

#### Cytosolic (cytoplasmic) and nuclear extracts

Cells were seeded in 9 cm Petri dishes ( $1 \times 10^6$ ) for 24 h, then the cells were starved using serum-free medium overnight, after that the cells were either left untreated or treated with C-K $\beta$ BA for 30 min, followed by activation of the cells by 1% FCS for 30 min. Cells were harvested by scraping in the medium on ice, collected by centrifugation (400 x g at 4°C for 5 min) and resuspended in 150  $\mu$ l of buffer A for 10 min. Samples were centrifuged at 3000 x g at 4°C for 5 min to collect the

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supernatants containing cytosolic proteins for determination of I $\kappa$ B- $\alpha$  by Western blot analysis. The pelleted nuclei were resuspended in 50  $\mu$ l of buffer B. After 20 min at 4°C, the samples were sonicated on ice for 10 min, then the lysates were centrifuged 25,000 x *g* at 4°C for 5, and supernatants containing the nuclear proteins were transferred to new vials. Subsequently, nuclear extractions were analyzed by Western blot against NF $\kappa$ B-p65 protein and topoisomerase (Topo) I as control for loading.

### Buffer A

10 mM HEPES (PH 7.9)  
1.5 mM MgCl  
10 mM KCl  
0.1% Igepal CA630  
0.5 mM DTT

### Buffer B

10 mM HEPES (PH 7.9)  
1.5 mM MgCl  
0.42 mM NaCl  
0.5 mM DTT  
25% glycerol  
0.2 mM EDTA

Add 1:100 protease and phosphatase inhibitors, and DTT freshly before using.

### **2.7.2 Protein determination**

The method, developed by (Smith et al. 1985), is based on the bicinchoninic acid (BCA) reaction for the colorimetric detection and quantification of total protein. This method combines the well-known reduction of Cu<sup>++</sup> to Cu<sup>+</sup> by protein in an alkaline medium (the biuret reaction) with the highly sensitive and selective colorimetric detection of the cuprous cation (Cu<sup>+</sup>) using a reagent containing bicinchoninic acid. The purple-colored reaction product of this assay is formed by the chelation of two molecules of BCA with one cuprous ion. This water-soluble complex exhibits a strong absorbance at 562 nm. The protein contents of the lysates can, thus, be quantified by comparing the absorption with a calibration curve prepared with increasing concentrations of BSA in H<sub>2</sub>O. The measurements were performed in ELISA plates with an ELISA plate reader.

### Protocol

Cell lysates were diluted 1: 10 in H<sub>2</sub>O. 100 µl of BCA working solution was added to lysate solutions and 60 min were allotted for the color shift to develop. The plates were measured at 562 nm

### 2.7.3 SDS-PAGE

The protein samples described above were separated by denaturing SDS-polyacrylamide gel electrophoresis. Sodium dodecyl sulfate (SDS) is anionic detergent that binds to the hydrophobic parts of the proteins and solubilizes them. Thereby, proteins lose secondary and tertiary structures and only retain their primary structure. SDS confers a high negative charge to the proteins proportional to the length of the amino acid chain, thus, covering any charges the proteins displayed themselves. In an electric field, the negatively charged proteins are drawn towards the anode, and they are separated solely on the basis of their size by the pores of the polyacrylamide gel. Further unfolding of the proteins is achieved by adding the reducing agent, dithiothreitol (DTT) or β-mercaptoethanol (BME), by which disulfide bonds inside the proteins are cleaved.

The molecular weight is determined by comparison with molecular weight standard mixtures.

### Protocol

All protein separations were carried out in discontinuous gel electrophoresis, where a stacking gel allows proteins to be concentrated in a line before they enter the separation gel (Table 4). The concentration of the separation gel was adjusted depending on the size of the protein to be detected (Table 5). Electrophoresis was carried out using a vertical apparatus Mini Protean II that allows two gels to be run in parallel.

Samples with equal amounts of protein and the molecular weight marker were loaded into the slots of the prepared polyacrylamide gels. Electrophoresis was run at 70 V for stacking and at 110 V for separation of the protein mixture.

**Table 4. Composition of SDS-PAGE gels (16 ml end volume)**

Ingredients	Stacking gel	Separating Gel		
	4%	10%	12%	15%
<b>3XGB</b>	2.66 ml	5.31 ml	5.31 ml	5.31 ml
<b>AB 30%</b>	1.06 ml	5.33 ml	6.40 ml	8.00 ml
<b>Glycerol 50%</b>	-----	3.36 ml	3.36 ml	2.24 ml
<b>H<sub>2</sub>O</b>	4.23 ml	1.86 ml	0.77 ml	0.35 ml
<b>1 % APS</b>	50 µl	100 µl	100 µl	100 µl
<b>TEMED</b>	8 µl	10 µl	10 µl	10 µl

**Table 5. Concentration of polyacrylamide used for the separation of proteins with different molecular weight**

(Sambrook et al. 2001).

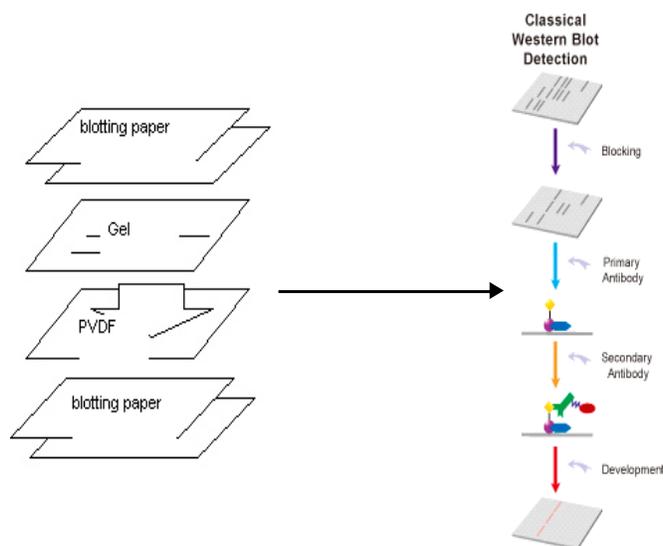
Acrylamide concentration (%)	Linear Range of Separation (kDa)
<b>5</b>	57-212
<b>7.5</b>	36-94
<b>10</b>	20-80
<b>12</b>	12-60
<b>15</b>	10-43

#### **2.7.4 Western blotting and detection of proteins**

For detection of the protein of interest, the protein bands were transferred after electrophoresis onto blotting membranes, incubated with specific antibodies and visualized by chemiluminescence reagents.

### Protocol

Western blot was carried out by semi-dry blotting using a discontinuous buffer system. Polyvinylidene difluoride (PVDF) membranes were activated by soaking in methanol for 2 min followed by at least 15 min in blotting buffer with filter papers. The transfer stack consisted of 6 filter papers wetted in blotting buffer, followed by the membrane, the gel and finally 6 filter papers soaked in blotting buffer.



**Figure 13. Western immunoblotting technique and detection of the proteins of interest**

The transfer was performed on a Transblot SD semidry transfer cell. The transfer was performed at  $1.5 \text{ mA /cm}^2$  for 40-60 min depending on the size of the protein to be detected. After transfer, the membranes were blocked in 5% non-fat dry milk in TBS-T for 1 h at room temperature. Subsequently; the membranes were immersed in the respective antibody solution (Table 4) diluted in 5% non-fat dry milk in TBS-T overnight at  $4^\circ \text{ C}$ . After three wash steps in TBS-T for 10 min each, the adequate secondary horseradish peroxidase-labeled antibody was applied for 45 min at room temperature. Development of the blot was carried out after three more washings (TBS-T, 10 min each) with the ECL Plus substrate solution. Thereafter, the membranes were exposed to X-ray film for the appropriate time period. For all

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Western blots, actin was used as control for the quantification of the amounts of loaded protein. Western blot results are representative of at least three independent experiments.

**Table 6. Antibodies used for immunoblotting**

<b>Antibody</b>	<b>Company</b>	<b>Cat.No.</b>	<b>Species/Mol. Weight</b>	<b>Dilution</b>
<b>Actin</b>	Chemicon	MAB1501	Mouse, 42 kDa	1:1000 in 5% milk
<b>Akt1</b>	Cell Signaling	2967	Mouse, 60 kDa	1:1000 in 5% milk
<b>C-myc</b>	Santa Cruz	sc-789	Rabbit, 67 kDa	1:500 in 5% BSA
<b>Cyclin D1</b>	Santa Cruz	sc-717	Rabbit, 36 kDa	1:1000 in 5% BSA
<b>eIF4E</b>	Santa Cruz	P-2 (sc 9976)	Mouse, 28 kDa	1:1000 in 5% BSA
<b>ERK1/2</b>	Cell Signaling	9102	Rabbit, 42, 44 kDa	1:1000 5% BSA
<b>I<math>\kappa</math>B-<math>\alpha</math></b>	Cell Signaling	9242	Rabbit, 39 kDa	1:1000 in 5% milk
<b>NF-<math>\kappa</math>B p65</b>	Epitomics	1546-1	Rabbit, 65 kDa	1:1000 in 5% milk
<b>p-70S6K</b>	Santa Cruz	sc-8418	Mouse, 70 kDa	1:1000 in 5% BSA
<b>p-Akt<sup>Ser473</sup></b>	Upstate	5756	Rabbit, 60 kDa	1:1000 in 5% milk
<b>P-eIF4E<sup>Ser209</sup></b>	Santa Cruz	sc 12885	Mouse, 28 kDa	1:1000 5% BSA
<b>p-ERK</b>	Cell Signaling	9106	Mouse, 42, 44 kDa	1:2000 5% milk
<b>p-p70S6K</b>	Epitomics	CO4159	Rabbit, 70 kDa	1:5000 in 5% BSA
<b>p-PDK<sup>Ser241</sup></b>	Cell Signaling	3061	Rabbit, 58, 68 kDa	1:1000 in 5% milk
<b>Topo I</b>	Santa Cruz	sc-789	Rabbit, 100 kDa	1:1000 in 5% BSA
<b><math>\alpha</math>-tubulin</b>	Oncogene Research	CP06	Mouse, 60 KDa	1:5000 in 5% milk

## MATERIALS & METHODS

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### Solutions and Buffers for Immunoblotting

#### 30% Acrylamide solution (AB)

30% acrylamide  
0.8% N-N'-methylenebisacrylamide  
100 ml H<sub>2</sub>O

#### 3x Gel buffer (GB)

3 M Tris HCl (pH 8.45)  
0.3% SDS  
18.16 g Tris  
10 ml 5 N HCl  
50 ml H<sub>2</sub>O

#### TBS (Tris-buffered saline)

200 mM Tris-HCl  
1.37 M NaCl (PH 7.6)

#### Blotting buffer

192 mM glycine  
25 mM Tris (pH 8.3)  
10% or 20% methanol

#### TBS-T

TBS + 0.1% Tween

#### Cathode buffer

100 mM Tris (pH 8.25)  
100 mM Tricine  
0.1% SDS

#### Phosphatase inhibitors (100X)

125 mM NaF  
250 mM  $\beta$ -glycerophosphate  
25 mM Na<sub>3</sub>VO<sub>4</sub> (sodium orthovanadate)

#### Anode buffer

100 Tris-HCl (pH 8.9)

#### Blocking buffer

5% milk in TBS-T  
or  
5% BSA in TBS-T

### 2.8 FKHR (FOXO1) ELISA

Enzyme-linked immunosorbent assay (ELISA) was used to investigate the effect of C-K $\beta$ BA on the activity of Forkhead box O1 (FOXO1). Forkhead box (FOX) consensus DNA sequence has been immobilized on 96-well plates. FKHR contained in nuclear extracts binds specifically to this DNA molecule, and is detected through the use of an antibody directed against FKHR. Addition of a secondary antibody conjugated to horseradish peroxidase (HRP) provides a sensitive colorimetric readout that is easily quantified by spectrophotometry.

#### Materials

TransAM <sup>TM</sup> FKHR (FOXO1) activity assay kit	Active Motif, Rixensart, Belgium
ELISA reader	Dynatech MR 7000, Germany

#### Protocol

PC-3 cell ( $3 \times 10^6$ ) cultivated in F-12K medium for 24 h were treated with C-K $\beta$ BA, KBA and AKT VIII inhibitor (positive control) for 3 h. FKHR (FOXO1) transcriptional activation was analyzed in isolated nuclear extracts from PC-3 using a commercially available transcription factor assay kit (TransAM<sup>TM</sup> FKHR (FOXO1) ) according to the manufacturer's instructions.

### 2.9 Statistical analysis

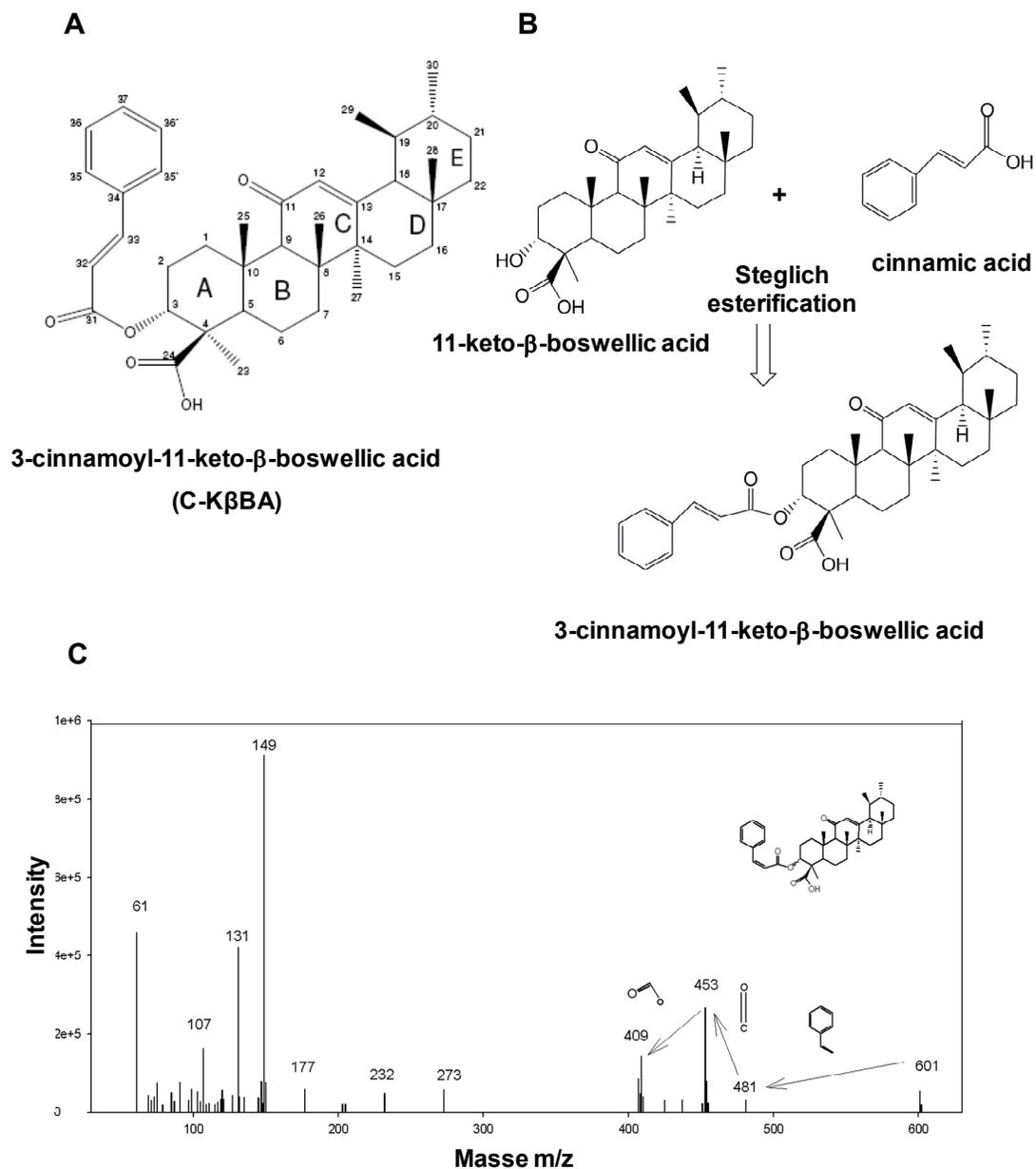
The results are expressed as the mean  $\pm$  SEM. The significance was analyzed using the Newman-Keuls test for multigroup comparisons;  $p < 0.05$  was considered significant. \*  $p < 0.05$ , \*\* $p < 0.01$ .

### 3. RESULTS

#### 3.1 Synthesis of C-K $\beta$ BA

Starting from pure 11-keto- $\beta$ -boswellic acid, we synthesized by means of a Steglich esterification reaction (Neises et al. 1978) a novel compound, which we identified by MS and NMR as 3-cinnamoyl-11-keto- $\beta$ -boswellic acid (Figure 14). The compound was obtained as white small crystals upon precipitation with water from methanol. Upon control of sample purity by TLC, HPLC, MS and one and two dimensional NMR no contamination was detected. UV  $\lambda_{\text{max}}$  = 266 nm (methanol); CI-MS:  $m/z$  = 601  $M^+$ -H (calcd. for  $M^+$ -H Peak  $C_{39}H_{53}O_5$ : 601.38). Fragmentation of the novel compound showed characteristic fragments,  $m/z$  = 481 (loss of part from cinnamic acid),  $m/z$  = 453 (loss of carbonmonoxid),  $m/z$  = 409 (loss of carbondioxid) and two typical fragments for 11-keto- $\beta$ -boswellic acid ( $m/z$  = 232 and 273 Da) (Pardhy et al. 1978). The NMR analyses showed an intact connectivity of the triterpenoid and also the cinnamic acid fragment. The comparison with the educt (11-keto- $\beta$ -boswellic acid) correlation indicated remarkable shifts in the A-ring, distinctive at position 3. The HMBC experiment revealed a long-range coupling between the proton at position 3 ( $\delta$  = 5.46 ppm) and the carboxylic group (position 31,  $\delta$  = 166.09 ppm) of the cinnamic acid ester. The MS results and the NMR A-ring shift suggested the shown structural connections, the HMBC long-range coupling verified the connection of the cinnamic acid compound with the triterpene at position 3 with a high yield. Based on the known conformational data (Belsner et al. 2003), the known dd multiplet (2,6 Hz) of the proton in position 3 (equatorial), and the pattern of proton coupling (positions 3, 2, 1), the conformation of the cinnamoyl derivative was established.

## RESULTS



**Figure 14. Structure, synthesis and mass-spectroscopy of C-KβBA**

A) Structure and numbering scheme with the determining HMBC correlation of C-KβBA. B) Scheme for C-KβBA synthesis. C) Mass-spectroscopy was performed using a Finnigan MAT electrospray ionisation mode.

## RESULTS

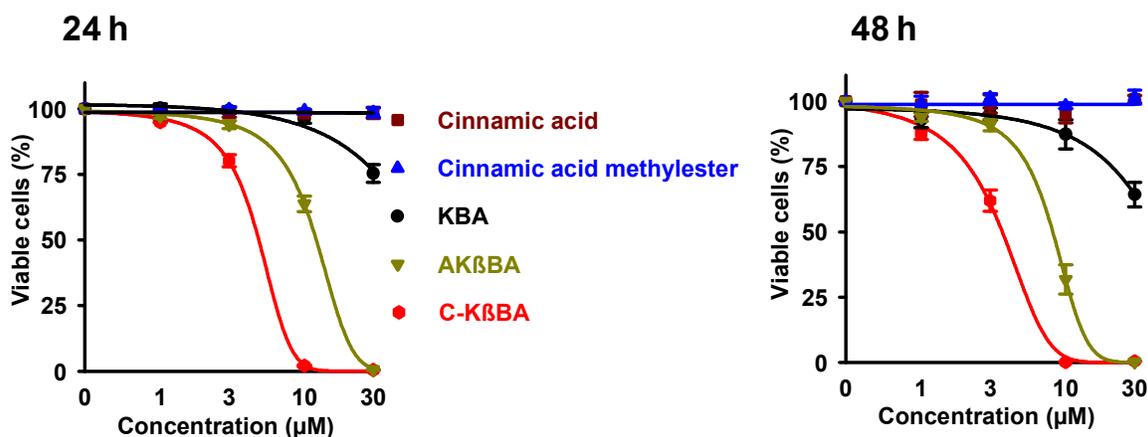
**Table 7. NMR of C-K $\beta$ BA**

<sup>1</sup>H-NMR and <sup>13</sup>C-NMR assignments ( $\delta$  in ppm) for 3-cinnamoyl-11-keto- $\beta$ -boswellic acid.  
(100 MHz <sup>13</sup>C NMR, 400 MHz <sup>1</sup>H NMR, CDCL<sub>3</sub>).

	$\delta^{13}\text{C}$ (ppm)	M.	$\delta^1\text{H}$ (ppm)	M.
1	34.76	CH <sub>2</sub>	1.35 2.60	m m
2	23.66	CH <sub>2</sub>	1.72 2.31	m
3	73.17	CH	5.46	d
4	46.76	C		
5	50.66	CH	1.51	m
6	18.80	CH <sub>2</sub>	1.74 1.89	m m
7	32.95	CH <sub>2</sub>	1.74 1.51	m m
8	45.1	C		
9	60.43	CH	2.47	s
10	37.46	C		
11	199.17	C		
12	130.55	CH	5.57	s
13	164.82	C		
14	43.78	C		
15	27.26	CH <sub>2</sub>	1.24 1.92	m m
16	27.56	CH <sub>2</sub>	1.04 2.11	m m
17	33.98	C		
18	59.05	CH	1.52	m
19	39.29	CH	1.49	m
20	39.34	CH	0.94	m
21	30.93	CH <sub>2</sub>	1.45 1.30	m m
22	40.93	CH <sub>2</sub>	1.49 1.34	m m
23	23.96	CH <sub>2</sub>	1.30	s
24	181.7			s
25	13.25	CH <sub>3</sub>	1.18	s
26	18.43	CH <sub>3</sub>	1.22	s
27	20.53	CH <sub>3</sub>	1.39	s
28	28.87	CH <sub>3</sub>	0.83	s
29	17.44	CH <sub>3</sub>	0.80	d
30	21.14	CH <sub>3</sub>	0.94	s
31	166.09	C		
32	118.45	CH	6.48	d
33	144.9	CH	7.70	d
34	134.44	C		
35	128.09	CH	7.54	m
35'		CH	7.54	m
36	128.86	CH	7.39	m
36'		CH	7.39	m
37	130.27	CH	7.39	m

### 3.2 Antiproliferative effect of C-K $\beta$ BA

The antiproliferative effect of C-K $\beta$ BA on PC-3 cells was measured by using an XTT assay. The data revealed that treatment of PC-3 cells with C-K $\beta$ BA for 24 and 48 h exerts a concentration- and time-dependent inhibition of the proliferation of PC-3 cells with an IC<sub>50</sub> value of 4.2 and 3.8  $\mu$ M, respectively (Figure 15). Our data showed that C-K $\beta$ BA is more potent than the parent compounds (cinnamic acid and KBA), also more potent than other derivatives, such as cinnamic acid methyl ester and AK $\beta$ BA.



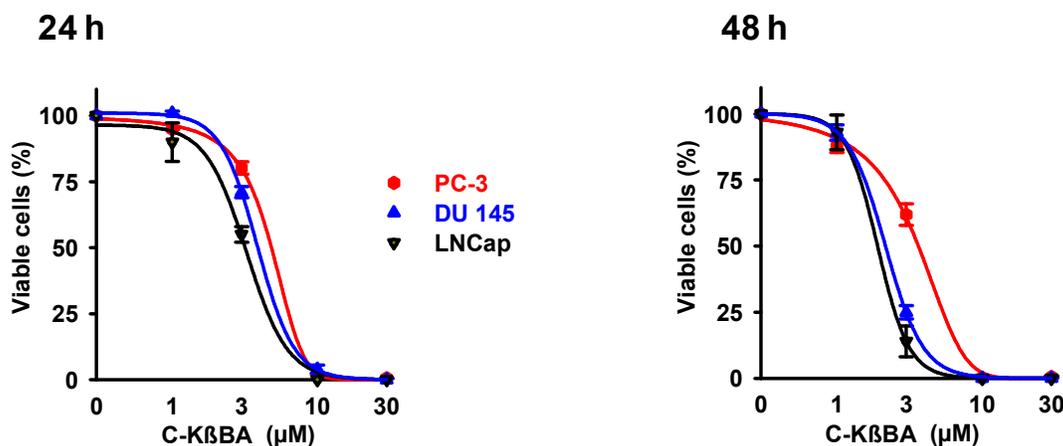
**Figure 15. Antiproliferative effect of C-K $\beta$ BA and the parent compounds on PC-3 cells**

Antiproliferative effect of C-K $\beta$ BA and the parent compounds on PC-3 cells were measured by an XTT assay. PC-3 cells were treated with above-mentioned compounds for 24 and 48 h. The amount of cells in control samples treated with DMSO solvent (0.5%) was set to 100%. Data are mean  $\pm$  SEM from three independent experiments.

Furthermore, C-K $\beta$ BA has a remarkable cytotoxic profile, not only on PC-3 cell line, but also on LNCaP and DU 145 prostate cancer cell lines (Figure 16).

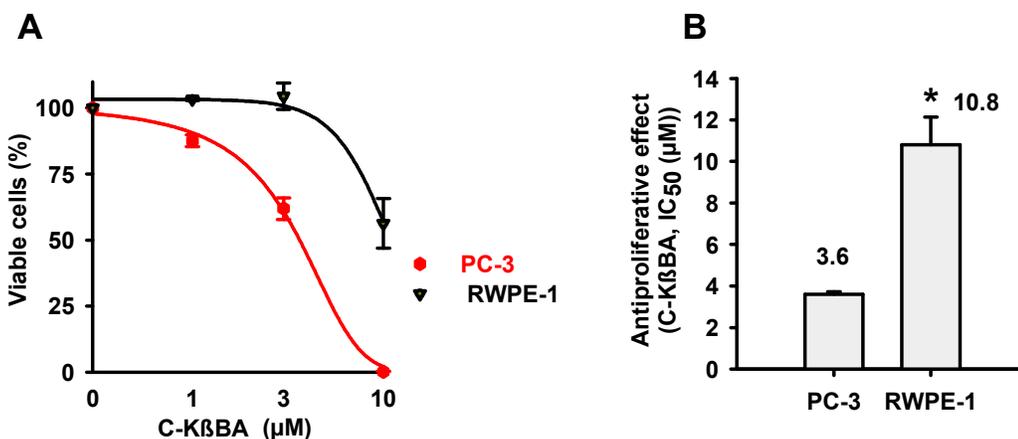
Interestingly, C-K $\beta$ BA showed a relatively selective efficacy against prostate cancer cells (PC-3 cell line) compared to normal prostate epithelial cell (RWPE-1 cell line) with IC<sub>50</sub> values of 3.6  $\mu$ M and 10.8  $\mu$ M, respectively (Figure 17).

## RESULTS



**Figure 16. Antiproliferative effect of C-KβBA on different cell lines**

Antiproliferative effect of C-KβBA on different prostate cancer cell lines was measured by an XTT assay. The indicated cell lines were treated with C-KβBA for 24 and 48 h. The amount of cells in control samples treated with DMSO solvent (0.5%) was set to 100%. Data are mean  $\pm$  SEM from three independent experiments.



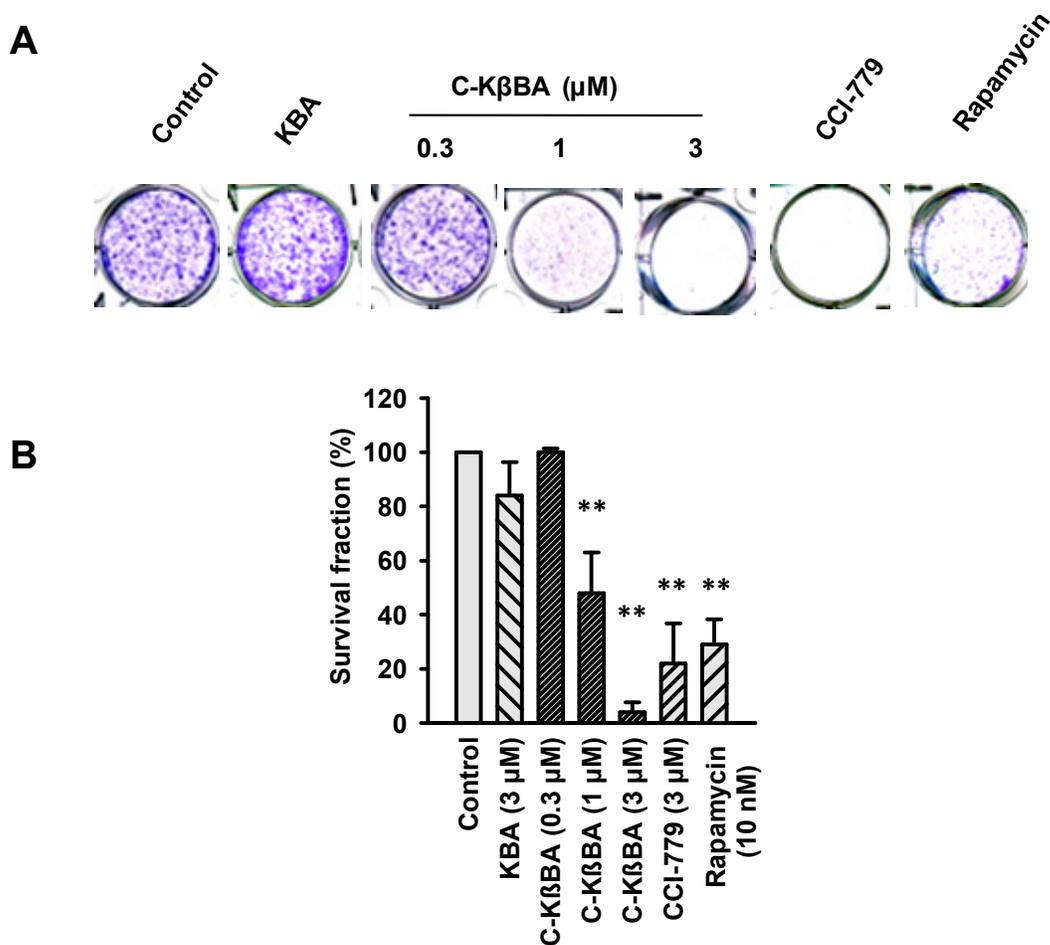
**Figure 17. C-KβBA shows relatively selective antiproliferative effects against tumor cells**

Antiproliferative effect of C-KβBA on the PC-3 cell line (prostate cancer) and the RWPE-1 cell line (normal prostate epithelial cells) was measured by an XTT assay. A) Indicated cell lines were treated with C-KβBA for 48 h. The amount of cells in control samples treated with DMSO solvent (0.5%) was set to 100%. B) The results are expressed as IC<sub>50</sub> value from both cell lines. Data are mean  $\pm$  SEM from three independent experiments. Statistical analysis was performed using the Newman-Keuls test, \* $p < 0.05$ .

To determine whether the PC-3 cell line is able to recover from the antiproliferative effect of C-KβBA or not, we treated PC-3 cells with C-KβBA for 24 h, then we changed the medium to C-KβBA-free medium for 6 days. The cell viability was measured by a clonogenic survival assay. The results demonstrate that the PC-3

## RESULTS

cells were not able to recover from a single treatment with C-K $\beta$ BA at a concentration as low as 1  $\mu$ M. At the same time, the long time treatment with C-K $\beta$ BA induced a stronger antiproliferative effect than CCI-779, an inhibitor of mTOR signaling that used in clinical trials (Figure 18).



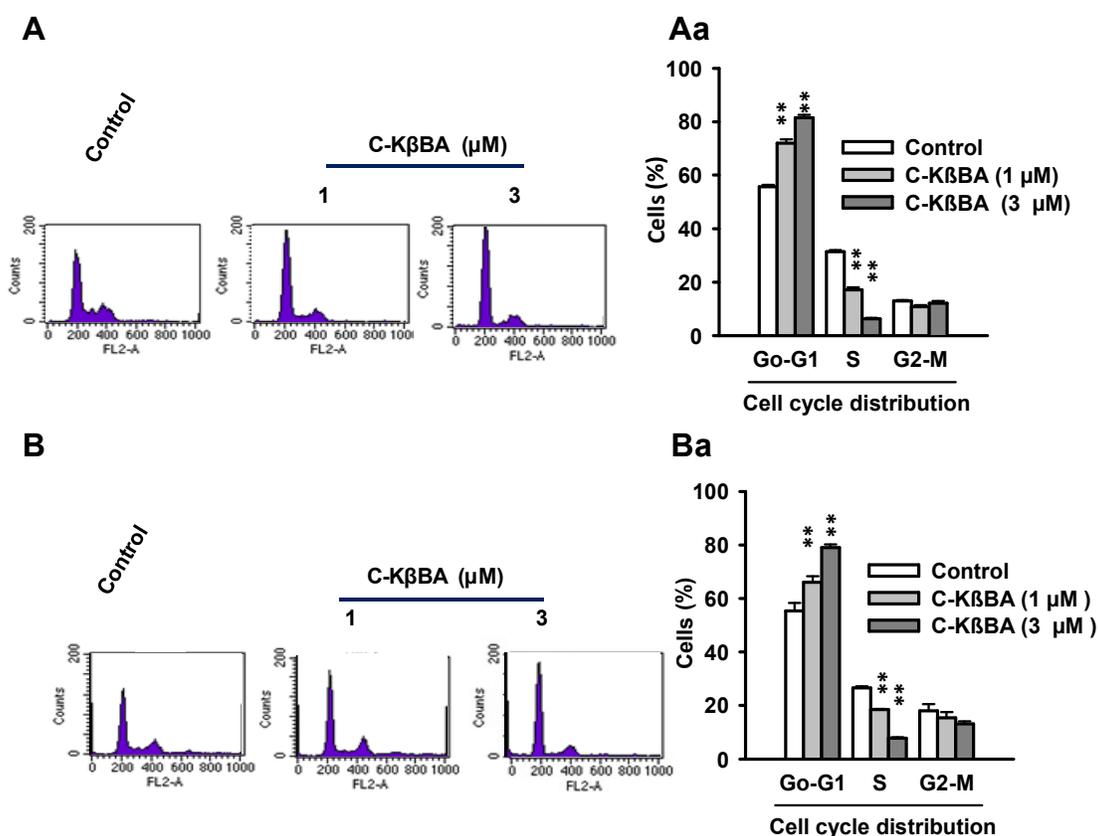
**Figure 18. The antiproliferative effect of C-K $\beta$ BA on PC-3 cells is irreversible**

A) The antiproliferative effect of C-K $\beta$ BA on the PC-3 cell line for longer time was measured by an XTT assay. PC-3 cells were treated with the indicated compounds for 24 h, then the cells were cultivated in compounds-free medium for 6 day. Photos had been taken of the plates, then the colonies were solubilized in 33% acetic acid, followed by spectrophotometric analysis (clonogenic survival assay). B) The results are expressed as mean  $\pm$  SEM of three independent experiments. Statistical analysis was performed using the Newman-Keuls test, \*\* $p < 0.01$ .

## RESULTS

### 3.3 C-K $\beta$ BA induces cell cycle arrest

Because cell proliferation and growth controlled by the progression of the cells through the well-defined stages of the cell cycle, we next determined the effects of C-K $\beta$ BA on the cell cycle progression of PC-3 cells. We analyzed the cell cycle distribution by flow cytometry using propidium iodide DNA staining. The flow cytometric analyses of the DNA profile showed that the treatment of PC-3 cells with various concentrations of C-K $\beta$ BA (1 and 3  $\mu$ M) for 24 and 48 h effectively arrested PC-3 cells in the G<sub>1</sub> phase of the cell cycle (Figure 19).



**Figure 19. C-K $\beta$ BA induces cell cycle arrest**

The effects of C-K $\beta$ BA on the cell cycle distribution was measured by flow cytometry (FACS). PC-3 cells were treated with various concentrations of C-K $\beta$ BA for 24 h (A) and 48 h (B). The cells were fixed in ethanol, subsequently stained with propidium iodide and analyzed by FACS. Cell cycle analysis was performed and quantified by using ModFit software (Aa and Ba). The data represent three independent experiments. Statistical analysis was performed using Newman-Keuls test, \*\*p < 0.01.

C-K $\beta$ BA treatment significantly increased the proportion of cells in the G<sub>1</sub> phase (Figure 19, Aa and Ba). This is an interesting finding because PC-3 cells express mutant p53 alleles, losing the function of p53. Therefore, it appears that C-K $\beta$ BA is able to arrest cells in the G<sub>0</sub>/G<sub>1</sub> phase and inhibit the proliferation of PC-3 cells in a p53-independent manner.

### **3.4 C-K $\beta$ BA triggers apoptosis in vitro**

The next step was performed to evaluate which type of cell death occurred in PC-3 cells upon C-K $\beta$ BA exposure. We investigated the proapoptotic activity of C-K $\beta$ BA by measuring several apoptotic parameters, such as the expression of phosphatidylserine on the cell surface, caspase activation and the definitive sign of apoptosis, DNA laddering.

#### **3.4.1 C-K $\beta$ BA induces phosphatidylserine expression on the cell surface**

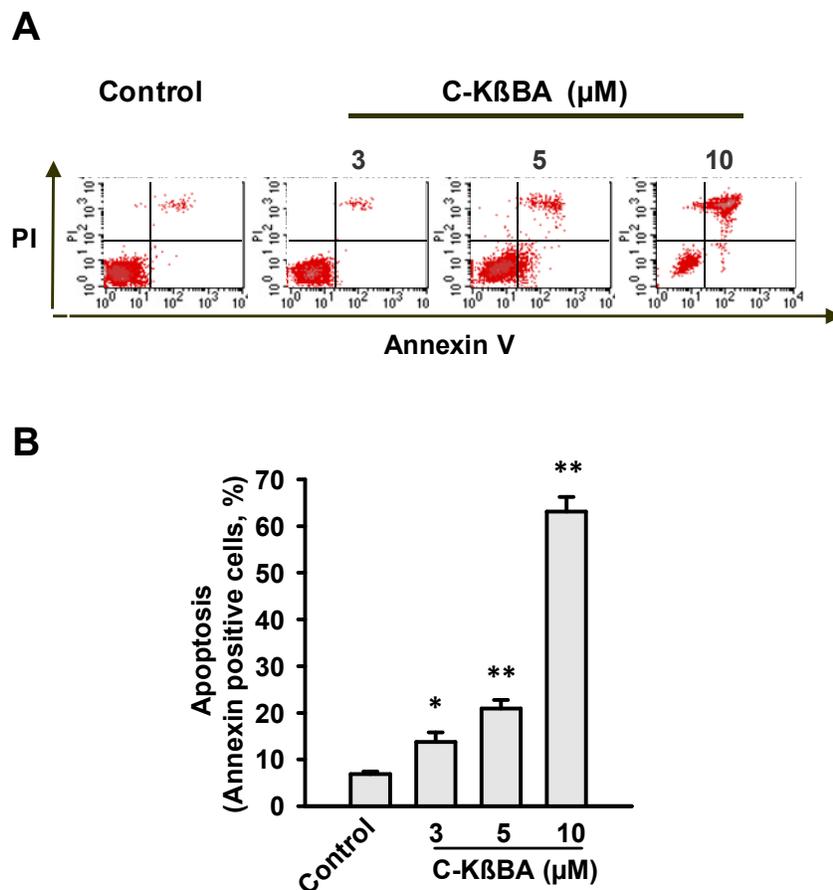
During apoptosis, phosphatidylserine physiologically located at the inner membrane leaflet is flipped to the cell surface, where it serves as an "engulfing" signal for neighboring cells (Vermes et al. 1995). Treatment of PC-3 cells with 3, 5 or 10  $\mu$ M C-K $\beta$ BA for 24 h triggered expression of phosphatidylserine on the outer membrane leaflet, as determined by FITC-labelled annexin V and propidium iodide staining, followed by flow cytometric analysis (Figure 20). The result revealed that C-K $\beta$ BA exerts expression of phosphatidylserine in PC-3 cells in a concentration-dependent manner.

#### **3.4.2 C-K $\beta$ BA induces caspase-3 activation**

Caspases are crucial mediators of the programmed cell death (apoptosis). Among them, caspase-3 is a crucial effector caspase, catalyzing the specific cleavage of many key cellular proteins. Caspase-3 is essential for some typical hallmarks of apoptosis and is indispensable for apoptotic chromatin condensation and DNA fragmentation (Porter et al. 1999). We show here that treatment of PC-3 cells for 24

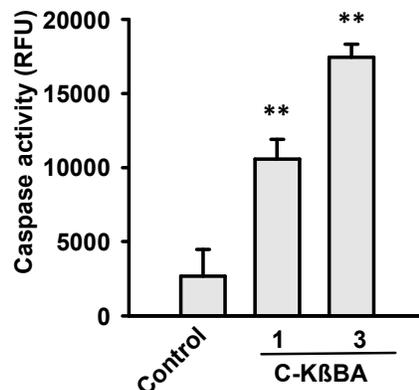
## RESULTS

h with C-K $\beta$ BA induces caspase-3 activation in a concentration-dependant manner (Figure 21).



**Figure 20. C-K $\beta$ BA induces phosphatidylserine expression on the cell surface**

A) PC-3 cells were treated C-K $\beta$ BA (3, 5, and 10  $\mu$ M) for 24 h, harvested, stained with annexin V/propidium iodide and analyzed by flow cytometry. Untreated control cells were also included in the analysis. Annexin V-FITC signals are recorded in FL1-H and propidium iodide in FL2-H. Cells in the bottom left quadrant (annexin V-negative, propidium iodide-negative) are viable, whereas cells in the lower right quadrant (annexin V-positive, propidium iodide-negative) are in the early stages of apoptosis, and the cells in the top right quadrant (annexin V-positive, propidium iodide-positive) are in later stages of apoptosis and necrosis. B) Apoptosis analysis was performed and quantified by using ModFit software. Data are mean  $\pm$  SEM from three experiments. Statistical analysis was performed using the Newman-Keuls test, \*  $p < 0.05$ , \*\* $p < 0.01$ .



**Figure 21. C-K $\beta$ BA induces caspase-3 activation**

Caspase-3 activity was measured by proteolytic cleavage of the fluorogenic substrate Z-DEVD-R110. Cells were treated for 24 h with the corresponding concentrations of C-K $\beta$ BA or vehicle as control. The caspase activity was measured fluorometrically with an excitation wavelength of 496 nm and an emission wavelength of 520 nm. Data are mean  $\pm$  SEM from three experiments. Statistical analysis was performed using the Newman-Keuls test, \*\* $p < 0.01$ .

### 3.4.3 C-K $\beta$ BA induces DNA laddering

A biochemical hallmark of apoptosis is the cleavage of chromosomal DNA into oligonucleosome-sized fragments by endonuclease activation triggered by caspase 3, a process called DNA fragmentation or DNA laddering. Our result revealed that treatment of PC-3 cells with C-K $\beta$ BA (5  $\mu$ M) for 96 h led to a typical DNA laddering pattern (Figure 22).



**Figure 22. C-K $\beta$ BA induces DNA laddering**

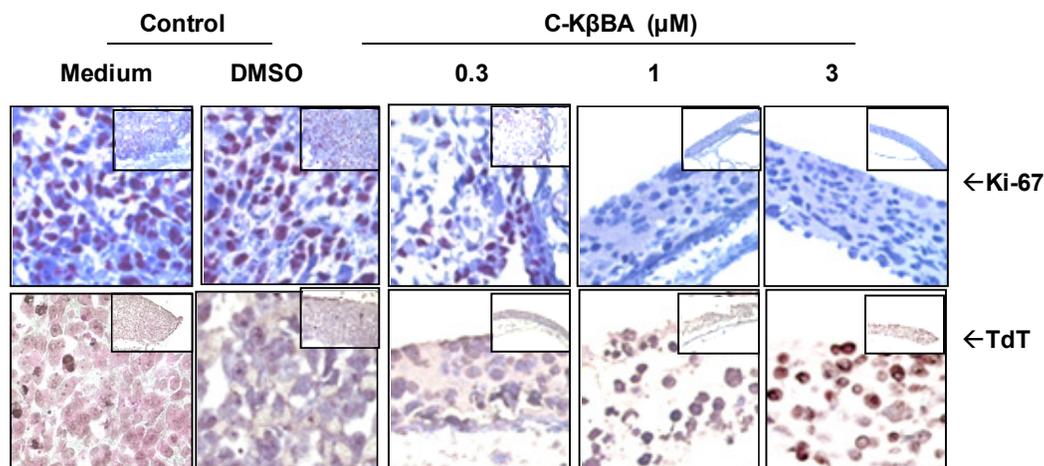
PC-3 cells treated with C-K $\beta$ BA (5  $\mu$ M) for 96 h. The cell lysates were analyzed for DNA fragmentation by agarose gel electrophoresis. The data shown are representative for three independent experiments.

### **3.5 C-K $\beta$ BA inhibits growth, proliferation, and triggers apoptosis in vivo**

To verify the proapoptotic and antiproliferative activity of C-K $\beta$ BA in vivo, we next xenotransplanted PC-3 cells onto the chorioallantoic membranes (CAM) of fertilized chicken eggs. Immunohistochemical analysis of the tumor sections for human proliferation antigen Ki-67 (proliferation marker) revealed that C-K $\beta$ BA had a concentration-dependent inhibitory effect on tumor proliferation.

By using the TUNEL technique for in situ detection of apoptosis, we showed that C-K $\beta$ BA triggers apoptosis also in vivo (Figure 23). These data show that C-K $\beta$ BA inhibits the growth of established PC-3 xenografts in vivo even more efficient than in vitro.

## RESULTS



**Figure 23. C-K $\beta$ BA inhibits growth, proliferation, and triggers apoptosis in vivo**

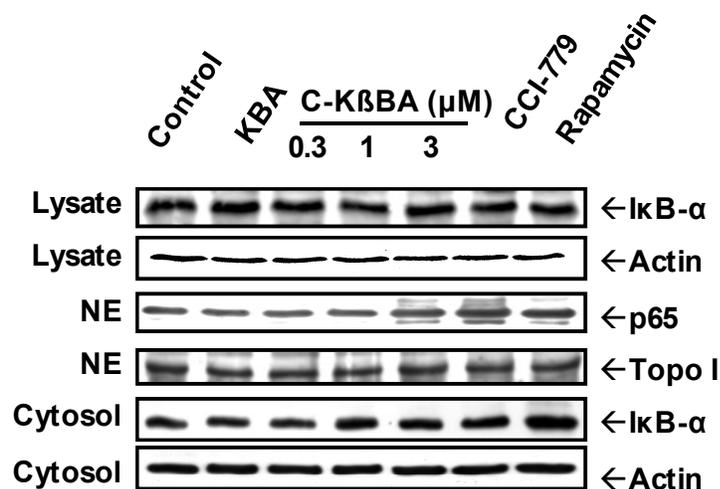
PC-3 cells ( $1 \times 10^6$ ) were grafted onto the chorioallantoic membranes of fertilized chicken eggs. After 2 days, the tumors were topically treated either with 20  $\mu$ l of C-K $\beta$ BA or DMSO alone (control) for 4 days before termination of the experiment. Tumor sections were stained against Ki-67 (proliferation marker, brown nuclear stain) and TUNEL (apoptosis marker, dark brown stain). Data shown are representative of 3 eggs each.

### 3.6 C-K $\beta$ BA inhibits the mTOR signaling pathway

To better understand the mechanisms and pathways involved in the induction of apoptosis by C-K $\beta$ BA, we have examined the effects of C-K $\beta$ BA against several kinases known to be constitutively active in PC-3 cells. Previously we have shown that the acetylated form of KBA (AK $\beta$ BA) induces apoptosis by downregulation of NF- $\kappa$ B signaling pathway (Syrovets et al. 2005). To figure out the relation between C-K $\beta$ BA and NF- $\kappa$ B signaling pathway, overnight-starved PC-3 cells incubated with C-K $\beta$ BA for 30 min followed by stimulation for 30 min with 1% FCS. Gel electrophoresis of cytosolic and nuclear extracts stained for I $\kappa$ B- $\alpha$  (NF- $\kappa$ B-inhibitory protein) and p65 (the major transcription activating NF- $\kappa$ B family member) revealed that C-K $\beta$ BA did not alter the level of both proteins (I $\kappa$ B- $\alpha$  and p65). Also the Western immunoblot for I $\kappa$ B- $\alpha$  of the whole cell lysates from overnight starved PC-3 cells incubated with C-K $\beta$ BA for 30 min followed by 30 min FCS 1% incubation indicated that C-K $\beta$ BA did not alter the level of I $\kappa$ B- $\alpha$  protein (Figure 24). These results exclude the involvement of the NF- $\kappa$ B signaling pathway in the induction of apoptosis by C-K $\beta$ BA.

## RESULTS

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**Figure 24. Effect of C-KβBA on the NF-κB signaling pathway**

Whole cell lysates, cytosolic and nuclear fractions of PC-3 cells, starved overnight, treated with C-KβBA for 30 min and stimulated for 30 min with FCS, were used for Western blotting. Control cells were treated either with DMSO (0.5%) or CCI-779 or rapamycin used as positive controls. Data represent three independent experiments.

Further studies revealed that within 60 min, C-KβBA induced a rapid downregulation of the phosphorylation of p70S6K kinase in PC-3 cells (Figure 25). The p70S6 kinase 1 (p70S6K) is the best-characterized effector of mTOR (Ali et al. 2005). It has been shown that p70S6K and phospho-p70S6K are expressed at a higher level in prostate cancer cells compared to normal cells (Kremer et al. 2006). Inhibition of mTOR exerts hypophosphorylation of p70S6K in prostate cancer in vitro and vivo (Wu et al. 2005; Bianchini et al. 2008).

## RESULTS

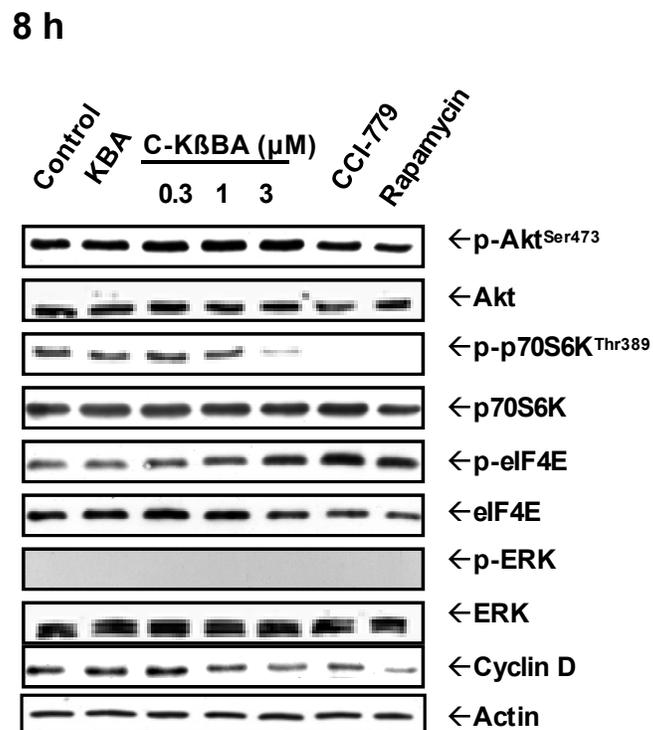
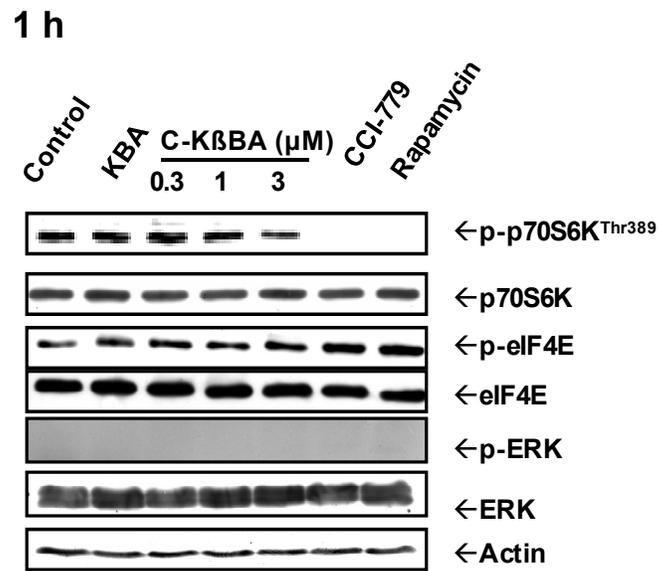
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To determine the inhibitory effect of C-K $\beta$ BA on mTOR signaling pathway, we examined the phosphorylation of p70S6K and eIF4E as prime candidates for mTOR downstream targets. PC-3 cells treated with C-K $\beta$ BA (0.3, 1, 3  $\mu$ M) for different time periods showed that C-K $\beta$ BA inhibits the phosphorylation of p70S6K and eIF4E in concentration- and time-dependant manner without altering the total p70S6K protein (Figure 25).

The activity of mTORC1 and mTORC2 were further determined by monitoring the phosphorylation status of p70S6K at Thr389 and Akt at Ser473, respectively (Thoreen et al. 2009) (Figure 25).

Interestingly, C-K $\beta$ BA also downregulated the phosphorylation of p70S6K in vivo, in the CAM assay. Moreover, C-K $\beta$ BA was more effective in vivo than in vitro (Figure 26).

Hypophosphorylated 4E-BPs binds with high affinity to eIF4E, prevents association of eIF4E with EIF4G and formation of the eIF4E initiation complex, and, thereby, inhibits cap-dependent translation of mRNA. mTOR phosphorylates the eIF4E-binding protein (4E-BPs) and leads to dissociation of eIF4E (Hay et al. 2004). Inhibition of mTOR exerts upregulation of p-eIF4E in the prostate cancer cell line PC-3 (Bianchini et al. 2008) and in lung cancer cells (Chen et al. 2009). Here we show that C-K $\beta$ BA upregulates eIF4E phosphorylation in the PC-3 cell line (Figure 25).

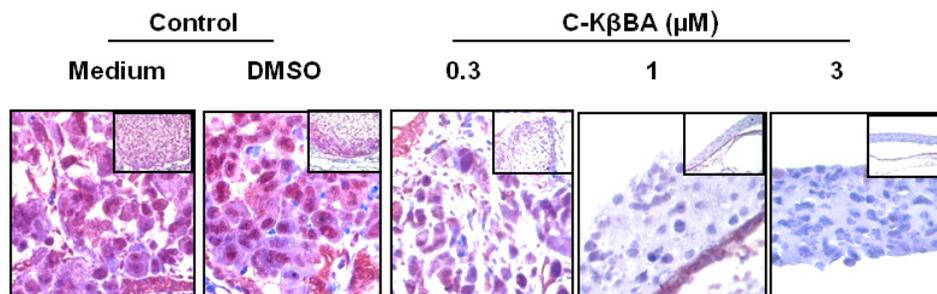


**Figure 25. C-K $\beta$ BA inhibits the mTOR signaling pathway in vitro**

Whole cell lysates from PC-3 cells, starved overnight, treated with C-K $\beta$ BA in the indicated concentration and stimulated for 30 min with 1% FCS, were used for Western blotting. Data shown are representative of three independent experiments.

## RESULTS

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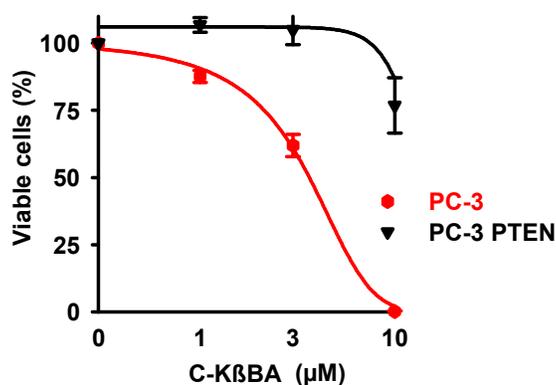
**Figure 26. C-K $\beta$ BA inhibits the mTOR signaling pathway in vivo**

PC-3 cells ( $1 \times 10^6$ ) were grafted onto the chorioallantoic membranes of fertilized chicken eggs. After 2 days, the tumors were topically treated either with 20  $\mu$ l of C-K $\beta$ BA or DMSO alone (0.5%, control) for 4 days before termination of the experiment. The tumor sections were stained against p-p70S6K (AEC, red stain). Data shown are representative of 3 eggs each.

Activation of eIF4E is elevated significantly with progression of human and experimental prostate cancer, and significantly related to reduce patient survival. Reduction of eIF4E in prostate cancer cells elicits apoptosis in a cell cycle phase-independent manner (Graff et al. 2009). Here we show that C-K $\beta$ BA, although it upregulated the eIF4E phosphorylation, concomitantly reduced eIF4E expression in prostate cancer cells (Figure 25).

The proto-oncogene cyclin D1 is an important regulator of G<sub>1</sub> to S-phase transition and an important cofactor for several transcription factors in numerous cell types (Alao et al. 2006). Inhibition of the mTOR signaling pathway induced down-regulation of cyclin D1 leading to cell cycle arrest at G<sub>1</sub> phase (Xu et al. 2006; Averous et al. 2008). Treatment of prostate cancer cell lines with C-K $\beta$ BA for 8, 12 and 24 h downregulated cyclin D1 and mediated cell cycle arrest at G<sub>1</sub> phase as well (Figure 25).

PC-3 is a PTEN-null cell line. Loss of PTEN in this cell line is associated with a hyperactivated PI3K/Akt/TSC/mTORC1 pathway. To clarify that C-K $\beta$ BA targets the mTOR signaling pathway, we measured the cytotoxicity by the XTT assay in PTEN positive PC-3 cells exposed to different concentrations of C-K $\beta$ BA for 48 h. Compared to PTEN null PC-3 cells, we found that PTEN positive PC-3 cells are insensitive to C-K $\beta$ BA. This confirmed our hypothesis that C-K $\beta$ BA targets the mTOR signaling pathway (Figure 27).



**Figure 27. C-KβBA shows selective antiproliferative effects against the PTEN null cell line**

Antiproliferative effect of C-KβBA on PC-3 cell line and PC-3 PTEN cell line was measured by the XTT assay. Indicated cells lines were treated with C-KβBA for 48 h. The amount of cells in control samples treated with DMSO solvent (0.5%) was set to 100%. Data are mean  $\pm$  SEM from three independent experiments.

Taken together, inhibition of p70S6K phosphorylation, upregulation of eIF4E phosphorylation, downregulation of cyclin D1 and eIF4E expression, no alteration in AKT and ERK phosphorylation, and relative resistance of PTEN positive PC-3 to treatment with C-KβBA confirmed our hypothesis that C-KβBA inhibits the mTORC1 signaling pathway.

### 3.7 C-KβBA inhibits the mTOR signaling pathway independent from upstream kinases

The mTOR signaling is activated in response to growth factors and nutrients. Under such conditions, PI3K/Akt signaling will be activated and will regulate mTOR signaling. Activated Akt phosphorylates TSC2 inducing Rheb GTPase inactivation, which associates with and directly activates mTORC1. It is known that PDK1 phosphorylates and activates Akt at T309 and p70S6K at Thr229 (Meric-Bernstam and Gonzalez-Angulo 2009). Thus, C-KβBA could inhibit mTOR signaling by down-regulation of upstream kinases.

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To determine whether C-K $\beta$ BA would affect the activity of Akt and PDK1 or not, PC-3 cells were treated with and without C-K $\beta$ BA for 30 min, then stimulated with 1% FCS for 30 min, followed by Western blot analysis of p-PDK1, p-Akt-Ser473, p-Akt-Thr308 and Akt. Our results revealed that treatment of PC-3 cells with C-K $\beta$ BA did not affect the phosphorylation of both upstream kinases, PDK1 and Akt (Figure 28).

Moreover, we tested the effect of C-K $\beta$ BA on the downstream targets of Akt (GSK-3 $\beta$  and FOXO) to ensure that there is no inhibition of Akt activity in cells treated with C-K $\beta$ BA. Glycogen synthase kinase-3 $\beta$  (GSK-3 $\beta$ ) is a serine threonine kinase involved in metabolism, neurodegeneration and cancer progression. It has been shown that GSK-3 $\beta$  regulates apoptosis in response to various stimuli. GSK-3 $\beta$  is phosphorylated by Akt at Ser9. Therefore, inhibition of Akt leads to downregulation of GSK-3 $\beta$ -Ser9 phosphorylation. Western blot analysis of GSK-3 $\beta$ -Ser9 showed that C-K $\beta$ BA did not alter the phosphorylation status of GSK-3 $\beta$  on Ser9 (Figure 28).

Mammalian forkhead members of the class O (FOXO) are transcription factors including FOXO1, FOXO3a, and FOXO4. FOXO are key regulators of growth, metabolism, life span, and stress resistance. Akt phosphorylates FOXO leading to FOXO cytoplasmic retention and transcriptional inactivation. Inhibition of Akt activates FOXO transcription factors. ELISA assay for FOXO activity revealed that C-K $\beta$ BA did not induce activation of FOXO transcription. The experiments showed that there is no relation between Akt and mTOR inhibition induced by C-K $\beta$ BA (Figure 28).

This indicated that C-K $\beta$ BA does not affect the activity of PDK1 or Akt, and induces inhibition of mTOR signaling independently of PDK1 and Akt signaling.



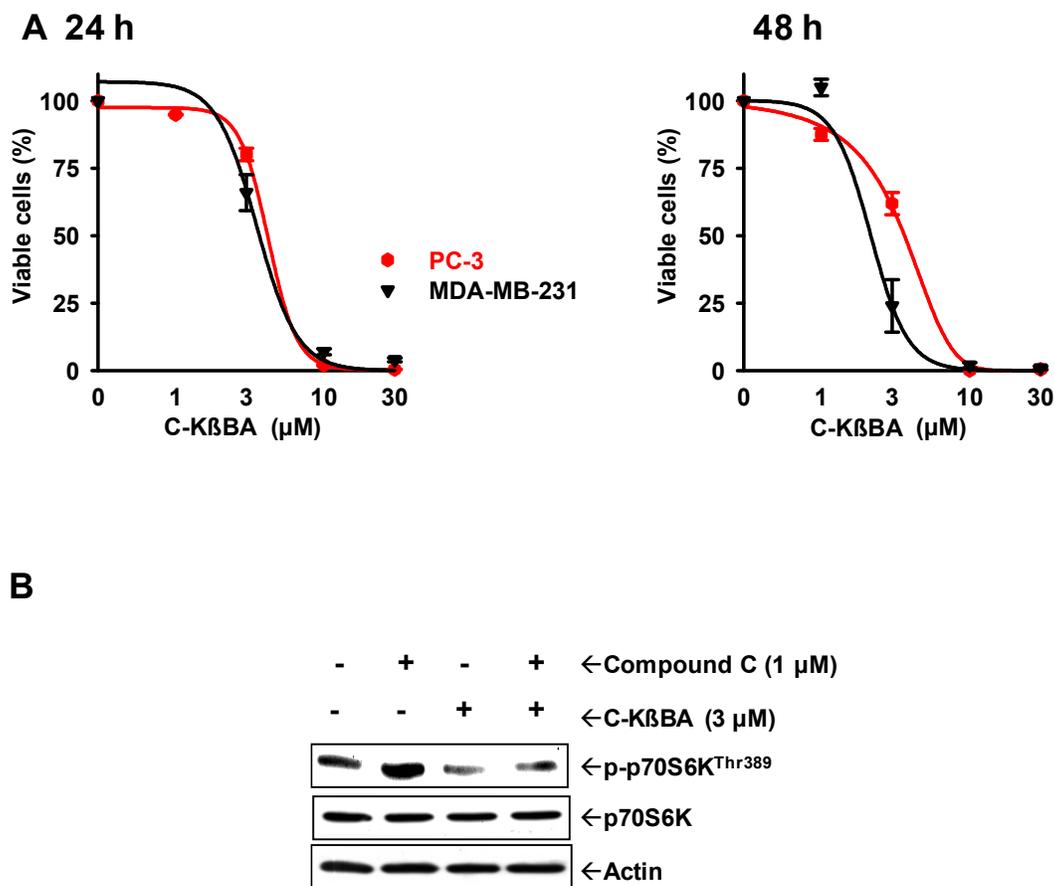
### **3.8 C-K $\beta$ BA inhibits the mTOR signaling pathway independent from the LKB1-AMPK signaling pathway**

The AMPK-TSC network is negative upstream regulator of mTOR. In the response to low energy level or high AMP level, AMP kinase (AMPK) is phosphorylated by the liver Kinase B1 (LKB1) and activated; this leads to activation of TSC2 and inhibition of mTOR signaling (Meric-Bernstam et al. 2009). Therefore, we hypothesized that C-K $\beta$ BA might inhibit mTOR signaling by direct activation of LKB1-AMPK signaling pathway.

To clarify, whether activation of LKB1 is involved in the C-K $\beta$ BA-mediated inhibition of mTOR signaling, MDA-MB-231 cells, which do not express LKB1 mRNA or protein (Shen et al. 2002), were treated with C-K $\beta$ BA at different concentration for 24 and 48 h, followed by XTT assay. The results showed that the lack of LKB1 did not rescue MDA-MB-231 cell line from C-K $\beta$ BA-induced cytotoxicity (Figure 29).

In the next step, PC-3 cells treated with C-K $\beta$ BA alone or with AMPK inhibitor (compound C) (Figure 29). The results reveal that pretreatment of the cells with compound C has no effect on C-K $\beta$ BA-mediated inhibition of mTOR signaling (Figure 29).

Together, this data demonstrated that C-K $\beta$ BA inhibits mTOR signaling independent of the LKB1-AMPK signaling pathway.



**Figure 29. C-KβBA inhibits the mTOR signaling pathway independent from the LKB1-AMPK signaling pathway**

A) PC-3 cells were treated for 24 h and 48 h with C-KβBA and the cytotoxicity was measured with XTT assay. B) Lysates from whole PC-3 cells, starved overnight, treated with 3 μM C-KβBA alone or with 1 μM AMPK inhibitor (compound C) for 30 min, followed by stimulation for 30 min with 1% FCS, were used for Western blotting. Data are mean ± SEM from three independent experiments.

### 3.9 C-KβBA inhibits the mTOR signaling pathway independent from the TSC complex

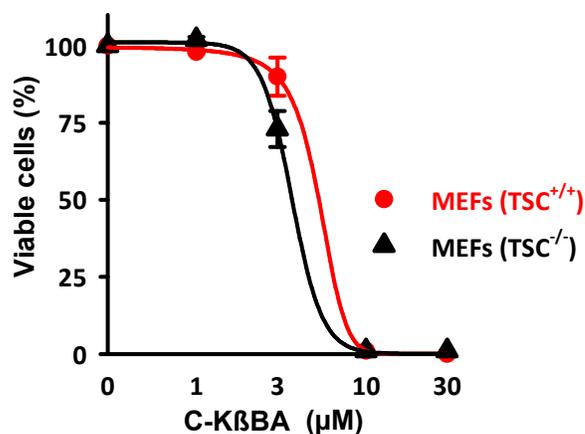
TSC2 is a negatively regulator for mTORC1. Multiple signaling cascades converge on TSC2, leading to its phosphorylation and inactivation. TSC2 is phosphorylated by multiple kinases, including Akt, ERK, RSK1 (a kinase downstream of ERK) and AMPK (Bai et al. 2009). In previous experiments, we showed that C-KβBA did not

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affect the activity of Akt or AMPK signaling. At the same time, ERK phosphorylation was undetectable under the conditions tested (Figure 25). That indicates that C-K $\beta$ BA could target the TSC complex to inhibit mTORC1 signaling.

To elucidate whether there is any relation between the mTOR inhibition and the TSC complex activation, we incubated C-K $\beta$ BA with two mouse embryonic fibroblast cell lines, either expressing wild type TSC or deficient in TSC (MEFs TSC<sup>+/+</sup> and MEFs TSC<sup>-/-</sup>) for 48 h (Figure 30). The results revealed that knockout of TSC did not rescue the cells from the C-K $\beta$ BA-mediated apoptosis. This indicates that C-K $\beta$ BA inhibits mTOR signaling pathway independent from the TSC complex.



**Figure 30. C-K $\beta$ BA inhibits the mTOR signaling pathway independent from the TSC complex.**

Antiproliferative effect of C-K $\beta$ BA on MEFs cell lines (TSC<sup>-/-</sup> and TSC<sup>+/+</sup>) was measured by the XTT assay. The indicated cell lines were treated with C-K $\beta$ BA for 48 h. The amount of cells in the control samples treated with DMSO solvent (0.5%) was set to 100%. Data are mean  $\pm$  SEM from three independent experiments.

### **3.10 C-K $\beta$ BA inhibits the mTOR signaling pathway independent from PP2A phosphatase activation**

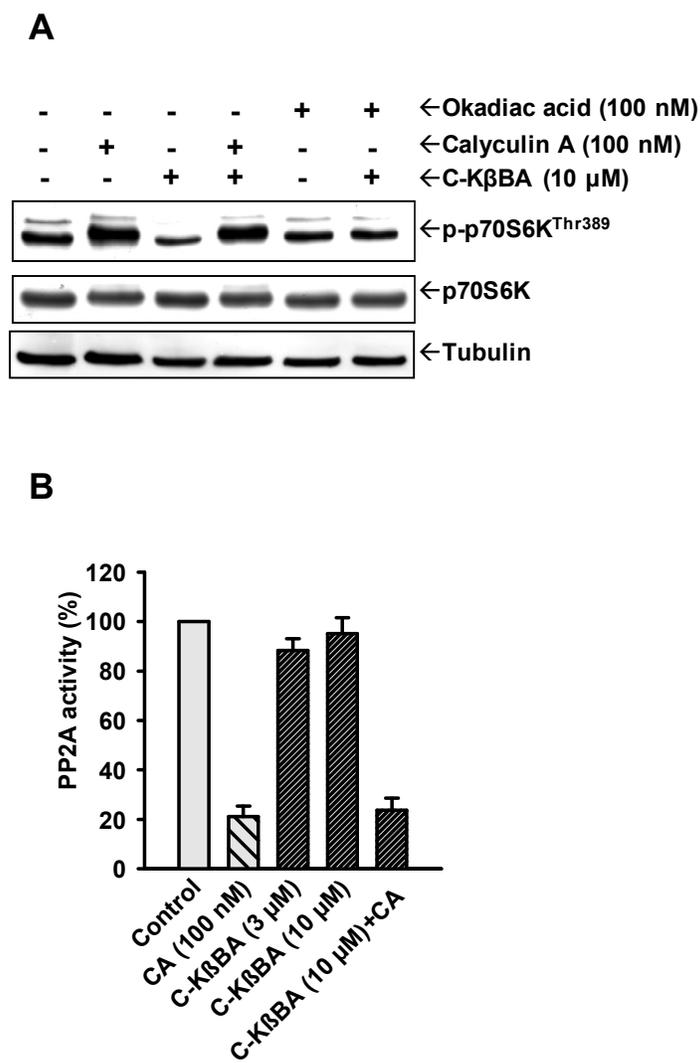
Protein phosphatase 2A (PP2A) is a trimeric complex consisting of a catalytic subunit (C), and the regulatory subunits A and B. PP2A controls the activity of p70S6K and 4E-BPs.

To explore the role of protein phosphatases in the C-K $\beta$ BA-mediated inhibition of mTOR signaling, PC-3 cells were pretreated with the phosphatase inhibitors, calyculin A (a potent protein serine/threonine phosphatase inhibitor that inhibits both PP1 and PP2A) and okadaic acid (a potent PP2A inhibitor) for 20 min. Then the cells treated with or without C-K $\beta$ BA, for 30 min followed by 30 min activation with 1% FCS. The cell lysates analyzed by Western immunoblotting (Figure 31). The results showed that calyculin A partially reversed the C-K $\beta$ BA-mediated inhibitory effect on p70S6K phosphorylation, while okadaic acid had little effect on p70S6K phosphorylation in PC-3 cells.

Next, we evaluated the effect of C-K $\beta$ BA on the PP2A activity. PC-3 cells pretreated with calyculin A for 20 min, and then treated with or without C-K $\beta$ BA for 30 min, followed by 30 min activation with 1% FCS. The activity of the protein phosphatase in the cells treated with C-K $\beta$ BA determined by malachite green phosphatase assay (Figure 31). This data showed that C-K $\beta$ BA did not affect the activity of PP2A.

In these two experiments, treatment of PC-3 cells with C-K $\beta$ BA had no effect on PP2A phosphatase activity. This revealed that C-K $\beta$ BA inhibits the mTOR signaling pathway independent from PP2A activation.

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**Figure 31. C-K $\beta$ BA inhibits the mTOR signaling pathway independent from PP2A activation**

A) Whole cell lysates from PC-3 cells, starved overnight, treated with 10  $\mu$ M C-K $\beta$ BA alone or with the indicated inhibitors for 30 min with stimulation for 30 min with 1% FCS, were used for Western immunoblotting using the indicated antibodies. D) The cells were pretreated with or without calyculin A (100 nM) for 20 min then incubated with different concentrations of C-K $\beta$ BA for 30 min. The cells were harvested in phosphatase assay buffer and PP2A activities in lysates were determined as described in Material and Methods. Data are mean  $\pm$  SEM from three independent experiments

### 4. DISCUSSION

Programmed cell death (apoptosis) is a conserved pathway that is essential for development and tissue homeostasis. Tissue homeostasis is the critical balance between cell proliferation and cell death (apoptosis). Disruption of this subtle equilibrium can lead to the onset of various pathological disorders including cancer. Defects in the apoptotic process have also been implicated in the pathogenesis of autoimmune diseases, such as type 1 diabetes (Sun et al. 2005; De Franco et al. 2007). Besides, it is involved in the pathogenesis of inflammatory bowel diseases, inflammatory allergic disorders (Druilhe et al. 2000; Duncan et al. 2003; Mudter et al. 2007; Tumes et al. 2008), and most ocular diseases (Tempestini et al. 2003). In addition, defective apoptosis has been implicated in the resistance to many chemotherapeutic drugs (Ikuta et al. 2005). In light of these findings, induction of apoptosis could be of great therapeutic interest for the treatment of a variety of diseases.

Our lab has previously shown that the pentacyclic triterpenoid acetyl-11-keto- $\beta$ -boswellic acid (AK $\beta$ BA) induces apoptosis in prostate cancer cell lines in vitro and in vivo (Syrovets et al. 2005). In comparison to AK $\beta$ BA, its deacetylated derivative, 11-keto- $\beta$ -boswellic acid (KBA), showed a decreased activity, pointing to the importance of the acetyl group at carbon number 3 of ring A. The aim of the present study was to modify this position in order to obtain a new derivative of KBA with enhanced proapoptotic activity. In our attempts to modify the substituent at carbon number 3, we have linked cinnamic acid to the hydroxyl group at carbon 3 of ring A of KBA, thereby creating the end product 3-cinnamoyl-11-keto- $\beta$ -boswellic acid (C-K $\beta$ BA).

Here we show that C-K $\beta$ BA induced a concentration- and time-dependent inhibition of the proliferation of different cell lines including the prostate cancer cell lines PC-3, LNCaP, DU 145, and the mammary carcinoma cell line MDA-MB-231. Interestingly, C-K $\beta$ BA was more potent than CCI-779, a mTOR inhibitor that is currently being evaluated in clinical trials.

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Importantly, C-K $\beta$ BA showed a preferential efficacy against prostate cancer cells, i.e. the PC-3 cell line harboring defects in the apoptosis pathway, compared to normal prostate epithelial cells, i.e. the RWPE-1 cell line, suggesting specificity of C-K $\beta$ BA against the cells with defects in the apoptosis pathway. Since resistance to chemotherapy usually arises with time, we treated PC-3 cell line with C-K $\beta$ BA only once, followed by incubation in medium free of C-K $\beta$ BA for 6 day. These experiments revealed that already a single application of C-K $\beta$ BA has a profound cytotoxic effect on PC-3 cells showing that PC-3 cells are not able to recover from the C-K $\beta$ BA-mediated cytotoxicity, even when the cells kept in C-K $\beta$ BA-free medium for 6 days.

It could further be demonstrated that C-K $\beta$ BA induced apoptosis not only in vitro but also in vivo, suggesting that C-K $\beta$ BA could be used for the treatment of diseases associated with defective apoptosis pathways. To elucidate the type of cell death triggered by C-K $\beta$ BA, several apoptotic parameters, such as expression of phosphatidylserine on the cell surface, caspase activation, and DNA laddering were investigated.

Caspase activation is one of the best-known biochemical markers indicating the induction of apoptosis. Caspases belong to the family of cysteine-aspartic acid-directed proteases that play essential roles in the regulation of apoptosis. Caspases are widely expressed as inactive proenzymes, and once procaspases become activated, they trigger initiation of the protease cascade. Some procaspases can also aggregate and autoactivate. This proteolytic cascade, in which one caspase can activate other caspases, amplifies the apoptotic signaling pathway and thus leads to rapid cell death (Elmore 2007). Among them, caspase 3 is a frequently activated death protease, catalyzing the specific cleavage of many key cellular proteins. The effector caspase 3 is also required for some typical hallmarks of apoptosis such as apoptotic chromatin condensation and DNA fragmentation (Porter et al. 1999). Twenty-four h exposure of PC-3 cells to C-K $\beta$ BA in concentrations as low as 1  $\mu$ M C-K $\beta$ BA induced already significant activation of caspase-3. This is consistent with the profound antiproliferative effect of C-K $\beta$ BA on prostate cancer cells at this low concentration as verified for example by its anticlonogenic effect. Together, these

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data unambiguously demonstrated that C-K $\beta$ BA induced apoptotic cell death in prostate cancer cell lines.

The tumor suppressor gene PTEN (phosphatase and tensin homologue) is a plasma-membrane lipid phosphatase. PTEN is mutated in multiple advanced cancers and is also associated with cancer-susceptibility conditions such as Cowden syndrome (Inoki et al. 2005; Cully et al. 2006). PTEN mutation is frequently entangled with human prostate carcinoma progression (Huang et al. 2001) suggesting a crucial role of PTEN in the context of this disorder. Consistently, loss of PTEN expression is associated with tumorigenesis and metastasis. Indeed, the treatment-resistant PC-3 cells are a PTEN-null cell line. Loss of PTEN in this cell line is biochemically associated with a hyperactivated PI3K/Akt/TSC/mTORC1 pathway (Cantley et al. 1999) raising the interesting question whether this pathway might be targeted by C-K $\beta$ BA.

The mammalian target of rapamycin (mTOR) acts as a central regulator of ribosome biogenesis, protein synthesis, cell growth, cell survival and cytoskeletal organization. The dysregulation of the mTOR signaling pathway is a hallmark for many diseases including cancer. It has been reported that the mTOR signaling pathway is associated with many neurological disorders (i.e. mental retardation syndromes, autism spectrum disorder, Alzheimer's, Huntington's and Parkinson's disease) (Mizushima 2005; Santini et al. 2009; Hoeffler et al. 2010), and also with cardiac hypertrophy and polycystic kidney disease (Inoki et al. 2005; Boletta 2009). It also plays an important role in metabolic disorders including obesity, type 2 diabetes, and non-alcoholic fatty liver disease (Pacheco et al. 2008; Laplante et al. 2009). Mutations in LKB1, RasGAP (Ras GTPase-activating protein), TSC1, TSC2 and PTEN trigger development, e.g. of the Peutz-Jeghers syndrome, neurofibromatosis type I, and tuberous sclerosis syndrome, to name a few (Inoki et al. 2005).

Recent studies revealed that the mTOR signaling pathway links diet-induced obesity with vascular senescence and cardiovascular diseases (Wang et al. 2009). In addition, the mTOR signaling pathway has been implicated in the resistance of tumor cells to many anticancer drugs including retinoic acid, vincristine and trastuzumab

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(Jiang et al. 2008). Moreover, it has been shown that inhibition of the mTOR signaling pathway extends the lifespan in invertebrates (i.e. yeast and nematodes) as well as in mammalian species (i.e. mice) (Harrison et al. 2009). Thus, the mTOR signaling pathway apparently represents a key element that controls many diseases. Therefore, many efforts have been devoted to the identification of new mTOR inhibitors for cancer therapy. The immunosuppressive macrolide rapamycin is considered as the classical mTOR inhibitor. Many mTOR inhibitors are now in clinical trials, such as CCI-779 and deforolimus (Witzig et al. 2005; Rizzieri et al. 2008).

To enlighten the molecular mechanism underlying the cell death induced by C-K $\beta$ BA, we investigated a variety of kinase-related signaling pathways in PC-3 cells. Therefore, PC-3 cells were incubated with C-K $\beta$ BA for various time periods. These investigations identified the downregulation of p70S6K phosphorylation as an event that occurred early after the treatment of PC-3 cells. In fact, the p70S6K is the best characterized effector downstream of mTORC1 (Ali et al. 2005) indicating that C-K $\beta$ BA may target the PI3K/Akt/TSC/mTORC1 signaling pathway. Additionally, PDK1 has also been implicated in the regulation of the p70S6K phosphorylation (Meric-Bernstam et al. 2009). Therefore, the first step was to evaluate the relation between Akt (via TSC2), PDK1 and p70S6K phosphorylation. Western blot analysis of whole cell lysates from PC-3 cells exposed to C-K $\beta$ BA and then stained for p-PDK1 and p-Akt showed that C-K $\beta$ BA had no effect on either of both kinases. In this context, it should be noticed that p-Akt-Ser473 is regulated by mTORC2. Therefore, the lack of effect of C-K $\beta$ BA on p-Akt-Ser473 indicates that the compound has no effect on mTORC2 and this constellation supports our hypothesis that C-K $\beta$ BA might target the mTORC1 signaling pathway.

Activation of LKB1 and AMPK inhibits p70S6K phosphorylation via TSC2 (Bai et al. 2009; Meric-Bernstam et al. 2009). TSC2 is also a negative regulator for mTORC1. Yet TSC2 is regulated not only by Akt and AMPK, but also by the ERK signaling pathway (Bai et al. 2009). However, in PC-3 prostate cancer cells, ERK is inactive because we failed to detect any phosphorylation of ERK. Additionally, treatment of

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TSC<sup>-/-</sup> MEFs cells with C-K $\beta$ BA did not rescue the cell from the cytotoxicity of C-K $\beta$ BA. Thus, our data ruled out the effect of C-K $\beta$ BA on the TSC2 signaling network.

Treatment of PTEN positive PC-3 cells (which blocks the mTOR signaling pathway), with C-K $\beta$ BA revealed that PTEN positive PC-3 cells were more resistant to C-K $\beta$ BA compared to regular PC-3 cells, which are PTEN negative. This finding confirmed our hypothesis that mTOR targets the mTORC1 signaling pathway.

Protein phosphatase 2A (PP2A) is a heterotrimeric enzyme. PP2A is one of the major cellular serine-threonine phosphatases and is involved in the regulation of cell homeostasis. Active mTOR phosphorylates p70S6K and 4EBP1 either directly or indirectly via inhibition of PP2A (Dufner et al. 1999). Therefore, C-K $\beta$ BA could inhibit the phosphorylation of p70S6K by activation of PP2A. To clarify whether PP2A activation might be involved in the downregulation of p70S6K phosphorylation, PC-3 cells were pretreated with C-K $\beta$ BA in the presence or absence of an PP2A inhibitor (okadaic acid) or an unspecific phosphatase inhibitor (calyculin A). This experiment showed that calyculin A partially reversed the inhibitory effect of C-K $\beta$ BA on p70S6K, while okadaic acid had no effect on p70S6K phosphorylation in PC-3 cells indicating that PP2A does not play a role in the C-K $\beta$ BA-mediated effect on the p70S6K phosphorylation. This was, further confirmed in an assay of PP2A phosphatase activity that clearly revealed that C-K $\beta$ BA has no effect on the PP2A activity. Thus, C-K $\beta$ BA inhibited the mTORC1 signaling pathway independent of PP2A activity. This is in agreement with previously reported data showing that inhibition of mTOR with rapamycin neither induced restoration of the phosphatase activity of PP2A nor did it cause dissociation of  $\alpha$ 4 and Tap42 from PP2A (Nanahoshi et al. 1998).

The eukaryotic initiation factor 4E (eIF4E) is a downstream target of mTORC1. The eIF4E is a mRNA 5'-cap-binding protein and is as such a central component in the initiation and regulation of translation in eukaryotic cells. EIF4E binding to the mRNA cap structure mediates the initiation of translation. Hypophosphorylation of 4EBP1 results in its high affinity binding to eIF4E. This binding prevents eIF4E association with eIF4G and thereby the formation of eIF4E initiation complex. As a consequence the cap-dependant translation of mRNA is inhibited. mTOR phosphorylates 4EBP1,

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which leads to the dissociation of eIF4E and the subsequent initiation of translation (Hay et al. 2004). It is interesting to note that activation of eIF4E is significantly elevated with progression of human and experimental prostate cancer, and significantly correlates with a diminished patient survival. By contrast, experimental downregulation of the eIF4E expression in prostate cancer cells elicits apoptosis in a cell cycle phase-independent manner (Graff et al. 2009) suggesting an essential role of the mTOR-regulated eIF4E for the fate of prostate cancer patients.

The relation between the phosphorylation of eIF4E and protein translation is controversial. It has been reported that phosphorylation of eIF4E either enhanced (Waskiewicz et al. 1999), or inhibited (Knauf et al. 2001), or had no effect (Morley et al. 2002) on protein translation. Biophysical studies indicated that phosphorylation of eIF4E decreases its cap-binding affinity, thereby increasing the rate of eIF4E-m7GTP cap complex dissociation (Scheper et al. 2002). The seemingly contradictory findings concerning the relation between phosphorylation of eIF4E and the protein translation might possibly depend on the cell type and the experimental condition used. This controversial situation indicates that phosphorylated eIF4E may not necessarily be a part of the eIF4E complex required for general protein translation. However, it has been clearly shown that inhibition of mTOR by rapamycin or similar inhibitor compounds in vitro and vivo increase the phosphorylation status of eIF4E (Tee et al. 2000; Sun et al. 2005; Wang et al. 2007; Chen et al. 2009; Zhu et al. 2009). Combination of rapamycin and inhibitors against MnK, ERK, p38 MAPK or PI3K revealed that upregulation of the eIF4E phosphorylation depends on PI3K signaling but is independent on the MnK signaling pathway (Sun et al. 2005). Knockdown of MnK1 expression decreased the basal level of p-eIF4E but could not prevent its phosphorylation increased by rapamycin. Knockdown of mTOR (mTOR siRNA) or raptor (raptor siRNA), but not of rictor (rictor siRNA), hinder the phosphorylation of eIF4E by rapamycin (Wang et al. 2007). This indicates that the rapamycin-induced eIF4E phosphorylation depends on the presence of mTOR. Here we show that C-K $\beta$ BA upregulated the eIF4E phosphorylation in PC-3 cells and concomitantly downregulated the eIF4E expression.

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To shed light on the role of eIF4E hyperphosphorylation and the translation process, we monitored the status of the cyclin D1 expression. Cyclin D1 is a key regulator of the G<sub>1</sub> phase of the cell cycle, and drives cells through the G<sub>1</sub>/S phase transition (Stacey 2003). Cyclin D1 mRNA translation is complex, involving both cap-dependent and cap-independent mechanisms, and p70S6K has a central role in the regulation of the cyclin D1 expression (Koziczak et al. 2004). Cyclin D1 is considered the prime downstream target protein of eIF4E-mediated protein translation. Expression of eIF4E significantly correlates with the increase of cyclin D1 protein expression. eIF4E enhances the nuclear export of cyclin D1 mRNA (Mamane et al. 2004; Culjkovic et al. 2005). Activation of mTOR phosphorylates p70S6K and leads to the recruitment of the 40S ribosomal subunit into translating polysomes, which enhances the translation of mRNA with 5' terminal oligopolypyrimidine (5'-TOP) (Jiang et al. 2008). PC-3 cells treated with C-K $\beta$ BA exhibited a clear downregulation of the cyclin D1 expression. This implies that C-K $\beta$ BA induces inhibition of the translation machinery by induction of eIF4E hyperphosphorylation as well as downregulation of the eIF4E protein expression.

The growth of an organ or a whole organism is mediated by increases in both cell size and cell number through the coordinated action of cell growth and cell cycle progression. Apoptosis is the physiological process by which the body removes unwanted cells, reforms and maintains the tissues and the organs. The disturbance between these two processes is the main reason for many diseases including cancer. This disturbance might be due to mutation of certain genes (e.g. PTEN) or activation of distinct signaling cascades (e.g. the mTOR signaling pathway). Under these conditions, the cells undergo continuous cell proliferation and growth, in the sense that they 'forget' how to die. Targeting the cell cycle at this point seems to be a prime opportunity to stop proliferation and growth of a tumor.

mTOR is widely known as a governor of cell cycle progression and proliferation (Peponi et al. 2006). It has been previously shown that the cell cycle arrest induced by rapamycin occurs via p70S6K. Consistently, expression of active p70S6K reversed the rapamycin-induced G<sub>1</sub> cell cycle arrest (Gao et al. 2004). Similarly, C-

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K $\beta$ BA induced cell cycle arrest in the G<sub>1</sub> phase providing further evidence that C-K $\beta$ BA inhibits the mTORC1/ p70S6K signaling pathway.

Taken together, C-K $\beta$ BA inhibits cell proliferation and induces apoptosis in vitro and vivo even at low concentrations and after a single, rather short exposure. C-K $\beta$ BA elicits cell cycle arrest at G<sub>1</sub> with inhibition of the protein translation machinery that is associated with the downregulation of cyclin D1. These effects of C-K $\beta$ BA are triggered by its inhibition of the mTOR signaling pathway that occurs independent from the upstream kinases Akt and PDK1, and independent from the negative regulators of the mTOR signaling, TSC2 and PP2A.

In conclusion, the capability of C-K $\beta$ BA to inhibit the mTOR signaling pathway renders it an interesting lead compound for the development of novel pharmacotherapeutic approaches for the treatment of various diseases including cancer.

### 5. Summary

The apoptotic mode of cell death (programmed cell death) is an active and defined process that plays an important role in the development of multicellular organisms and in the regulation and maintenance of cell populations in tissues under physiologic and pathologic conditions. Since dysregulation of apoptosis is associated with the progress of many diseases, induction of apoptosis is an interesting pharmacological target for the therapy of many diseases. Our study shows that the novel semisynthetic pentacyclic triterpenoid C-K $\beta$ BA has a profound antiproliferative effect on different tumor cell lines and that it induces apoptosis of tumor cells *in vitro* and *in vivo*.

Previous studies have shown that the mammalian target of rapamycin (mTOR) is a key regulator for many cells activities, and that the perturbation of this signaling pathway is implicated in many diseases and metabolic disorders. Accordingly, targeting the mTOR signaling pathway seems to be a promising therapeutic approach for the treatment of various diseases and metabolic disorders.

We have shown in our study that C-K $\beta$ BA downregulates the mTOR signaling pathway *in vitro* and *in vivo*, which leads to the induction of cell cycle arrest in the G<sub>0</sub> cell cycle phase as well as downregulation of the protein translation machinery.

Together, the presented data strongly suggest that C-K $\beta$ BA could serve as a lead compound for the development of novel treatment modalities for diseases and disorders associated with defects in the apoptosis machinery, or the mTOR signaling pathway, or both.

### 6. Zusammenfassung

Der programmierte Zelltod ist ein aktiver und wohldefinierter Prozess. Unter physiologischen und pathophysiologischen Bedingungen spielt er eine entscheidende Rolle sowohl bei der Entwicklung mehrzelliger Organismen als auch bei der Regulation und Erhaltung verschiedener Zellpopulationen. Da das Fortschreiten vieler Erkrankungen mit einer Fehlregulation der Apoptose einhergeht, wird der Apoptoseprozess heute als ein wichtiger Angriffspunkt pharmakologischer Therapieansätze betrachtet. Unsere Studien zeigen, dass das neuartige semisynthetische Triterpenoid C-K $\beta$ BA einen starken antiproliferativen Effekt auf verschiedene Tumorzelllinien besitzt und dass es sowohl in vitro als auch in vivo zur Induktion von Apoptose führt.

In verschiedenen Studien konnte bereits früher gezeigt werden, dass dem „mammalian target of rapamycin“ (mTOR) eine Schlüsselrolle in der Regulation verschiedener Zellaktivitäten zukommt. Eine Störung dieses Signalweges kann mit vielerlei Erkrankungen und metabolischen Fehlfunktionen in Verbindung gebracht werden. Somit könnte der mTOR-Signalweg das Ziel eines vielversprechenden Therapieansatzes für die Behandlung diverser Erkrankungen und metabolischer Störungen sein.

In unseren Studien konnten wir zeigen, dass C-K $\beta$ BA den mTOR Signalweg sowohl in vitro als auch in vivo hemmt. Diese Inhibition führt zu einem Zellzyklusarrests in der G<sub>0</sub>-Phase sowie zu einer Hemmung der Proteintranslation.

Zusammenfassend implizieren die hier präsentierten Daten, dass C-K $\beta$ BA als eine prototypische Modellsubstanz für die Entwicklung neuer Therapieansätze für Erkrankungen mit aberranten Funktionen entweder der apoptotischen Maschinerie oder des mTOR-Signaltransduktionsweges dienen könnte.

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## CURRICULUM VITAE

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### Curriculum Vitae

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